

# Dipole picture diffractive structure function at NLO

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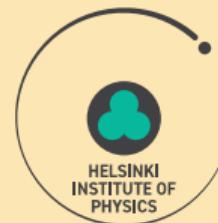


Centre of Excellence  
in Quark Matter

Benasque, August 2025



JYVÄSKYLÄN YLIOPISTO  
UNIVERSITY OF JYVÄSKYLÄ



# Outline

## Outline of this talk

- ▶ High energy collisions as eikonal scattering
- ▶ Diffractive structure function at NLO

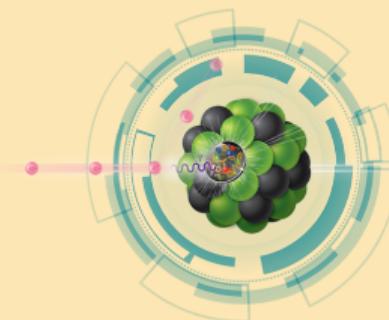
G. Beuf, T. L., H. Mäntysaari, R. Paatelainen, [J. Penttala](#), arXiv:2401.17251 [hep-ph]

- ▶ The  $q\bar{q}g$  contribution and the Wüsthoff limit

G. Beuf, H. Hänninen, T.L., Y. Mulian, H. Mäntysaari, arXiv:2206.13161 [hep-ph]

## Process of interest

DIS at high energy

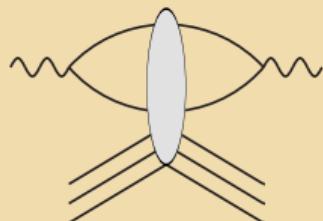


# High energy collisions as eikonal scattering

# Dipole picture of DIS

Limit of small  $x$ , i.e. high  $\gamma^*$ -target energy

## Leading order

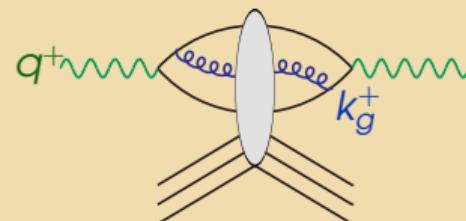


- ▶  $\gamma^* \rightarrow q\bar{q}$  in vacuum
- ▶  $q\bar{q}$  interacts eikonally with target
- ▶  $\sigma^{\text{tot}}$  is  $2 \times \text{Im}$ -part of amplitude

"Dipole model": Nikolaev, Zakharov 1991

Many fits to HERA data, starting with Golec-Biernat,  
Wüsthoff 1998

## Leading Log: add **soft** gluon



- ▶ Soft gluon: large logarithm

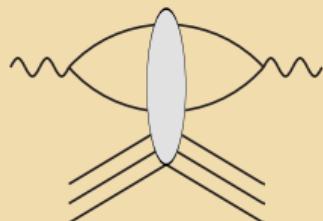
$$\int_{x_{Bj}} \frac{dk_g^+}{k_g^+} \sim \ln \frac{1}{x_{Bj}}$$

Absorb into renormalization of target:  
**BK equation** Balitsky 1995, Kovchegov 1999

# Dipole picture of DIS

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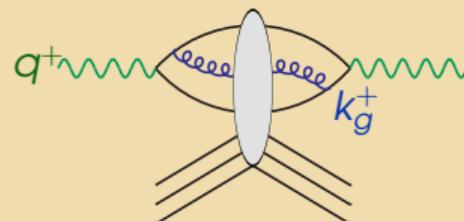


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NLO: the same gluon with full kinematics

# Idea of LCPT calculation

- ▶ Know free particle Fock states:  $|\gamma^*\rangle_0$ ,  $|q\bar{q}\rangle_0$ ,  $|q\bar{q}g\rangle_0$  etc.
- ▶ **Interacting** states are superpositions of these:

$$|\gamma^*\rangle = (1 + \dots) |\gamma^*\rangle_0 + \psi^{\gamma^* \rightarrow q\bar{q}} \otimes |q\bar{q}\rangle_0 + \psi^{\gamma^* \rightarrow q\bar{q}g} \otimes |q\bar{q}g\rangle_0 + \dots$$

- ▶ Calculate in QM perturbation theory, e.g. ground state  $|0\rangle$  wavefunction:

$$\psi^{0 \rightarrow n} = \sum_n \frac{\langle n | \hat{V} | 0 \rangle}{E_n - E_0} + \dots$$

- ▶ Here  $1/\Delta E$  is  $\sim$  the lifetime of the quantum fluctuation from 0 to  $n$
- ▶ In “Energy”  $E$  is conjugate to “time”, LC time is  $x^+$   $\implies$  LC energy  $k^-$
- ▶ Note: energy not “conserved”!

Connection to Feynman perturbation theory

- ▶ Matrix elements  $\langle n | \hat{V} | m \rangle$  are vertices in Feynman rules
- ▶ LC energy denominators from propagators, integrating over  $k^-$  pole

# DIS at NLO: Fock state expansion

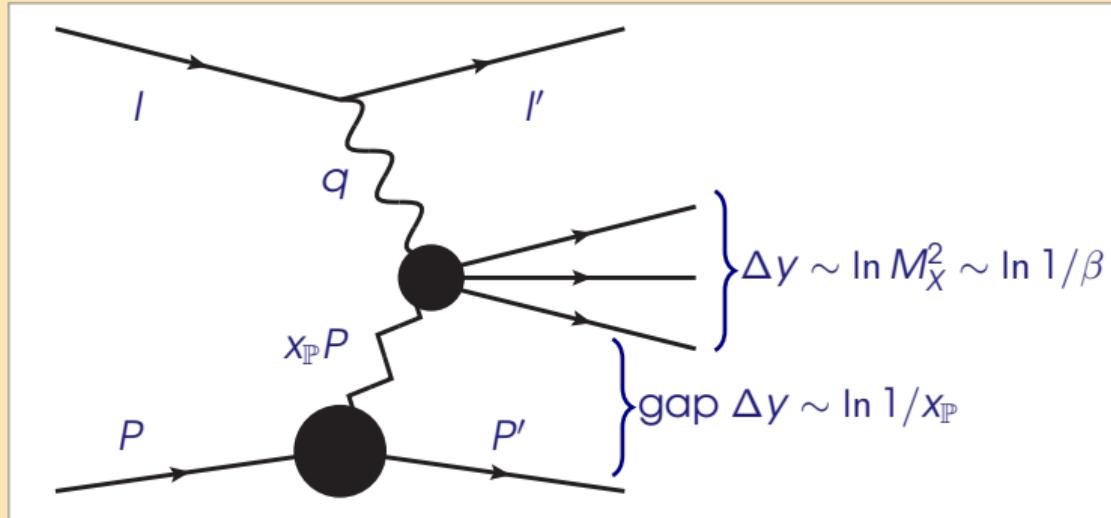
$$\left| \gamma_\lambda^*(q^+, \mathbf{q}; Q^2) \right\rangle_D = \sqrt{Z_{\gamma_\lambda^*}} \left\{ \text{Non-QCD Fock states} + \sum_{\substack{\text{F. s.} \\ q_0 \bar{q}_1}} \tilde{\Psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1} |q_0 \bar{q}_1\rangle + \sum_{\substack{\text{F. s.} \\ q_0 \bar{q}_1 g_2}} \tilde{\Psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1 g_2} |q_0 \bar{q}_1 g_2\rangle + \dots \right\},$$

- ▶ Non-QCD Fock states: EW corrections, not needed
- ▶ Tree level  $\tilde{\Psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1}$ : LO
- ▶  $\tilde{\Psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1}$ : known to 1 loop
- ▶  $\tilde{\Psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1 g_2}$ : tree level

# Diffractive DIS

# Inclusive diffraction, kinematics

$\gamma^* + A \rightarrow X + A$ , differential in  $M_X$



- ▶ Momentum transfer  $t = (P - P')^2$
- ▶ Gap size  $x_P$ , target evolution rapidity  $\sim \ln 1/x_P$
- ▶ Diffractive system mass  $M_X^2$ ,  $\beta = Q^2/(Q^2 + M_X^2)$
- ▶ Virtuality  $Q^2$
- ▶ Lower  $x_P$  than dijets (e.g. at EIC)

$$x_{Bj} = x_P \beta$$

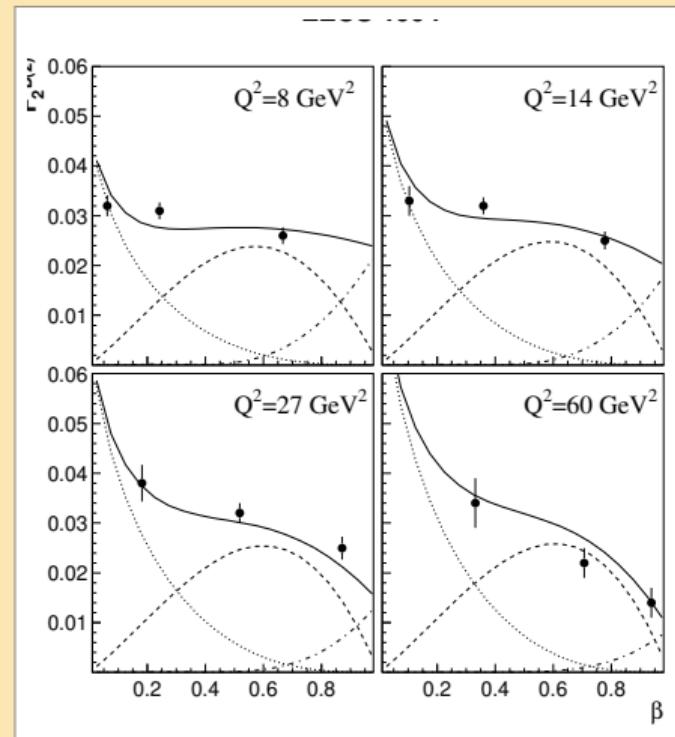
This talk:  $x_P$  small,  $\beta$  not.

# Dependence on $\beta$ , i.e. $M_X$

$M_X^2$  = photon remnants.

Essential regimes:

- $\left. \begin{array}{l} \text{► Large } \beta \rightarrow 1 \text{ — small } M_X: \\ \text{longitudinal } \gamma^* \rightarrow q\bar{q} \end{array} \right\}$  LO+NLO
- $\left. \begin{array}{l} \text{► Medium } \beta \sim 0.5 \text{ — } M_X^2 \sim Q^2: \\ \text{transverse } \gamma^* \rightarrow q\bar{q} \end{array} \right\}$  NLO
- $\left. \begin{array}{l} \text{► Small } \beta \ll 1 \text{ — large } M_X^2: \\ \text{higher Fock states (}q\bar{q}g\text{ etc.)} \end{array} \right\}$  LO



LO  $q\bar{q}$  and leading  $\ln Q^2$   $q\bar{q}g$   
Golec-Biernat & Wüsthoff hep-ph/9903358

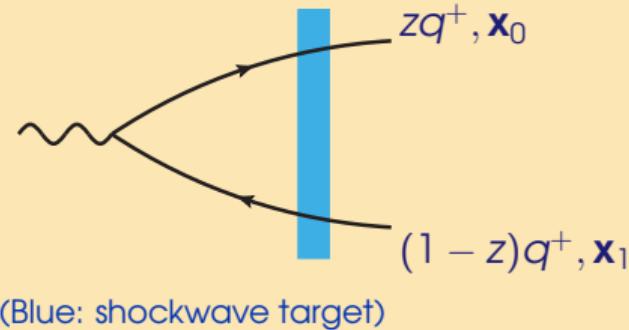
# LO diffractive DIS

# Diffractive DIS at leading order

- ▶ Full kinematics and impact parameter dependence

G. Beuf, H. Hänninen, T.L., Y. Mulian, H. Mäntysaari, arXiv:2206.13161

$$\frac{d\sigma_{\lambda, q\bar{q}}^D}{dM_X^2 d|t|} = \frac{N_c}{4\pi} \int_0^1 dz \int_{\mathbf{x}_0 \mathbf{x}_1 \bar{\mathbf{x}}_0 \bar{\mathbf{x}}_1} \mathcal{I}_{\Delta}^{(2)} \mathcal{I}_{M_X}^{(2)} \\ \times \sum_{f, h_0, h_1} \left( \tilde{\psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1} \right)^\dagger \left( \tilde{\psi}_{\gamma_\lambda^* \rightarrow q_0 \bar{q}_1} \right) \boxed{[S_{01}^\dagger - 1] [S_{01} - 1]}$$



(Blue: shockwave target)

- ▶  $q\bar{q}$  crossing shockwave: dipole  $S_{01}$
- ▶ “Transfer functions:” relate coordinates at shockwave to:

- ▶ Momentum transfer  $t = -\Delta^2$ :  $\mathcal{I}_{\Delta}^{(2)} = \frac{1}{4\pi} J_0 \left( \sqrt{|t|} \|z\mathbf{x}_{00} - (1-z)\mathbf{x}_{11}\| \right)$
- ▶ Invariant mass  $\mathcal{I}_{M_X}^{(2)} = \frac{1}{4\pi} J_0 \left( \sqrt{z(1-z)} M_X \|\bar{\mathbf{r}} - \mathbf{r}\| \right)$

# LO, recovering known result

We can recover known results Golec-Biernat, Wüsthoff, Marquet et al :

$$x_{\mathbb{P}} F_{L,q\bar{q}}^D(\beta, x_{\mathbb{P}}, Q^2) = \frac{N_c Q^4}{2\pi^3 \beta} \sum e_f^2 \int d^2 \mathbf{b} \int_0^1 dz z^3 (1-z)^3 Q^2 \Phi_0(z, \beta, Q, \mathbf{b}),$$

$$x_{\mathbb{P}} F_{T,q\bar{q}}^D(\beta, x_{\mathbb{P}}, Q^2) = \frac{N_c Q^4}{8\pi^3 \beta} \sum e_f^2 \int d^2 \mathbf{b} \int_0^1 dz z^2 (1-z)^2 (z^2 - (1-z)^2) Q^2 \Phi_1(z, \beta, Q, \mathbf{b}),$$

$$\Phi_n(z, \beta, Q, \mathbf{b}) = \left[ \int dr r J_n(\sqrt{z(1-z)} M_X r) K_n(\sqrt{z(1-z)} Q r) (S_{rb} - 1) \right]^2.$$

Requires approximations

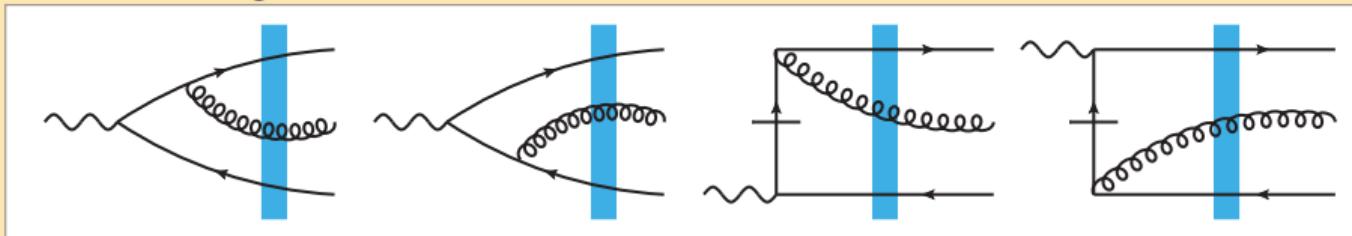
- ▶ Dependence on center-of-mass impact parameter  $z_0 \mathbf{x}_0 + z_1 \mathbf{x}_1$  factorizes
- ▶ Dipole amplitude does not depend on  $\mathbf{b}, \mathbf{r}$ -angle:  
➡ Bessel function index from angular structure of  $\gamma_\lambda^* \rightarrow q\bar{q}$  vertex

Now to NLO

# NLO diffractive DIS

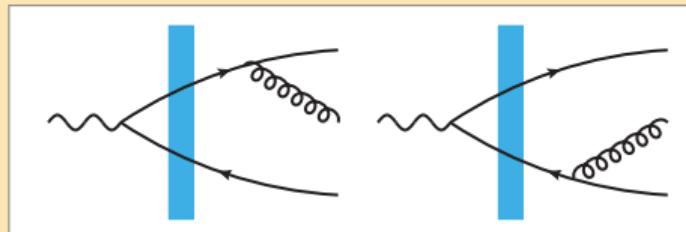
# NLO radiative corrections

- ▶ Emission before target



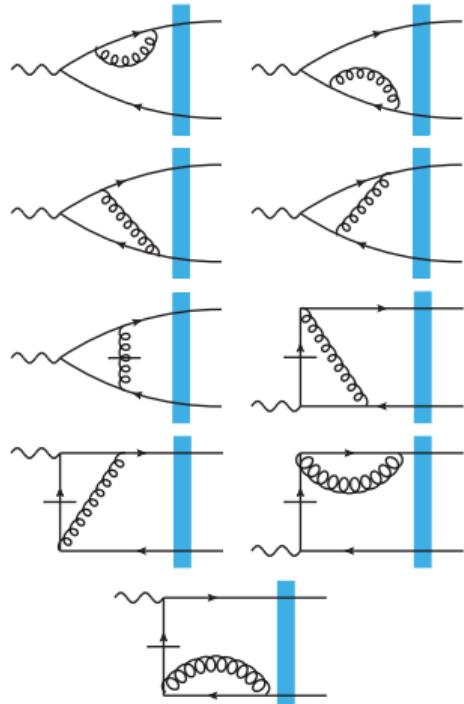
- ▶ Squares already in G. Beuf, H. Hänninen, T.L., Y. Mulian, H. Mäntysaari arXiv:2206.13161
- ▶ Contain leading  $\ln Q^2$  contribution

- ▶ Emission after target



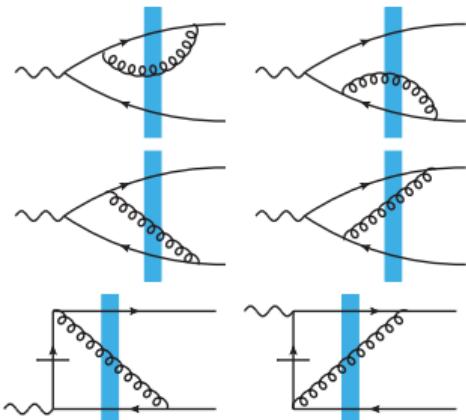
- ▶ Interferences  $\Rightarrow$  simplify with some of the virtual corrections

# NLO virtual



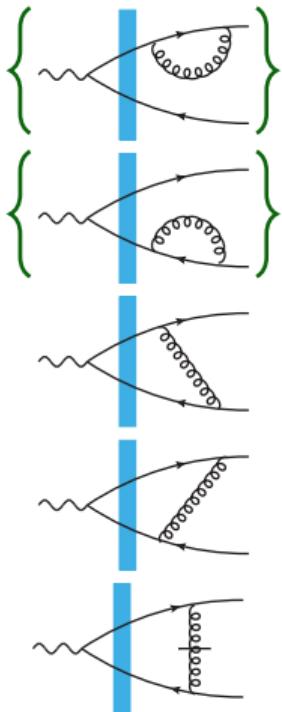
- ▶ Vertex corrections:  
known 1-loop  $\gamma \rightarrow q\bar{q}$  wavefunction

See also Boussarie et al 2014: diffractive jets,  
also Caucal et al 2021 inclusive



- ▶ Vertex corrections:  
known 1-loop  $\gamma \rightarrow q\bar{q}$  wavefunction
- ▶ Gluon crosses shockwave, but not the cut:
  - ▶ Loop corrections to amplitude,  
tree level wavefunctions
  - ▶ 3-point operator of Wilson lines
  - ▶ BK/JIMWLK evolution of LO amplitude

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- ▶ Gluon crosses shockwave, but not the cut:
  - ▶ Loop corrections to amplitude,  
tree level wavefunctions
  - ▶ 3-point operator of Wilson lines
  - ▶ BK/JIMWLK evolution of LO amplitude
- ▶ Final state interactions  
(Propagator corrections  $\{\}$  →  
State renormalization, in fact = 0 in dim. reg.)

See also Boussarie et al 2014: diffractive jets,  
also Caucal et al 2021 inclusive

# NLO diffractive DIS cross section

# Calculation in 2401.17251 [hep-ph]

Beuf, T.L., Paatelainen, Mäntysaari, Penttala

## We have calculated all these contributions

- Diffractive structure function:  
clean IR-safe, [perturbative = experimental] final state definition  $M_X!$   
(No fragmentation function, jet definition)  
➡ Divergences must cancel

$$\begin{aligned} \text{logs}(x_1, x_2) &= 0 \\ \text{logs}\left(\frac{x_1}{x_2}, \frac{x_2}{x_3}, \dots, \frac{x_n}{x_{n+1}}\right) &= \log(x_1) - \log(x_2) + \log(x_2) - \log(x_3) + \dots + \log(x_n) - \log(x_{n+1}) \\ &= \log(x_1) - \log(x_{n+1}) \\ &= \int \frac{dx}{x} \left( \frac{1}{x_1} - \frac{1}{x_{n+1}} \right) \\ &= \int \left( \frac{1}{x_1} - \frac{1}{x_2} \right) dx + \int \left( \frac{1}{x_2} - \frac{1}{x_3} \right) dx + \dots + \int \left( \frac{1}{x_n} - \frac{1}{x_{n+1}} \right) dx \\ &= \log(x_1) - \log(x_{n+1}) \end{aligned}$$
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## Features of the calculation:

- Divergence structure
- Treatment of energy denominators
- Collinear factorization limit (Wüsthoff limit)

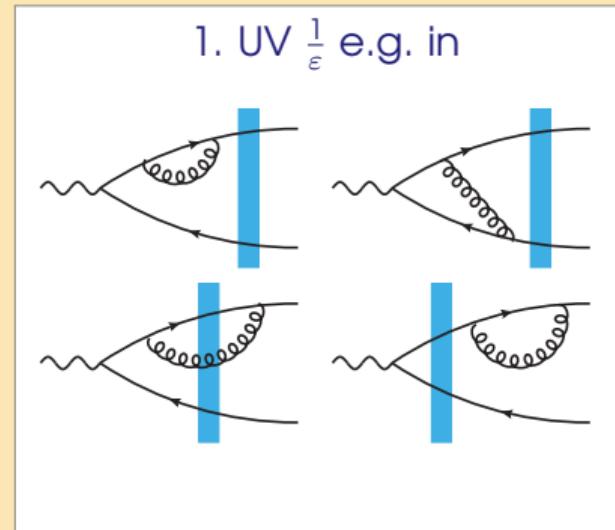
(Result: logs, Bessel fn's, polynomials in  $z_i$ 's)

# Regularization and divergences

## Regularization procedure

- ▶ Transverse momentum in  $2 - 2\epsilon$  dimensions  $\Rightarrow \frac{1}{\epsilon}$  divergences, collinear or UV
- ▶ Longitudinal  $k^+$ : cutoff  $k^+ > \alpha, \alpha \rightarrow 0 \Rightarrow 1/\alpha, \ln^2 \alpha, \ln \alpha$  divergences

1. UV  $\frac{1}{\epsilon}$  and  $\frac{1}{\epsilon} \ln \alpha$  divergences:  
 $\gamma^* \rightarrow q\bar{q}$  vertex, gluon crossing shock,  
wavefunction renormalization



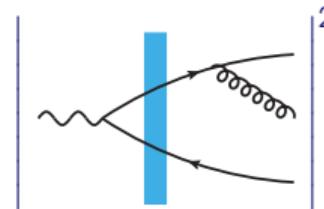
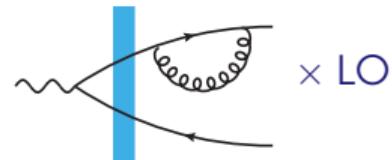
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2. Collinear  $\frac{1}{\epsilon}$  :  
wavef. renormalization, final state emission

2. Collinear  $\frac{1}{\epsilon}$  e.g. in

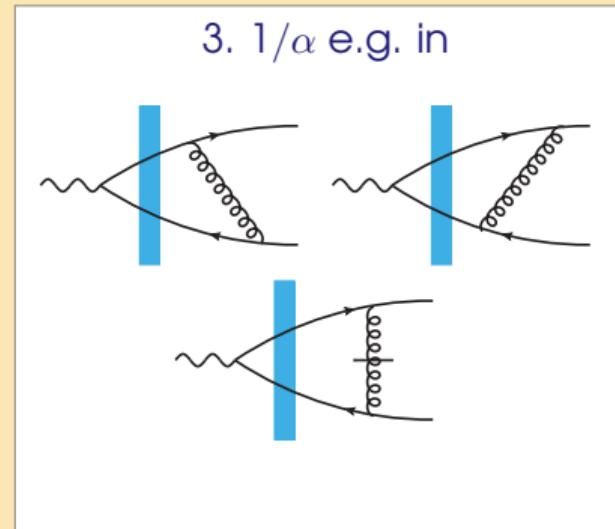


# Regularization and divergences

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wavef. renormalization, final state emission
3.  $1/\alpha$  cancels between normal and  
instantaneous exchange

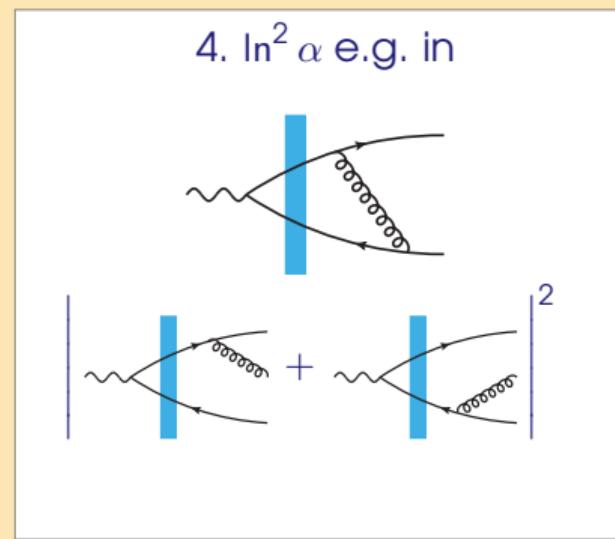


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 $\gamma^* \rightarrow q\bar{q}$  vertex, gluon crossing shock,  
wavefunction renormalization
2. Collinear  $\frac{1}{\epsilon}$  :  
wavef. renormalization, final state emission
3.  $1/\alpha$  cancels between normal and  
instantaneous exchange
4.  $\ln^2 \alpha$  from final state exchange and emission  
( $M_X$  restriction matters here!)

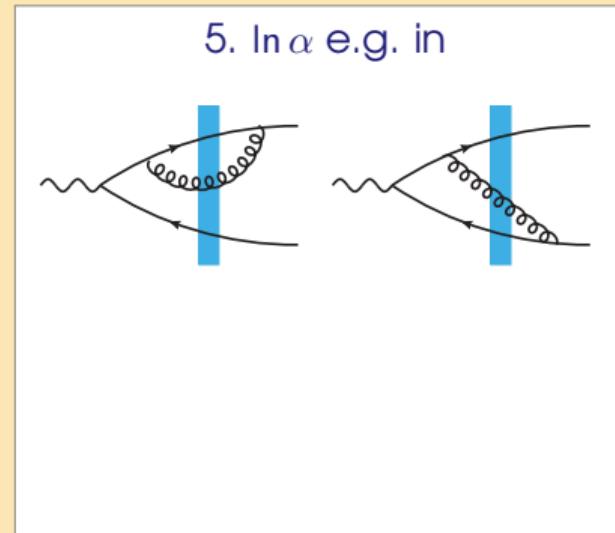


# Regularization and divergences

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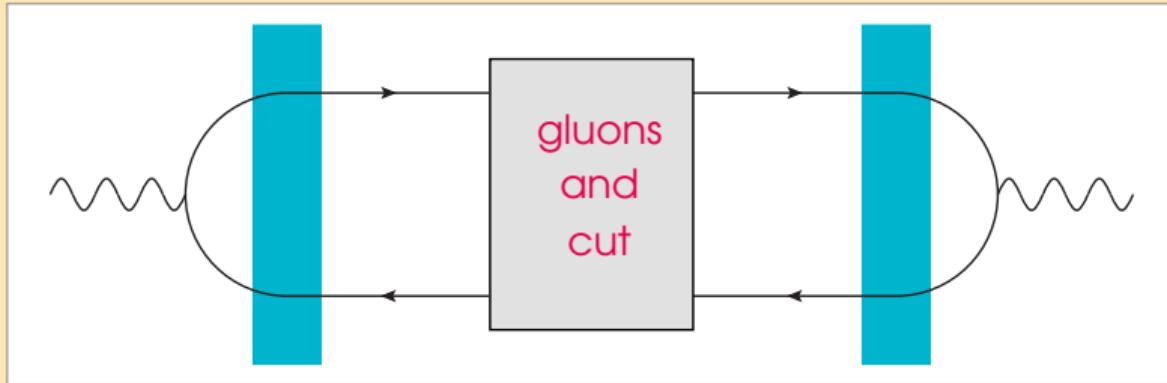
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wavefunction renormalization
2. Collinear  $\frac{1}{\epsilon}$  :  
wavef. renormalization, final state emission
3.  $1/\alpha$  cancels between normal and  
instantaneous exchange
4.  $\ln^2 \alpha$  from final state exchange and emission  
( $M_X$  restriction matters here!)
5. Remaining  $\ln \alpha$  absorbed into BK/JIMWLK



# Purely final state corrections

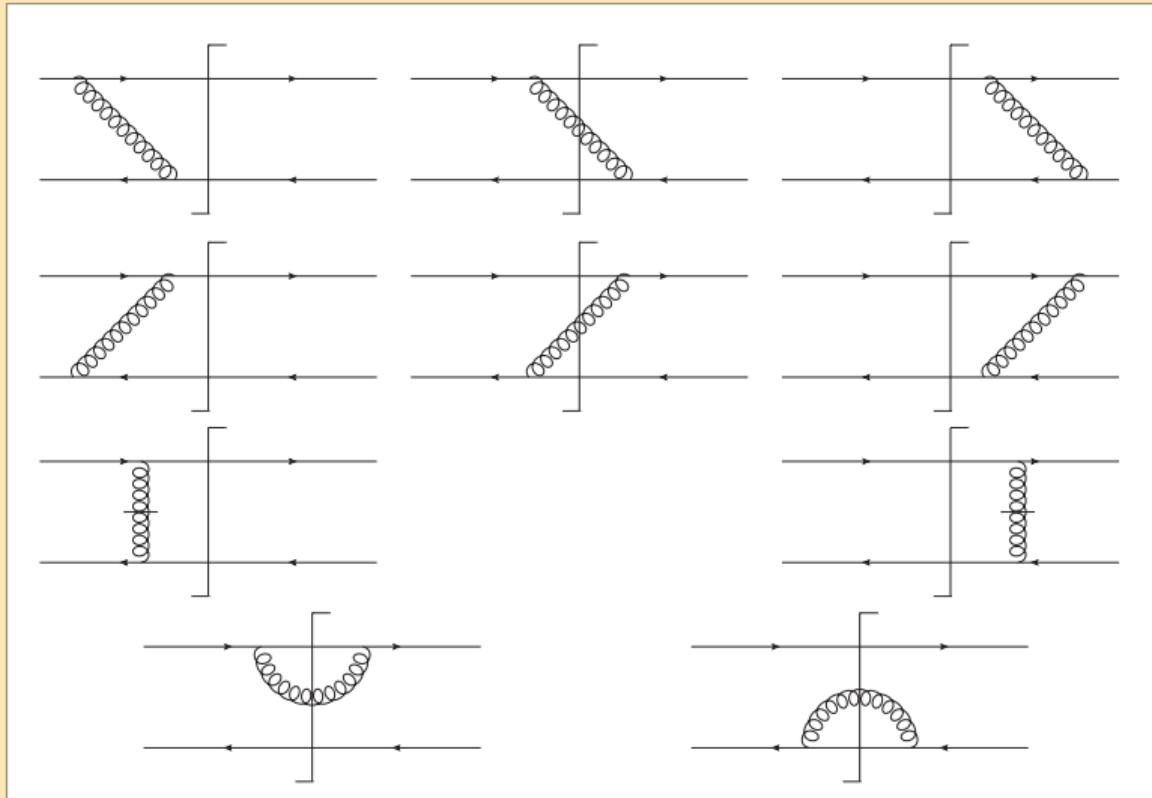
Easier to treat at cross section level



- ▶ Only  $q\bar{q}$  at shockwave  $\implies$  dipole  $S_{01}$  and  $S_{\bar{0}\bar{1}}$
- ▶ If fully inclusive, these would cancel, now  $M_X$  restriction
- ▶  $\mathcal{M} \sim \langle f | (\hat{S} - 1) | i = \gamma^* \rangle$ , final state  $|f\rangle = |q\bar{q}\rangle, |q\bar{q}g\rangle$  with  $M_{q\bar{q}} = M_X, M_{q\bar{q}g} = M_X$   
LCWF's  $\Psi_{q\bar{q} \rightarrow q\bar{q}}^*$  and  $\Psi_{q\bar{q}g \rightarrow q\bar{q}}^*$   $\implies$  **Energy denominators from the cut =  $M_X$ !**

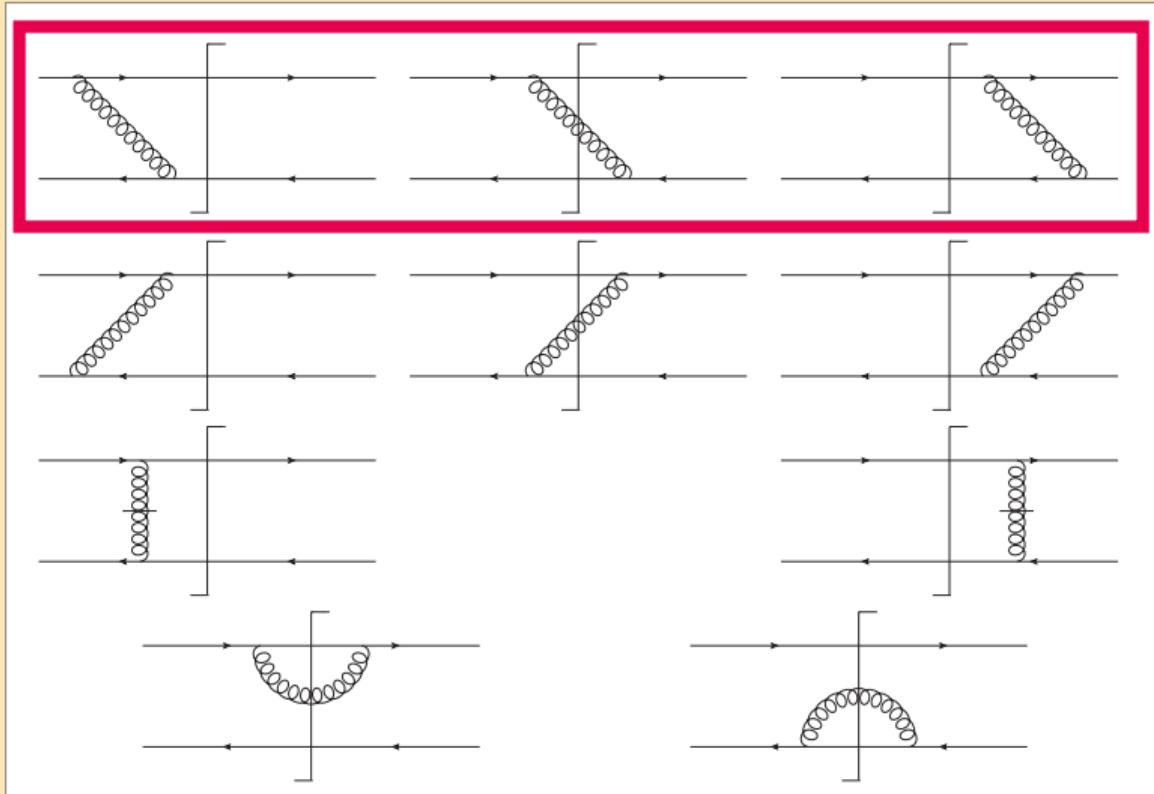
## How to dig out different types of divergences?

(only drawing part between shockwaves)



# How to dig out different types of divergences?

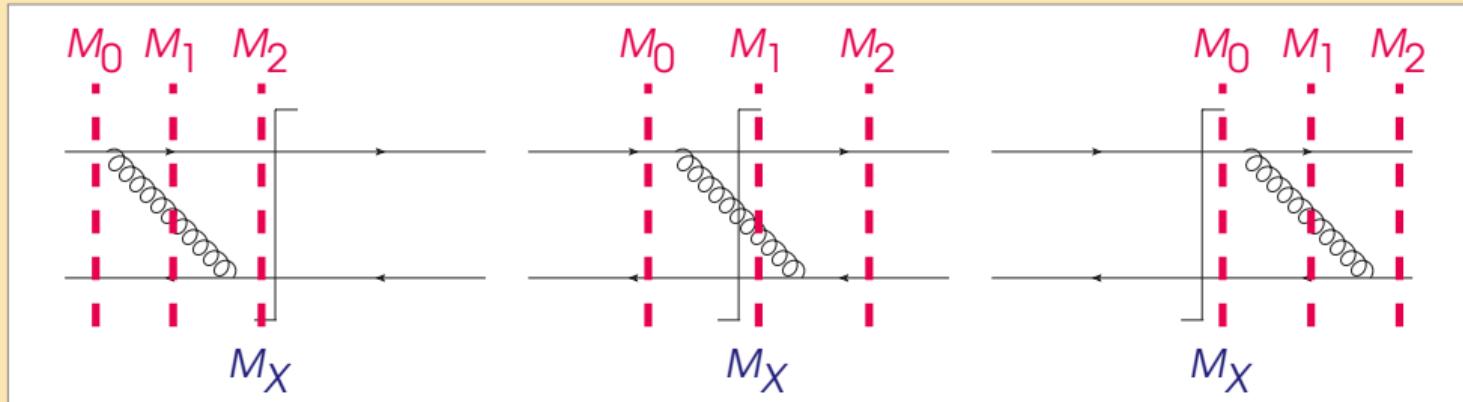
(only drawing part between shockwaves)



As an example: consider 1st row

# Combine energy denominators

“Beuf trick”: write  $M_X$  delta function as imaginary part of “propagator”



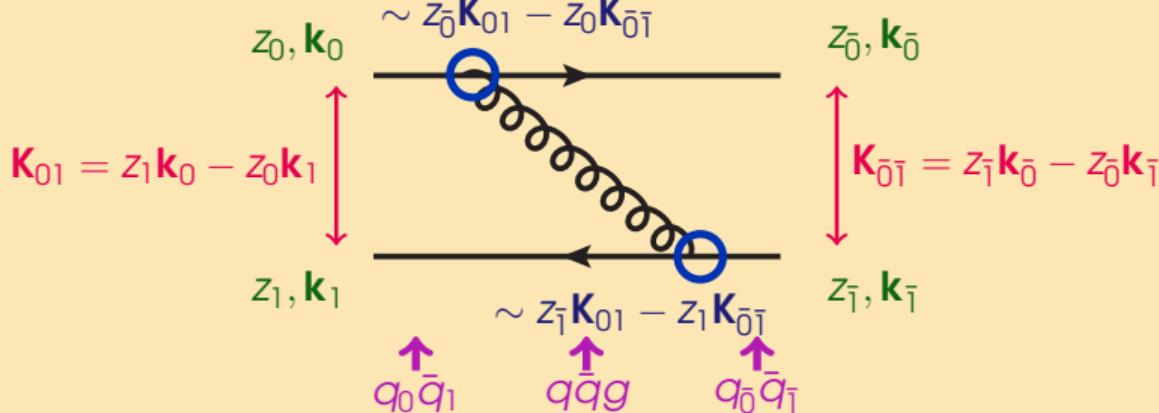
$$\frac{\delta(M_X^2 - M_2^2)}{(M_2^2 - M_1^2 + i\delta)(M_2^2 - M_0^2 + i\delta)} + \frac{\delta(M_X^2 - M_1^2)}{(M_1^2 - M_0^2 + i\delta)(M_1^2 - M_2^2 - i\delta)} + \frac{\delta(M_X^2 - M_0^2)}{(M_0^2 - M_1^2 - i\delta)(M_0^2 - M_2^2 - i\delta)}$$
$$= \frac{1}{2\pi i} \left[ \frac{1}{(M_X^2 - M_0^2 - i\delta)(M_X^2 - M_1^2 - i\delta)(M_X^2 - M_2^2 - i\delta)} - \text{C.C.} \right]$$

(Note: sign of  $i\delta$  essential)

Looks even more complicated than before, but ...

# Numerator

Same for all 3 positions of cut

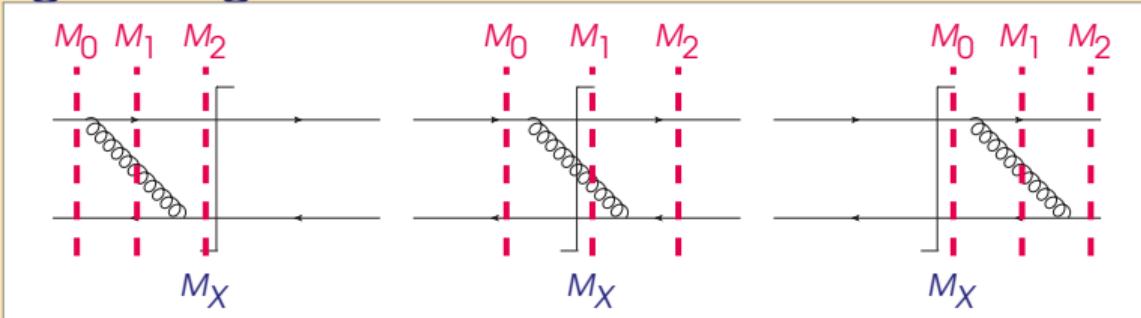


- $\mathbf{K}_{01}, \mathbf{K}_{\bar{0}\bar{1}}$  are conjugate to the dipole size  $\rightarrow$  integration variables
- Numerator  $\sim (z_0 \mathbf{K}_{01} - z_0 \mathbf{K}_{\bar{0}\bar{1}}) \cdot (z_{\bar{0}} \mathbf{K}_{01} - z_{\bar{0}} \mathbf{K}_{\bar{0}\bar{1}})$
- Invariant masses

$$M^2(q_0 \bar{q}_1) = \frac{\mathbf{K}_{01}^2}{z_0 z_1} \quad M^2(q \bar{q} g) = \frac{1}{z_0 - z_{\bar{0}}} \left[ \frac{z_{\bar{0}}}{z_1} \mathbf{K}_{01}^2 + \frac{z_0}{z_{\bar{0}}} \mathbf{K}_{\bar{0}\bar{1}}^2 - 2 \mathbf{K}_{01} \cdot \mathbf{K}_{\bar{0}\bar{1}} \right] \quad M^2(q_0 \bar{q}_{\bar{1}}) = \frac{\mathbf{K}_{\bar{0}\bar{1}}^2}{z_{\bar{0}} z_{\bar{1}}},$$

- Express numerator as linear combination of invariant masses

# Separating divergences



$$\frac{\text{numerator}}{2\pi i} \left[ \frac{1}{(M_x^2 - M_0^2 - i\delta)(M_x^2 - M_1^2 - i\delta)(M_x^2 - M_2^2 - i\delta)} - \text{c.c.} \right]$$

$$\text{Numerator} \sim [\dots](M_x^2 - M_0^2) + [\dots](M_x^2 - M_2^2) + [\dots](M_x^2 - M_1^2) + [\dots]M_x^2$$

- ▶ Numerator  $\sim (M_x^2 - M_0^2), \sim (M_x^2 - M_2^2)$ : divergence  $\sim \ln^2 \alpha$
- ▶ Numerator  $\sim (M_x^2 - M_1^2)$ : divergence  $\sim 1/\alpha$
- ▶ Numerator  $\sim M_x^2$ : finite (but most complicated, 3 ED's)

With Beuf trick have separated divergences into different terms

# Wüsthoff limit

# Large $Q^2$

G. Beuf, H. Hänninen, T.L., Y. Mulian, H. Mäntysaari, arXiv:2206.13161

Recover “Wüsthoff result” (origin somewhat mysterious)

$$x_{\mathbb{P}} F_{T,q\bar{q}g}^{\text{D (GBW)}} = \frac{\alpha_s \beta}{8\pi^4} \sum_f e_f^2 \int_{\mathbf{b}} \int_{\beta}^1 dz \left[ \left(1 - \frac{\beta}{z}\right)^2 + \left(\frac{\beta}{z}\right)^2 \right] \int_0^{Q^2} dk^2 k^4 \ln \frac{Q^2}{k^2} \\ \times \left[ \int_0^{\infty} dr r K_2(\sqrt{z}kr) J_2(\sqrt{1-z}kr) \frac{d\tilde{\sigma}_{\text{dip}}}{d^2\mathbf{b}}(\mathbf{b}, \mathbf{r}, x_{\mathbb{P}}) \right]^2.$$

- ▶ Explicit  $\ln Q^2$
- ▶  $g \rightarrow q\bar{q}$  DGLAP splitting function: target evolution
- ▶ Color-octet small-size  $q\bar{q}$  is “effective gluon”  $\tilde{g}$   $\implies$  adjoint dipole  $\implies$  diffractive gluon PDF
- ▶  $J_2, K_2$  from curious “effective gluon wavefunction”

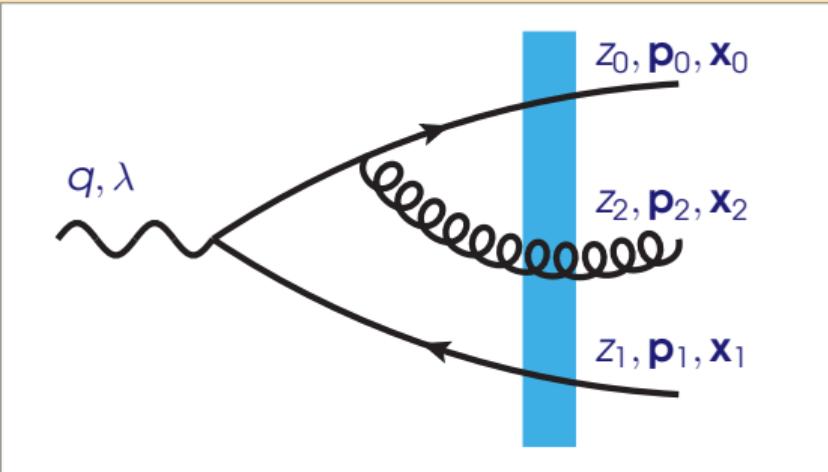
$$\psi^{\gamma \rightarrow g\tilde{g}} \sim k^i k^j - \frac{1}{2} \mathbf{k}^2 \delta^{ij}$$

# Deriving large $Q^2$ limit: aligned jet limit

Leading large  $Q^2$  from:

- ▶  $z_0 \gg z_1 \gg z_2$
- ▶  $\mathbf{p}_0^2 \sim \mathbf{p}_1^2 \gg \mathbf{p}_2^2$
- ▶  $p_0^- \sim p_1^- \sim p_2^-$   
➡ Wüsthoff momentum fractions

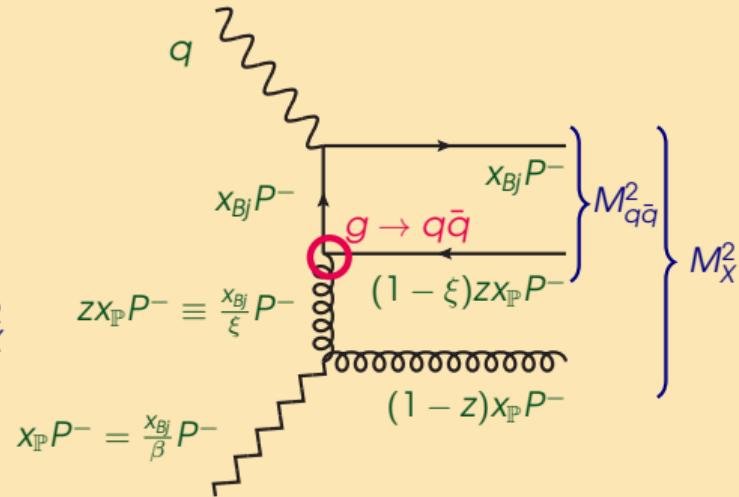
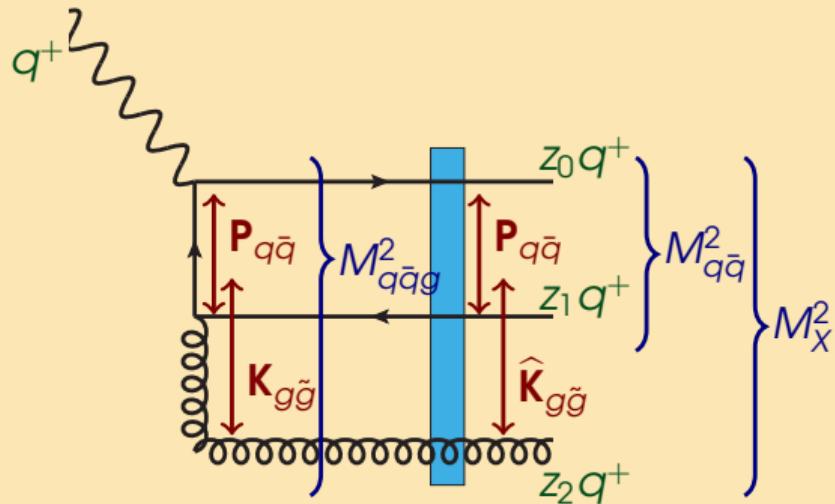
Consistently taking this limit derivation is straightforward:



- ▶  $q$  and  $\bar{q}$  close: not resolved by target ➡ point-like “effective gluon”  $\tilde{g}$
- ▶ Consequently relative momentum of  $q\bar{q}$  pair does not change in shockwave  
only relative momentum of  $g\tilde{g}$
- ▶ Explicit log from  $q\bar{q}$  internal dynamics,  $g \rightarrow q\bar{q}$  (!) splitting function
- ▶ Rank-2 tensor for  $\gamma^* \rightarrow g\tilde{g}$  Edmond and Dionysios had a much more elegant way!

# Deriving large $Q^2$ limit: matching

Identification with collinear variables via invariant masses



- $K_{g\tilde{g}}$ : before shock, Fourier-transform
- $\hat{K}_{g\tilde{g}}$ : final state, fixed

$$M_{q\bar{q}}^2 \approx P_{q\bar{q}}^2/z_1 \approx (1/\xi - 1)Q^2$$

$$M_{q\bar{q}g}^2 \approx M_{q\bar{q}}^2 + K_{g\tilde{g}}^2/z_2 \quad M_X^2 = (1/\beta - 1)Q^2 \approx M_{q\bar{q}}^2 + \hat{K}_{g\tilde{g}}^2/z_2$$

# Conclusions

- ▶ High energy scattering of dilute probe off strong color fields:
  - ▶ Target: classical color field
  - ▶ Probe: virtual photon,  
develop in a Fock state expansion in Light Cone Perturbation Theory
- ▶ Inclusive diffraction at one loop: key piece of EIC physics
- ▶ Connection to diffractive PDF/TMD from dipole picture

# Thank you