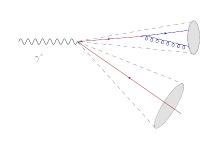
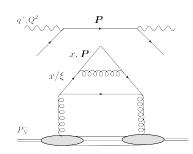
Sea quark TMDs from the CGC: factorisation and evolution

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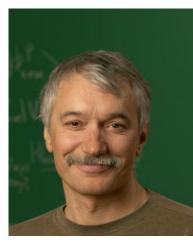
with Paul Caucal, Marcos Morales, Al Mueller, Farid Salazar, Feng Yuan, arXiv:2408.03129 & 2503.16162





Dedicated to lan's 70th birthday

- B as in BFKL
- A story about stars (x_{\star}, p_{\star}) and dots $(x_{\bullet}, p_{\bullet})$
- Readable of not... but often inspiring
- B as in Balitsky hierarchy (vs. JIMWLK)
- Diamond action, NLO BK ...
- Visiting me in Saclay (several times)
- Trying to understand each other
- The importance of being shy ... and stubborn



Happy stars and dots, San! To many unreadable papers!

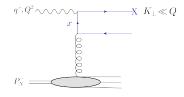
The CGC/TMD correspondence

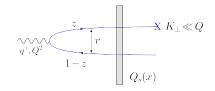
- ullet Deriving TMD factorisation at small x from the CGC effective theory
- ullet Explicit results for the TMD PDFs for small x gluons and sea partons
 - tree-level + quantum evolutions
 - high-energy (BK/JIMWLK) & collinear (DGLAP, CSS)
- Problems with two widely separated transverse momentum scales
 - SIDIS with $Q^2\gg K_\perp^2$, di-jets (or di-hadrons) with $P_\perp\gg K_\perp$...
 - ullet eA DIS, AA UPCs (inclusive & diffractive), pA
- Similar to standard TMD fact at moderate x, but also important differences
 - same hard factors, but different TMDs (valid at small x)
 - saturation effects when $K_{\perp} \lesssim Q_s$: multi-gluon correlations
 - gauge links fully materialised at leading order: multiple scattering
 - more than just "single-particle" parton distributions!
- High-energy and collinear resummation in a same framework!
- Is that the road towards the Grand Unification (small x and moderate x) ??

Sea quark TMD factorisation for SIDIS

Marquet, Xiao and Yuan, arXiv:0906.1454 [hep-ph]

- ullet Measure a single jet (or hadron) in the "hard" regime $Q^2\gg K_\perp^2\gtrsim Q_s^2$
- \bullet Extract the leading power in $1/Q^2$ (expansion in both K_\perp^2/Q^2 and $Q_s^2/Q^2)$
- Target picture: photon absorbed by a sea quark ("photon-gluon fusion")





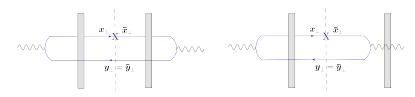
ullet Colour dipole picture: a quark-antiquark pair of "aligned jets": $z(1-z)\ll 1$

$$r \sim \frac{1}{K_\perp} \gtrsim \frac{1}{Q} \quad \text{and} \quad z(1-z)r^2Q^2 \lesssim 1 \quad \Longrightarrow \quad z(1-z) \sim \frac{K_\perp^2}{Q^2} \ll 1$$

- relatively large dipole $r\gg 1/Q$: saturation effects when $K_{\perp}\lesssim Q_s$
- the struck fermion is aligned with the photon: $z \simeq 1$ or $1-z \simeq 1$

The sea quark TMD

- ullet $K_{\perp}\lesssim Q_s$: resum multiple scattering in the eikonal approximation
 - ullet transverse coordinates: $x,\,ar{x}$ for the quark, $y=ar{y}$ for antiquark
 - \bullet Wilson lines V_x , V_y^\dagger and dipole $S\text{-matrices}~\mathcal{D}(x,y)=(1/N_c)\langle \mathrm{tr} V_x V_y^\dagger \rangle$
 - ullet colour structure: $\mathcal{D}(m{x},m{y}) \mathcal{D}(m{x},ar{m{x}}) \mathcal{D}(m{x},ar{m{y}}) + 1$
 - N.B. the scattering of the unmeasured quark matters as well



$$\frac{\mathrm{d}\sigma^{\gamma_{\mathrm{T}}^* + A \to j + X}}{\mathrm{d}^2 \boldsymbol{K}} = \, \frac{8\pi^2 \alpha_{\mathrm{em}} e_f^2}{Q^2} \, \mathcal{F}_q^{(0)}(x,\boldsymbol{K}) \left[1 + \mathcal{O}\left(\frac{K_\perp^2}{Q^2}\right) \right] \label{eq:delta_tau_full}$$

- the standard hard factor for photon absorption by a quark
- the (tree-level) sea quark TMD as given by the CGC

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$$\mathcal{F}_q^{(0)}(x,\boldsymbol{K}) = \frac{N_c}{\pi^2} \int \mathrm{d}^2\boldsymbol{b} \int \frac{\mathrm{d}^2\boldsymbol{q}}{(2\pi)^2} \, \mathcal{D}(\boldsymbol{b},\boldsymbol{q}) \left[1 - \frac{\boldsymbol{K} \cdot (\boldsymbol{K} - \boldsymbol{q})}{(K_\perp^2 - (\boldsymbol{K} - \boldsymbol{q})^2)} \, \ln \frac{K_\perp^2}{(\boldsymbol{K} - \boldsymbol{q})^2} \right]$$

ullet $\mathcal{D}(m{b},m{q})$: Fourier transform of $\mathcal{D}(m{x},m{y})$: $m{x}-m{y} om{q}$ and $(m{x}+m{y})/2=m{b}$

Recovering one step in DGLAP

- ullet The sea quark TMD describes a gluon decay g o qar q (as it should)
 - ullet the integral over q features the "dipole" gluon TMD

$$q^{+}, Q^{2} \xrightarrow{X} K_{\perp}$$

$$x = \xi x_{g}$$

$$x_{g}, \mathbf{q}_{\perp}$$

$$P_{N}^{-} = \underbrace{\qquad \qquad \qquad }$$

$$\mathcal{G}_D^{(0)}(x,\boldsymbol{q}) \equiv \frac{q_\perp^2 N_c}{2\pi^2 \alpha_s} \int \mathrm{d}^2\boldsymbol{b} \ \mathcal{D}(x,\boldsymbol{b},\boldsymbol{q})$$

$$xG^{(0)}(x, K_{\perp}^2) = \int_0^{K_{\perp}^2} d^2 \boldsymbol{q} \, \mathcal{G}_D^{(0)}(x, \boldsymbol{q})$$

- ullet Assume the jet is relatively hard: $Q^2\gg K_\perp^2\gg Q_s^2$
- \bullet The integral over q_\perp^2 is controlled by $Q_s^2 \ll q_\perp^2 \ll K_\perp^2$ (log enhancement)
- \bullet The sea quark TMD is proportional to the gluon PDF $xG^{(0)}(x,K_{\perp}^2)$

$$\mathcal{F}_q^{(0)}(x, \mathbf{K}) \simeq \frac{\alpha_s}{2\pi^2} \frac{1}{K_\perp^2} \frac{1}{3} x G^{(0)}(x, K_\perp^2)$$

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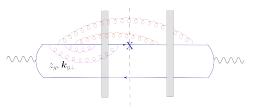
- ullet This can be recognised as one step in the DGLAP splitting g o qar q
 - ullet introduce the splitting fraction ξ w.r.t. target longitudinal momentum

$$\mathcal{F}_{q}^{(0)}(x, \mathbf{K}) \simeq \frac{\alpha_s}{2\pi^2} \frac{1}{K_{\perp}^2} \int_0^1 d\xi \, P_{qg}(\xi) \, \frac{x}{\xi} G^{(0)} \left(\frac{x}{\xi}, K_{\perp}^2\right)$$

ullet For generic $K_{\perp}\sim Q_s$: generalised, K_{\perp} -dependent splitting function (Xiao, Yuan, and Zhou, 2017; Altinoluk, Jalilian-Marian, Marquet, 2024)

High-energy evolution

- ullet Quantum evolution: gluon emissions $(k_g^+=z_gq^+,{m k}_g)$ by the qar q dipole
- ullet High-energy evolution: corrections enhanced by rapidity logarithm $lpha_s\intrac{\mathrm{d}z_g}{z_g}$
- ullet The only non-trivial aspect: the boundaries on the phase-space in z_g
 - not all the soft gluons ($z_g \ll 1)$ contribute to the high energy evolution

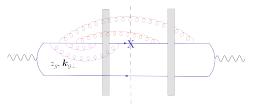


- \bullet BK evolution of dipole $\mathcal{D}(\boldsymbol{x},\boldsymbol{y})$
- gluon emissions by the quark only
- Lower & upper boundaries on the gluon formation time $au_g \simeq rac{2z_g q^+}{k_{g\perp}^2}$
 - larger than the target width, smaller than the photon coherence time

$$\frac{1}{P_N^-} < \frac{2z_g q^+}{k_{g\perp}^2} < \frac{2q^+}{Q^2} \quad \Longrightarrow \quad \frac{k_{g\perp}^2}{2q^+ P_N^-} < z_g < \frac{k_{g\perp}^2}{Q^2}$$

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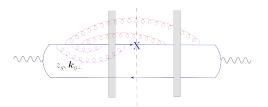


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$$\int_{k_{g\perp}^2/s}^{k_{g\perp}^2/Q^2} \frac{\mathrm{d}z_g}{z_g} \, = \, \ln \frac{s}{Q^2} \, = \, \ln \frac{1}{x} \quad \Longleftrightarrow \quad \frac{k_{g\perp}^2}{2q^+P_N^-} \, < \, z_g \, < \, \frac{k_{g\perp}^2}{Q^2}$$

High-energy evolution

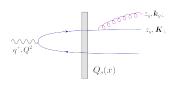
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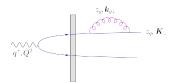


- ullet BK evolution of dipole $\mathcal{D}(oldsymbol{x},oldsymbol{y})$
- gluon emissions by the quark only
- ullet The expected rapidity phase-space $\ln(1/x)$ for the evolution of the target
- The collinearly improved version of the BK equation (G. Beuf, 2014, E.I. + Al M., Dionysis T., Bertrand D., Grégory S. 2015–19)
- \bullet Remaining logarithmic phase-space at $\frac{k_{g\perp}^2}{Q^2} < z_g < 1$: goes to CSS evolution

The Sudakov double logarithm

- \bullet The remaining logarithmic phase-space in z_g refers to final state (FS) emissions by the measured quark
 - ullet emissions by the unmeasured $ar{q}$ do not change the final state
 - \bullet the same is true for emissions by the quark with $k_{g\perp} \ll K_{\perp}$
 - their effect cancel between real and virtual emissions





ullet Soft $(z_g \ll 1)$ FS emissions factorise: a change in the sea quark TMD

$$\Delta \mathcal{F}_q(x, \boldsymbol{K}) = \frac{\alpha_s C_F}{\pi^2} \int d^2 \boldsymbol{k}_g \int_{k_{g\perp}^2/Q^2}^1 \frac{dz_g}{z_g} \; \frac{\mathcal{F}_q^{(0)}(x, \boldsymbol{K} + \boldsymbol{k}_g) - \mathcal{F}_q^{(0)}(x, \boldsymbol{K})}{(z_q \boldsymbol{k}_g - z_g \boldsymbol{K})^2}$$

 \bullet double-log: virtual gluons with $K_{\perp}^2 \ll k_{g\perp}^2 \ll Q^2$ and $z_g \ll 1$

Jet vs hadron measurement

$$\Delta \mathcal{F}_{q}(x, \mathbf{K}) = -\frac{\alpha_{s} C_{F}}{\pi} \mathcal{F}_{q}^{(0)}(x, \mathbf{K}) \int_{K_{\perp}^{2}}^{Q^{2}} \frac{\mathrm{d}k_{g\perp}^{2}}{k_{g\perp}^{2}} \int_{k_{g\perp}^{2}/Q^{2}}^{1} \frac{\mathrm{d}z_{g}}{z_{g}}$$

• The overall (negative) coefficient: the Sudakov double log for SIDIS

$$\Delta \mathcal{F}_q(x, \boldsymbol{K}, Q^2) = -\frac{\alpha_s C_F}{2\pi} \ln^2 \frac{Q^2}{K_\perp^2} \, \mathcal{F}_q^{(0)}(x, \boldsymbol{K})$$

Xiao, Yuan, and Zhou, arXiv:1703.06163 (target picture)
Altinoluk, Jalilian-Marian, and Marquet, 2406.08277 (dipole picture)

- ullet The "penalty" for trying to fix K_{\perp} (and hence suppress radiation)
- Large, but can be resummed to all orders by solving CSS equations
- The total Sudakov double log refers to the measurement of a hadron

$$S_{\rm had} = -2 \times \frac{\alpha_s C_F}{4\pi} \ln^2 \left(\frac{Q^2}{K_\perp^2}\right) = S_{\rm jet} + S_{\rm frag}$$

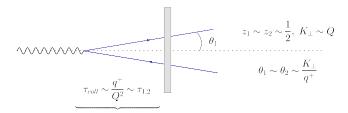
• two CSS: sea quark TMD in the target & quark fragmentation TMD

The Sudakov for jets in SIDIS

- "Duality" between initial-state evolution of the target & final-state jet production in the projectile picture
 - ullet $S_{
 m jet}$ as computed in the dipole picture should match the cusp anomalous dimension (the coefficient in the dual CSS equation)
 - ullet verified e.g. for di-jet production in DIS, pA... but not also for SIDIS
- Our pupose (P. Caucal, E.I., Al Mueller & F. Yuan, arXiv:2408.03129, PRL)
 - ullet compute $S_{
 m jet}$ in the dipole picture... but we instead obtained $S_{
 m had}$ $\ \odot$
- ullet $S_{
 m jet}$ receives contributions from gluon emissions outside the jet: $heta_g > heta_{
 m jet}$
- What is the correct definition of a "jet" in SIDIS ?
 - why should this be more subtle than, say, for di-jets in DIS ?
- Symmetric di-jets $(z\sim 1-z\sim 1/2)$ have $K_{\perp}\sim Q$ and low virtualities
 - they are easily put on-shell by the collision
- \bullet The aligned jet (z \simeq 1) has $K_\perp^2 \sim (1-z)Q^2$ and a large virtuality $Q^2 \gg K_\perp^2$

Formation times & jet virtualities

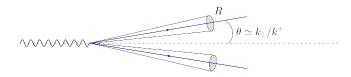
- Uncertainty principle argument based on formation times
- $au_k = rac{2k^+}{k_\perp^2}$: the typical times it takes a parton created with longitudinal momentum $k^+ = zq^+$ and transverse momentum k_\perp to get on-shell
- The collision time $au_{coll}\sim rac{2q^+}{Q^2}$: the lifetime of the qar q pair
- Consider symmetric jets first: $z \sim 1 z \sim \frac{1}{2}$, $K_{\perp} \sim Q$



- Both quarks are essentially on-shell by the time of scattering
- After the collision, they propagate along the natural angles $\theta_i \sim \frac{K_\perp}{a^+}$

Formation times & jet virtualities

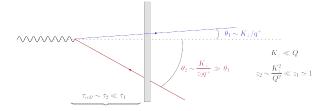
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• Jet angular opening proportional to the angle made by the leading parton

$$\Delta heta \simeq R \, rac{K_{\perp}}{k^+} \quad {
m with} \quad R \sim 0.2 \div 0.4$$

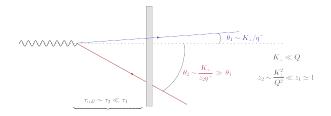
- Yet, the typical SIDIS events are asymmetric: a fast quark and a slow one
- Assume a slow antiquark: $z_2 \sim \frac{K_\perp^2}{Q^2} \ll 1$ & $z_1 = 1 z_2 \simeq 1$



- Both fermions have relatively low transverse momenta $K_{\perp} \ll Q$
- \bullet The quark has a larger longitudinal momentum: $k_1^+ \simeq q^+ \gg k_2^+ = z_2 q^+$
- Hence the quark makes a tiny angle w.r.t. the collision axis:

$$\theta_1 \sim \frac{K_\perp}{q^+} \ll \theta_{sym} = \frac{Q}{q^+} \ll \theta_2 \sim \frac{K_\perp}{z_2 q^+}$$

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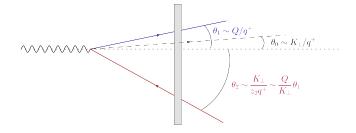


• WRONG! The fast quark is highly off-shell

$$au_1 \simeq rac{q^+}{K_+^2} \,\gg\, au_{coll} \simeq rac{q^+}{Q^2} \simeq rac{z_2 q^+}{K_+^2} \sim au_2$$

• The antiquark is put on-shell by the scattering, but the quark is highly virtual

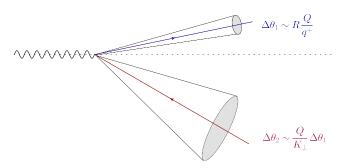
- Yet, the typical SIDIS events are asymmetric: a fast quark and a slow one
- \bullet Assume a slow antiquark: $z_2 \sim \frac{K_1^2}{Q^2} \ll 1~~\&~~z_1 = 1 z_2 \simeq 1$



- ullet By the uncertainty principle, the quark makes a much larger angle $heta_1 \sim rac{Q}{q^+}$
- The angle of the aligned jet is as large as it would be for symmetric jets:

$$\theta_1 \sim \frac{Q}{q^+} \sim \theta_{sym} \, \ll \, \theta_2 \sim \frac{Q}{K_\perp} \, \theta_1$$

- Yet, the typical SIDIS events are asymmetric: a fast quark and a slow one
- Assume a slow antiquark: $z_2 \sim \frac{K_\perp^2}{Q^2} \ll 1$ & $z_1 = 1 z_2 \simeq 1$



- Two asymmetric jets, but none of them is tiny
- ullet The jet algorithm must properly account for its virtuality $\sim Q^2$
- The precise jet definition starts to matter at NLO

The jet Sudakov in SIDIS

Recall the expression of the full ("hadronic") Sudakov:

$$\Delta \mathcal{F}_{q}(x, \mathbf{K}) = -\frac{\alpha_{s} C_{F}}{\pi} \mathcal{F}_{q}^{(0)}(x, \mathbf{K}) \int_{K_{\perp}^{2}}^{Q^{2}} \frac{\mathrm{d}k_{g\perp}^{2}}{k_{g\perp}^{2}} \int_{k_{g\perp}^{2}/Q^{2}}^{1} \frac{\mathrm{d}z_{g}}{z_{g}}$$

ullet If one measures a jet, only emissions outside the jet should matter: $heta_g > heta_{
m jet}$

$$\theta_g \sim rac{k_{g\perp}}{z_g q^+} > heta_{
m jet} \sim rac{Q}{q^+} \implies extbf{\emph{z}}_g < rac{k_{g\perp}}{Q}$$

- Separate the z_q -integral between
 - "out-of-jet" $(k_{g\perp}^2/Q^2 < z_g < k_{g\perp}/Q)$ emissions
 - ullet ... and "intra-jet" $(k_{g\perp}/Q < z_g < 1)$ emissions
 - each of them contributes half of the total Sudakov

$$S_{\rm jet} = -\frac{\alpha_s C_F}{4\pi} \ln^2 \left(\frac{Q^2}{K_\perp^2}\right)$$

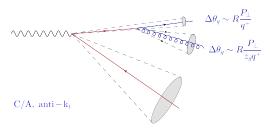
• The requirement of TMD factorisation beyond LO constraints jet definition

Narrow vs fat jets

- ullet The aligned quark ($z\simeq 1,\, K_\perp\ll Q$), emits a hard gluon in the final state
 - ullet the final quark and gluon are back-to-back: $k_{1\perp} \simeq k_{g\perp} \simeq P_{\perp}$
- Will the gluon generate a third jet ?
- ullet Or will it be a part of a quark jet with transverse momentum $\sim K_{\perp}$?
- ullet The gluon lies outside the quark jet provided $\Delta heta_{1g} > R heta_1$

Naively:
$$\Delta heta_{1g} \simeq rac{P_\perp}{z_g q^+} \ > \ R \, rac{P_\perp}{z_1 q^+} \quad {
m for \ any} \ z_g < z_1 \simeq 1$$

All the hard gluon emissions are predicted to be outside the jet

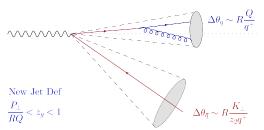


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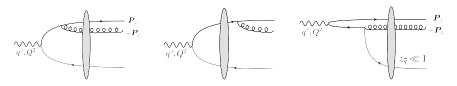
Correctly:
$$\Delta heta_{1g} \simeq rac{P_\perp}{z_g q^+} > R rac{Q}{q^+} \qquad ext{when} \quad z_g < rac{P_\perp}{RQ} \ll 1$$

ullet Emissions with larger z_g make small angles & remain inside the "fat" jet



Back-to-back quark-gluon jets in DIS

- ullet Nearly back-to-back di-jets at forward rapidities: $k_{1\perp} \simeq k_{2\perp} \simeq P_{\perp} \gg K_{\perp}$
- The paradigm: quark-antiquark dijets, WW gluon TMD factorisation
- Quark-gluon jets are possible as well: sea quark TMD factorisation
- ullet CGC: qar q g fluctuation of γ^* with a soft antiquark: $z_{ar q}\sim K_\perp^2/Q^2$
 - ullet the soft $ar{q}$ transferred to the target wavefunction \Rightarrow sea quark TMD

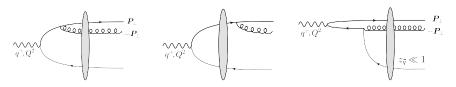


$$\frac{\mathrm{d}\sigma^{\gamma_T^{\star} + A \to qg + X}}{\mathrm{d}^2 \mathbf{P}_{\perp} \mathrm{d}^2 \mathbf{K} \mathrm{d}z_q \mathrm{d}z_g} = H_T(P_{\perp}, Q, z_q, z_g) \, \mathcal{F}_q^{(0)}(x, \mathbf{K})$$

- The same sea quark TMD as in SIDIS (Caucal et al, arXiv:2503.16162)
- Same hard factor as for diffractive 2+1 jets (Hauksson et al, 2402.14748)

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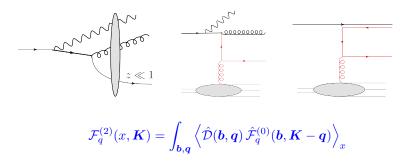
$$\frac{\mathrm{d}\sigma^{\gamma_T^{\star}+A\to qg+X}}{\mathrm{d}^2 \mathbf{P}_{\perp} \mathrm{d}^2 \mathbf{K} \mathrm{d}z_q \mathrm{d}z_q} = H_T(P_{\perp}, Q, z_q, z_g) \, \mathcal{F}_q^{(0)}(x, \mathbf{K})$$

- \bullet By integrating out the gluon when $Q^2\gg P_\perp^2$: real NLO correction to SIDIS
 - gluon must be emitted outside the quark jet (Caucal et al, 2408.03129)

More sea quark TMDs

(P. Caucal, M. Guerrero Morales, E. I., F. Salazar, F. Yuan, arXiv:2503.16162)

- ullet Sea quark TMD factorisation also emerges in pA collisions
 - ullet back-to-back di-jets in processes where $\Delta n_q=1$
- Coloured particles on the incoming lin: new colour operators
- The simplest example: photon-gluon production in quark-nucleus collisions
- ullet Target picture: the quark from p annihilates against a sea anti-quark



Jet algorithms for SIDIS

ullet Roughly: 2 partons i and j belong to the same jet provided

$$\Delta heta_{ij} \, \leq \, R heta_{
m jet}, \qquad \Delta heta_{ij} \simeq \left| rac{m{k}_{i\perp}}{z_i q_+} - rac{m{k}_{j\perp}}{z_j q_+}
ight|$$

- The main question: what is $\theta_{\rm jet}$?
- Standard algorithms for jets at the LHC: anti- k_t , Cambridge/Aachen:

$$heta_{
m jet} = rac{k_{\perp,
m jet}}{z_{
m jet}q^+}, \qquad m{k}_{\perp,
m jet} = m{k}_{i\perp} + m{k}_{j\perp}, \qquad z_{
m jet} = z_i + z_j$$

- ullet if applied to the aligned jet in SIDIS, it would select ${m k}_{\perp, {
 m jet}} = P_{\perp}$
- However, for the aligned jet in SIDIS, $\theta_{\rm jet} = \frac{Q}{q^+}$. The precise condition:

$$M_{ij}^2 \le z_i z_j Q^2 R^2$$
, $M_{ij}^2 \equiv (k_i + k_j)^2$: boost invariant

 Roughly equivalent criterion (CENTAURO) by Arratia, Makris, Neill, Ringer, Sato, 2006.10751

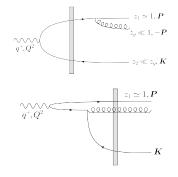
Conclusions

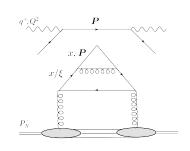


Kappy 70th Birthday San!

Real NLO correction to SIDIS (arXiv:2408.03129)

- ullet A hard quark-gluon pair (P_\perp) plus a semi-hard antiquark $(Q_s \lesssim K_\perp \ll P_\perp)$
- The colour dipole calculation reproduces the DGLAP splitting function



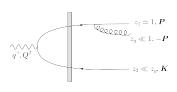


$$x\mathcal{F}_q^{(1)}(x, \mathbf{P}_\perp) = \frac{\alpha_s C_F}{2\pi^2} \frac{1}{P_\perp^2} \int_x^{1-\xi_0} \mathrm{d}\xi \, \frac{1+\xi^2}{1-\xi} \, \frac{x}{\xi} f_q^{(0)} \left(\frac{x}{\xi}, P_\perp^2\right)$$

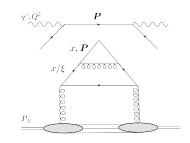
• The rapidity cutoff $\xi_0 \ll 1$ depends upon the jet definition

The "real" Sudakov

 \bullet The longitudinal variables z_g and ξ are related: $2k_g^+k_g^-=P_\perp^2$



$$z_g = \frac{\xi}{1 - \xi} \frac{P_\perp^2}{Q^2} \, \le \, \frac{P_\perp}{Q}$$



$$x\mathcal{F}_q^{(1)}(x, \mathbf{P}_\perp, Q^2)\Big|_R = \frac{\alpha_s C_F}{2\pi^2} \frac{1}{P_\perp^2} \int_x^{1-\xi_0} d\xi \, \frac{1+\xi^2}{1-\xi} \, \frac{x}{\xi} f_q^{(0)}\left(\frac{x}{\xi}, P_\perp^2\right)$$

- The upper limit on $z_g \Rightarrow$ a lower limit $\xi_0 = \frac{P_\perp}{Q}$ on 1ξ
 - very soft gluon emissions with $\xi_q \equiv 1 \xi < \xi_0$ cannot be resolved
- ullet Via ξ_0 , the quark TMD is logarithmically dependent to $Q\Rightarrow$ CSS evolution