

Bayesian constraints and analytic continuation of scattering amplitudes from lattice QCD

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In collaboration with Fernando Romero-López and William Jay

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UNIVERSITÄT
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Background

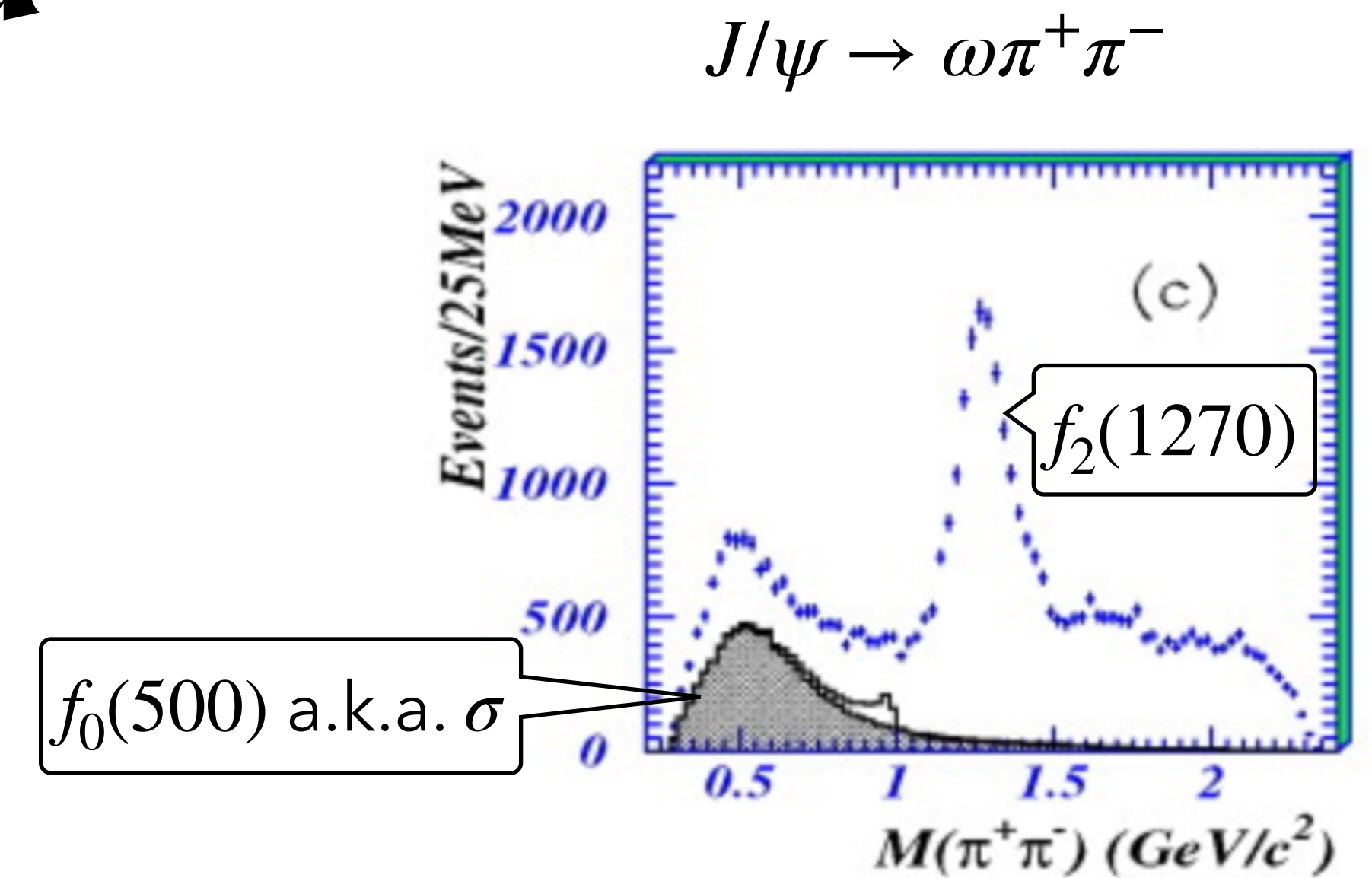
Hadron spectroscopy

- Goal: obtain **masses** and **decay widths** of hadronic **resonances** from QCD

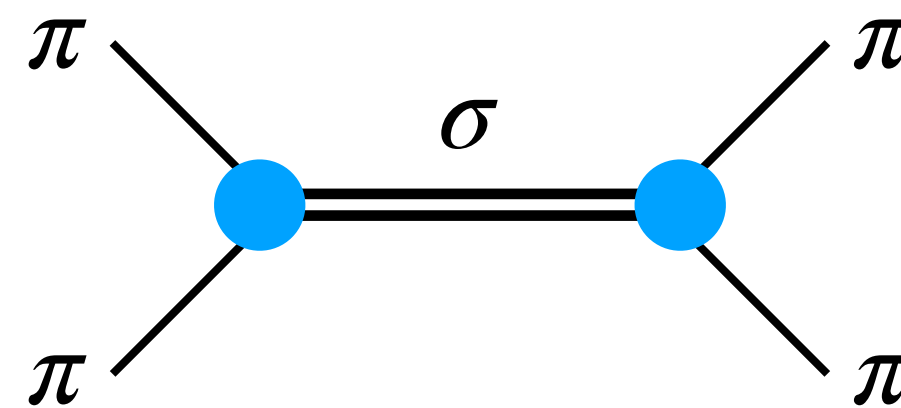
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- Experimentally: resonances as enhancements in **scattering** cross sections



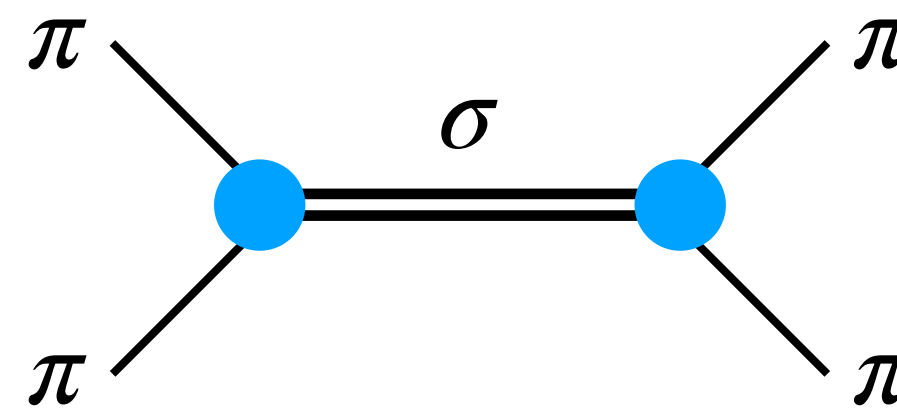
Ablikim et al. (BES) 2004 [Phys. Lett. B 598, 149]



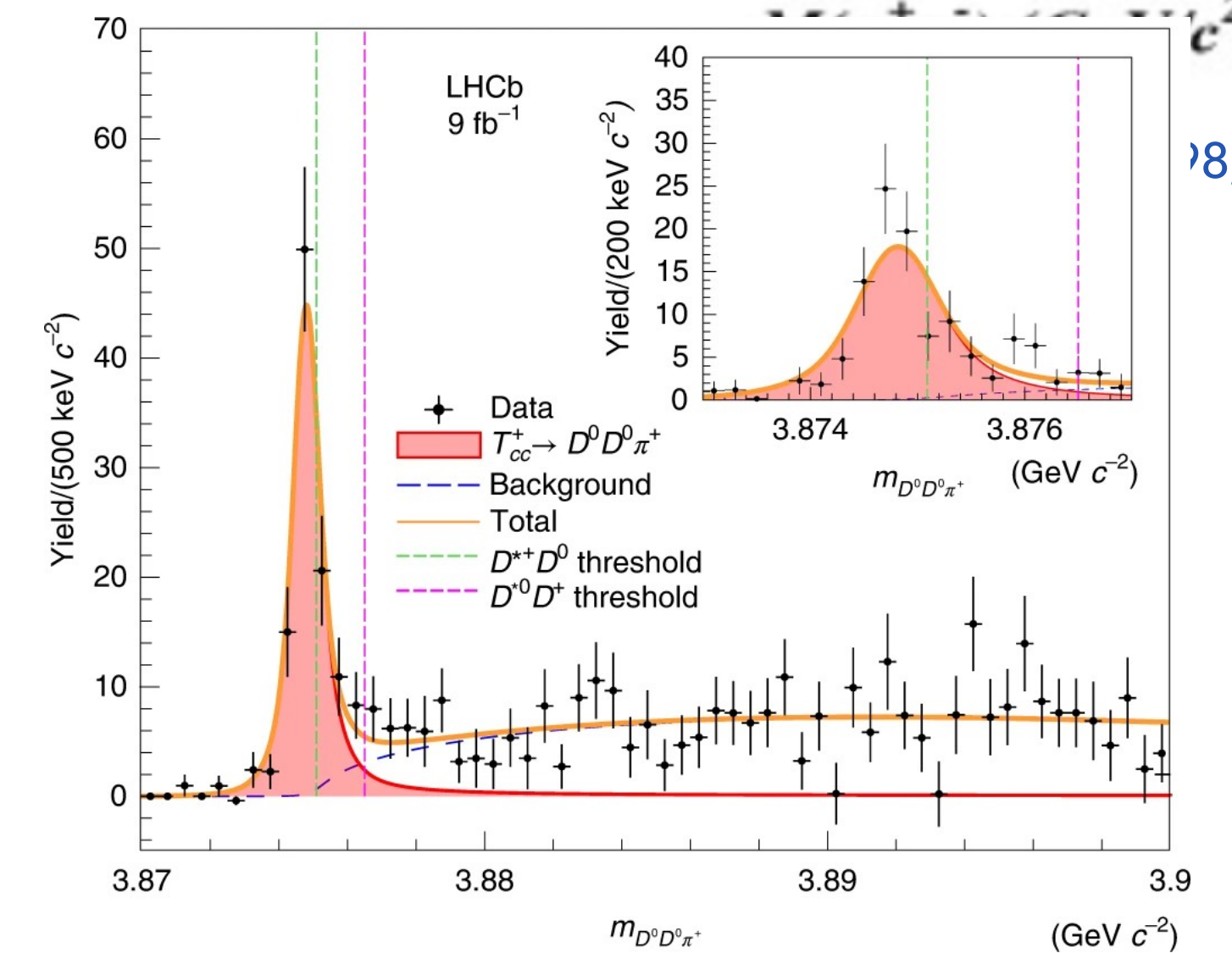
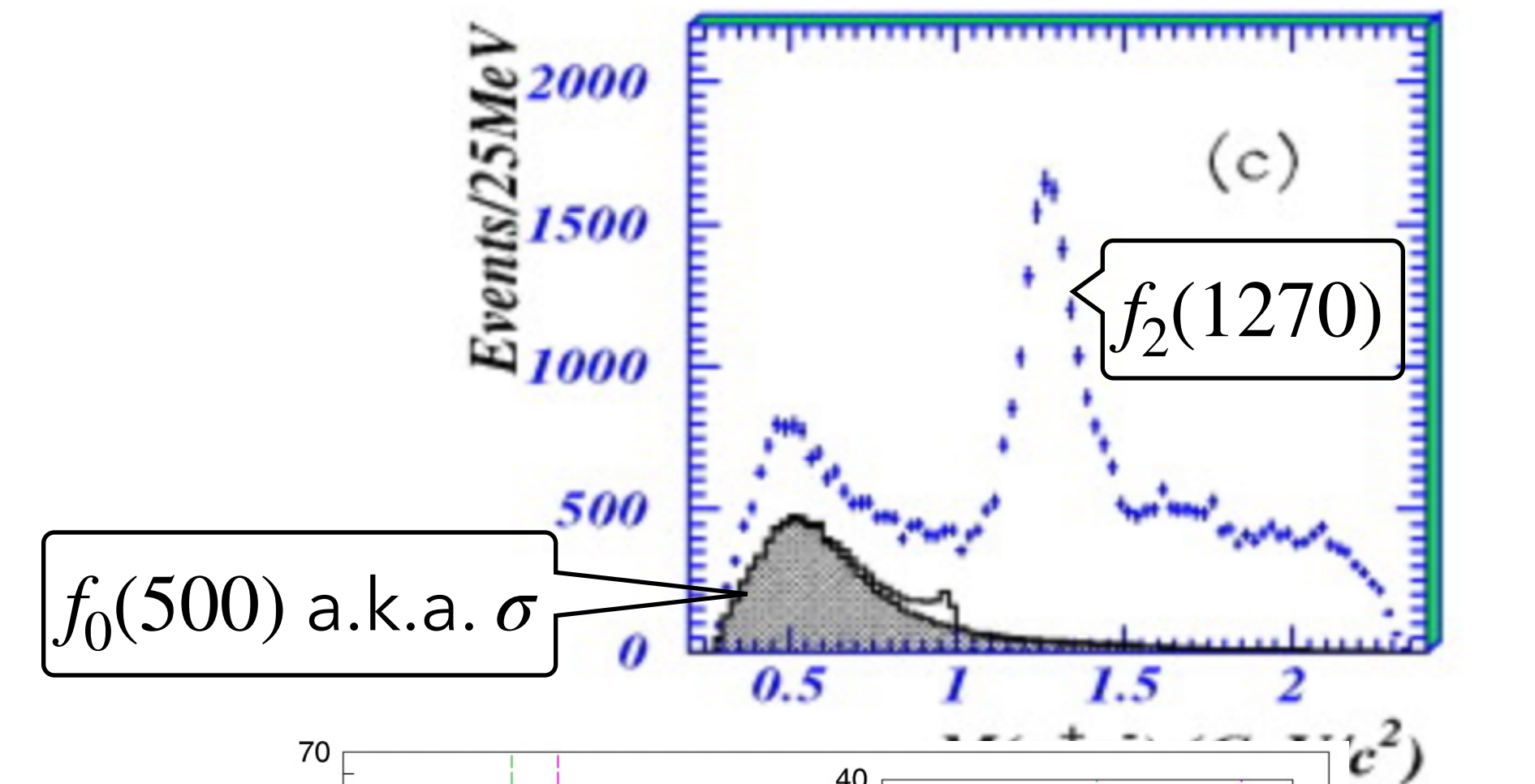
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$$J/\psi \rightarrow \omega \pi^+ \pi^-$$

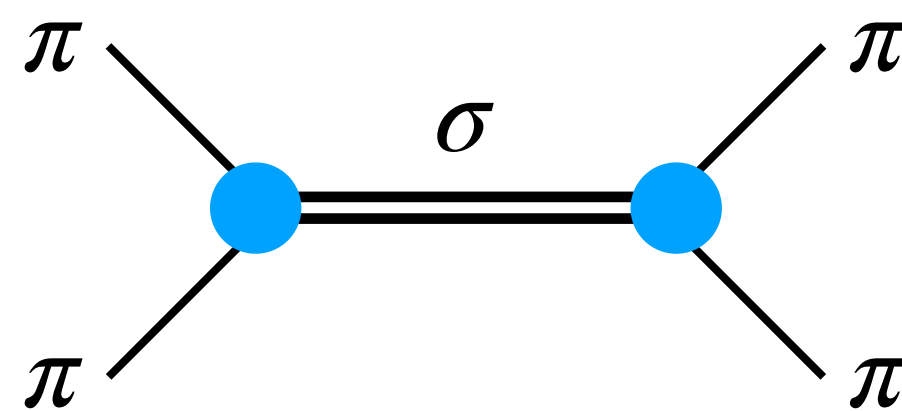


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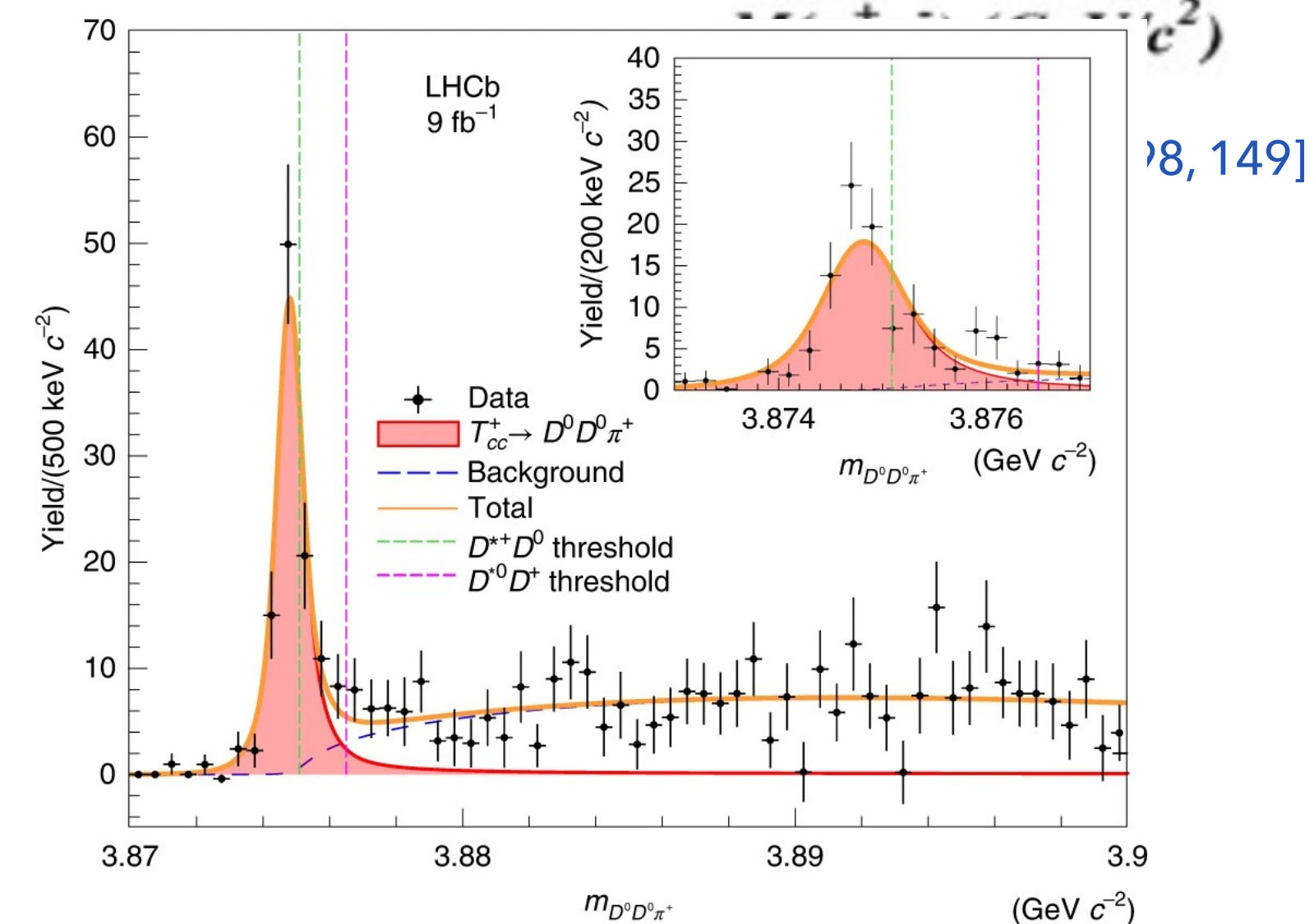
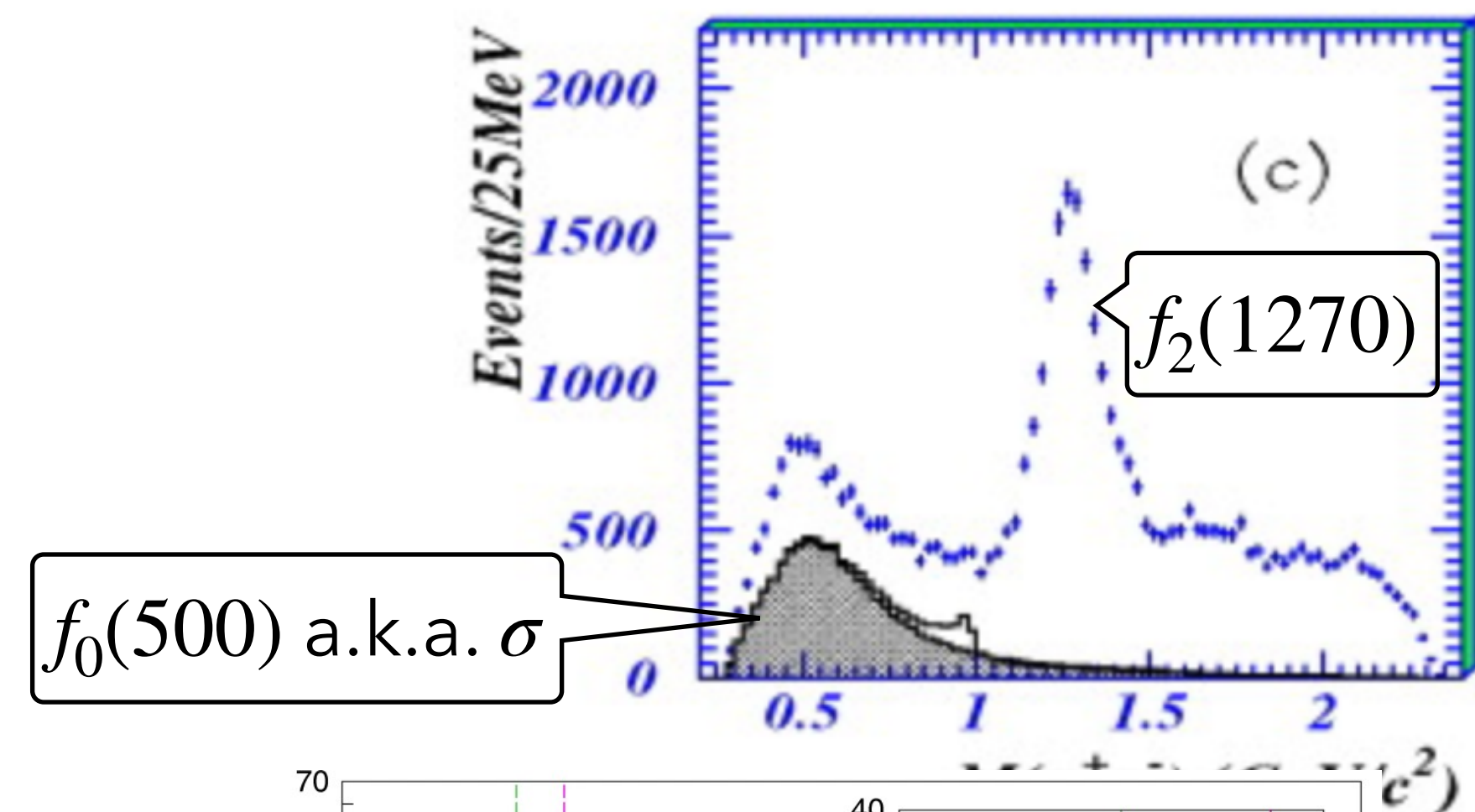
Hadron spectroscopy

- Goal: obtain **masses** and **decay widths** of hadronic **resonances** from QCD
- Experimentally: resonances as enhancements in **scattering** cross sections
- Rigorous definition: **poles** of **scattering amplitude** $\mathcal{M}$ in complex plane

$$\mathcal{M} \sim - \frac{g^2}{E^2 - E_{\text{pole}}^2}$$



$$J/\psi \rightarrow \omega \pi^+ \pi^-$$



Background

Hadron spectroscopy

- Nature of the state depends on the **location** of the pole in the **complex plane**

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Hadron spectroscopy

- Nature of the state depends on the **location** of the pole in the **complex plane**
- No poles allowed:
 - Real energies above threshold (**unitarity**)
 - Complex energies on the physical sheet (**causality**)

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Hadron spectroscopy

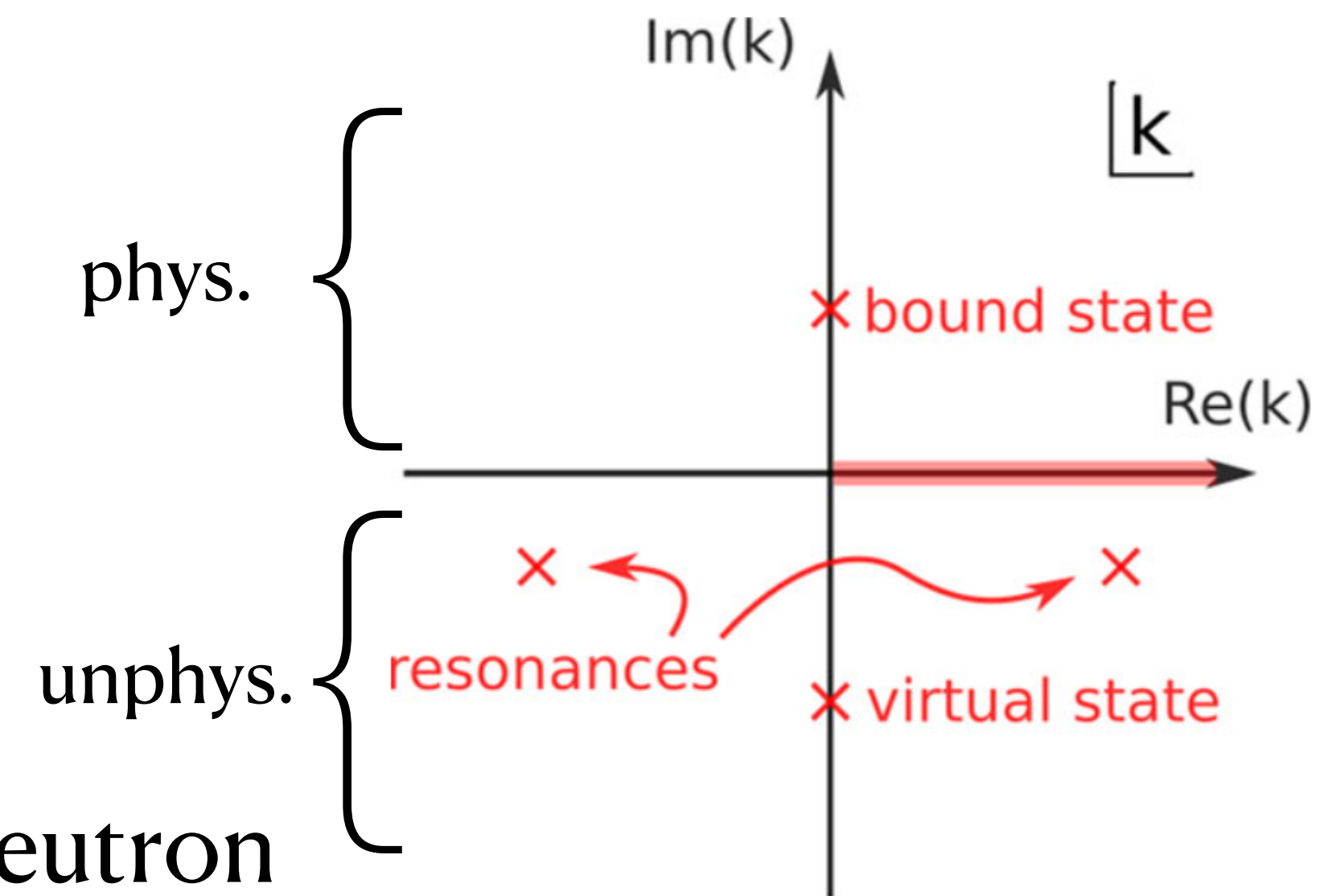
- Nature of the state depends on the **location** of the pole in the **complex plane**

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- Classification of allowed poles

- **Bound states**: stable particles, e.g. deuteron
- **Virtual states**: „non-normalizable“ QM states, e.g. dineutron
- **Resonances**: unstable hadrons, e.g. ρ , σ ; $E_{\text{pole}} = M - i\Gamma/2$



Matuschek et al. 2021 [EPJA 57, 101]

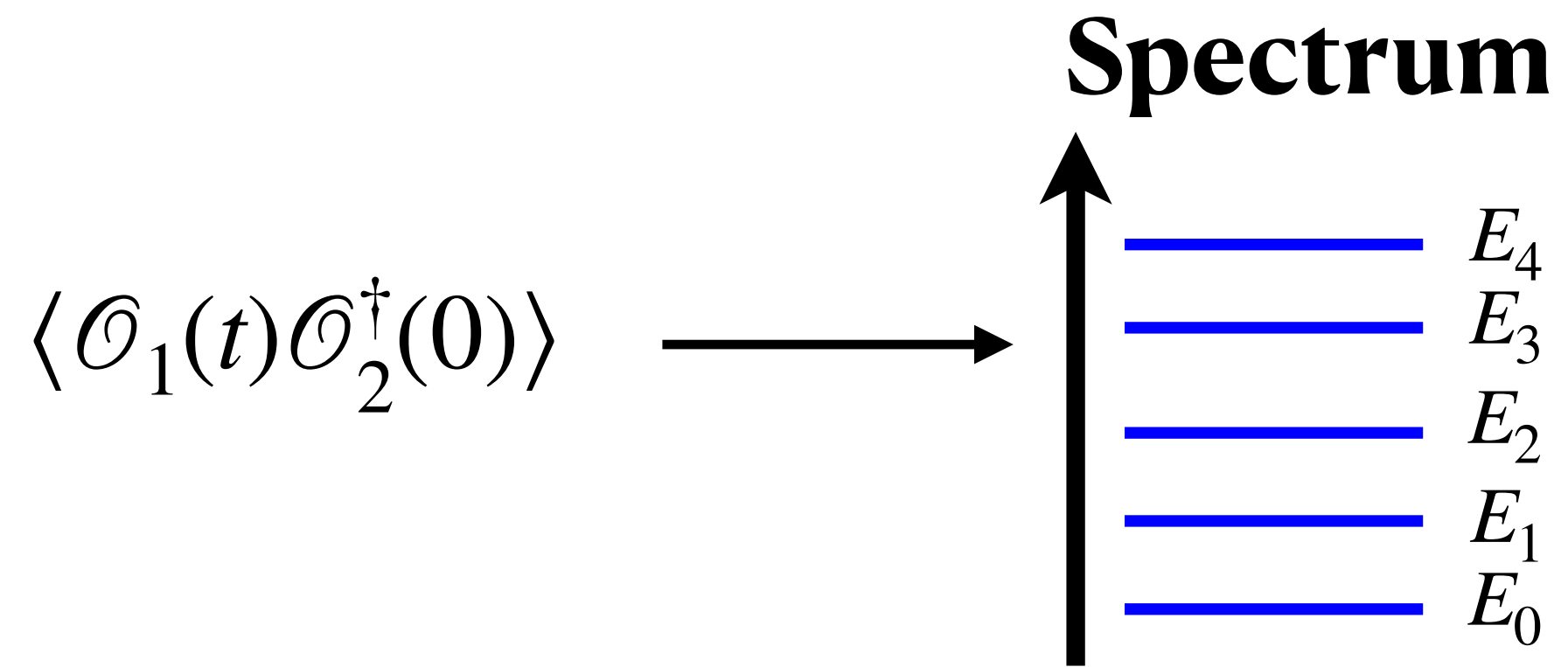
Background

Finite-volume formalism for hadron spectroscopy

$$\langle \mathcal{O}_1(t) \mathcal{O}_2^\dagger(0) \rangle$$

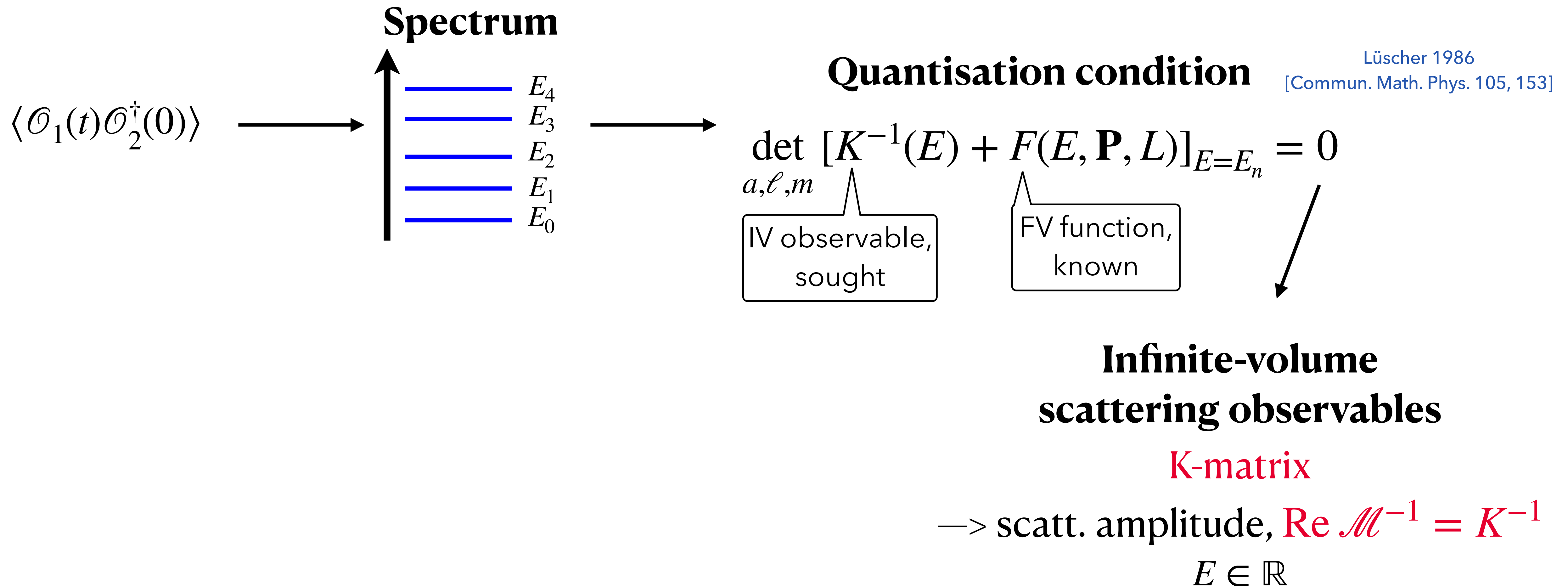
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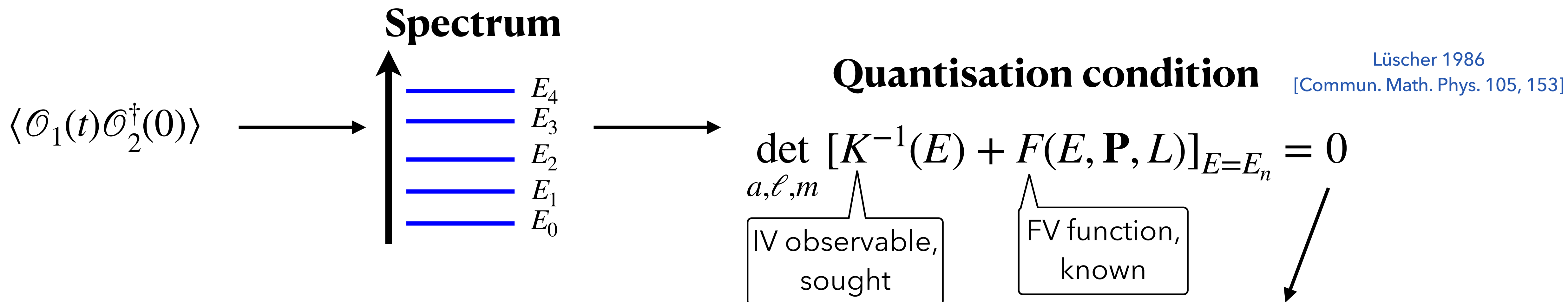
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Finite-volume formalism for hadron spectroscopy



Pole positions:

bound states, virtual states, resonances

$$\mathcal{M}^{-1}(E_{\text{pole}}) = 0, \quad E_{\text{pole}} \in \mathbb{C}$$

$\xleftarrow{\text{analytic continuation}}$

Infinite-volume scattering observables

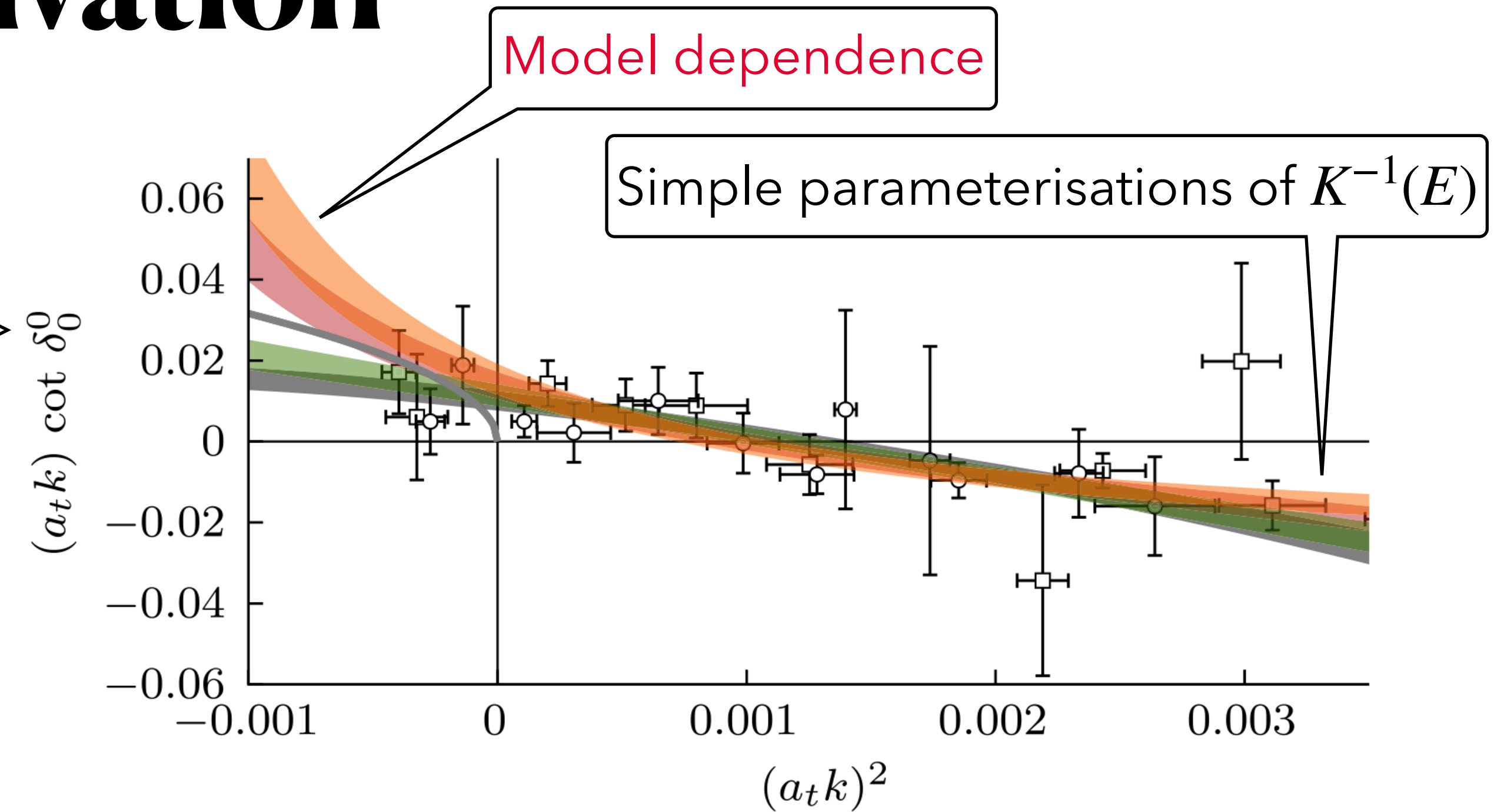
K-matrix

$\longrightarrow$ scatt. amplitude, $\text{Re } \mathcal{M}^{-1} = K^{-1}$
 $E \in \mathbb{R}$

Motivation

- Conventional approach: **spectrum fits**

Phase shift, $K^{-1} \propto k \cot \delta$
for 1 channel and 1 ℓ



Rodas, Dudek, and Edwards (HadSpec) 2023 [PRD 108, 034513]

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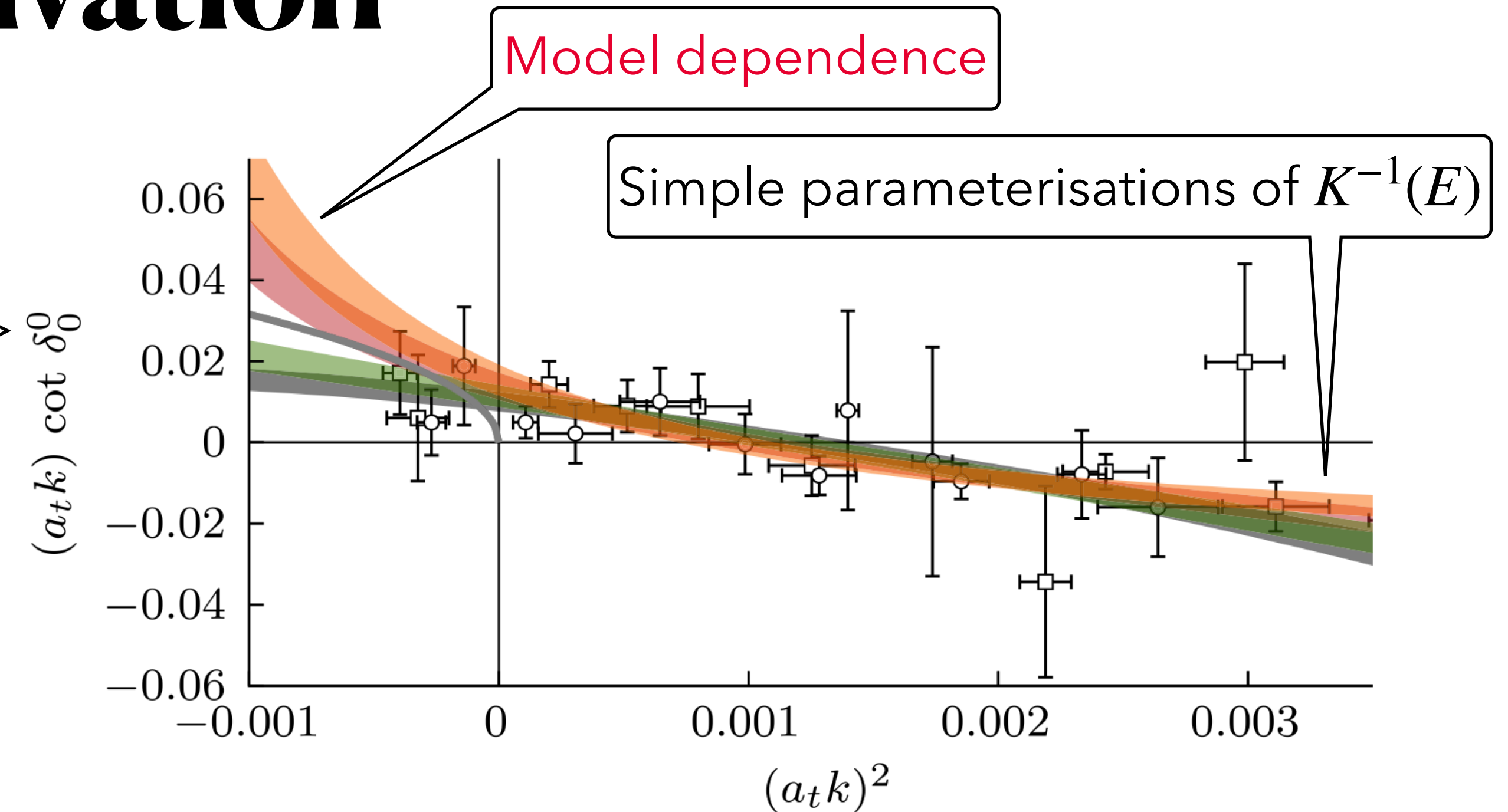
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- Our approach: avoid explicit model dependence

- **Bayesian analysis** of FV spectrum to constrain K-matrix for real energies (inspired by Gaussian processes)

- **Nevanlinna-Pick interpolation** for analytic continuation to complex energies with strict uncertainty quantification $\rightarrow$ **Wertevorrat**



Rodas, Dudek, and Edwards (HadSpec) 2023 [PRD 108, 034513]

Outline of our method

Bayesian analysis

General framework

Evaluate K^{-1} at n_p discrete „nodes“ $\mathbf{E} \rightarrow$ values $\mathbf{u} = K^{-1}(\mathbf{E})$

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Evaluate K^{-1} at n_p discrete „nodes“ $\mathbf{E} \rightarrow$ values $\mathbf{u} = K^{-1}(\mathbf{E})$

Prior

$$p(\mathbf{u} | \mathbf{u}_0) = \frac{1}{\sqrt{(2\pi)^{n_p} \det \Sigma_p}} \exp \left[-\frac{1}{2} (\mathbf{u} - \mathbf{u}_0)^T \Sigma_p^{-1} (\mathbf{u} - \mathbf{u}_0) \right]$$

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Prior central values

Likelihood

$$p(\mathbf{E}_d | \mathbf{u}) \propto \exp \left[-\frac{1}{2} \left(\mathbf{E}_d - \mathbf{E}_{QC}(\mathbf{u}) \right)^T \Sigma_d^{-1} (\mathbf{E}_d - \mathbf{E}_{QC}(\mathbf{u})) \right]$$

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LQCD data

Covariance of $\mathbf{E}_d$

Solution of QC (nonlinear!)

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Covariance of $\mathbf{E}_d$

Solution of QC (nonlinear!)

Posterior (Bayes' theorem)

$$p(\mathbf{u} | \mathbf{E}_d, \mathbf{u}_0) = p(\mathbf{E}_d | \mathbf{u}) p(\mathbf{u} | \mathbf{u}_0)$$

Bayesian analysis

Computing expectation values and errors

- **Expectation values** given by **Bayesian integrals**, e.g. $\langle \mathbf{u} \rangle_{\text{post}} = \frac{1}{Z} \int d^n_p \mathbf{u} p(\mathbf{u} | \mathbf{E}_d, \mathbf{u}_0)$
- **Uncertainties** given by **width** of posterior distribution, e.g. $(\delta \mathbf{u})^2 = \langle \mathbf{u}^2 \rangle_{\text{post}} - \langle \mathbf{u} \rangle_{\text{post}}^2$

Bayesian analysis

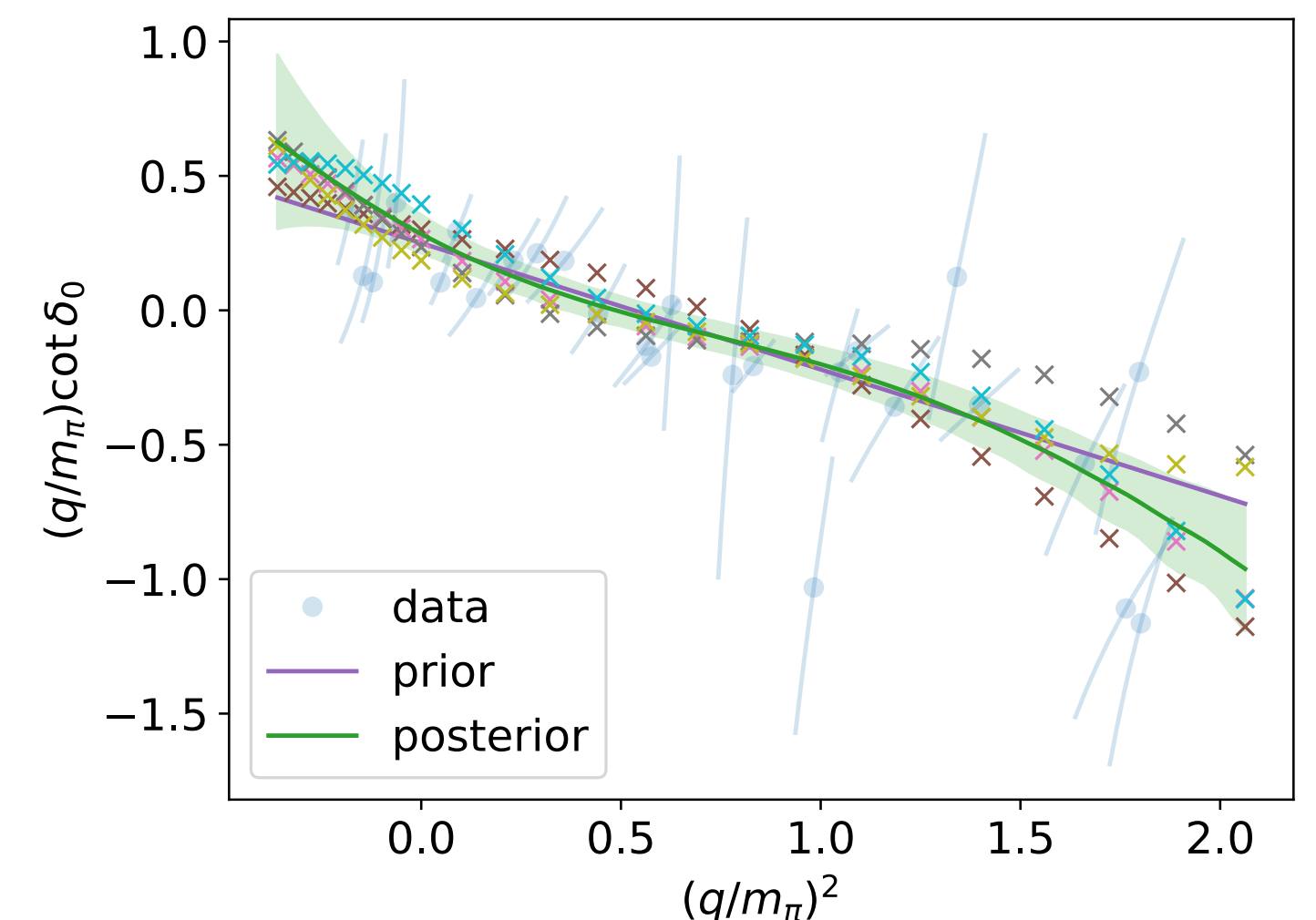
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- Quantify **prior dependence** by **Bayes factor**, $B_{12} = \frac{p(\mathbf{E}_d | M^{(1)})}{p(\mathbf{E}_d | M^{(2)})} = \frac{Z_{\text{post}}^{(1)}}{Z_{\text{post}}^{(2)}}$
—> ratio of average reweighting factors

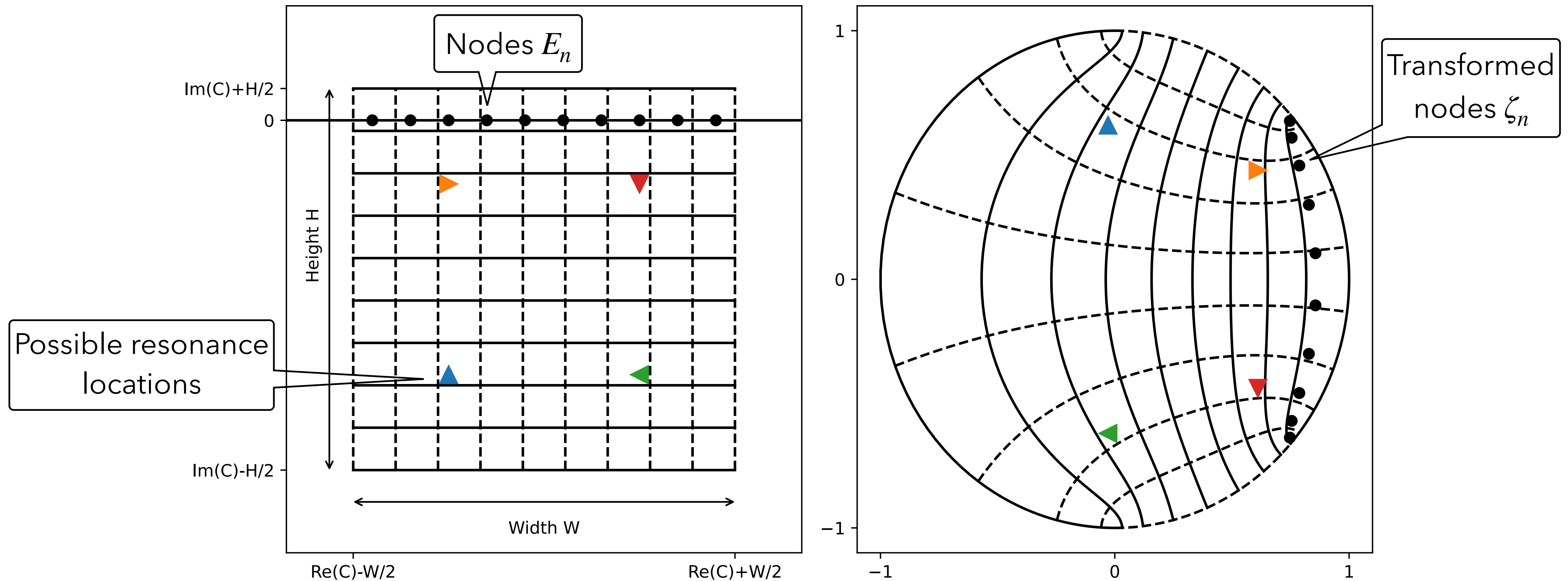
Model $M^{(2)}$ with prior $\mathbf{u}_0^{(2)}$

Jeffreys 1935 [Math. Proc. Cambridge Philos. Soc. 31, 203]

Kass and Raftery 1995 [J. Am. Stat. Assoc. 90, 773]

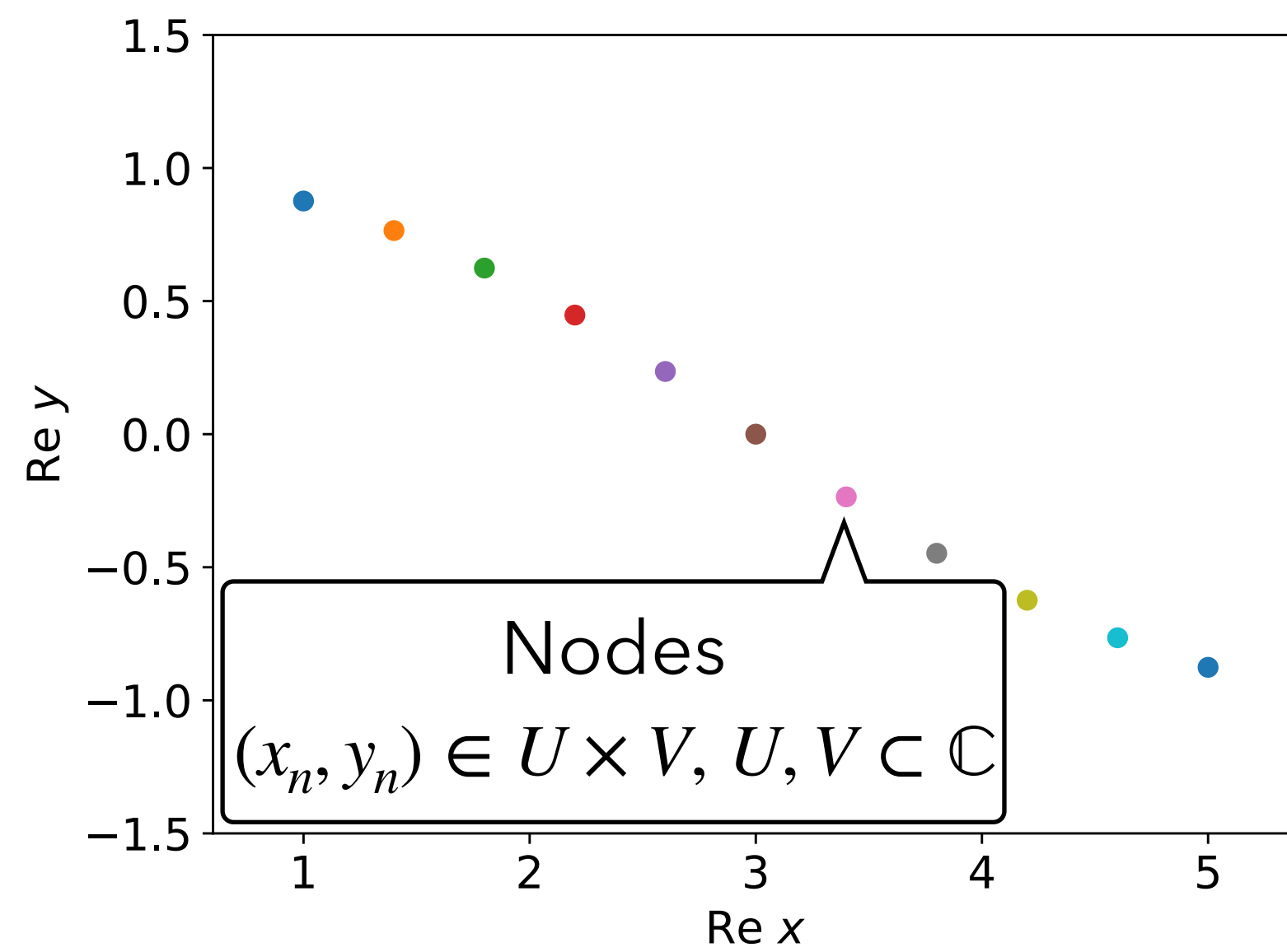
Nevanlinna-Pick interpolation

Mapping to the unit disk



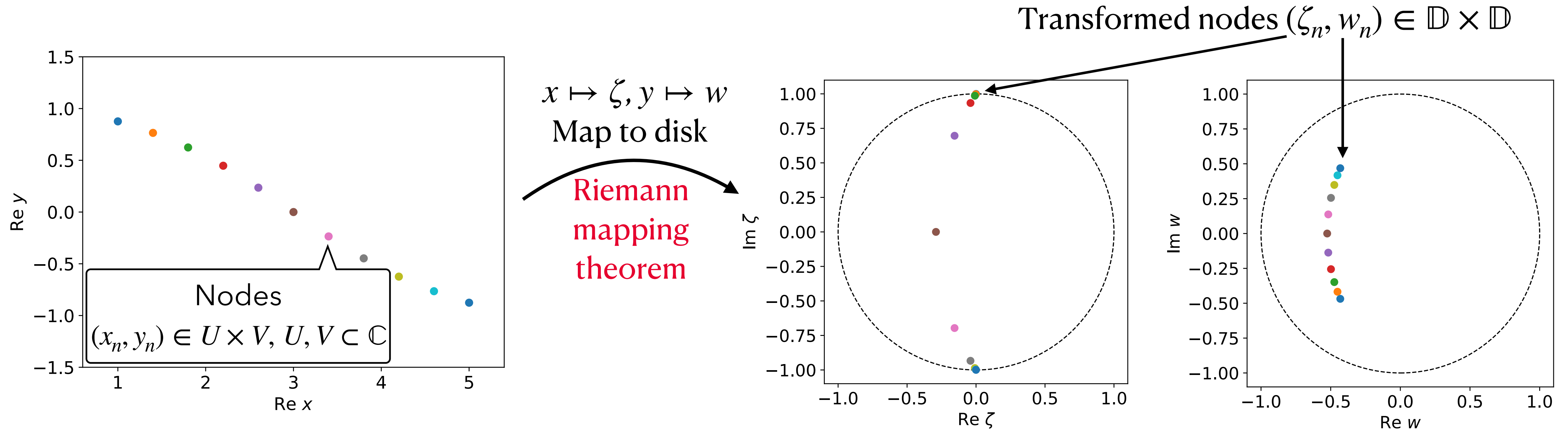
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General framework



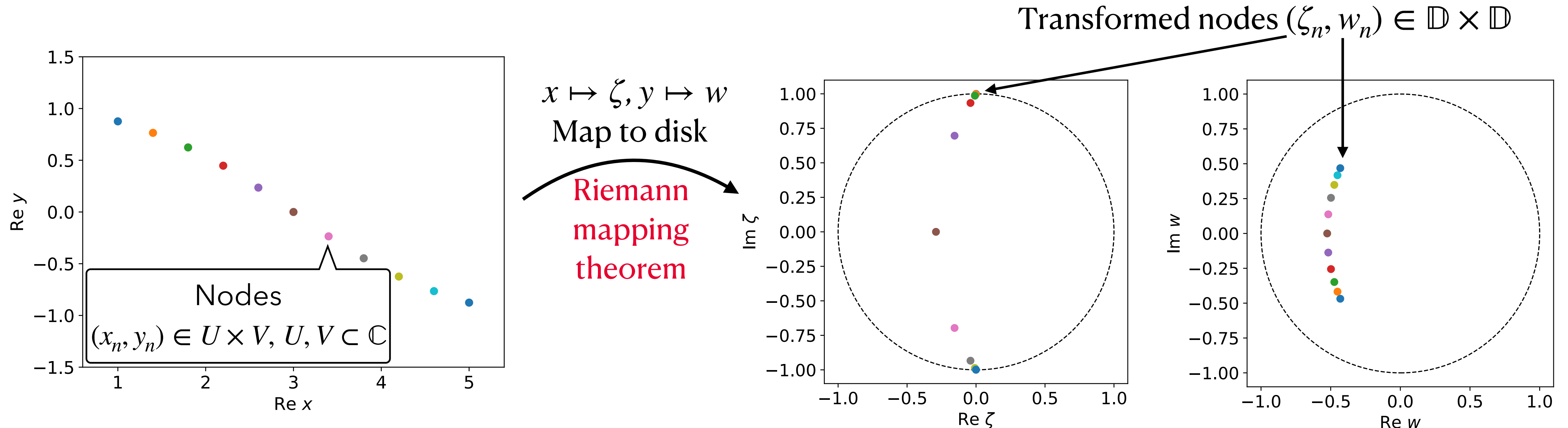
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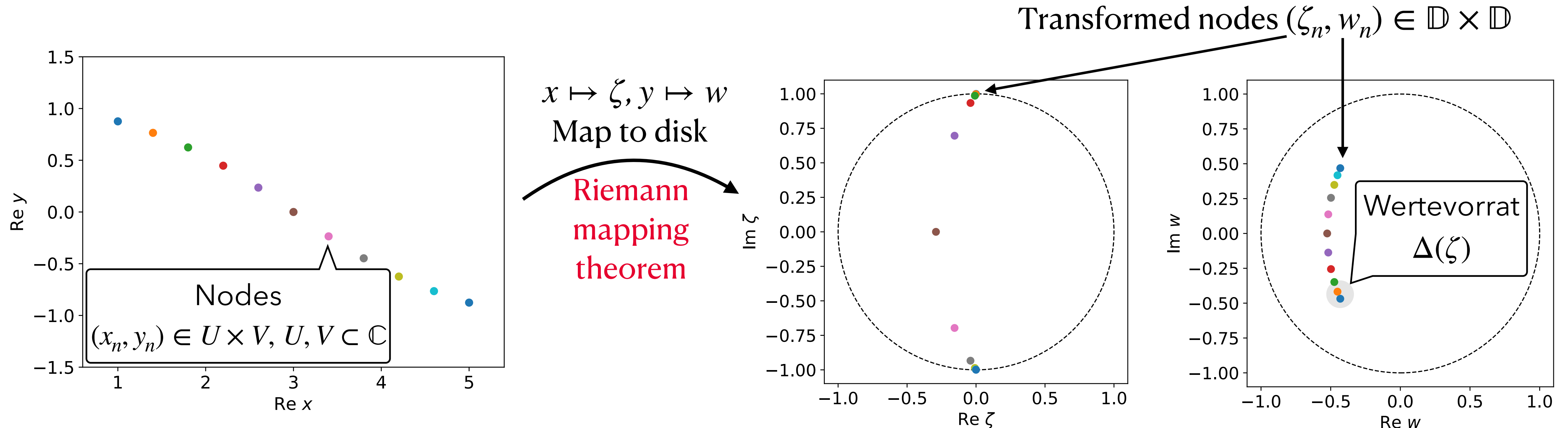
General framework



- Any solution can be written as $f(\zeta) = \frac{P(\zeta)g(\zeta) + Q(\zeta)}{R(\zeta)g(\zeta) + S(\zeta)}$ with $g : \mathbb{D} \rightarrow \mathbb{D}$ analytic, but arb.
 Recursively calculable coefficients
- For fixed ζ , all possible solutions fall within a disk $\Delta(\zeta) \subset \mathbb{D} \rightarrow$ **Wertevorrat (WV)**

Nevanlinna-Pick interpolation

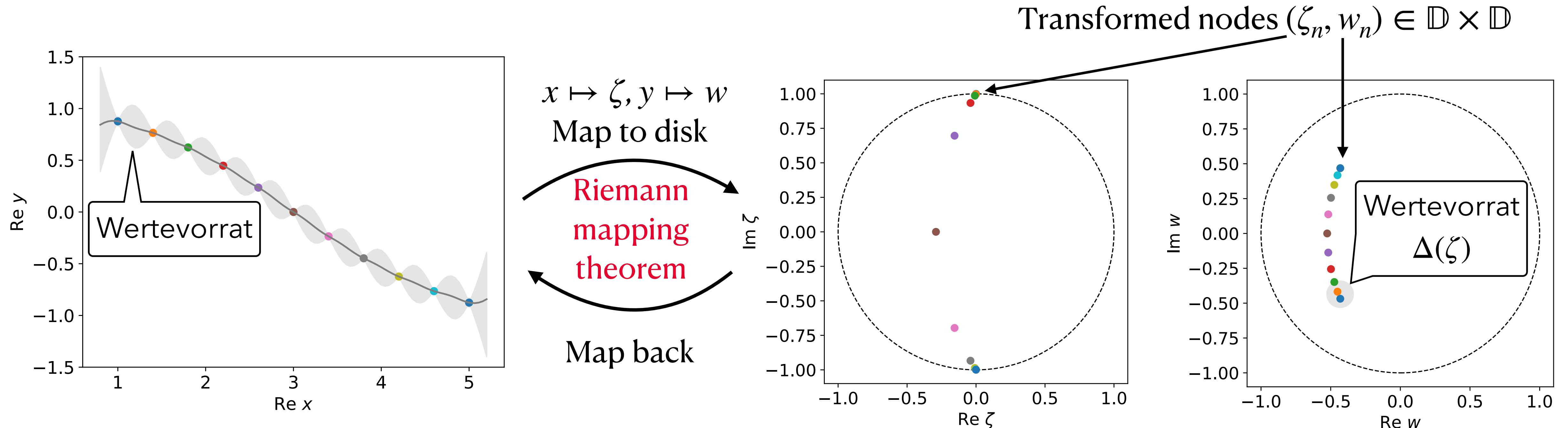
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Application to analytic continuation of scattering amplitudes

1. **Transform** domain (energies $\mathbf{E}$) and codomain (values $\mathbf{u}$) of K^{-1} **to unit disk** using **Schwarz-Christoffel mapping** of a rectangle to the disk [Liu, Chen, and Karageorghis 2017 \[J. Sci. Comput. 71, 1035\]](#)

Nevanlinna-Pick interpolation

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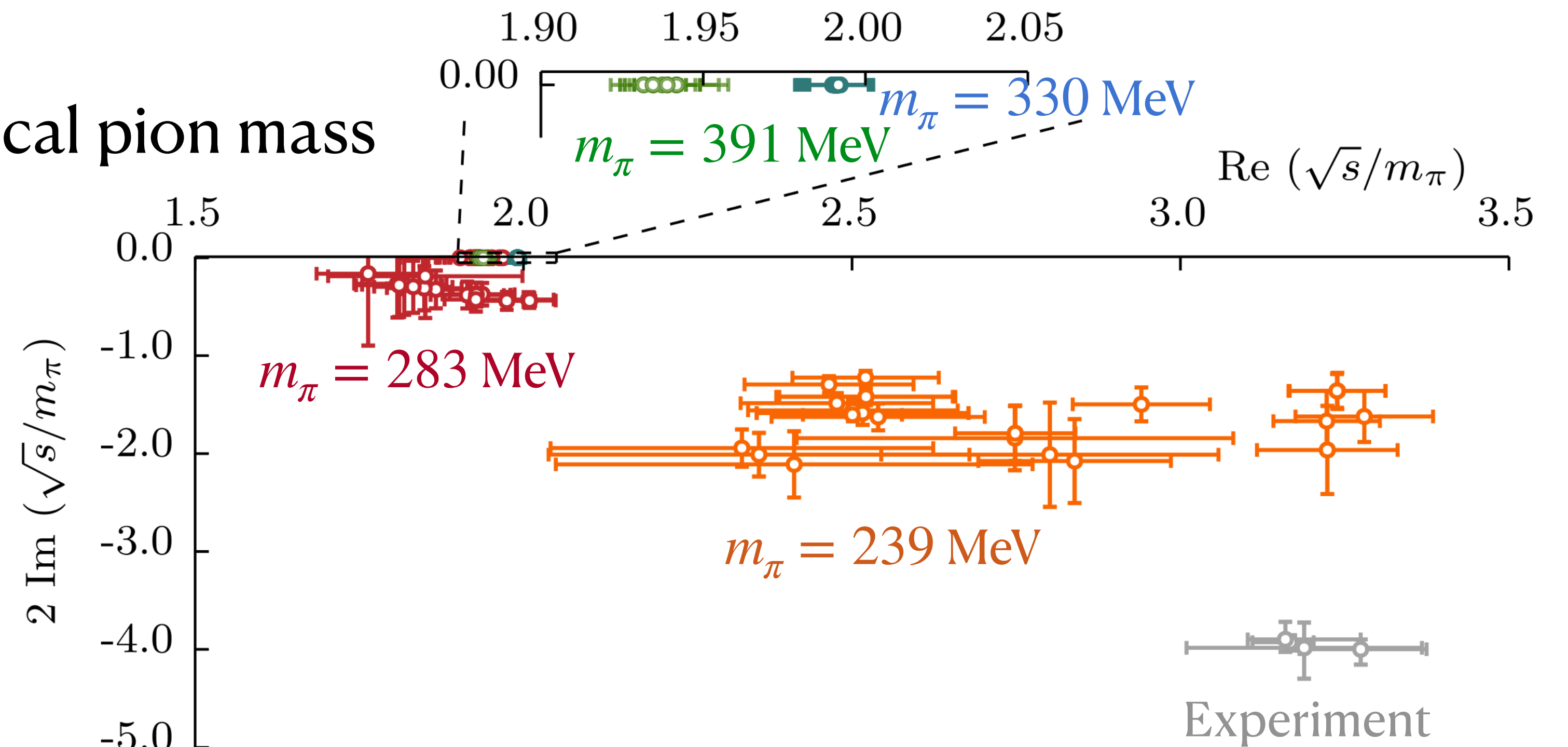
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2. For each sample from posterior:
 - 2.1. Compute **Nevanlinna coefficients** P, Q, R, S
 - 2.2. Evaluation of interpolating function + uncertainty (**Wertevorrat**) for complex energies furnishes desired **analytic continuation** (after mapping back)
 - 2.3. Solve for zeros of $\mathcal{M}^{-1}(E)$ in the complex energy plane, incorporating WV uncertainty („sampling from WV“) $\rightarrow$ **resonances**

Applications to lattice QCD analyses

Isospin-0 $\pi\pi$ system on HadSpec ensembles

Pion-mass dependence of the σ pole position

Broad σ meson resonance at physical pion mass

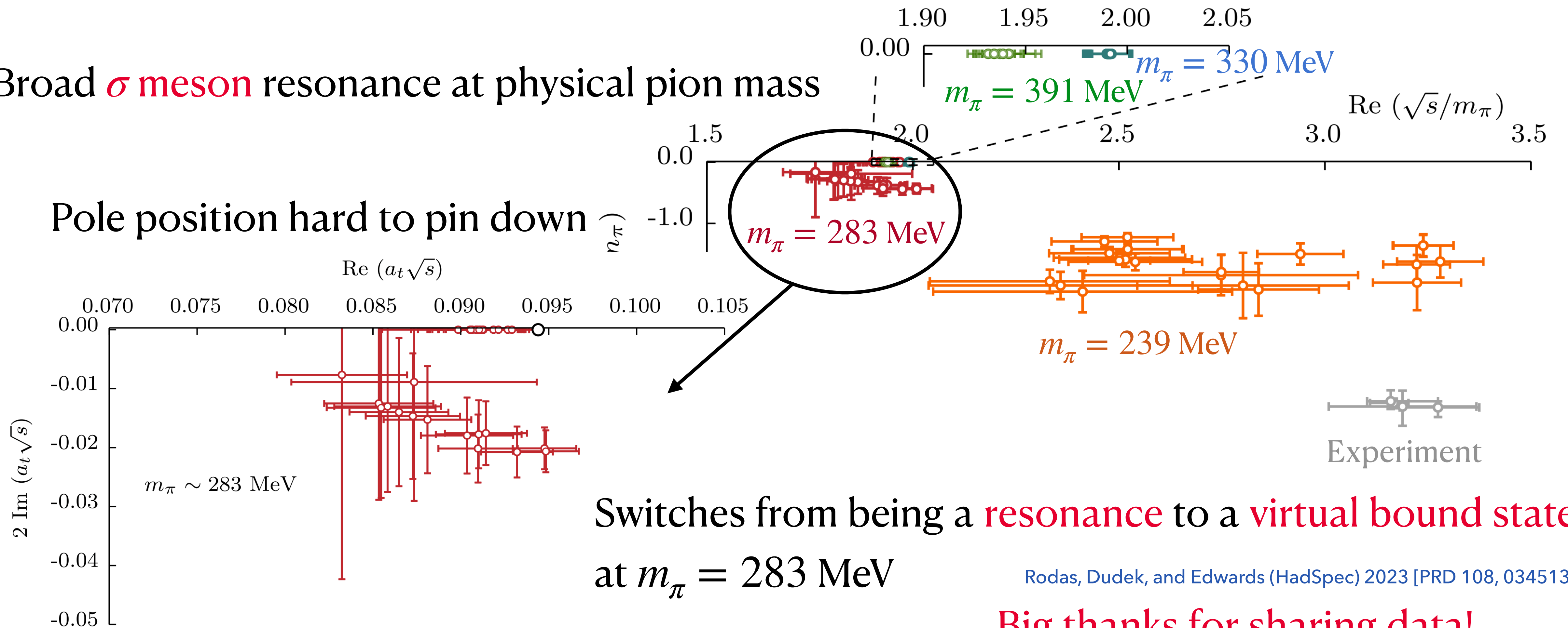


Rodas, Dudek, and Edwards (HadSpec) 2023 [PRD 108, 034513]

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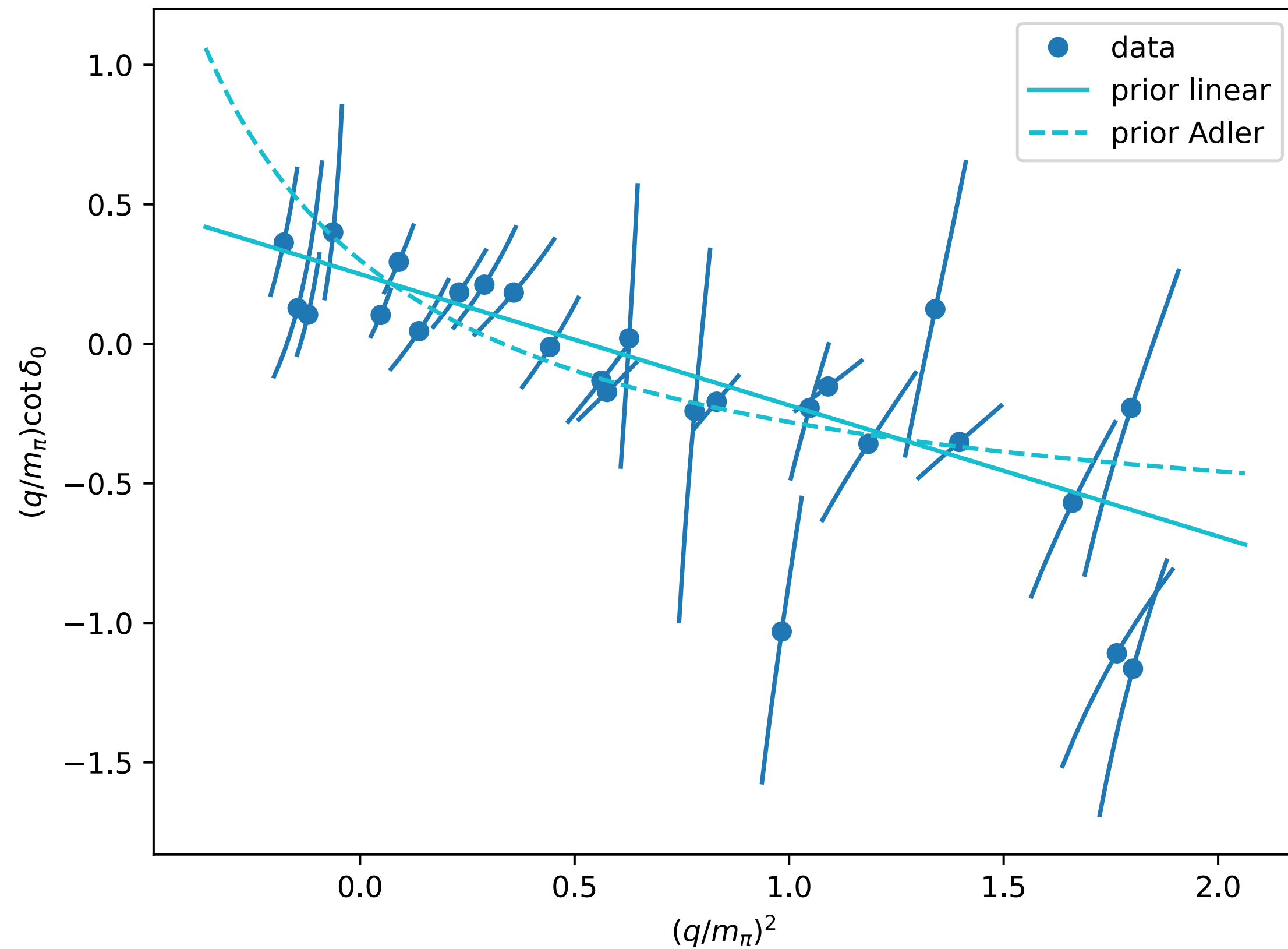
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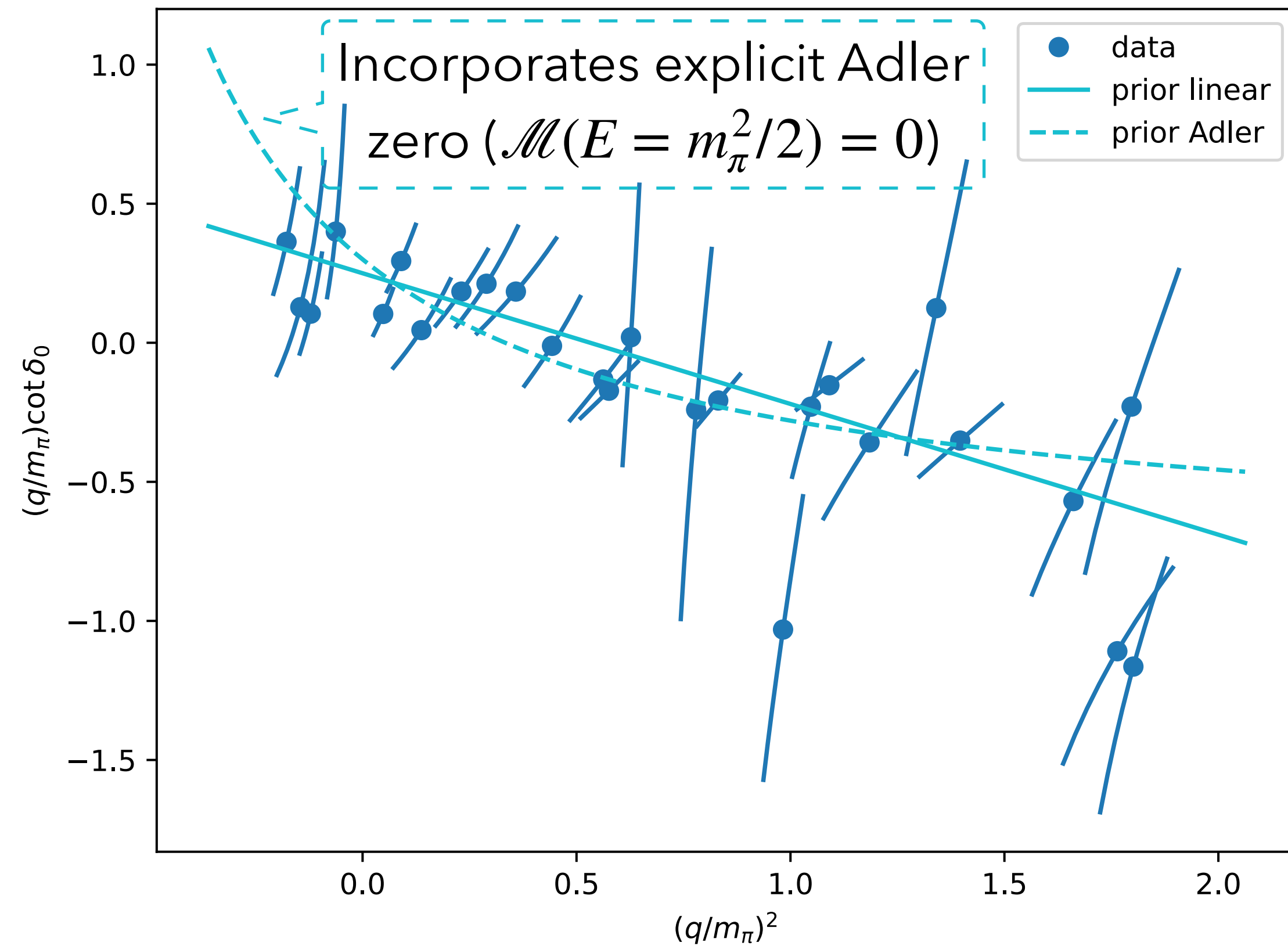
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Priors and posteriors on the real energy line



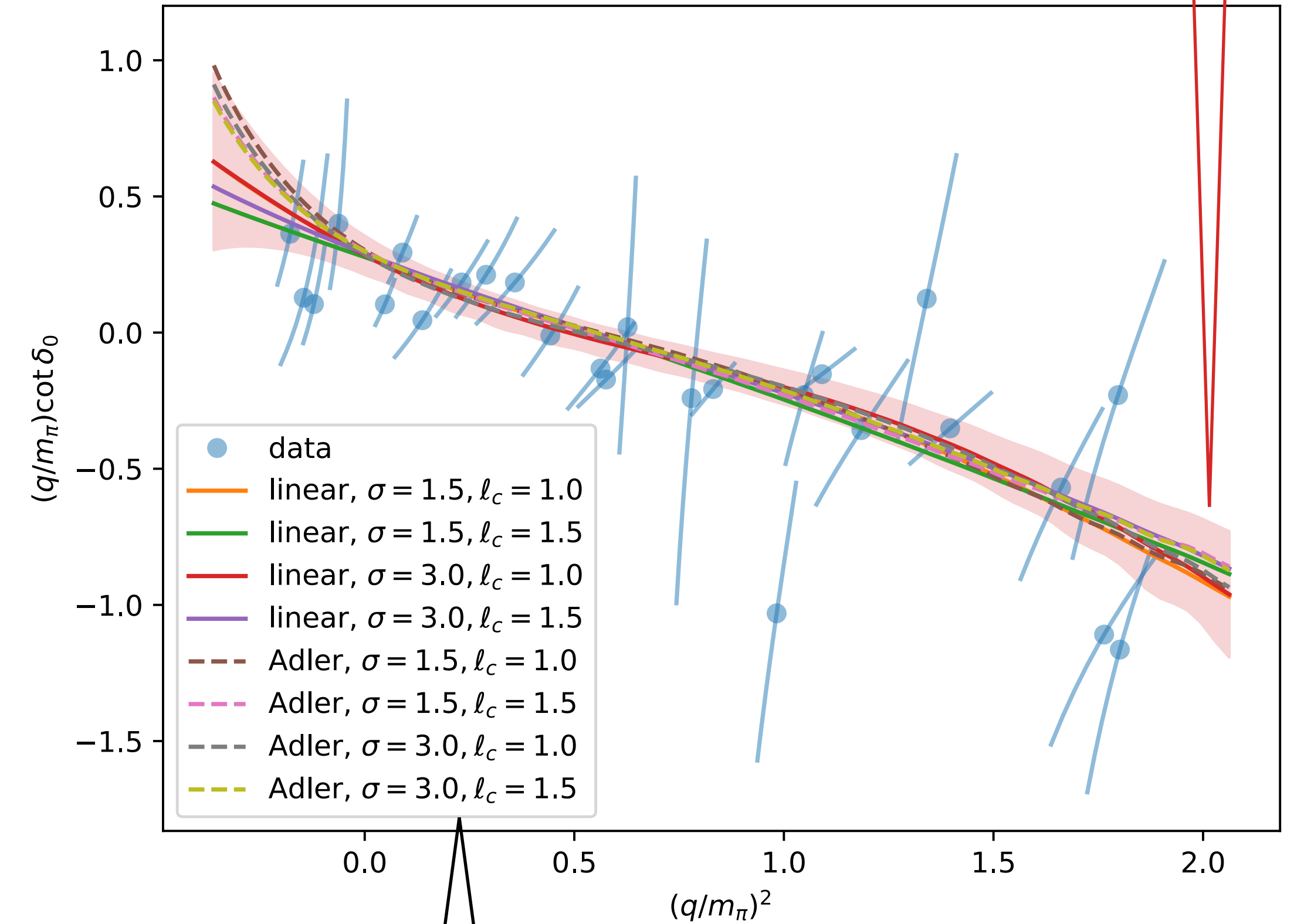
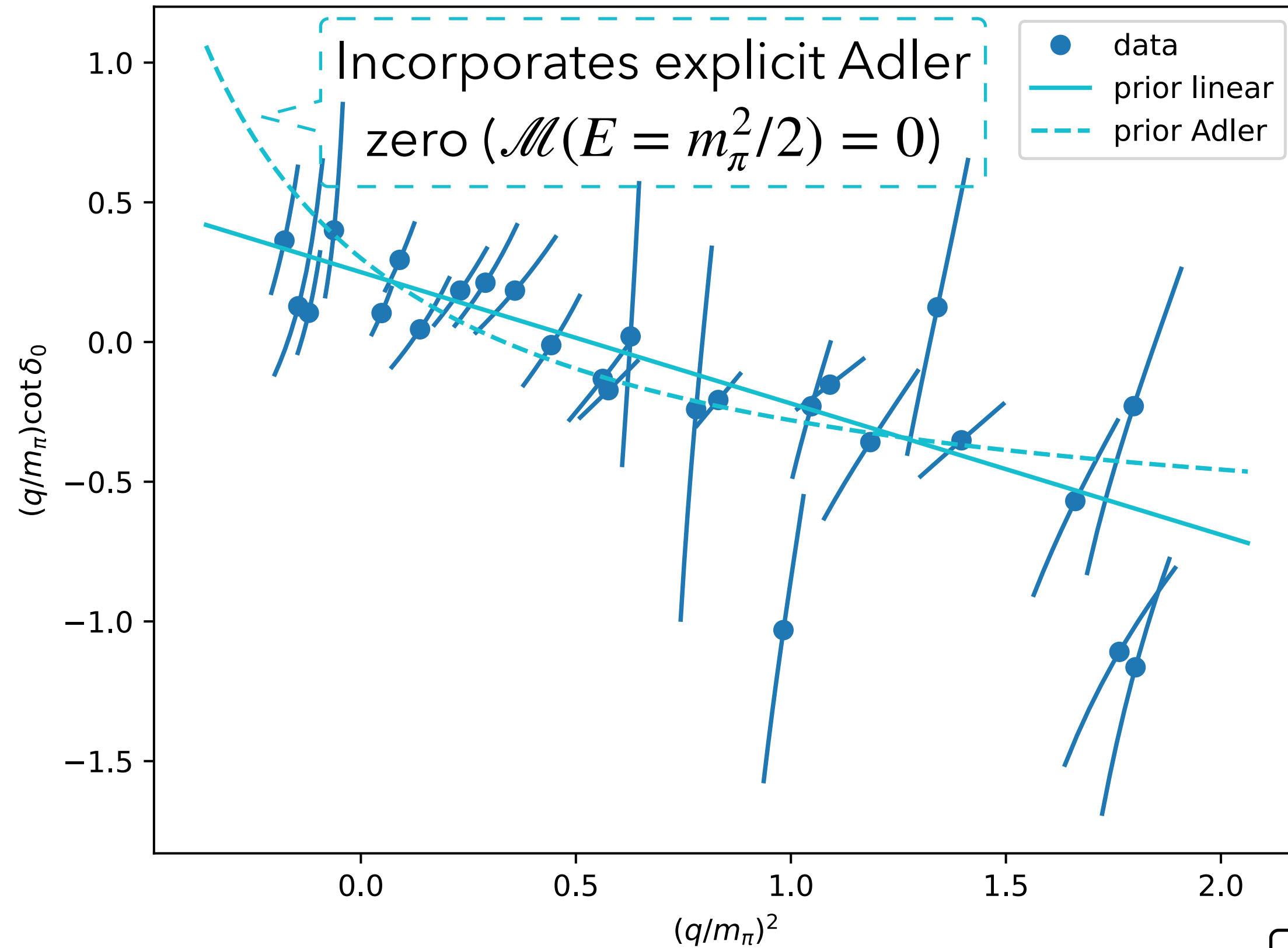
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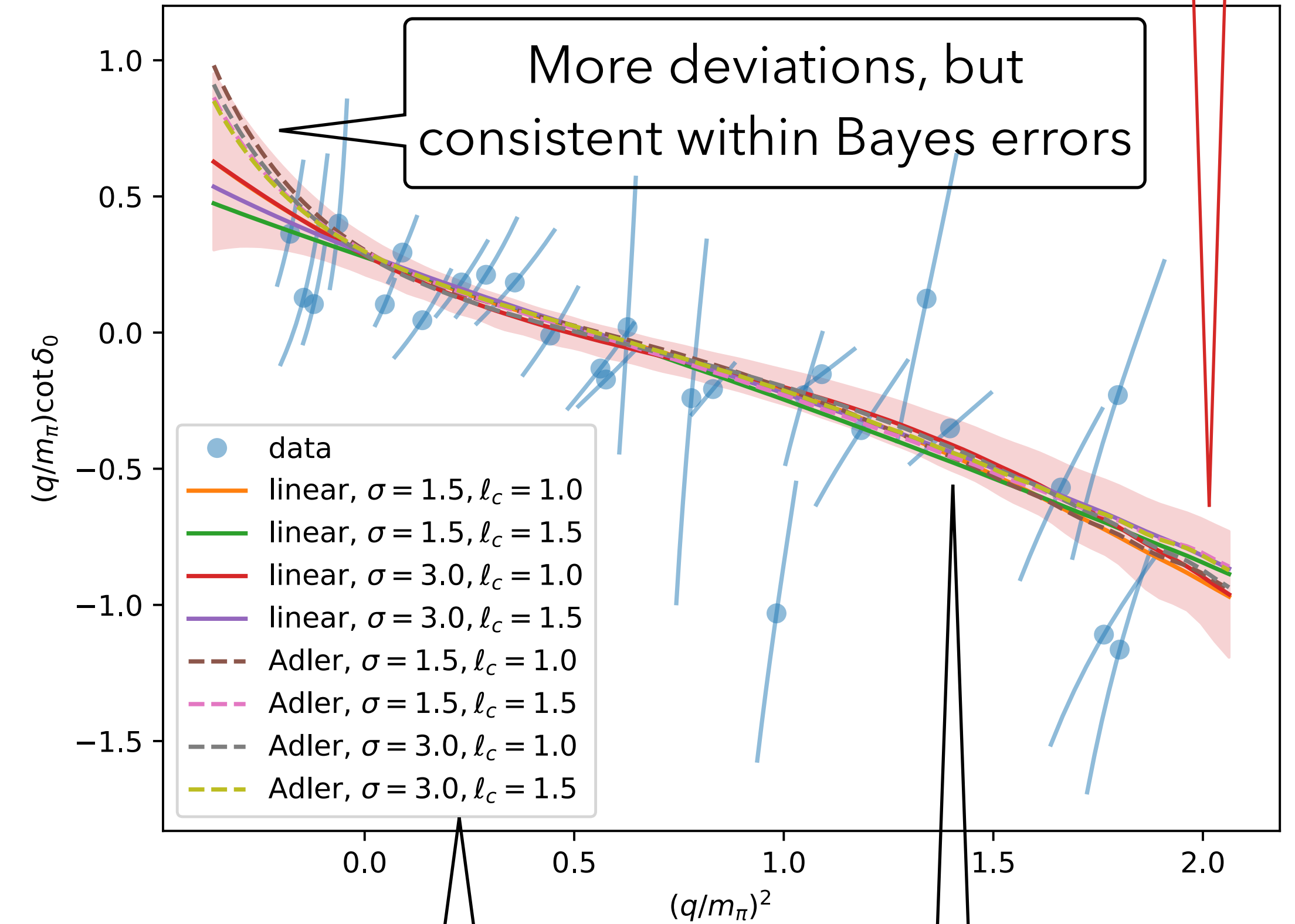
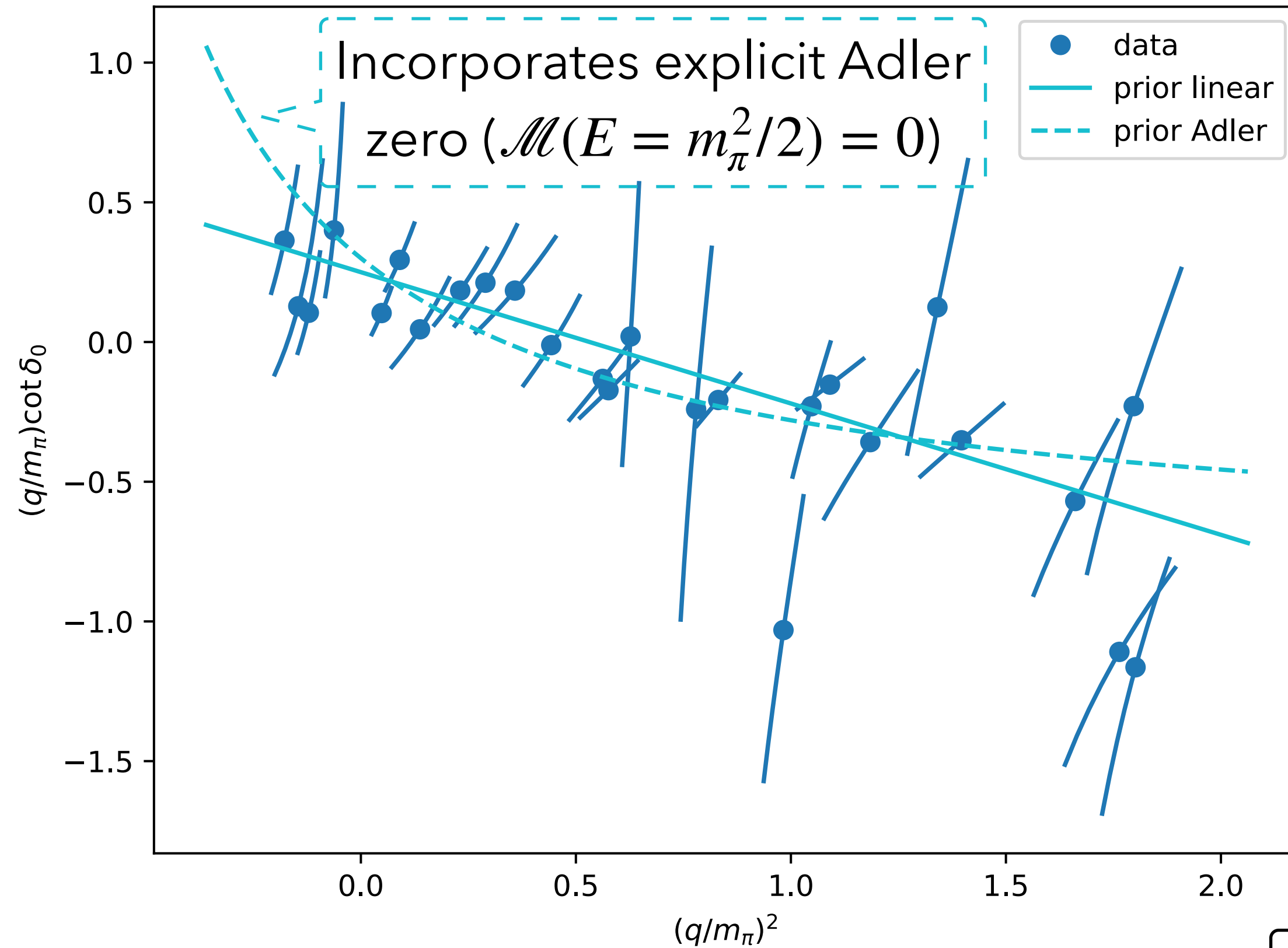
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σ pole location				
Prior	Probability of v.b.s.	E_0/m_π	Pole position under assumption of...	
			E_0/m_π (v.b.s.)	E_0/m_π (res.)
Linear	0.502(57)	$1.890(90) - 0.08(10)i$	1.88(10)	$1.904(77) - 0.164(86)i$
Adler-zero	0.328(52)	$1.901(97) - 0.099(91)i$	1.86(11)	$1.921(82) - 0.147(73)i$

($\sigma = 3, \ell_c = 1$)

Full error: integration + Bayes + Wertevorrat

- Pole locations **consistent** within errors between different priors
- Adler-zero prior prefers resonance

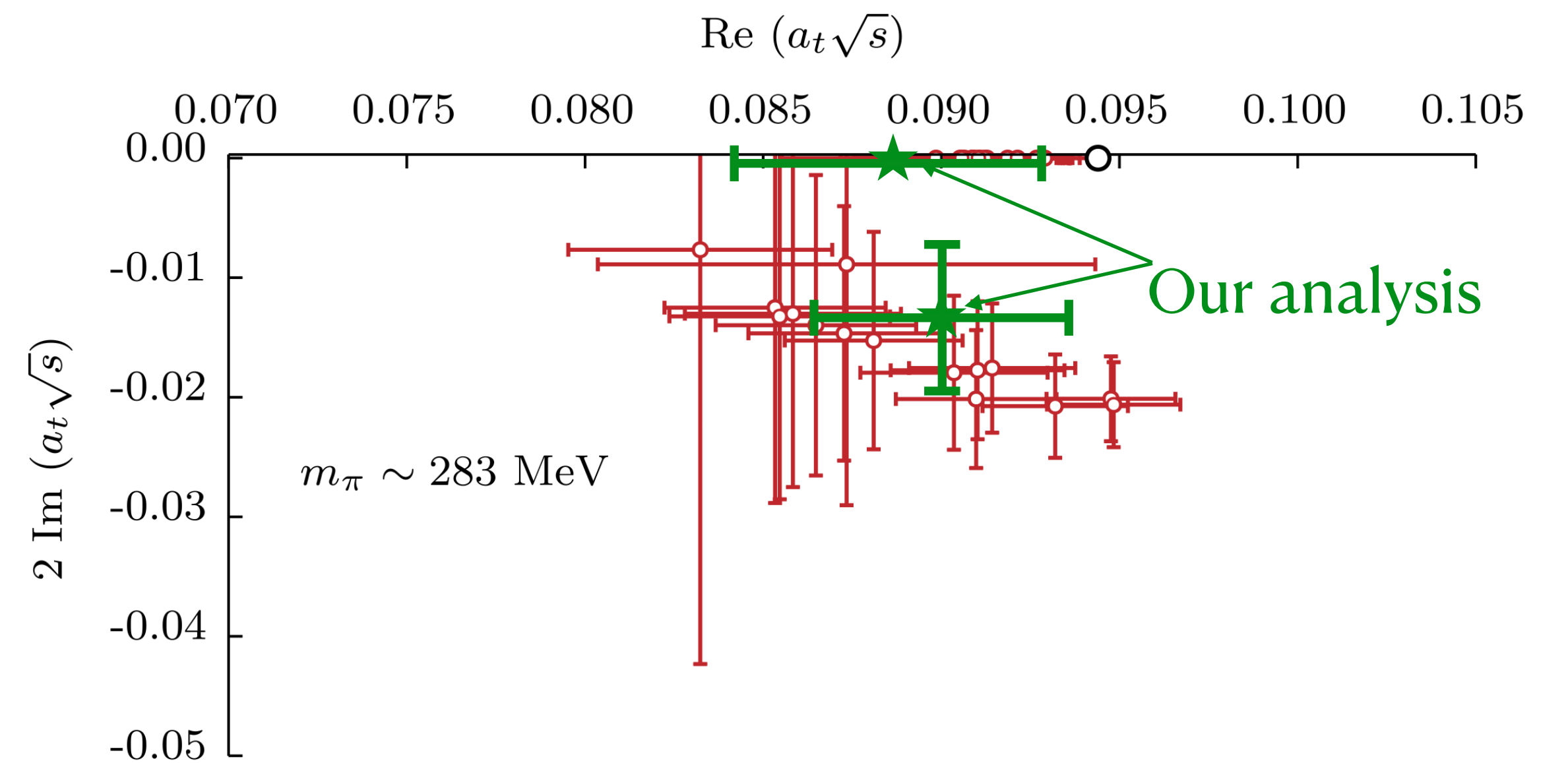
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Pole position under assumption of...

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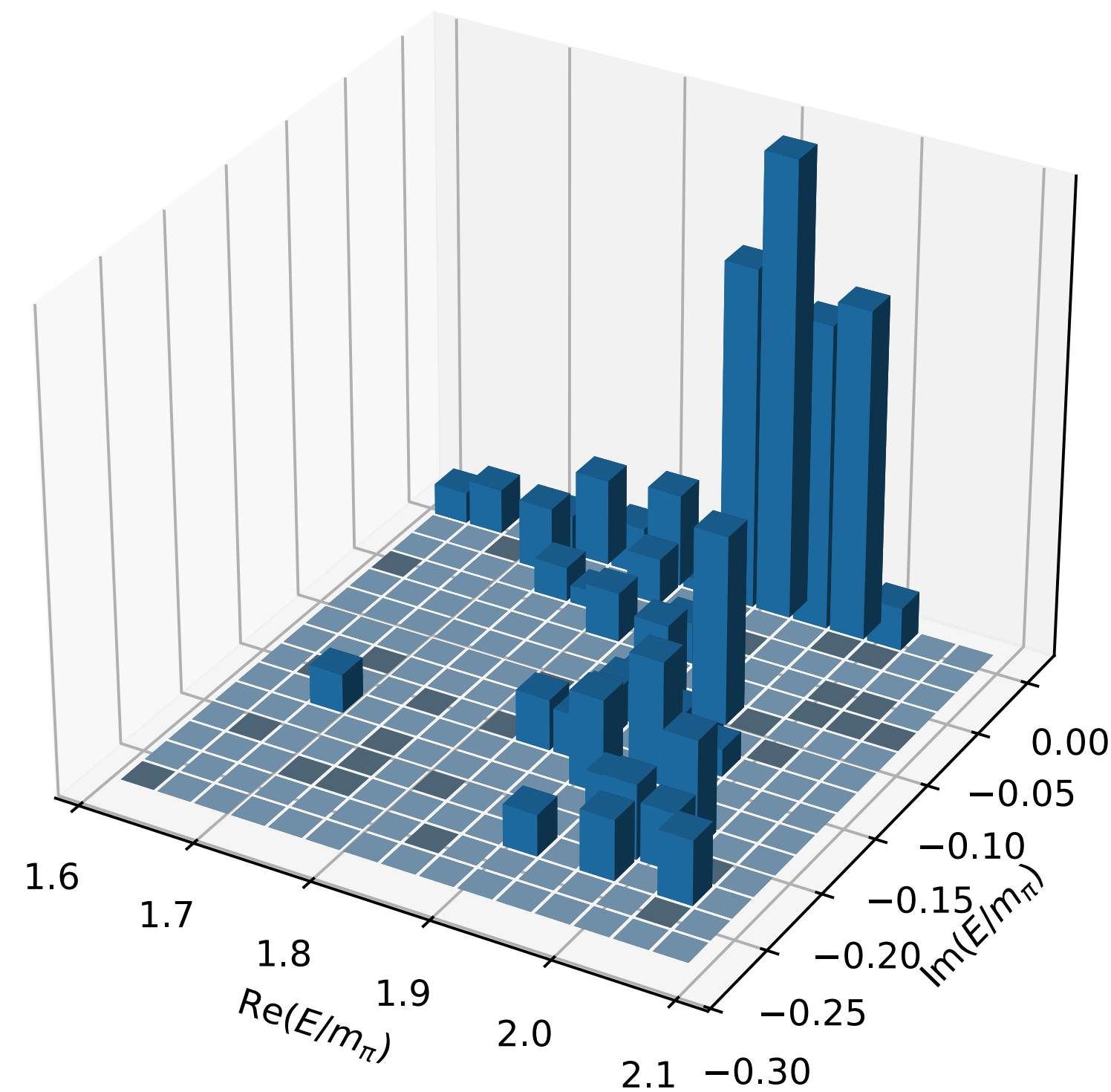
- Pole locations **consistent** within errors between different priors
- Adler-zero prior prefers resonance
- **Compares well** with original analysis, but quantifies model dependence



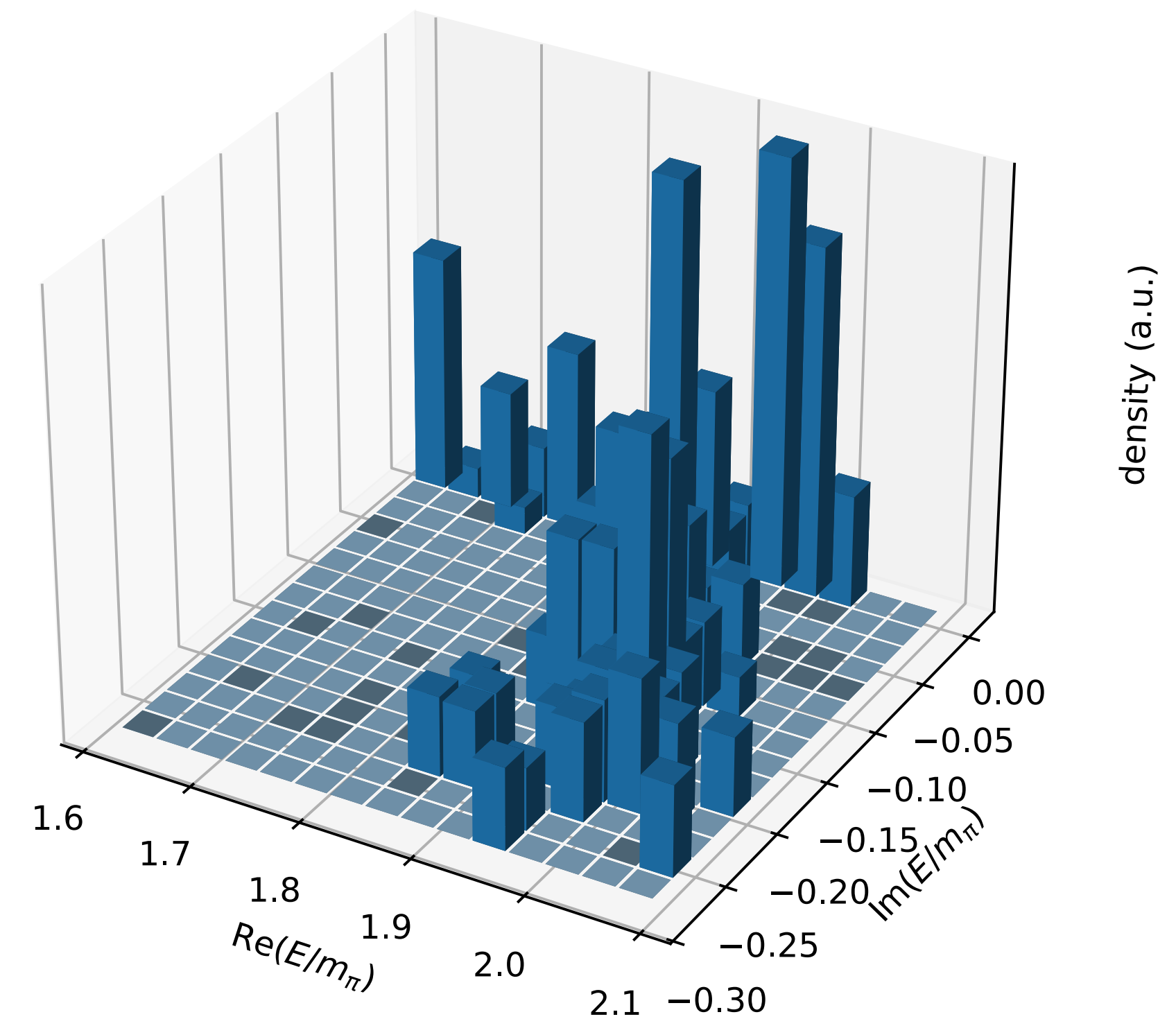
Rodas, Dudek, and Edwards (HadSpec) 2023 [PRD 108, 034513]

Isospin-0 $\pi\pi$ system on HadSpec ensembles

σ pole location



Linear prior



Adler-zero prior

Coupled-channel $\pi\Sigma - \bar{K}N$ scattering on D200

$\Lambda(1405)$ and $\Lambda(1380)$ resonances

$M_\pi = 200$ MeV

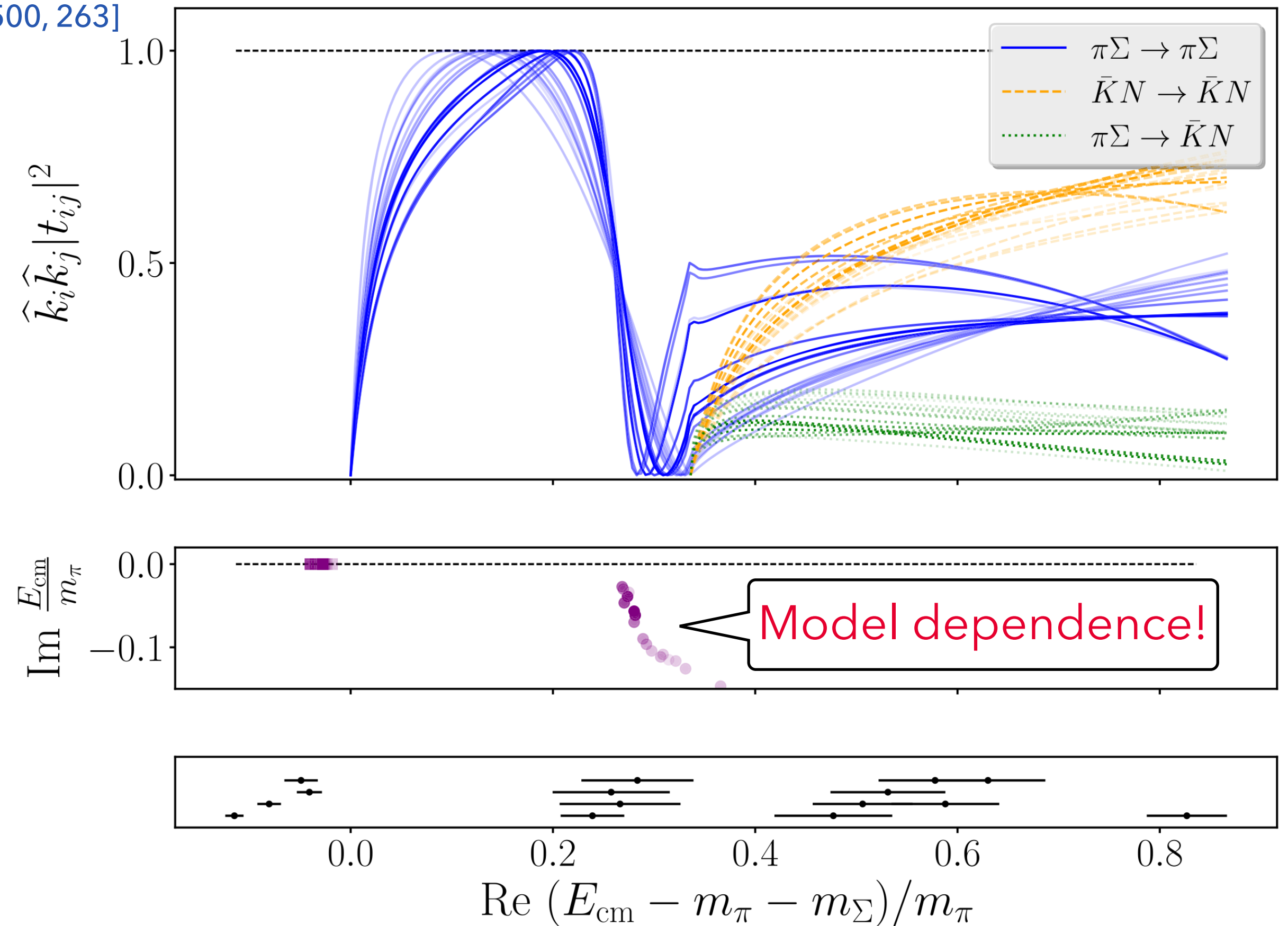
- **One or two poles?**
- Lattice QCD favours two poles

Dalitz and Tuan 1959 [Annals Phys. 8, 100]

Oller and Meißner 2001 [Phys. Lett. B 500, 263]

Anisovich et al. 2020 [EPJA 56, 139]

Mai 2021 [EPJ Spec. Top. 230, 1593]



Bulava et al. (BaSc) 2024 [PRD 109, 014511]

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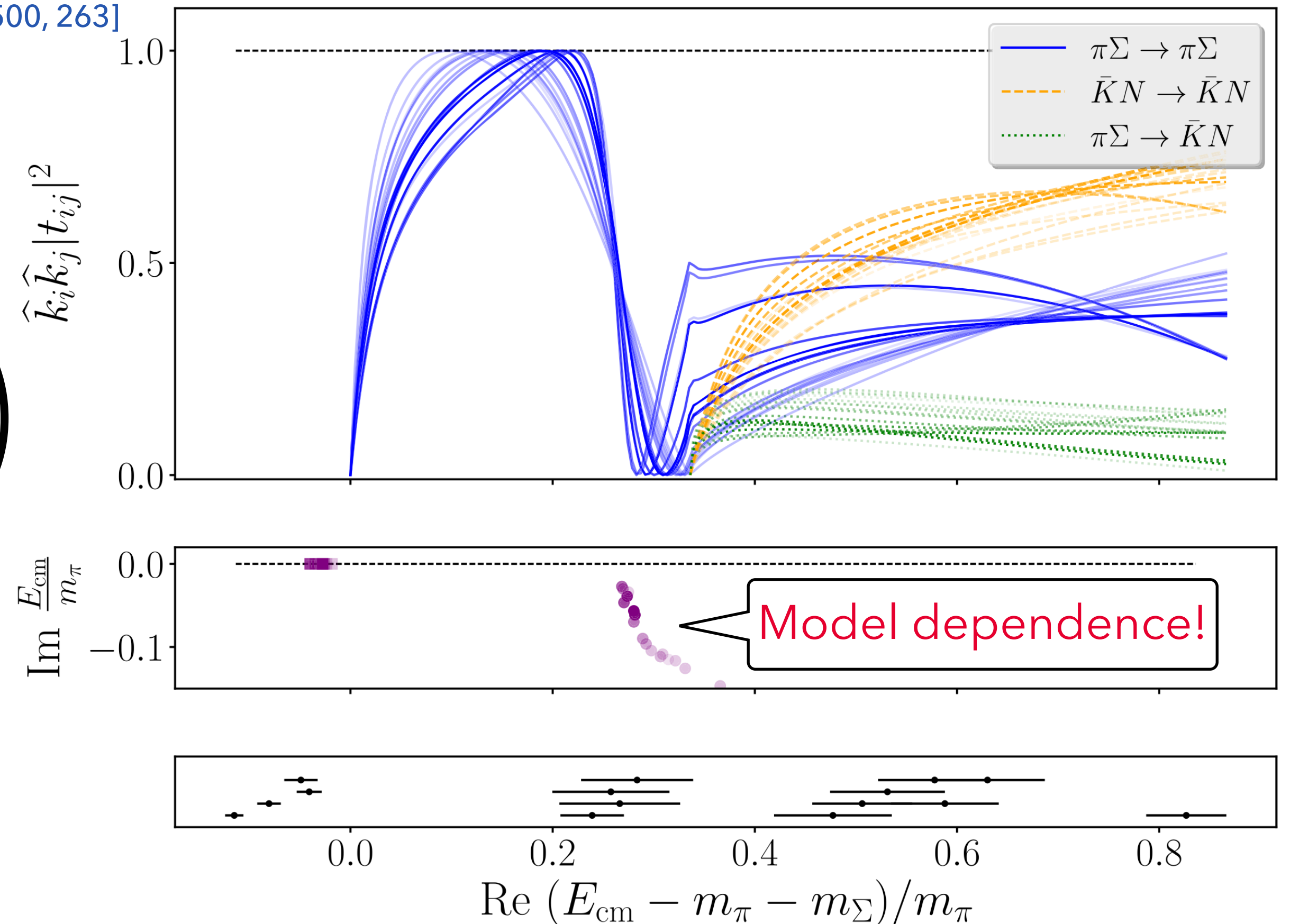
Mai 2021 [EPJ Spec. Top. 230, 1593]

- **One or two poles?**

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- **Coupled-channel** scattering:

$$K^{-1} = \begin{pmatrix} K^{-1}(\pi\Sigma \rightarrow \pi\Sigma) & K^{-1}(\pi\Sigma \rightarrow \bar{K}N) \\ K^{-1}(\bar{K}N \rightarrow \pi\Sigma) & K^{-1}(\bar{K}N \rightarrow \bar{K}N) \end{pmatrix}$$



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$M_\pi = 200$ MeV

Dalitz and Tuan 1959 [Annals Phys. 8, 100]

Oller and Meißner 2001 [Phys. Lett. B 500, 263]

Anisovich et al. 2020 [EPJA 56, 139]

Mai 2021 [EPJ Spec. Top. 230, 1593]

- **One or two poles?**

- Lattice QCD favours two poles

- **Coupled-channel** scattering:

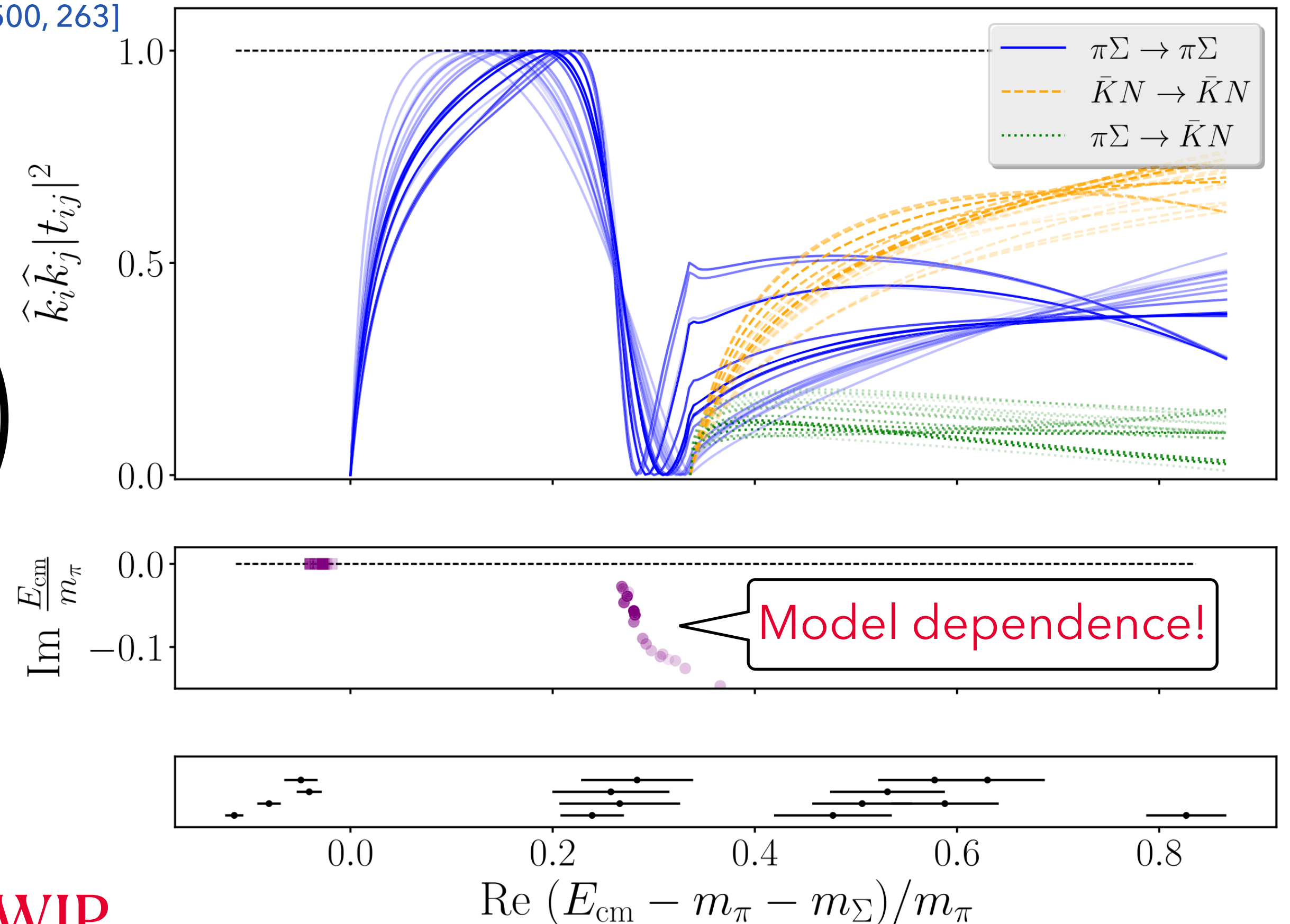
$$K^{-1} = \begin{pmatrix} K^{-1}(\pi\Sigma \rightarrow \pi\Sigma) & K^{-1}(\pi\Sigma \rightarrow \bar{K}N) \\ K^{-1}(\bar{K}N \rightarrow \pi\Sigma) & K^{-1}(\bar{K}N \rightarrow \bar{K}N) \end{pmatrix}$$

- **Symmetries:**

- $(K^{-1})^T = K^{-1} \rightarrow K_{00}, K_{01}, K_{11}$

- $K_{01} \rightarrow -K_{01}$ at each E separately

WIP...



Bulava et al. (BaSc) 2024 [PRD 109, 014511]

Summary and outlook

Summary and outlook

- **Novel analysis procedure** for hadron spectroscopy from LQCD using FV formalism
—> **incorporate systematic** error **without** need for explicit **choice** of **parametrisation**
- Key ingredients
 - **Bayesian analysis** of FV spectrum
 - **Nevanlinna-Pick interpolation** for analytic continuation
- Single-channel case works very well —> promising results in analysing σ resonance
- Coupled-channel analysis ongoing —> $\Lambda(1405)$
- Future directions: three-hadron systems —> parameterise K_3 in addition to K_2

Hansen and Sharpe 2014 [PRD 90, 116003], 2015 [PRD 92, 114509]
Mai and Döring 2017 [EPJA 53, 240]

Backup

Bayesian analysis

Sampling from posterior distribution

- **Linearisation of QC** around $\mathbf{u}_l$: $\mathbf{E}_{\text{QC}}(\mathbf{u}) - \mathbf{E}_l \simeq J(\mathbf{u} - \mathbf{u}_l)$

- **Approximate posterior distribution:**

$$p^*(\mathbf{u}) = \frac{1}{\sqrt{(2\pi)^{n_p} \det \Sigma^*}} \exp \left[-\frac{1}{2}(\mathbf{u} - \mathbf{u}^*)(\Sigma^*)^{-1}(\mathbf{u} - \mathbf{u}^*) \right] \text{ with}$$

$$(\Sigma^*)^{-1} = J^T \Sigma_d^{-1} J + \Sigma_p^{-1} \text{ and } \mathbf{u}^* = \Sigma^* \left[\Sigma_p^{-1} \mathbf{u}_0 + J^T \Sigma_d^{-1} (\mathbf{E}_d - \mathbf{E}_l + J\mathbf{u}_l) \right]$$

- **Reweighting factors:** $w_* = p(\mathbf{u} | \mathbf{E}_d, \mathbf{u}_0) / p^*(\mathbf{u} | \mathbf{E}_d, \mathbf{u}_0)$

- Probabilities entering **Bayes factors:**

$$p(\mathbf{E}_d | M^{(k)}) = \int d^{n_p} u p(\mathbf{E}_d | \mathbf{u}) p(\mathbf{u} | \mathbf{u}_0^{(k)}) = \int d^{n_p} u p(\mathbf{u} | \mathbf{E}_d, \mathbf{u}_0^{(k)}) = \langle w_*^{(k)} \rangle_*^{(k)}$$

Nevanlinna-Pick interpolation

General framework and employed mappings

- Schwarz-Christoffel mapping** from rectangle to unit disk: $\zeta = \frac{s - i}{s + i}$ with

$s = \operatorname{sn} \left[\left(z - C + i\frac{H}{2} \right) \frac{2K(k)}{W}, k \right]$

Jacobi elliptic function

$\text{where } K(k)/K(\sqrt{1-k^2}) = W/2H$

Complete elliptic integral, 1st kind

$\text{Rectangle height } (\in \mathbb{R})$
- Inverse mapping:** $z = F \left[\arcsin \left(i \frac{1 + \zeta}{1 - \zeta} \right), k \right] \frac{W}{2K(k)} - i\frac{H}{2} + C$

$\text{Incomplete elliptic integral, 1st kind}$

$\text{Rectangle width } (\in \mathbb{R})$

$\text{Rectangle center } (\in \mathbb{C})$
- WV center** (in disk coords.): $c(\zeta) = \frac{(Q(\zeta)\bar{S}(\zeta) - P(\zeta)\bar{R}(\zeta))}{(|S(\zeta)|^2 - |R(\zeta)|^2)}$
- WV radius** (in disk coords.): $r(\zeta) = \frac{|P(\zeta)S(\zeta) - Q(\zeta)R(\zeta)|}{(|S(\zeta)|^2 - |R(\zeta)|^2)}$

Nevanlinna-Pick interpolation

Uncertainty analysis for pole positions

- Use **randomly chosen point within WV** as function estimator,
 $w(\zeta) = c(\zeta) + \rho r(\zeta) e^{i\theta}$ with **uniform** random numbers $\rho \in [0,1)$ and $\theta \in [0,2\pi)$
- Use independent random point(s) for each sample from the posterior (cf. source positions in lattice QCD)
- Effectively, the **expectation value** of the **pole location** takes the form
$$\langle E_0 \rangle = \frac{1}{\pi Z} \int d^n_p u \int_0^1 dr r \int_0^{2\pi} d\theta E_0(\mathbf{u}, r, \theta) p(\mathbf{u} | \mathbf{E}_d, \mathbf{u}_0)$$
- **Uncertainty:** $(\delta E_0)^2 = \langle E_0^2 \rangle - \langle E_0 \rangle^2$

Isospin-0 $\pi\pi$ system on X252

$$M_\pi = 280 \text{ MeV}$$

Setup and preliminary results

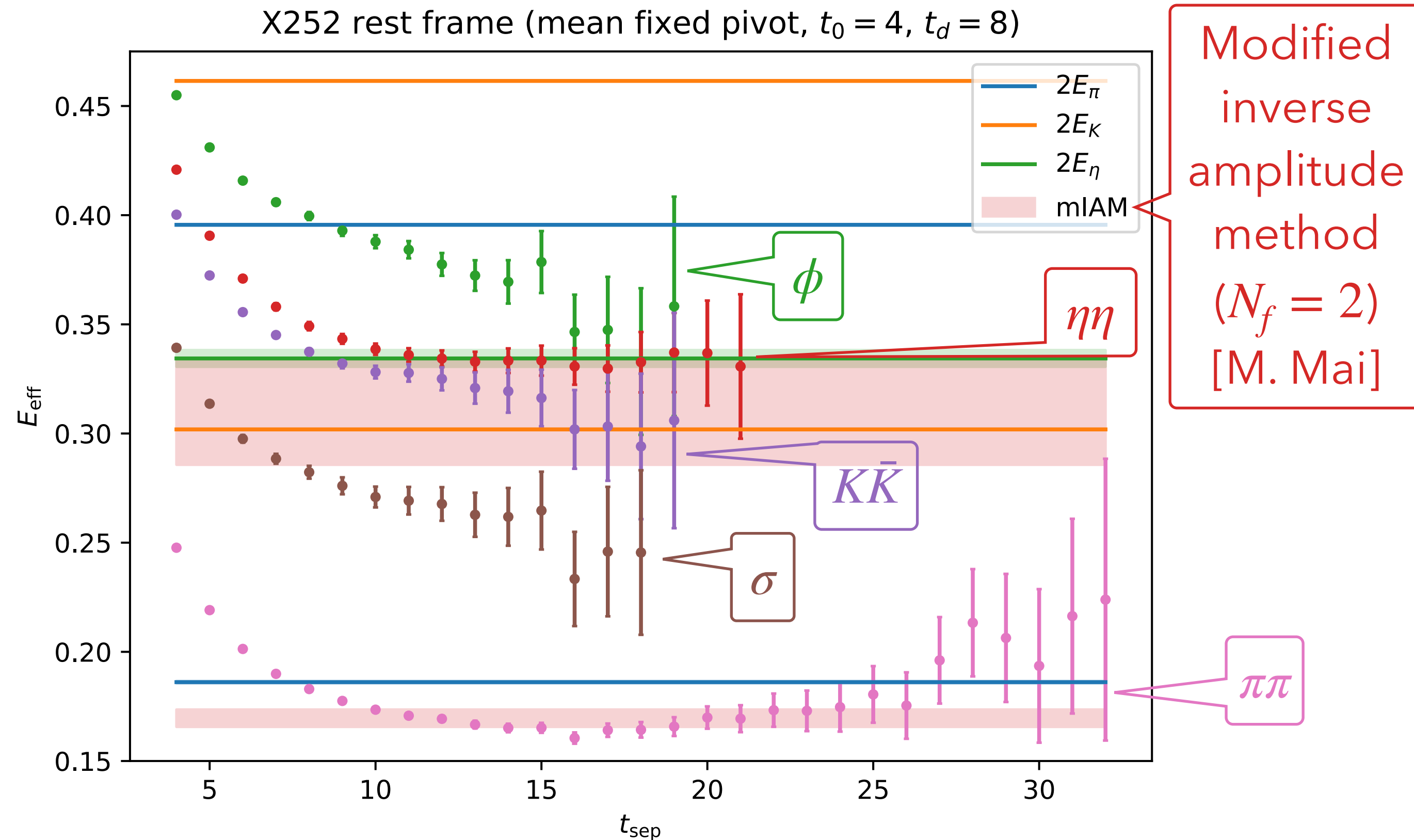
- **Exact distillation** with 48 eigenvecs, **all source times**, 1050 configs
- Site-local **operators** included so far:
 $\sigma, \phi, \pi\pi, \eta_l\eta_l, \eta_s\eta_s, \eta_l\eta_s, K\bar{K}$
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 - Singly-displaced operators
 - Moving frames
 - More ensembles: X253, D251, J250

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WIP in collaboration with H. Alharazin, J. Bulava, F. Romero-López, and the BaSc collaboration