

Dawn of GW Cosmology

Benasque, 28th April 2025

Gravitational Waves from Inflation

David Wands

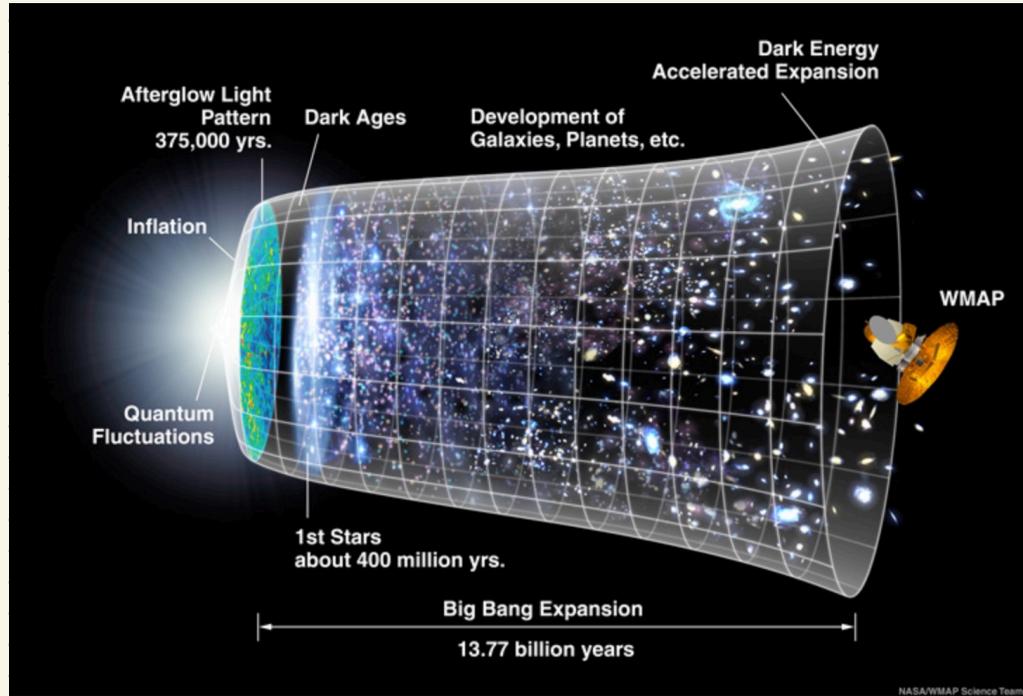
ICG, Portsmouth

outline:

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- successes of inflation
  - origin of primordial density perturbations
- primary GWs
  - vacuum fluctuations in gravitational field
  - testing models of slow-roll inflation
- secondary GWs
  - scalar-induced GWs
  - non-adiabatic inflation (sudden transitions, etc)
- conclusions

provides initial conditions for  $\Lambda$ CDM cosmology

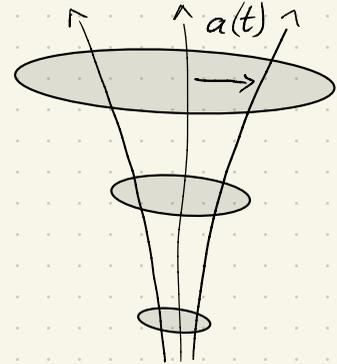
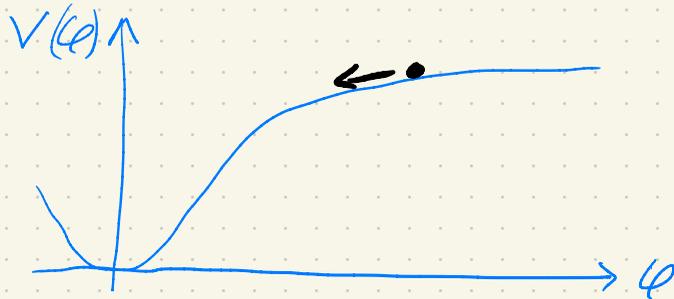


- spatially-flat FLRW background ✓
- primordial density perturbations ✓

# single field inflation

scalar field,  $\phi(t)$ , FLRW metric  $H = \dot{a}/a$

$$\left. \begin{array}{l} \text{KG eqn: } \ddot{\phi} + 3H\dot{\phi} + \frac{dV}{d\phi} = 0 \\ \text{Friedmann: } H^2 = \frac{8\pi G}{3} \left( V + \frac{1}{2} \dot{\phi}^2 \right) \end{array} \right\} \Rightarrow \begin{array}{l} \text{accelerated expansion} \\ \ddot{a} > 0 \\ \text{for } V > \dot{\phi}^2 \end{array}$$



# single field *slow-roll* inflation

scalar field,  $\varphi(t)$ , FLRW metric  $H = \dot{a}/a$

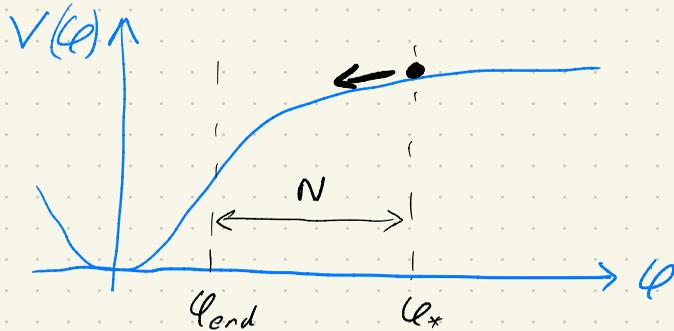
KG eqn:  ~~$\ddot{\varphi}$~~  +  $3H\dot{\varphi}$  +  $\frac{dV}{d\varphi} = 0$

Friedmann:  $H^2 = \frac{8\pi G}{3} (V + \frac{1}{2}\dot{\varphi}^2)$

$e$ -folds

$$N = \int H dt = \ln(a)$$

$$N(\varphi_*) = \int_{\varphi_*}^{\varphi_{\text{end}}} \frac{H}{\dot{\varphi}} d\varphi$$



slow-roll parameters:

$$\epsilon_1 = -\frac{d}{dN} (\ln H)$$

$$\epsilon_{i+1} = \frac{d}{dN} (\ln \epsilon_i)$$

weakly-broken de Sitter symmetry:  $|\epsilon_i| \ll 1$

# classical vs quantum inflation

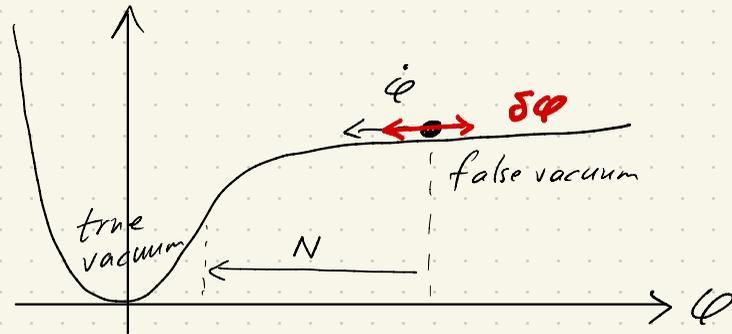
- classical slow-roll inflates universe

$$\rightarrow \ln(a) = N = \int H dt = \int \frac{H}{\dot{\varphi}} d\varphi$$

- quantum field fluctuations perturb local adiabatic expansion

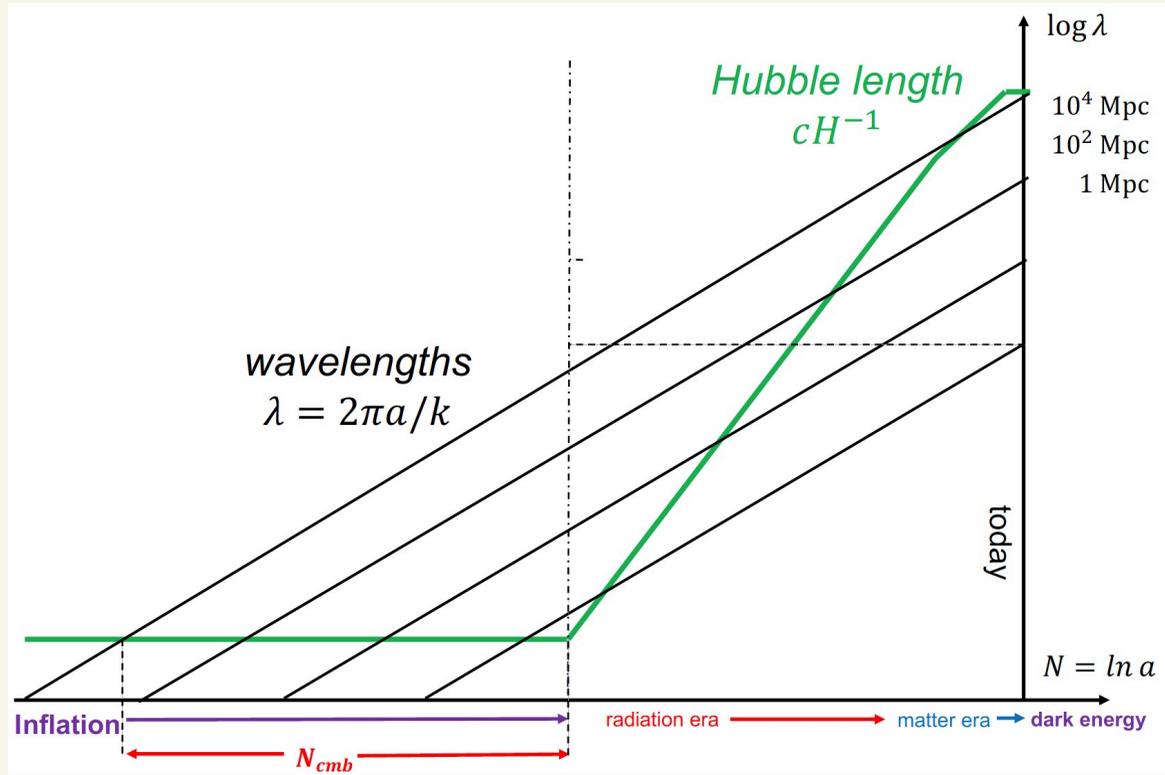
$\rightarrow$  adiabatic curvature perturbation

$$R = \delta N = \frac{H}{\dot{\varphi}} \delta\varphi$$



# quantum fluctuations $\rightarrow$ cosmic structure

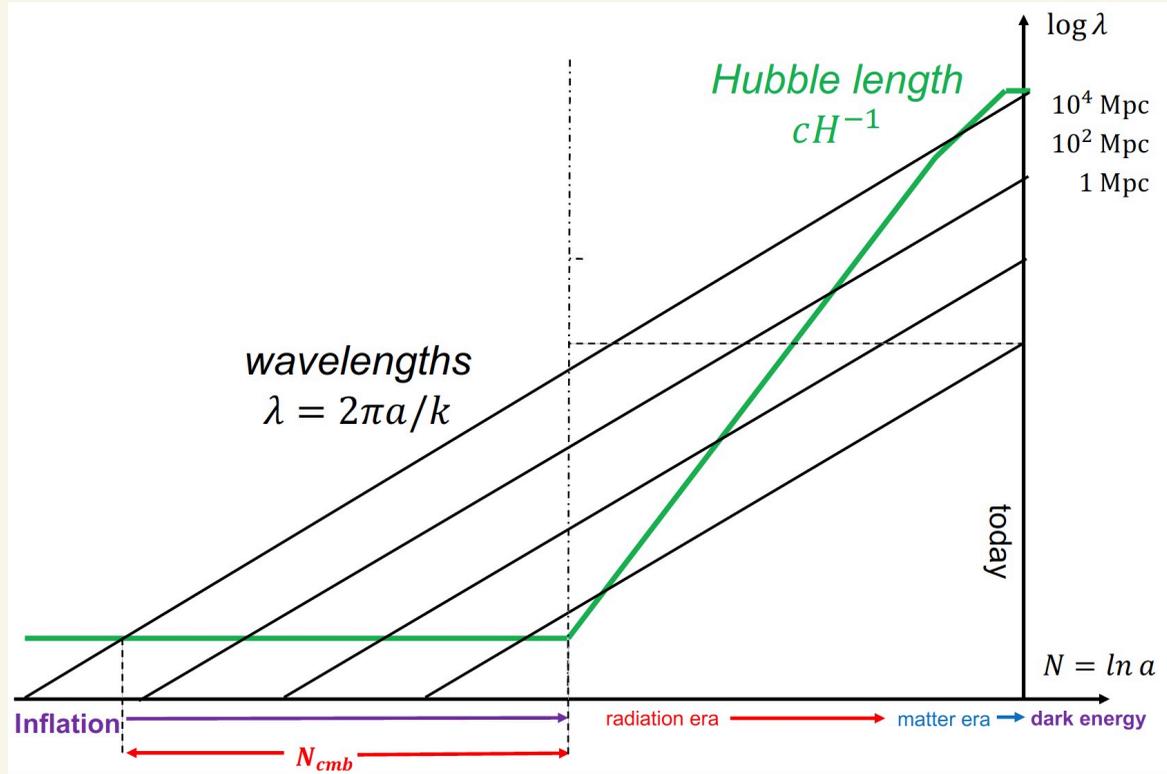
quantum vacuum



$$N(k) \simeq 61 - \ln\left(\frac{k}{k_{cmb}}\right) + \frac{1}{4} \ln\left(\frac{V_*^2}{V_{end} M_{Pl}^4}\right) - \frac{1-3w}{4} N_{reheat}$$

# quantum fluctuations $\rightarrow$ cosmic structure

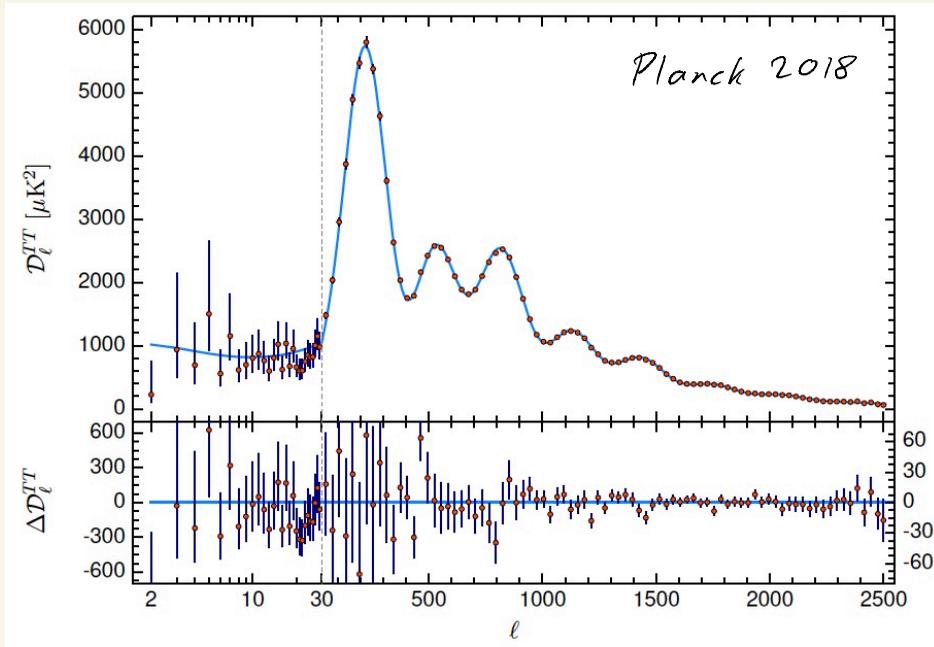
quantum vacuum



weak time dependence,  $|E_i| \ll 1 \rightarrow$  weak scale-dependence,  $n_s \neq 1$

sufficient (+ necessary?) for origin of structure

inflation  $\rightarrow$  CMB power spectrum



✓ almost scale-invariant

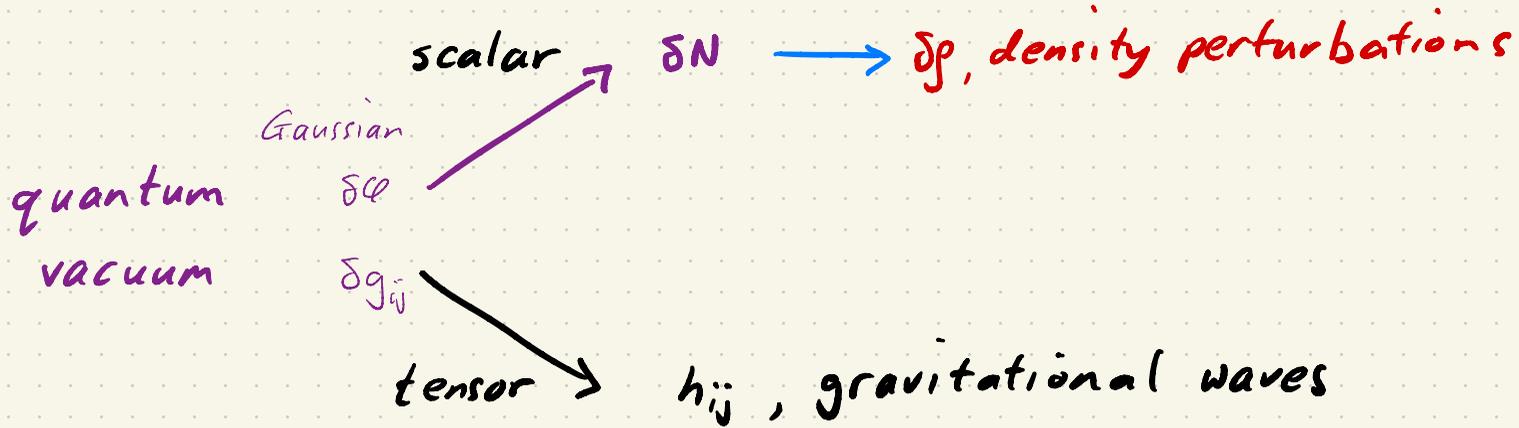
✓ Gaussian

✓ adiabatic

? primordial GWs

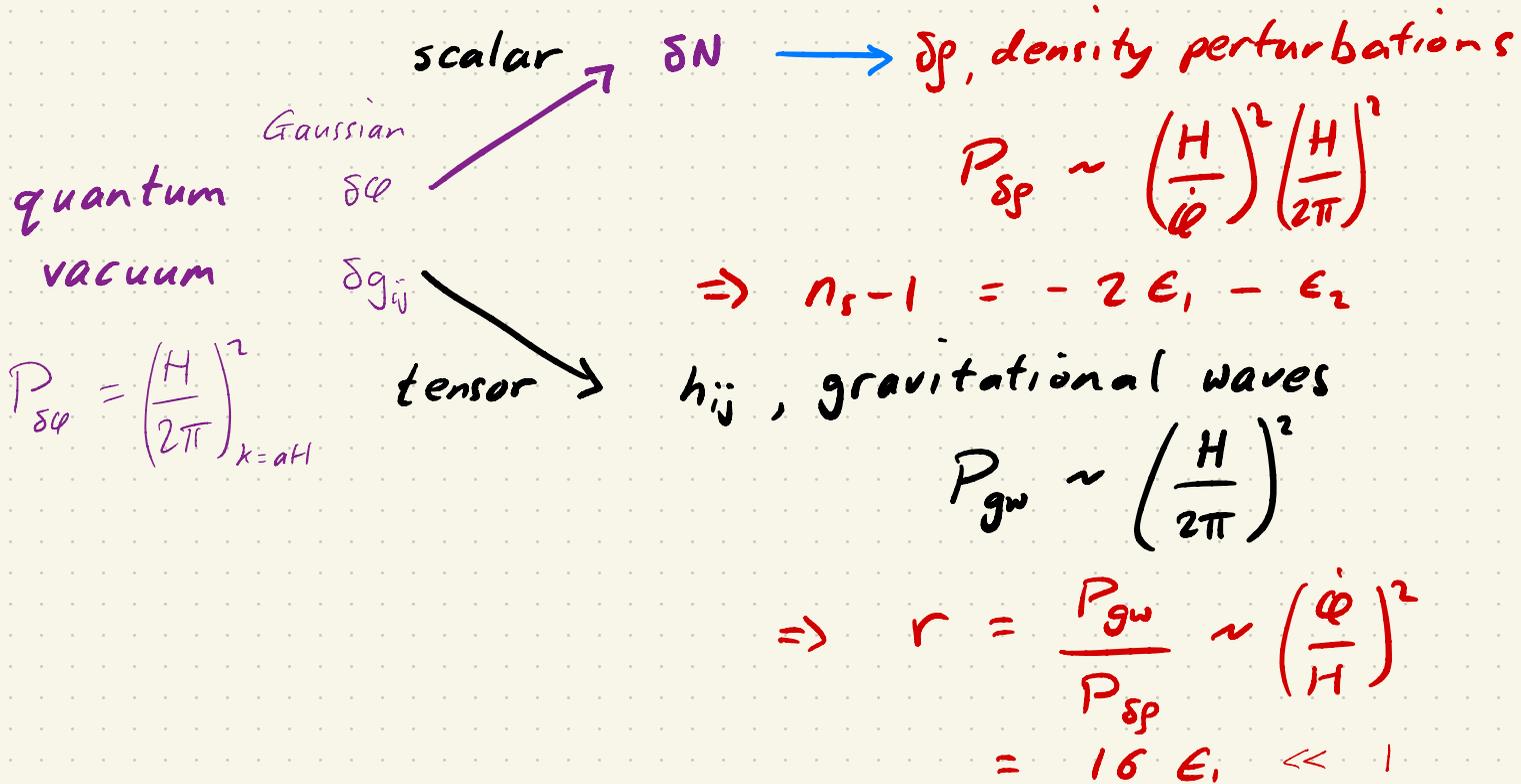
# primary (linear) perturbations from inflation

inflation  $\rightarrow$  reheating  $\rightarrow$  radiation era

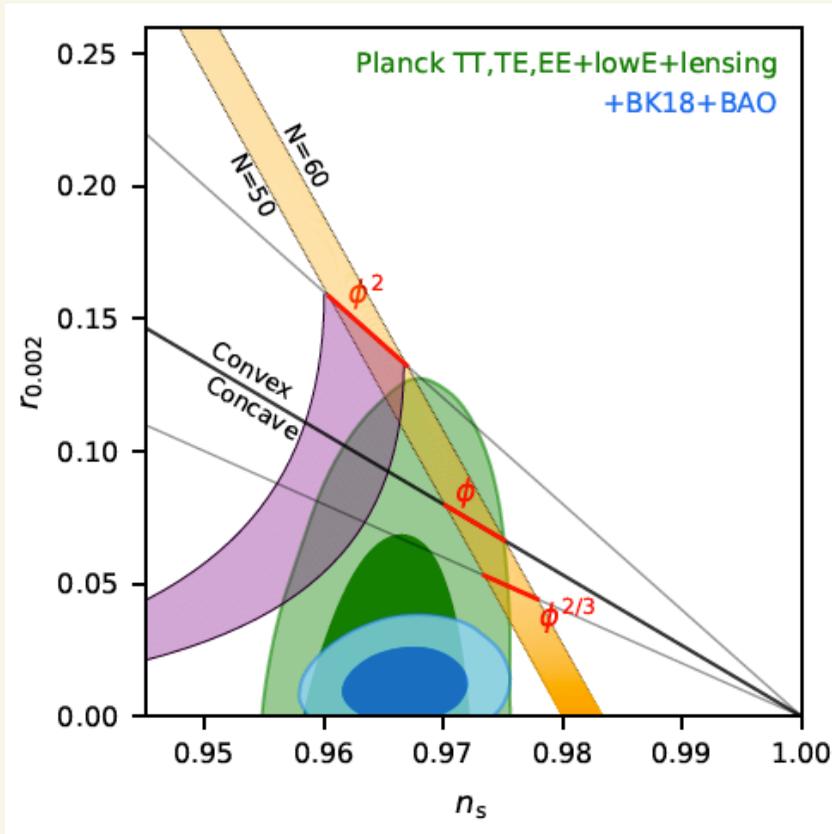


# primary (linear) perturbations from inflation

inflation  $\rightarrow$  reheating  $\rightarrow$  radiation era



# testing single field inflation



Planck

+ BICEP/Keck

+ BAO

almost scale-invariant

$$n_s - 1 = \frac{d \ln P}{d \ln k}$$

$$= -0.0351 \pm 0.0042$$

primordial grav. waves

$$r = \frac{P_T}{P_R} < 0.036$$

"inverted SR hierarchy"

$$E_1 \ll E_2 \approx 0.036$$

## convex potentials

$$V \propto \varphi^p, p > 1 \Rightarrow \epsilon_1 \sim \epsilon_2 \Rightarrow n_s - 1 \sim \epsilon_1$$
$$r = 16 \epsilon_1 \sim 0.1 \quad \times$$

$\Rightarrow$  concave / plateau potentials

## $\alpha$ -attractor models

include Starobinsky & Higgs inflation ( $\alpha = 1$ )

$$V \propto V_0 \left( 1 - e^{-\varphi/\sqrt{\alpha}} \right) \Rightarrow \epsilon_1 \sim \epsilon_2^2 \Rightarrow n_s - 1 \simeq -\epsilon_2$$

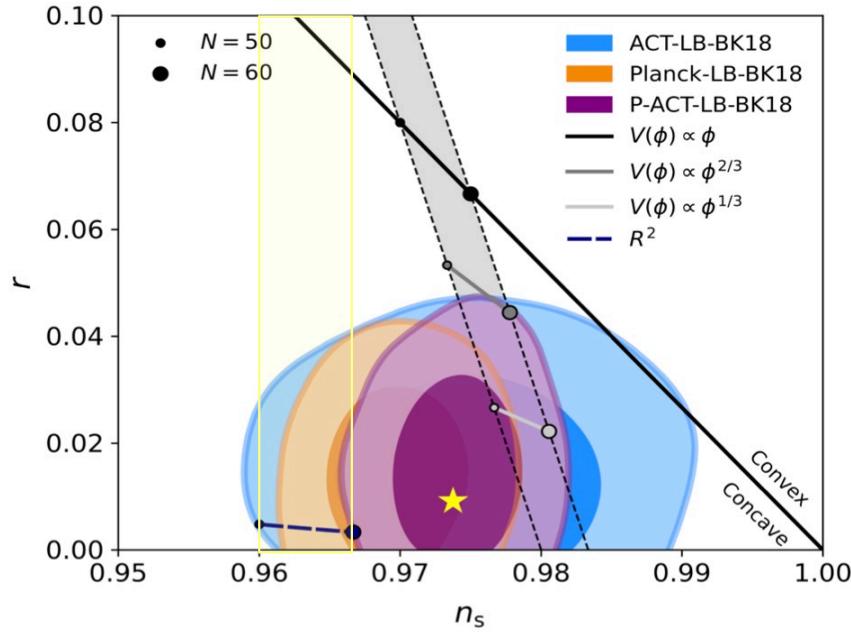
"universal predictions"

$$n_s - 1 \simeq -\frac{2}{N_{\text{end}}} \simeq -0.035 \text{ for } N_{\text{end}} \geq 60$$

$$r \simeq \frac{12\alpha}{N_{\text{end}}^2} \simeq 0.003\alpha$$

✓  
for  $\alpha \lesssim 10$

# trouble for $\alpha$ -attractors?



Planck  
+ BICEP/Keck  
+ ACT

universal predictions

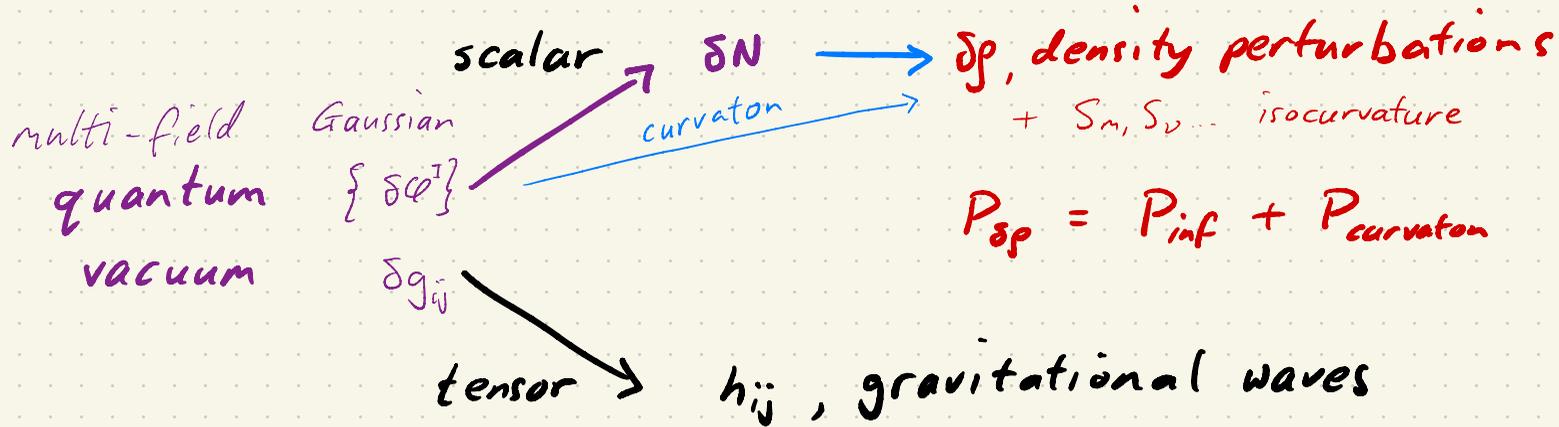
$$n_s - 1 = -\frac{2}{N_{\text{cmb}}}$$

$$n_s > 0.97 \Rightarrow N_{\text{cmb}} > 67 \quad \times$$

see Kallosh, Linde & Roest, arxiv

# primary (linear) perturbations from inflation

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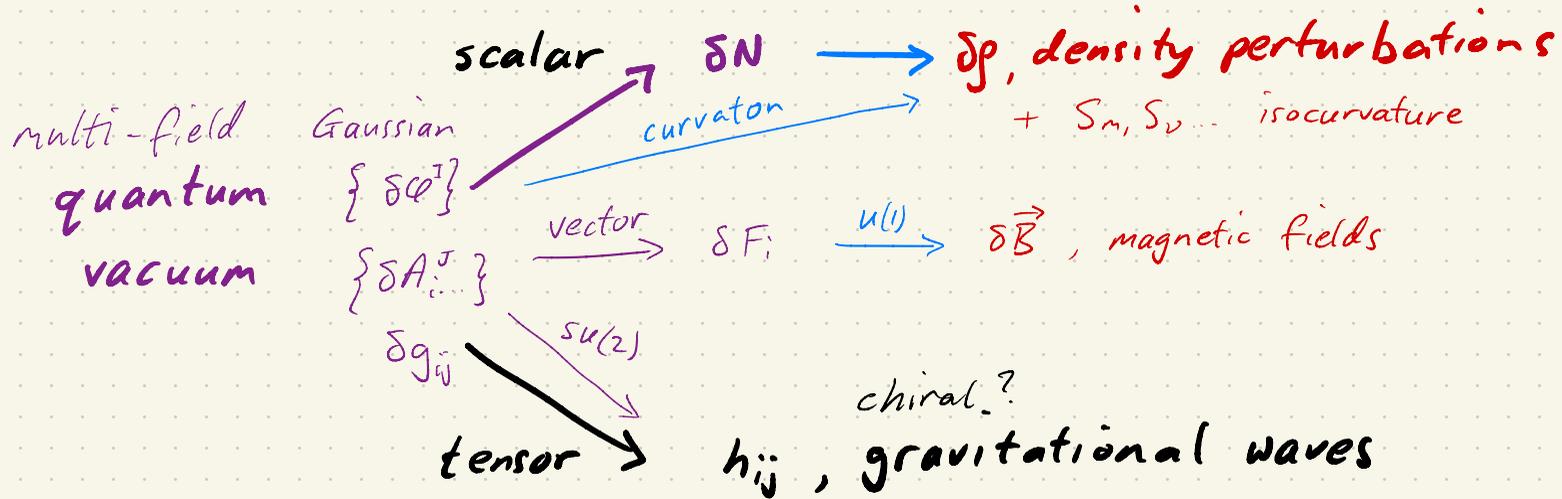


$P_{\delta\rho}$  unchanged

$$\Rightarrow r < 16 \epsilon,$$

# primary (linear) perturbations from inflation

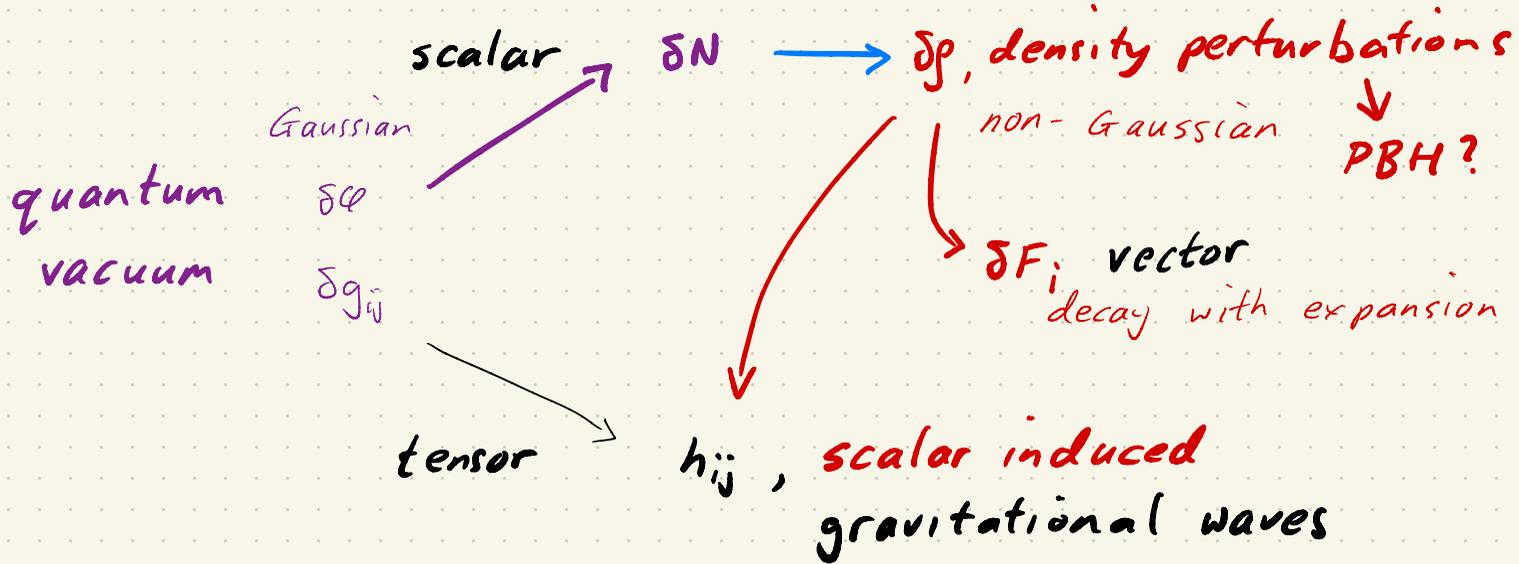
inflation  $\rightarrow$  reheating  $\rightarrow$  radiation era



see talk by Tomohiro Fujita

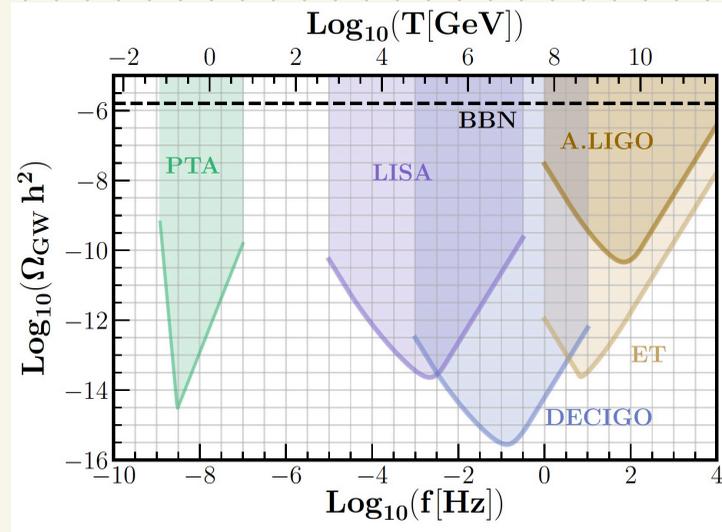
# secondary perturbations after inflation

inflation  $\rightarrow$  reheating  $\rightarrow$  radiation era



# gravitational window onto inflation?

- scalar perturbations washed away on small scales  $\lambda < \lambda_{\text{silk}}$
- GWs propagate freely through Universe on all scales



temperature  
at horizon entry

Domenech (2021)

+ primordial black holes could also survive ( $M_{\text{PBH}} > 10^{15} \text{ g}$ )

# scalar-induced GWs

Tomita (1967); Matarrese et al (1993+)

Ananda, Clarkson & DW (2006)

Baumann et al (2007)

see review by Domenech (2021)

$$\ddot{h}_{ij} + 3H \dot{h}_{ij} + \frac{k^2}{a^2} h_{ij} = \hat{S}^{\text{TT}} (\partial_i \Phi \partial_j \Phi)$$

second-order source

- sourced by transverse-tracefree part of density waves
- gauge-dependent on super-Hubble scales
- late-time ( $\Phi \rightarrow 0$ ) GWs gauge independent

$$\Omega_{\text{gw}} \sim \Omega_r P_{\text{SP}}^2$$



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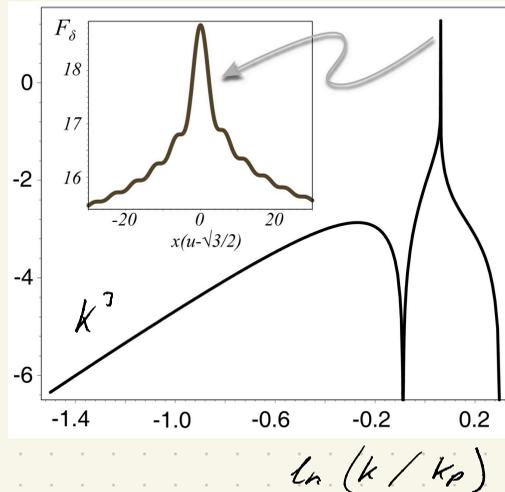
$$\Omega_{\text{gw}} \sim \Omega_r P_{\text{SP}}^2 \sim 10^{-22} \text{ for } P_{\text{SP}} \sim 10^{-9}$$

# different power spectrum shapes

- power law:  $P_{\delta\mathcal{P}} = A_s^2 \left(\frac{k}{k_{\text{comb}}}\right)^{n_s-1} \Rightarrow \Omega_{\text{gw}} \sim \Omega_s A_s^4 \left(\frac{k}{k_{\text{comb}}}\right)^{2(n_s-1)} < 10^{-22}$  for  $n_s < 1$

- sharp peak

$$P_{\delta\mathcal{P}} \propto \delta\left(\ln\left(\frac{k}{k_p}\right)\right) \Rightarrow \Omega_{\text{gw}}$$



Ananda et al.  
(2006)

- SIGWfast code

for any  $P_{\delta\mathcal{P}}(k)$

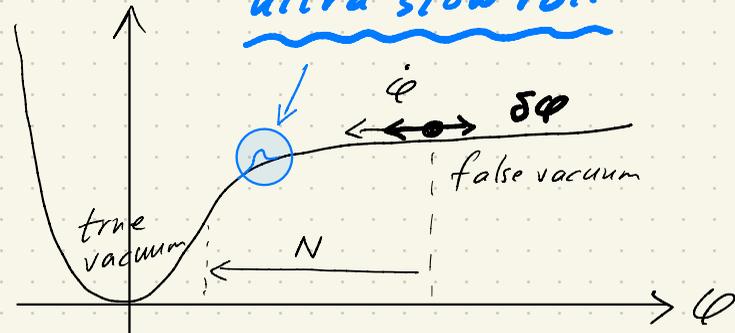
Witkowski (2022)

## enhanced density perturbations on small scales

- $\frac{\delta \rho}{\rho} \sim \delta N = \frac{\partial N}{\partial \varphi^i} \delta \varphi^i$

- single field:  $\frac{dN}{d\varphi} = \frac{H}{\dot{\varphi}} \Rightarrow$  enhanced power where  $\epsilon_1 \sim \left(\frac{\dot{\varphi}}{H}\right)^2 \rightarrow 0$

ultra slow roll



- multi-field instability or phase transition

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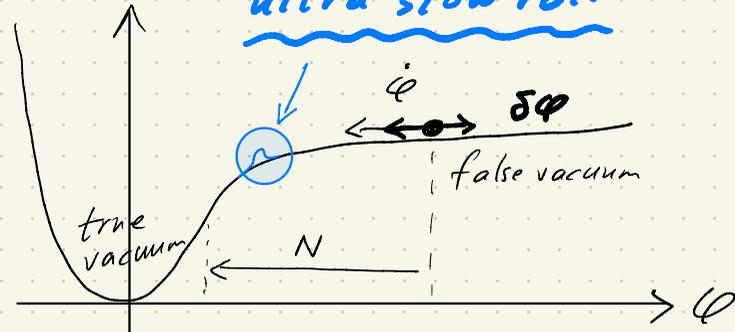
ultra slow roll

-  $\alpha$ -attractors

$$n_s - 1 \simeq \frac{-2}{N_{\text{cmb}} - N_{\text{pk}}}$$

$$< -2/N_{\text{cmb}}$$

- Iacconi et al. 2022



- multi-field instability or phase transition

García-Bellido, Linde & Wands 1995

# Ultra-slow-roll inflation

e.g. inflection point

Garcia-Bellido & Ruiz (2017)

primordial curvature  
power spectrum

$$\langle \delta N^2 \rangle = \left( \frac{H}{\dot{\varphi}} \right)^2 \langle \delta \varphi^2 \rangle$$

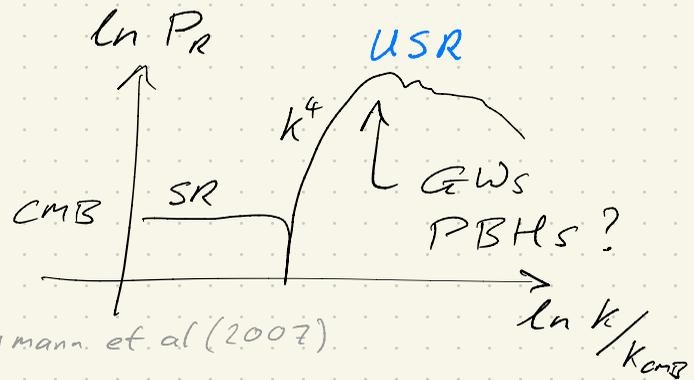
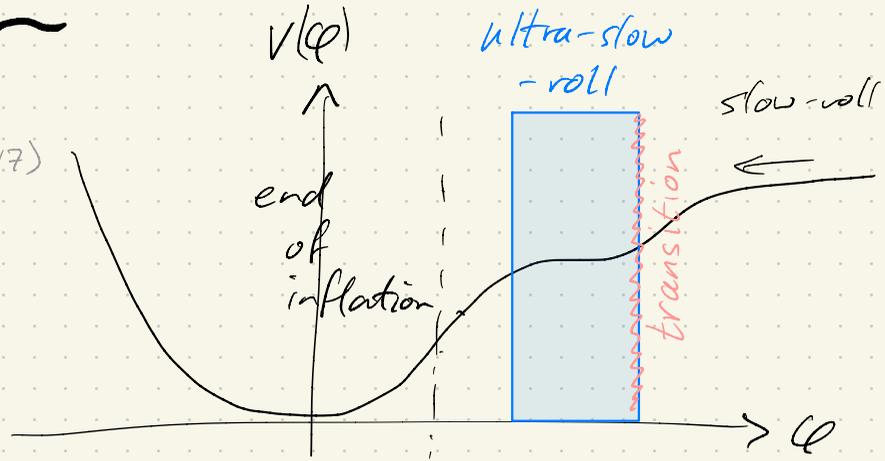
↑ boosted as  $\left( \frac{\dot{\varphi}}{H} \right)^2 \rightarrow 0$

\* **primordial black holes**

Carr & Hawking '74

\* **induced (second-order) grav. waves**

Matarrese et al., Ananda et al., Baumann et al (2007)



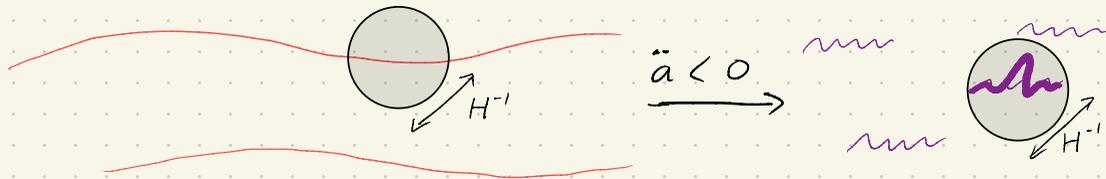
# Primordial black holes

Zel'dovich & Novikov (1967), Hawking (1971)

- formed by collapse of large density perturbations,  $\frac{\delta\rho}{\rho} > \delta_{crit}$   
in early radiation-dominated era

- mass related to horizon mass at formation time

$$M_{PBH} \sim \frac{t}{10^{-6} \text{ s}} M_{\odot}$$



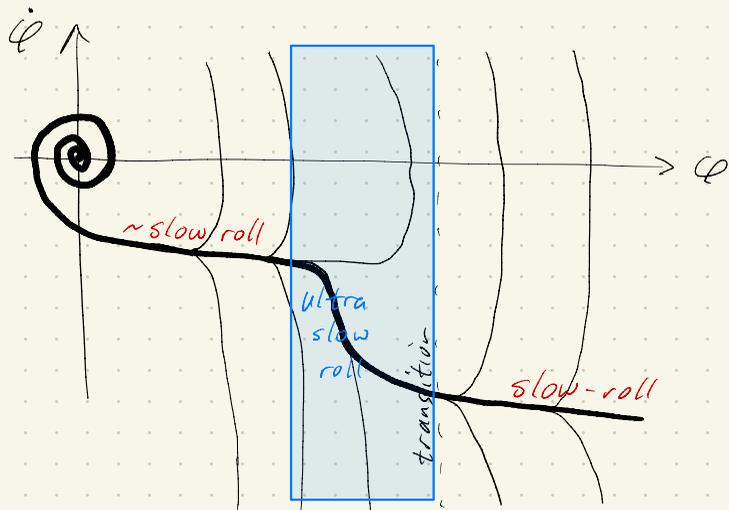
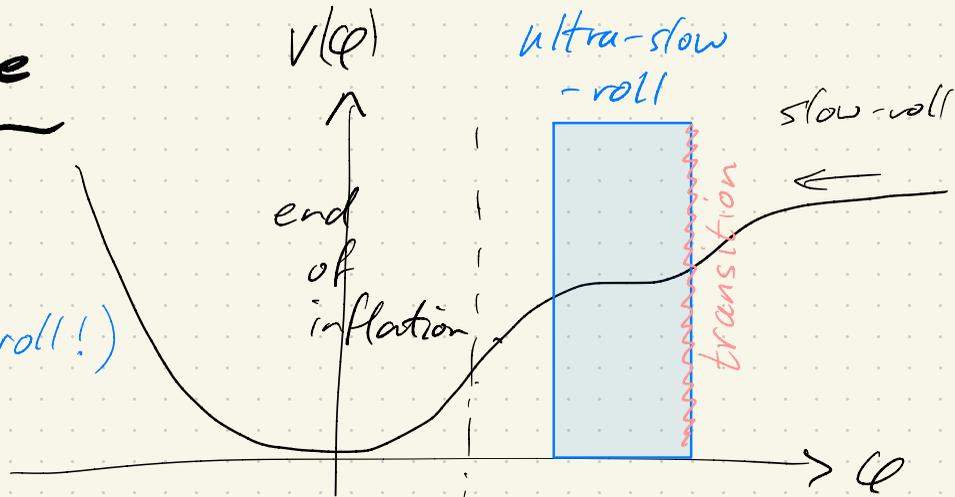
- non-perturbative,  $\delta_{crit} \sim 0.1$ , and rare

mass fraction at formation :  $\beta(M) \sim 10^{-8} \left( \frac{M_{PBH}}{M_{\odot}} \right) \Omega_{PBH}$

# USR phase space

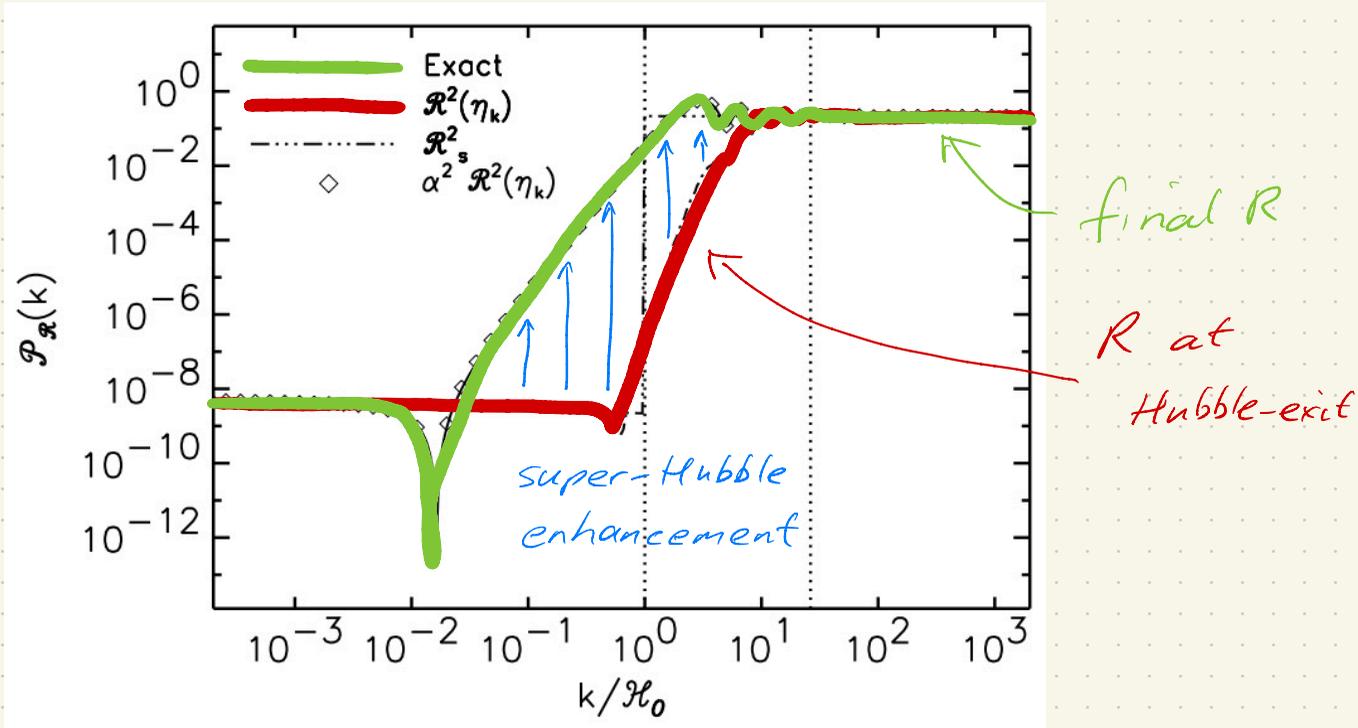
$$\epsilon_1 \ll 1$$

$$\epsilon_2 \approx -6 \quad (\text{not slow roll!})$$



# Enhancement of superhorizon scale inflationary curvature perturbations

Samuel M. Leach<sup>1</sup>, Misao Sasaki<sup>2</sup>, David Wands<sup>3</sup> and Andrew R. Liddle<sup>1</sup>



in Starobinsky's piecewise linear potential

arXiv:astro-ph/0101406v2 21 Feb 2001

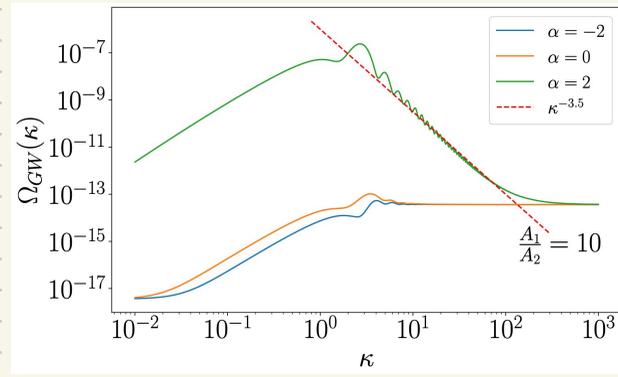
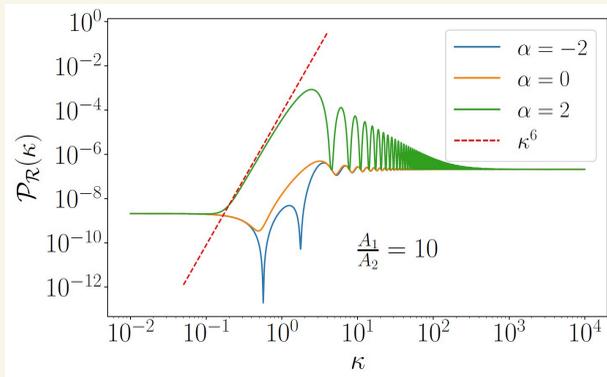
# steepest growth of $P(k)$

- SR  $\rightarrow$  USR transition mixes growing mode gradient with decaying mode

$\Rightarrow k^4$  slope (Byrnes et al, Mulryne et al)

- non-Bunch-Davies vacuum enhances decay mode ( $\propto k^6$ )

$\Rightarrow k^6$  slope (Cielie & Wandz, arXiv. 2410)



- e.g. multiple transitions (Tasinato)

# discussion

- CMB offers detailed but narrow window onto inflation
  - primary GWs key to testing (maybe proving?) inflation
- GWs preserve a record of primordial universe on all scales
  - secondary GWs inevitably induced from scalar perturbations
    - could be detected from enhanced density perturbations
    - PBHs could provide complementary probe
      - e.g. solar mass PBHs  $\rightarrow$  SIGW at formation (PTA)
      - $\rightarrow$  BBH GW event at mergers (LVK)
    - too fine tuned?
    - GW constraints could rule out  $P_{sp}$  for PBHs