

# Non-perturbative determination of fermion condensates in large- $N$ gauge theories

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## CONFINEMENT AND SYMMETRY FROM VACUUM TO QCD PHASE DIAGRAM

9–15/02/2025 CCBPP Benasque

Based on:

**The large- $N$  limit of the chiral condensate from twisted reduced models**

CB, P. Butti, M. García Pérez, A. González-Arroyo, K.-I. Ishikawa, M. Okawa

*JHEP* **12** (2023) 034 [arXiv:2309.15540]  
+ new data (work in progress)

# Large- $N$ gauge theories

Pioneering works by 't Hooft and Witten about **large- $N$  QCD**.

[NPB 72 (1974) 461 – NPB 160 (1979) 57]

→ Factorization, suppression of non-planar diagrams,  $1/N$  expansion.

Large- $N$   $1/N$  expansion: **non-perturbative tool** allowing to unveil deep connections among chiral-symmetry breaking, chiral anomaly, confinement, topology [PRL 37, 8 (1976) – NPB 156, 269 (1979) – NPB 159, 213 (1979)]  
(also, talk by T. Cohen tomorrow)

Large- $N$  has also **phenomenological predictive power** for strong interactions:  
Witten–Veneziano formula for the  $\eta'$  mass [PRL 37, 8 (1976) – NPB 156, 269 (1979) – NPB 159, 213 (1979)], meson-meson scattering amplitudes [EPJC 80 (2020) 7, 638] and tetraquark state [JHEP 06 (2022) 049] ...

Some models offer the possibility of analytically investigating specific non-perturbative properties of large- $N$  gauge theories.

For the rest, we can rely on **numerical Monte Carlo simulations of large- $N$  lattice gauge theories** (this talk).

Some features have been thoroughly investigated on the lattice at large- $N$ .

- Mass spectrum: glueballs and strings

JHEP 06 (2004) 012 – JHEP 08 (2010) 119 – JHEP 12 (2021) 082 467  
(also, morning talk by P. Bicudo)

- Confinement:  $\Lambda$ -parameter and critical deconfinement temperature

PLB 718 (2013) 1524-1528 – PLB 712 (2012) 279-283

- $\theta$ -dependence (vacuum energy): topological susceptibility, higher-order terms

PLB 762 (2016) 232-236 – PRD 94 (2016) 8, 085017 – JHEP 03 (2021) 111

- $\theta$ -dependence of deconfinement temperature, string tension, mass gap

PRL 109 (2012) 072001 – JHEP 05 (2024) 163 – JHEP 02 (2024) 156

This talk is about a less investigated topic in large- $N$  lattice gauge theories:  
**calculation of fermion condensates.** We made significant progress recently:

- Quark condensate in large- $N$  QCD JHEP 12 (2023) 034 [2309.15540]
- Gluino condensate in large- $N$  SUSY YM PRD 110 (2024) 7 074507 [2406.08995]

This talk will present an **updated** study of the **quark chiral condensate** in  
**large- $N$  QCD** including new simulations with  $N$  as large as **841**.  
This was possible by virtue of **large- $N$  volume independence**.

# Large- $N$ volume independence

Standard approach: extended lattice, periodic boundary conditions,  
extrapolation towards  $1/N \rightarrow 0$ . Typically  $N \lesssim \mathcal{O}(10)$ .

Our lattice calculation: **large- $N$  twisted volume reduction.**

Large- $N$  equivalence of space-time and color degrees of freedom

[Eguchi & Kawai PRL 48 (1982) 1063]

$V_{\text{eff}} = N^2 V \implies N \rightarrow \infty$  is a thermodynamic limit, finite-volume effects vanish.

This idea, taken to the extreme, suggests the possibility of studying the lattice  
**SU( $\infty$ )** Yang–Mills theory as a matrix model defined on **single space-time point**.

Reducing the volume allows to reach  $N \sim \mathcal{O}(10^2\text{--}10^3)$ .

Achtung! EK reduction holds if **center-symmetry is not broken!**

It is well established that it is spontaneously broken in certain regimes  $\implies$   
several proposals to enforce it (e.g., continuum reduction, trace deformation).

[NPB 696 (2004) 107–140 – PRD 78 (2008) 065035]

Our approach: large- $N$  lattice gauge theories reduced on a **one-point lattice** with  
**twisted boundary conditions**  $\implies$  **Twisted Eguchi–Kawai (TEK) model**

[A. González-Arroyo & M. Okawa PRD 27 (1983) 2397; JHEP07 (2010) 043]

# Status of large- $N$ QCD calculations of quark condensate

Large- $N$  QCD:  $N \rightarrow \infty$  at fixed  $\lambda = g^2 N$  and fixed  $N_f$ .

## Quark chiral condensate

$$\Sigma \equiv - \lim_{m \rightarrow 0} \langle \bar{u}u \rangle, \quad (m_u = m_d \equiv m)$$

$$\Sigma(N) = N \left[ c_0 + c_1 \frac{N_f}{N} + c_2 \frac{1}{N^2} + \mathcal{O}\left(\frac{1}{N^2}\right) \right]$$

Well-established quantity in QCD (plot on the right).

Very limited studies in large- $N$  QCD.

- Narayanan, Neuberger (2004) [hep-lat/0405025]

Exploratory study (1 lattice spacing, 1-loop perturbative renormalization).

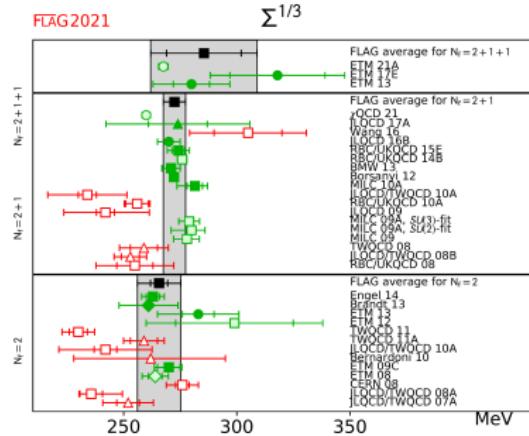
- Hernández et al. (2019) [1907.11511]

$N_f = 4, 3 \leq N \leq 6$ , 1 latt. spacing, no renormalization.

- DeGrand, Wickenden (2023) [2309.12270]

Appeared concurrently with our study.

Preliminary continuum limit from coarse spacings,  
 $N_f = 2$ , exploratory large- $N$  limit ( $3 \leq N \leq 5$ )



# TEK model of large- $N$ QCD: gluons

Quarks sub-leading in  $1/N \implies$  quarks are **exactly** quenched at large  $N$ .  
Large- $N$  QCD can be dynamically simulated as a pure Yang–Mills theory.

- $U_\mu(n) \rightarrow U_\mu$  (one site  $\implies$  only  $d = 4$  links)
- $U_\mu(n + a\hat{\nu}) = \Gamma_\nu U_\mu \Gamma_\nu^\dagger$  (**Twisted Boundary Conditions**)
- $b = 1/\lambda$ , with  $\lambda = g^2 N$  the bare 't Hooft coupling  $(b = \frac{\beta}{2N^2})$

$$S_{\text{TEK}}[U] = -Nb \sum_{\nu \neq \mu} z_{\nu\mu} \text{Tr} \left\{ U_\mu U_\nu U_\mu^\dagger U_\nu^\dagger \right\} \quad (\text{Wilson plaquette action})$$

- Twist-eaters  $\Gamma_\mu \Gamma_\nu = z_{\nu\mu} \Gamma_\nu \Gamma_\mu$  with **twist factor**  $z_{\nu\mu} = \exp \left\{ \frac{2\pi i k(N)}{\sqrt{N}} \varepsilon_{\nu\mu} \right\}$ 
    - **Effective box size**  $L = \sqrt{N}$
  - $L$  prime number,  $k(N)$  co-prime with  $L$  and scaled with  $N$   
This choices minimize non-planar finite- $N$  corrections
- Chamizo & González-Arroyo, J. Phys. A 50 (2017) 26 265401 [1610.07972]
- Continuum limit achieved via  $b \rightarrow \infty$ , when lattice spacing  $a(b) \rightarrow 0$

# TEK model of large- $N$ QCD: quarks

We adopt **2 degenerate valence Wilson quarks** with mass  $m$ .

For fermions, reduction is a bit more involved. I just report the expression of the TEK Dirac–Wilson operator in the **fundamental** representation.

Details can be found here: [González-Arroyo, Okawa \(2015\) \[1510.05428\]](#)

$$D_{\text{TEK}} = \frac{1}{2\kappa} - \frac{1}{2} \sum_{\mu=0}^{d-1} \left[ (\mathbb{I} + \gamma_\mu) \mathcal{W}_\mu + (\mathbb{I} - \gamma_\mu) \mathcal{W}_\mu^\dagger \right]$$

$$\mathcal{W}_\mu = U_\mu \otimes \Gamma_\mu^* \quad \kappa \rightarrow \text{usual Wilson hopping parameter}$$

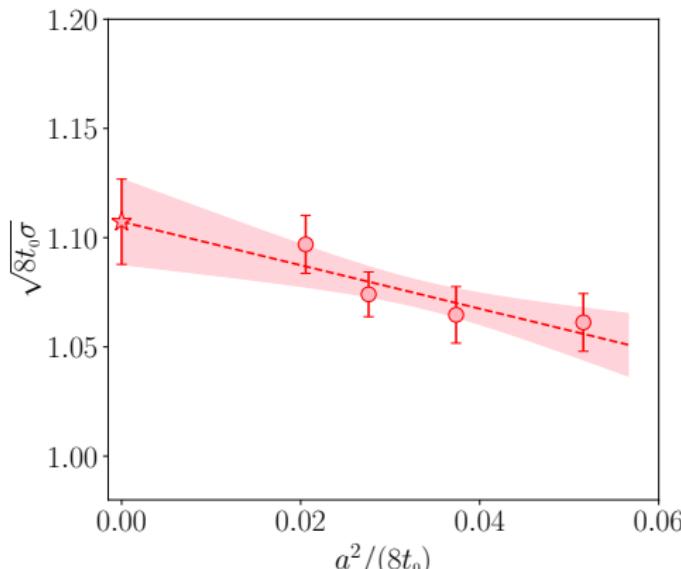
In momentum space:

$$\tilde{D}_{\text{TEK}}(p) = \frac{1}{2\kappa} - \frac{1}{2} \sum_{\mu=0}^{d-1} \left[ (\mathbb{I} + \gamma_\mu) \mathcal{W}_\mu e^{ip_\mu} + (\mathbb{I} - \gamma_\mu) \mathcal{W}_\mu^\dagger e^{-ip_\mu} \right]$$

# Scale setting

- String tension  $\sqrt{\sigma}$ , obtained from smeared Creutz ratio  
[González-Arroyo, Okawa \(2013\) \[1206.0049\]](#)
- Gradient flow reference scale  $\sqrt{8t_0}$ , obtained from clover action density  
[García Pérez et al. \(2020\) \[2011.13061\]](#)

$$E(t) \equiv \langle \text{Clover}(t) \rangle \quad \frac{1}{N} [t^2 E(t)] \Big|_{t=t_0} = c = 0.1$$



**Couplings:**  
 $b = 0.355, 0.360, 0.365, 0.370$

- $\sqrt{\sigma}$ :  $N = 841$
- $\sqrt{8t_0}$ :  $169 \leq N \leq 361$

$$\begin{aligned} a\sqrt{\sigma} &\sim 0.241 - 0.157 \\ \implies a &\sim 0.107 - 0.069 \text{ fm} \\ (\sqrt{\sigma} &= 445 \text{ MeV}) \end{aligned}$$

Range of  $a$  similar to QCD simulations.

# Chiral condensate from pion mass

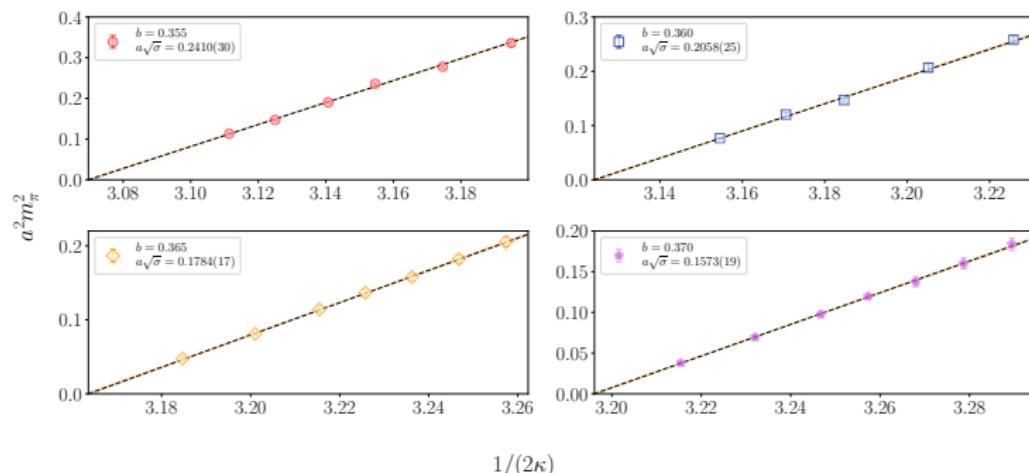
$$m_\pi^2 = 2 \frac{\Sigma}{F_\pi^2} m = 2 \frac{\Sigma_R}{F_\pi^2} m_R \equiv 2 B_R m_R \quad (\text{Gell-Mann--Oakes--Renner})$$

Slope  $m_\pi^2$  vs  $1/(2\kappa) \rightarrow B_R/Z_s$

Pion correlator: anti-transform  $\vec{p} = \vec{0}$  correlation function in momentum space:

$$C(\tau) = \sum_{p_0} \exp \left\{ \frac{i\pi\tau p_0}{\sqrt{N}} \right\} C(p_0) \quad C(p_0) = \langle \gamma_5 \tilde{D}_{\text{TEK}}^{-1}(0) \gamma_5 \tilde{D}_{\text{TEK}}^{-1}(p_0) \rangle$$

$N = 529$



# Chiral condensate from the mode number

**Banks–Casher** relates the chiral condensate with **spectral density** in the origin:

$$\frac{\Sigma}{\pi} = \lim_{\lambda \rightarrow 0} \lim_{m \rightarrow 0} \lim_{V \rightarrow \infty} \rho(\lambda, m)$$

Mode number is the integral of  $\rho$ .

More amenable to be computed on the lattice:

$$\langle \nu(M) \rangle \equiv \langle \# |i\lambda + m| \leq M \rangle = V \int_{-\Lambda}^{\Lambda} \rho(\lambda, m) d\lambda, \quad \Lambda^2 \equiv M^2 - m^2.$$

- Banks–Casher implies linear rise of  $\langle \nu(M) \rangle$  close to  $M = m$ :

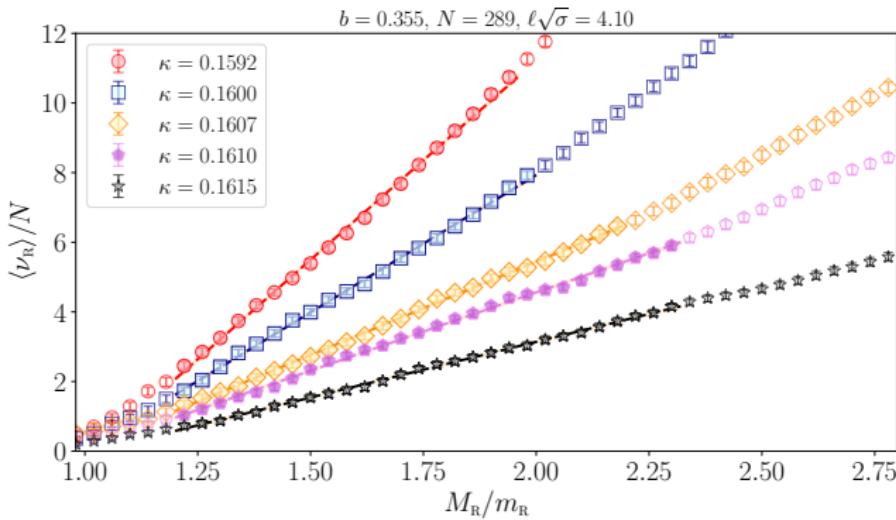
$$\langle \nu(M) \rangle = \frac{2}{\pi} V \Sigma \Lambda + \mathcal{O}(\Lambda^2) \quad V = V_{\text{eff}} = a^4 N^2$$

- Giusti–Lüscher method [JHEP 03 (2009) 013 – 0812.3638]

$$\Sigma^{(\text{eff})}(m) = \frac{\pi}{2V} \sqrt{1 - \frac{m^2}{M^2}} \left[ \frac{\partial \langle \nu(M) \rangle}{\partial M} \right] \xleftarrow{\text{slope of } \langle \nu(M) \rangle \text{ vs } M}$$

$$\Sigma^{(\text{eff})}(m) = \Sigma [1 + \mathcal{O}(m)] \quad \Rightarrow \quad \Sigma = \lim_{m \rightarrow 0} \Sigma^{(\text{eff})}(m)$$

- Solve numerically  $[\gamma_5 D_{\text{TEK}}] u_\lambda = \lambda u_\lambda$  for the lowest  $\sim \mathcal{O}(100)$  eigenmodes
- Count modes below threshold  $M$  to obtain  $\langle \nu(M) \rangle$
- **Slope:** linear best fit of  $\langle \nu(M) \rangle$  vs  $M$  close to  $M \simeq m \rightarrow \Sigma^{(\text{eff})}(m)$



- $\langle \nu \rangle = \langle \nu_R \rangle$        $M = Z_P M_R$        $Z_P m_R = \frac{Z_A Z_S}{Z_P} \times \frac{Z_P}{Z_S} \times m_{\text{PCAC}}$ 
  - $m_{\text{PCAC}}$  from usual Ward identity
  - $\frac{Z_P}{Z_S Z_A}$  from slope of  $m_{\text{PCAC}}$  vs  $1/(2\kappa)$
  - $\frac{Z_P}{Z_S}$  from eigenvectors  $u_\lambda$  [Giusti–Lüscher (2009) – 0812.3638]

# Finite- $N$ effects in the TEK model

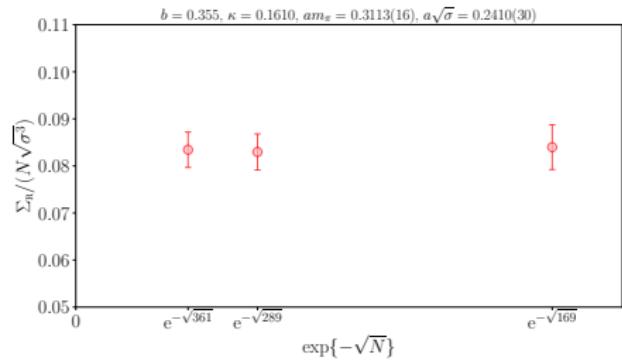
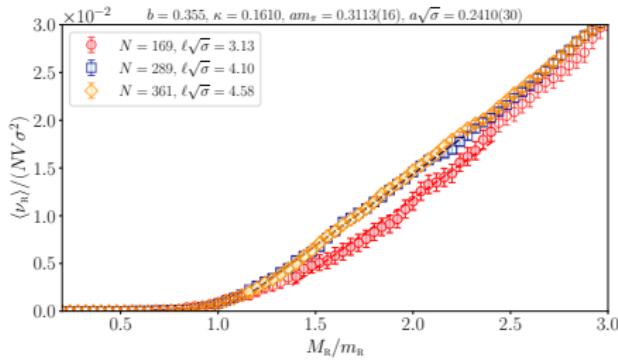
TEK model: finite- $N$  effects  $\rightarrow$  finite (effective) volume effects.  
This is a consequence of Eguchi–Kawai reduction.

Finite- $N$  effects expected to be the same of a periodic box with effective size  
 $L = \sqrt{N}$ : exponentially small when  $\ell = a\sqrt{N} \gtrsim 1/\Lambda$ .

We observe **no finite- $N$  effects** in mode number **slope** when:

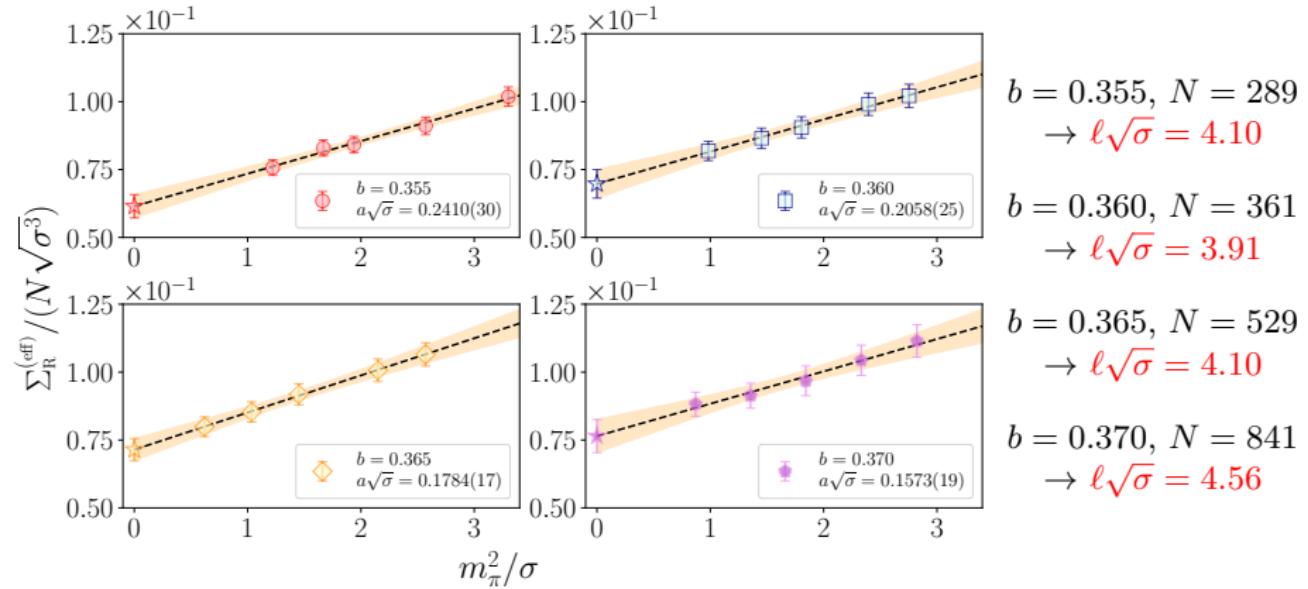
$$\ell\sqrt{\sigma} = \sqrt{N} \times a\sqrt{\sigma} \gtrsim 3 \quad \Rightarrow \quad \ell \gtrsim 1.4 \text{ fm}$$

Much like what people see in standard simulations.



# Chiral behavior of spectral determination

From mode number fit  $\rightarrow \Sigma_R m_R$ . Since we know  $Z_P m_R \implies \Sigma_R / Z_P$ .  
Renormalized via non-perturbative large- $N$  determinations of  $Z_P$  in  $\overline{\text{MS}}$  at  
 $\mu = 2 \text{ GeV}$  [L. Castagnini (2015) – [inspire:1411974](#)]



Data support Chiral Perturbation Theory prediction (no chiral logs at large- $N$ )  
[Giusti–Lüscher JHEP 03 (2009) 013]

# Continuum limit

Wilson fermions:  $\sim \mathcal{O}(a)$  lattice artifacts  $\implies$  Need **continuum limit**

We have 3 data sets:

- $\Sigma_R$  from mode number

- $\frac{B_R}{Z_S}$  from pion mass  $\rightarrow$  non-pert. renorm. via  $\frac{Z_S}{Z_P} \times Z_P$

- $\frac{F_\pi}{Z_A}$  from  $\frac{1}{m_\pi^2} \langle 0 | A_0(0) | \pi(\vec{p} = \vec{0}) \rangle \rightarrow$  non-pert. renorm. via  $\frac{Z_P}{Z_S} \times \frac{Z_S Z_A}{Z_P}$

- $F_\pi \rightarrow$  chiral limit  $F_\pi^{(\text{phys})} \simeq 92 \text{ MeV}$  (2+1 QCD)

We can perform a combined fit of these 3 data sets imposing they are described by 2 ChPT Low-Energy Constants (LECs):

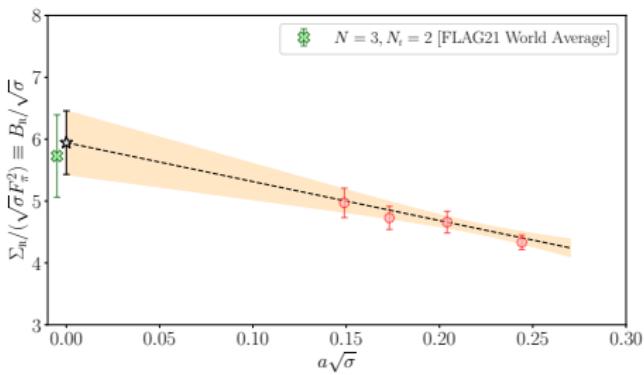
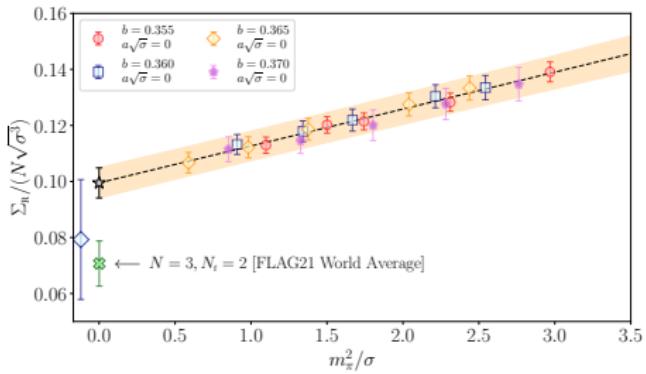
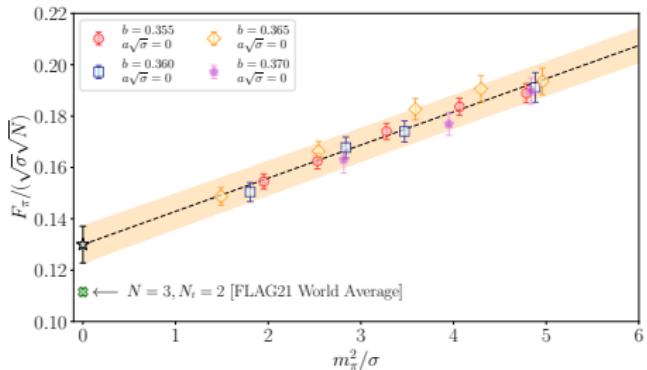
$$\frac{\Sigma_R}{N} \sim \mathcal{O}(N^0) \text{ and } \frac{F_\pi}{\sqrt{N}} \sim \mathcal{O}(N^0)$$

$$\text{with } B_R \equiv \frac{\Sigma_R}{N} \frac{N}{F_\pi^2} = \frac{\Sigma_R}{F_\pi^2} \sim \mathcal{O}(N^0)$$

Combined chiral-continuum fit:  $\mathcal{O}(a, m_\pi) = \mathcal{O} + k_1 \frac{a}{\sqrt{\sigma}} + k_2 \frac{m_\pi^2}{\sigma}$

Conversion to physical units of  $N = \infty$  results:  $\sqrt{\sigma} = 445(7)$  MeV

[Most recent 2+1 QCD lattice result: PLB 854 (2024) 138754 – arXiv:2403.00754]



•  $N = \infty$

$$\begin{aligned}\Sigma_R/N &= [206(4) \text{ MeV}]^3 \\ F_\pi/\sqrt{N} &= 58(3) \text{ MeV} \\ B_R &= 2.65(23) \text{ GeV}\end{aligned}$$

•  $N = 3, N_f = 2$  [FLAG21 World Average]

$$\begin{aligned}\Sigma_R/3 &= [184(7) \text{ MeV}]^3 \\ F_\pi/\sqrt{3} &= 49.6(7) \text{ MeV} \\ B_R &= 2.53(30) \text{ GeV}\end{aligned}$$

# Conclusions and future outlooks

TEK model allows to efficiently address the non-perturbative lattice investigation of large- $N$  gauge theories.

The techniques presented in this talk were also applied to determine the gluino condensate in large- $N$  SUSY Yang–Mills.

CB, Butti, García Pérez, González-Arroyo, Ishikawa, Okawa, PRD 110 (2024) 7 074507  
[2406.08995]

We recently also had a paper on the calculation of the mass of the lightest gluino-gluon bound state in large- $N$  SUSY Yang–Mills (mass gap).

CB, García Pérez, González-Arroyo, Ishikawa, Okawa [2412.02348]

We are currently working to compute the large- $N$  meson spectrum of up to  $N = 841$  including excited states. We will have a 5<sup>th</sup> lattice spacing.

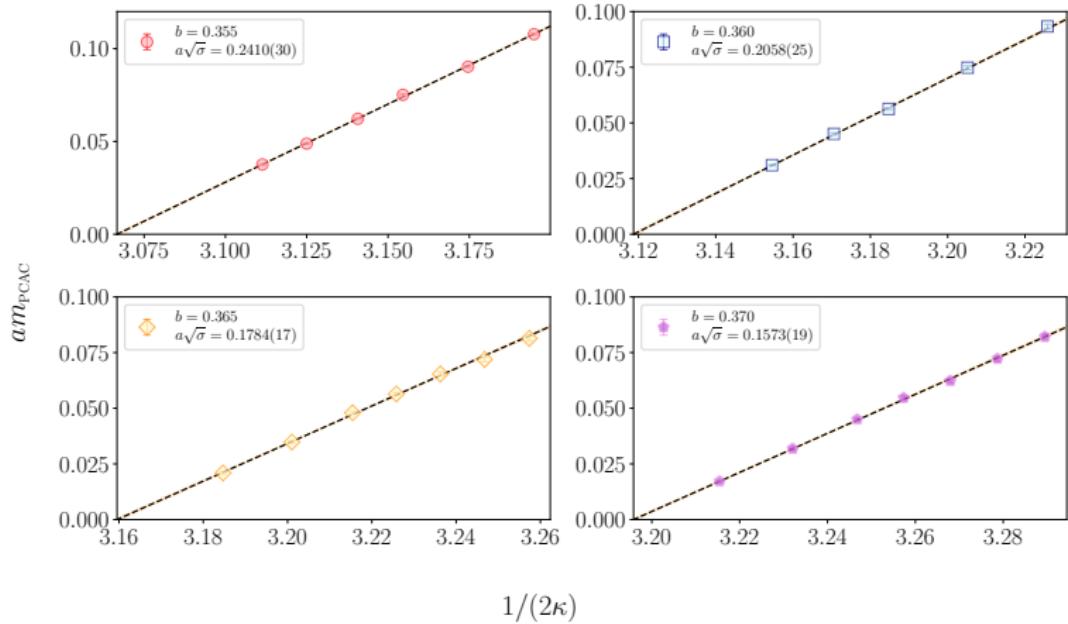
Me and Margarita are collaborating with M. D’Elia (Pisa) to investigate the running coupling and  $\Lambda$ -parameter via twisted volume independence.

CB, Dasilva Golán, García Pérez, D’Elia, Giorgieri, EPJC 84 (2024) 9 916 [2403.13607]  
PoS LATTICE2024 (2025) 404 [2501.18449]

Future outlooks: finite  $T$ ? Glueball/string spectrum? Overlap quarks?

## **BACK-UP SLIDES**

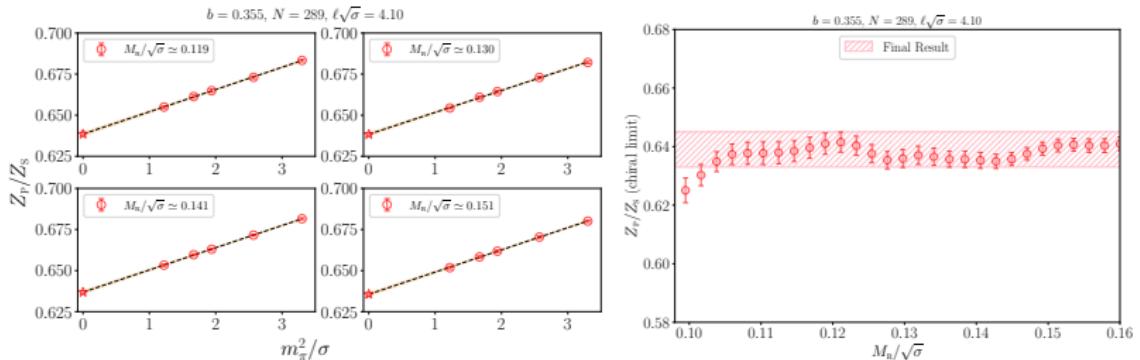
# PCAC mass



Slope  $m_{\text{PCAC}}$  vs  $1/(2\kappa) \rightarrow Z_{\text{P}}/(Z_{\text{S}}Z_{\text{A}})$

Chiral limit achieved when  $\kappa \rightarrow \kappa_c$ .  
 Determinations of  $\kappa_c$  from  $m_\pi$  and  $m_{\text{PCAC}}$  agree

# Calculation of $Z_P/Z_S$



From the same eigenproblem solved to obtain the mode number  $\langle \nu(M) \rangle$  we also obtained  $Z_P/Z_S$  non-perturbatively [[Giusti–Lüscher JHEP03 \(2009\) 013](#)]

$$\left(\frac{Z_P}{Z_S}\right)^2 = \frac{\langle s_P(M) \rangle}{\langle \nu(M) \rangle} \quad s_P(M) \equiv \sum_{|\lambda|, |\lambda'| \leq M} |u_\lambda^\dagger \gamma_5 u_{\lambda'}|^2,$$