

# Neutrino physics (theory) I

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# Lecture 1

**Introduction: neutrinos  
and their history**

**Neutrinos in the  
Standard Model**

**Neutrino oscillations**

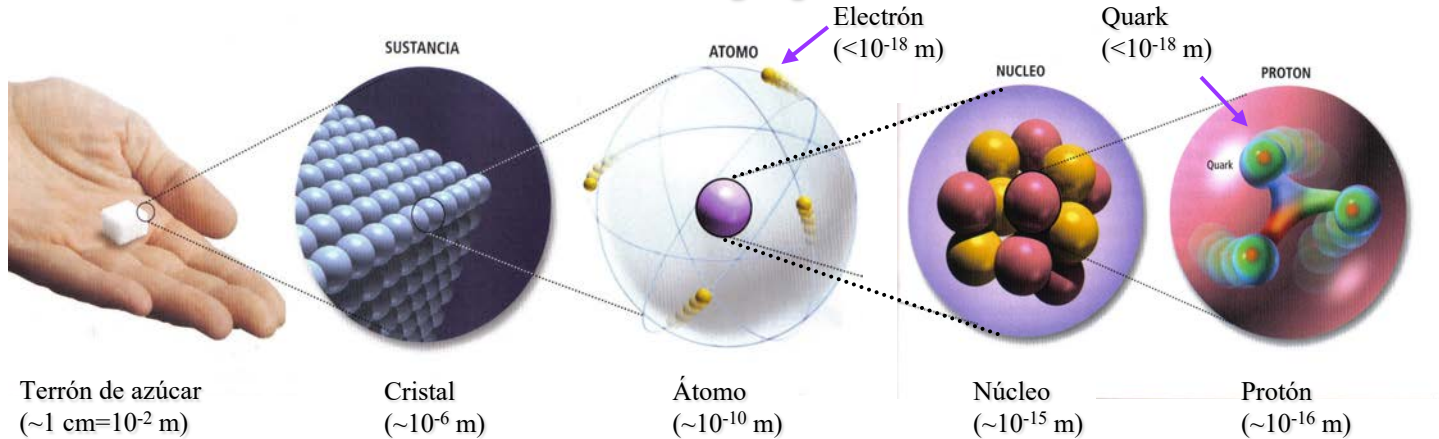
# Lecture 2

**Values of neutrino masses**

**Introduction to  
neutrino cosmology**

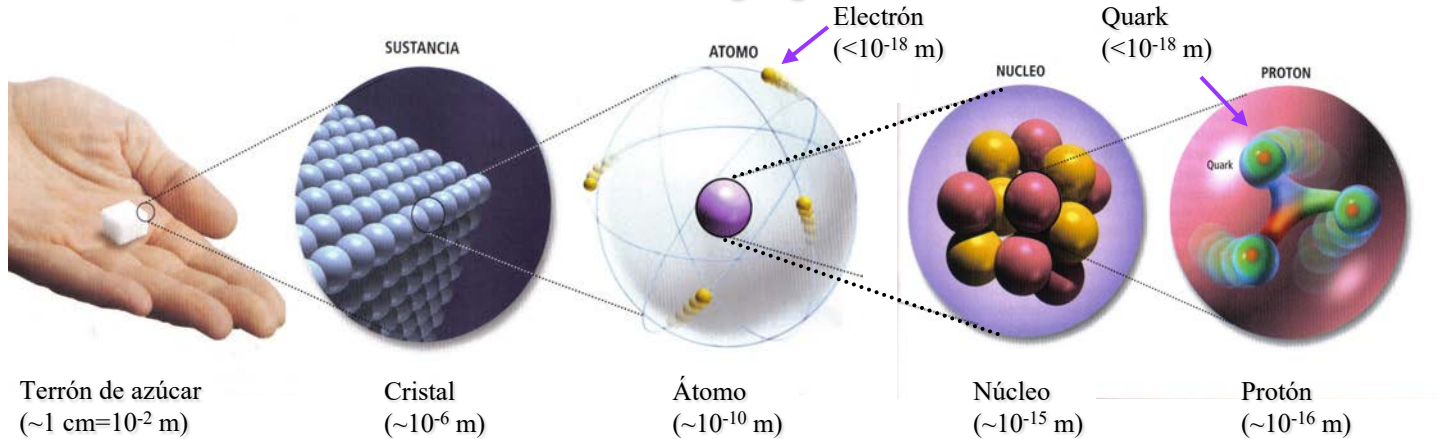
**Cosmological bounds on  
some neutrino properties**

# Elementary particles



	Quarks				Leptons			
	Charge $+2/3$		Charge $-1/3$		Charge $-1$		Charge $0$	
<b>1st Family</b>	<b>up</b>	<b>u</b>	<b>down</b>	<b>d</b>	<b>electron</b>	<b>e</b>	<b>e-neutrino</b>	<b><math>\nu_e</math></b>
<b>2nd Family</b>	<b>charm</b>	<b>c</b>	<b>strange</b>	<b>s</b>	<b>muon</b>	<b><math>\mu</math></b>	<b><math>\mu</math>-neutrino</b>	<b><math>\nu_\mu</math></b>
<b>3er Family</b>	<b>top</b>	<b>t</b>	<b>bottom</b>	<b>b</b>	<b>tau</b>	<b><math>\tau</math></b>	<b><math>\tau</math>-neutrino</b>	<b><math>\nu_\tau</math></b>
Gravity								
Weak interaction								
Electromagnetism								
Strong interaction								

# Elementary particles



	Quarks				Leptons		
	Charge +2/3		Charge -1/3		Charge -1	Charge 0	
1st Family	up	u	down	d	electron	e	e-neutrino $\nu_e$
2nd Family	charm	c	strange	s	muon	$\mu$	$\mu$ -neutrino $\nu_\mu$
3er Family	top	t	bottom	b	tau	$\tau$	$\tau$ -neutrino $\nu_\tau$

Weak interaction

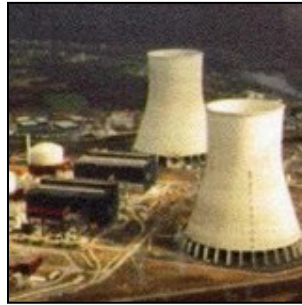
neutral spin  $\frac{1}{2}$  particles  
+ 3 antineutrino states



# Where do neutrinos come from?



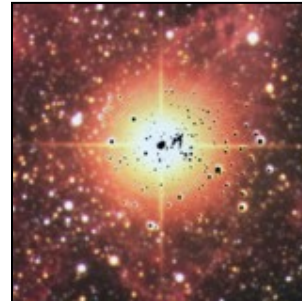
Nuclear reactors



Sun



Particle accelerators

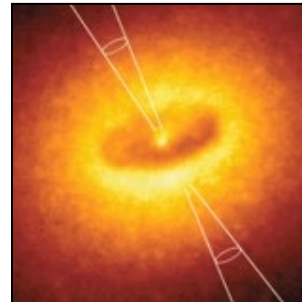
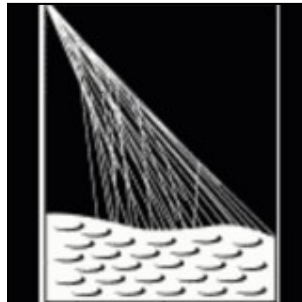


Supernovae

**SN 1987A** ✓



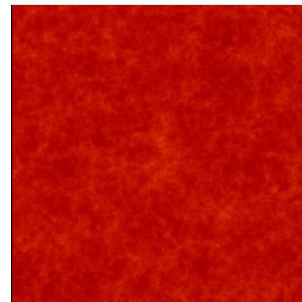
Earth Atmosphere  
(Cosmic rays)



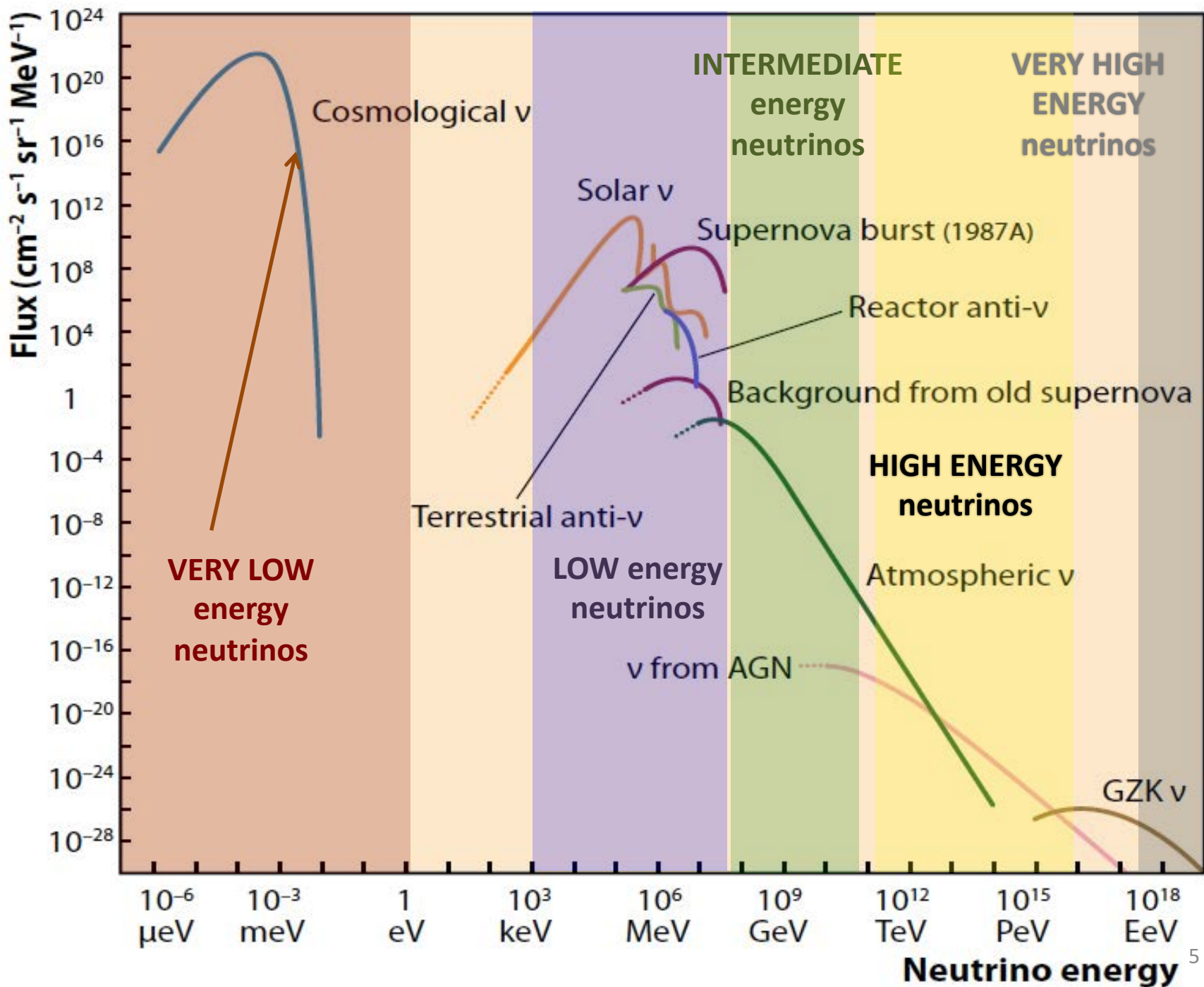
Accelerators in  
astrophysical sources ? ✓



Earth interior  
(Natural Radioactivity)

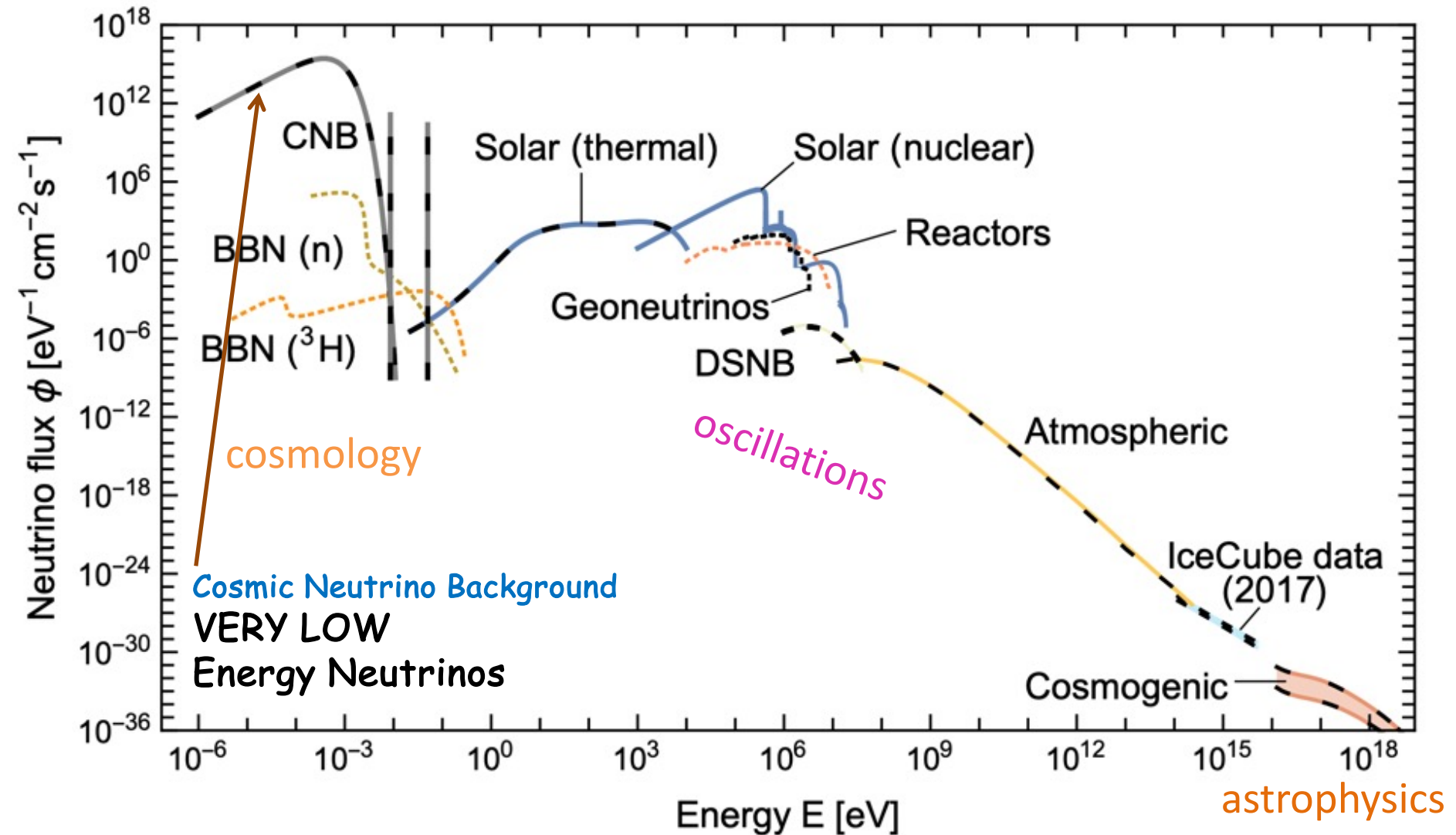


**EARLY UNIVERSE**  
(today  $336 \nu/\text{cm}^3$ )  
**Indirect evidence**

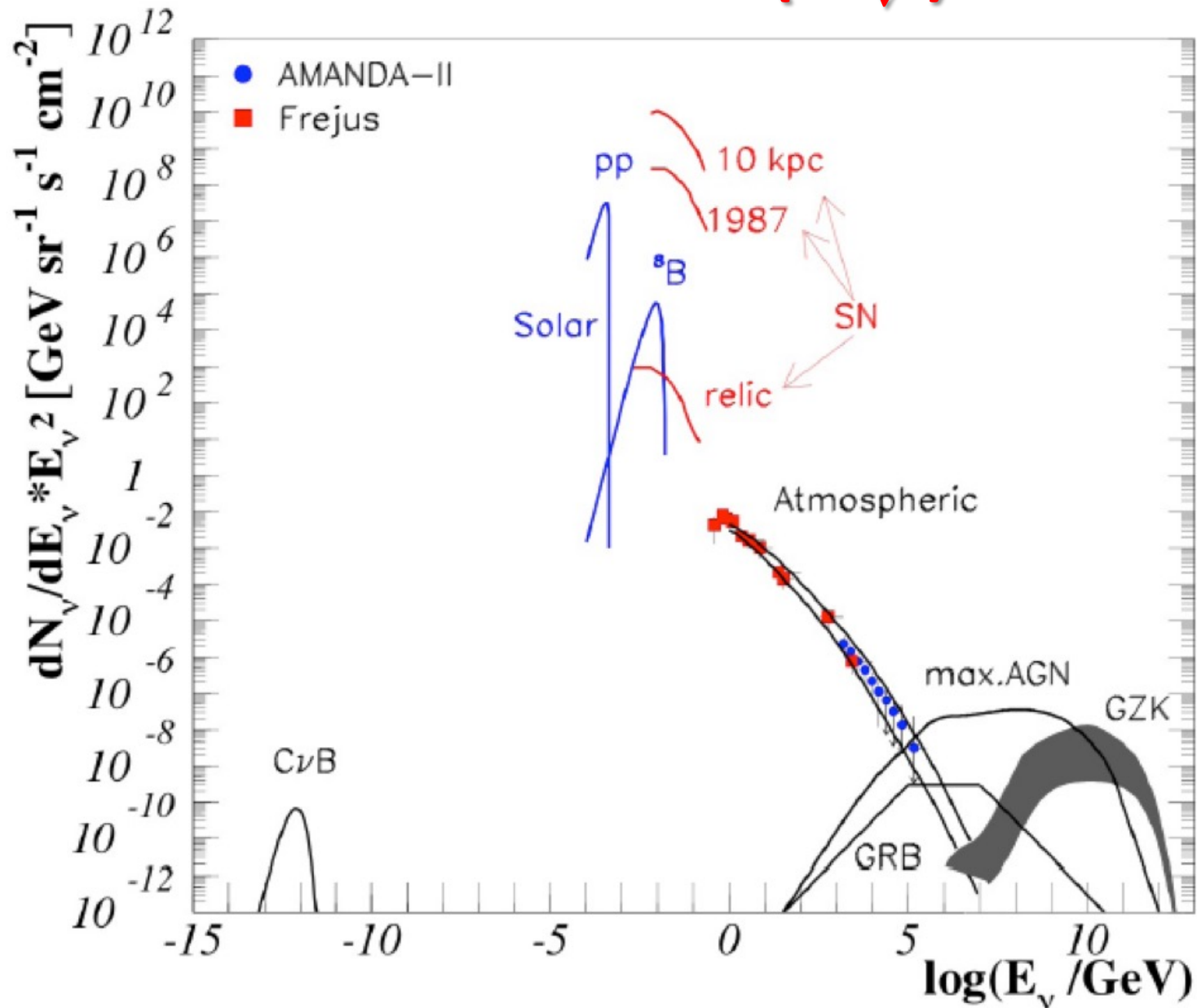




# Grand Unified Neutrino Spectrum at Earth



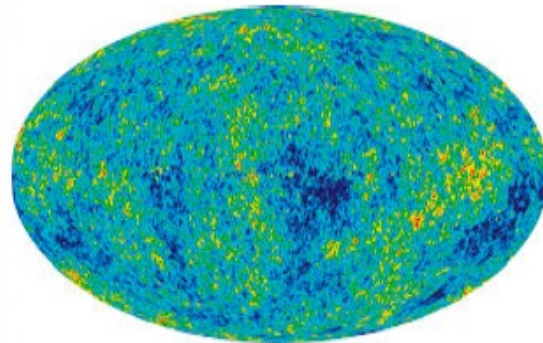
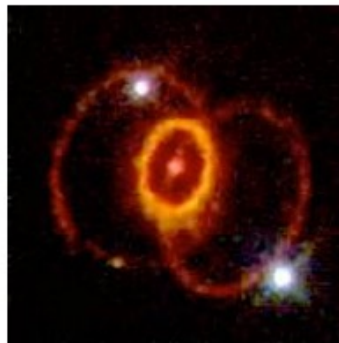
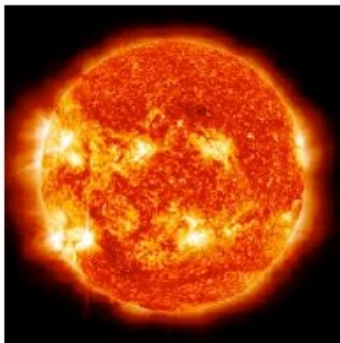
# Neutrino flux ( $\times E_\nu^2$ )





# Why neutrinos are so important?

- They can **probe astrophysical environments** that other techniques cannot: supernova explosions, the core of the Sun,...
- Their role is crucial for the **evolution of the Universe** (Big Bang Nucleosynthesis, structure formation...)
- They could help explaining the **matter-antimatter asymmetry** of the Universe (leptogenesis mechanism)
- They provide an evidence for **physics beyond the SM!!!**

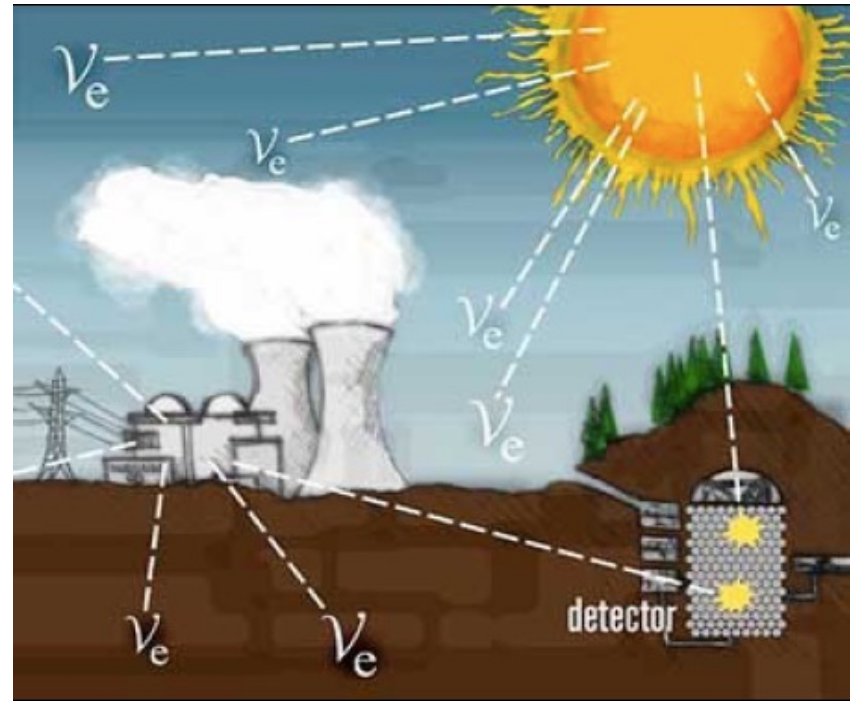


# Detecting neutrinos

Neutrinos are so weakly interacting that **detecting** and **studying** them is an extremely difficult task

We need :

- Very intense neutrino sources
- A lot of patience (time)
- Large detectors
- Very low background signal (underground experiments)



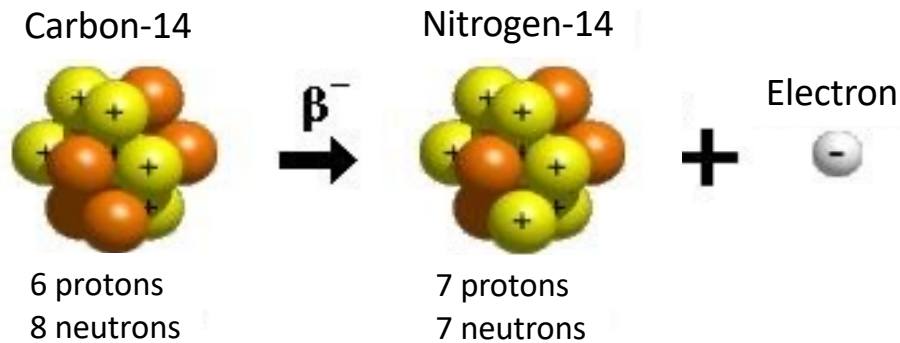
See Patricia's lectures on experiments



# **A brief history of neutrinos**

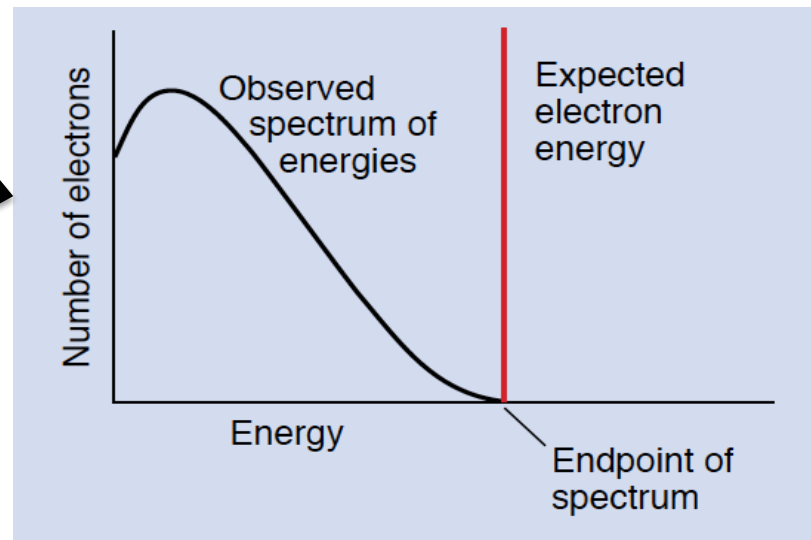
# Neutrino proposal

Experiments by James Chadwick (1913) and others, measuring the **electrons** from radioactive  $\beta$  decays of atomic nuclei



From energy and momentum conservation, the emitted electrons should have a **fixed energy**

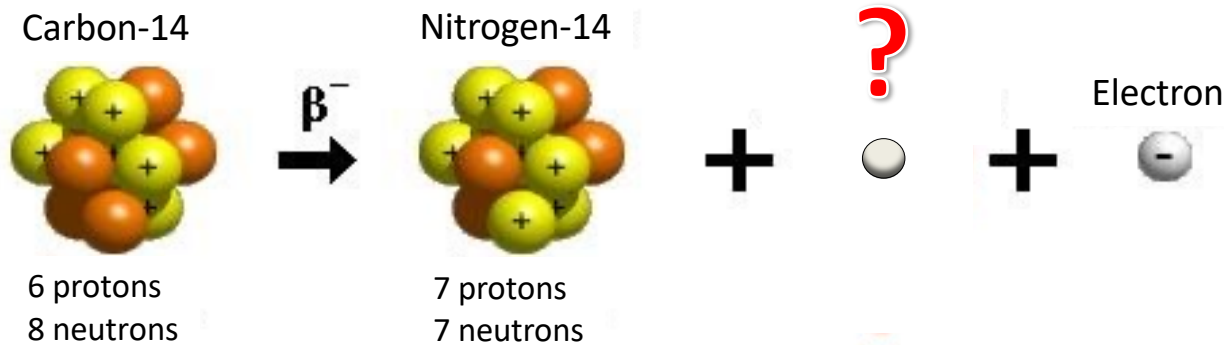
**Niels Bohr even** suggested that energy may not be conserved in individual nuclear processes





# Neutrino proposal

1930: **Wolfgang Pauli**, in a famous letter to colleagues, postulated as a **desperate way** to solve the observations, the **existence of a new particle** (with spin  $\frac{1}{2}$  from conservation of angular momentum)



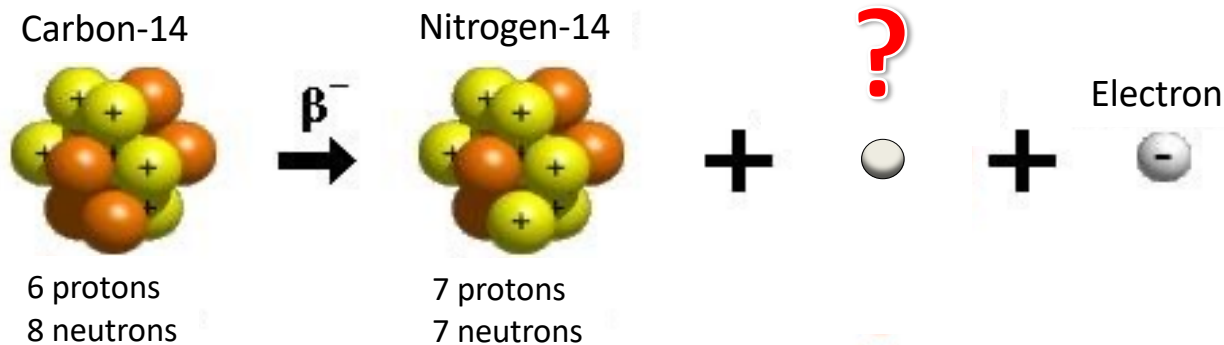
*"Dear radioactive ladies and gentlemen,*

*I have come upon a desperate way out regarding ... [some fairly obscure data], as well as to the continuous  $\beta$ -spectrum, in order to save ... The energy law. To wit, the possibility that there could exist in the nucleus **electrically neutral particles** which I shall call **neutrons**, which have spin  $1/2$  and satisfy the exclusion principle and which are further distinct from light-quanta in that they do not move with light velocity. ... The continuous  $\beta$ -spectrum would then become understandable from the assumption that in  $\beta$ -decay a neutron is emitted along with the electron, in such a way that the sum of the energies of the neutron and the electron is constant."*



# Neutrino proposal

1930: **Wolfgang Pauli**, in a famous letter to colleagues, postulated as a **desperate way** to solve the observations, the **existence of a new particle** (with spin  $\frac{1}{2}$  from conservation of angular momentum)



The **new particle** **would interact very weakly with matter**, which explains why it had not been observed, and would carry part of the decay energy, so that the energy spectrum of electrons is continuous.

First proposal of a **new particle** that is **NOT** part of ordinary matter

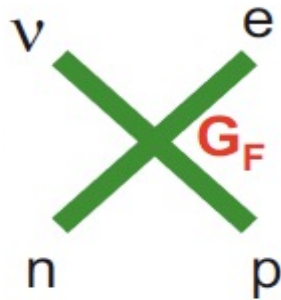
*"I have done something very bad today by proposing a particle that cannot be detected. It is something that no theorist should ever do."*

**Pauli (1930)**

# Enrico Fermi and the neutrino

Enrico Fermi (1934) proposes the first theory of nuclear  $\beta$  decay : the theory of **weak interactions**

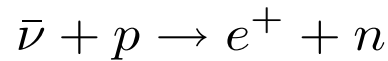
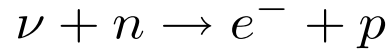
the **NEUTRINO** is born  
(Italian: “il piccolo neutro”, el little neutral particle)





# Is it possible to detect neutrinos?

Bethe and Peierls (1934) calculate the **cross section** for the processes



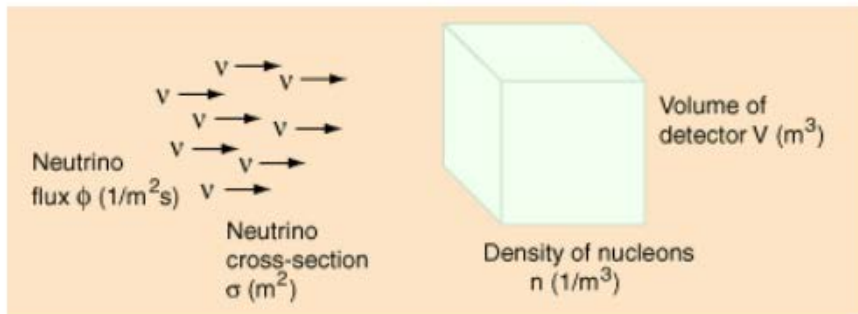
$$\sigma \sim 10^{-44} \text{ cm}^2$$

Interactions of  $\nu$  / sec = Flux ( $\nu \text{ cm}^{-2}\text{s}^{-1}$ )  $\times$  cross section ( $\text{cm}^2$ )  
 $\times$  particles in target



mean free path of neutrinos  $\xrightarrow{E_\nu = 2 \text{ MeV}}$   $\lambda_\nu$  (**water**)  $\approx 15$  light-years (all nucleons)  
 $\lambda_\nu$  (**lead**)  $\approx 1.5$  light-years (all nucleons)

Event number in a neutrino experiment:



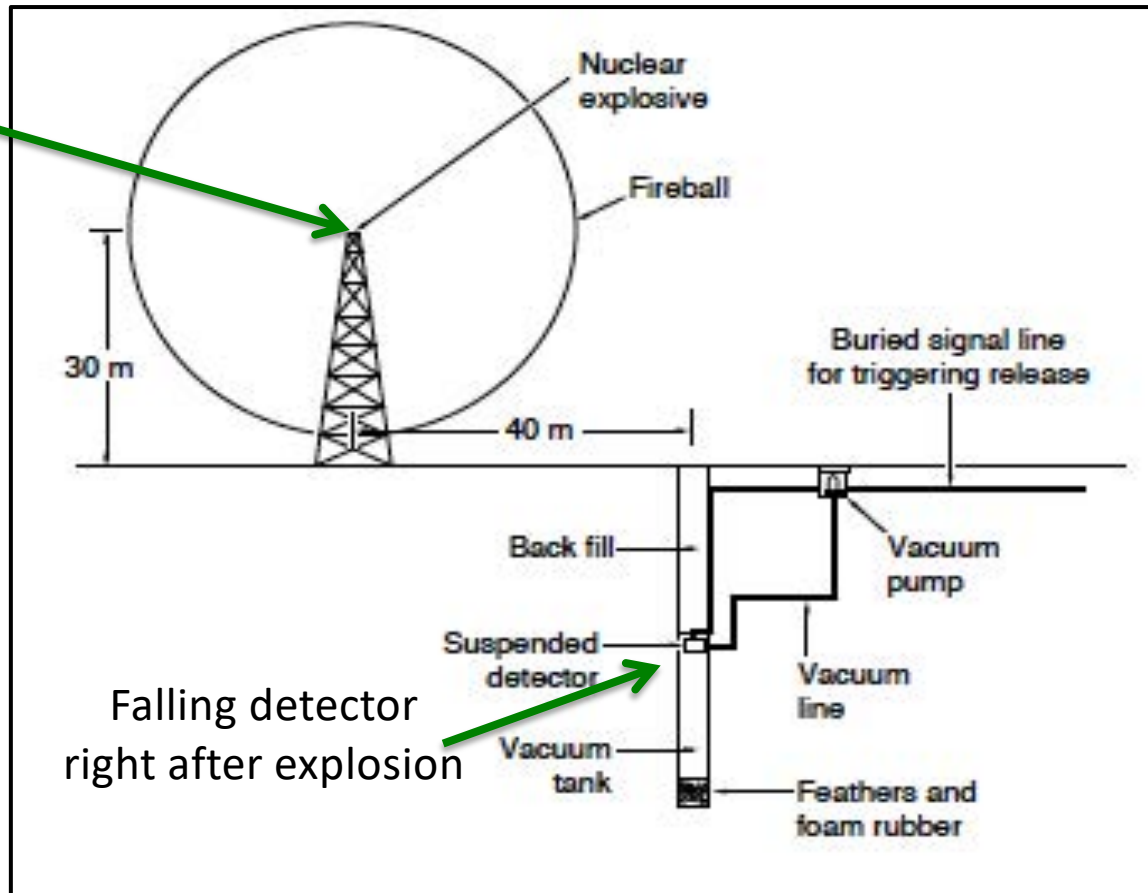
$$N = \phi \sigma N_{\text{targ}} \Delta t$$

with a 1000 kg detector and a flux of  $10^{10} \nu/\text{s}$ : few  $\nu$  events/day

**solar** / **reactor** neutrino flux  $\sim 7 \times 10^{10} \nu \text{ cm}^{-2} \text{ s}^{-1}$  /  $10^{20} \nu \text{ s}^{-1}$

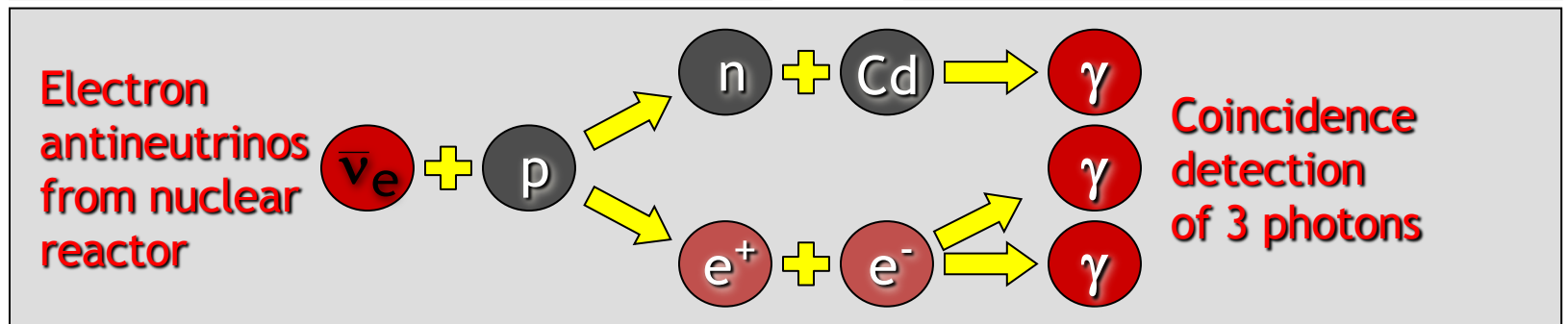
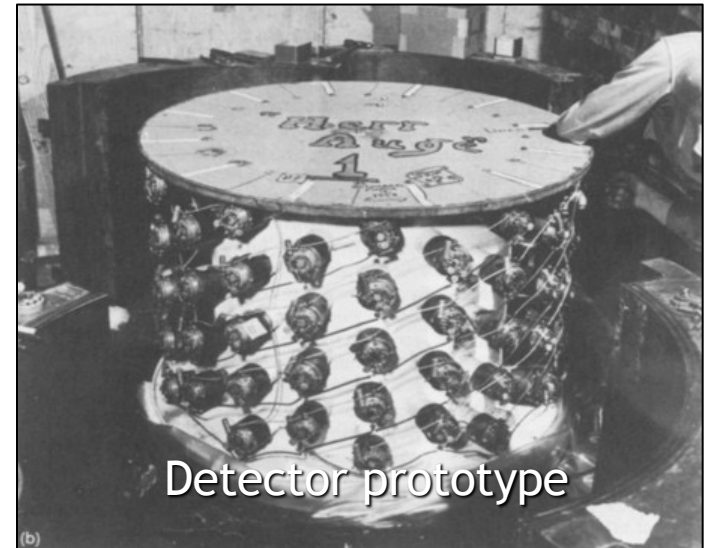
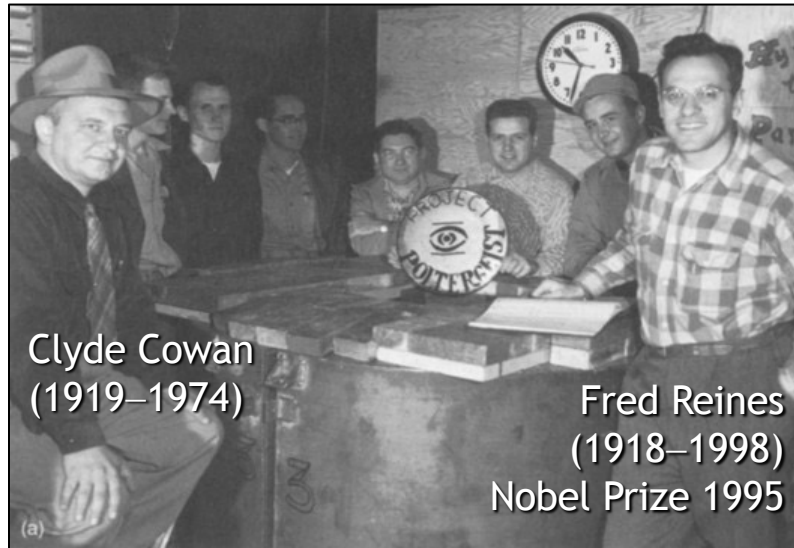
# First attempts to detect neutrinos

After a first idea (1952) to detect neutrinos in a nuclear explosion



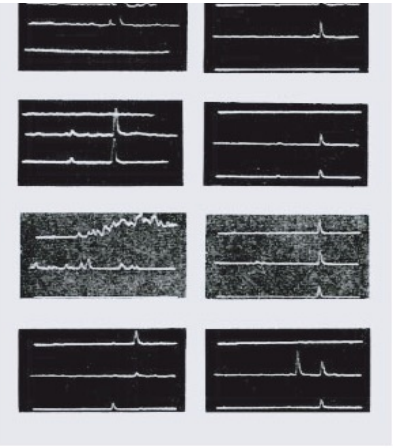
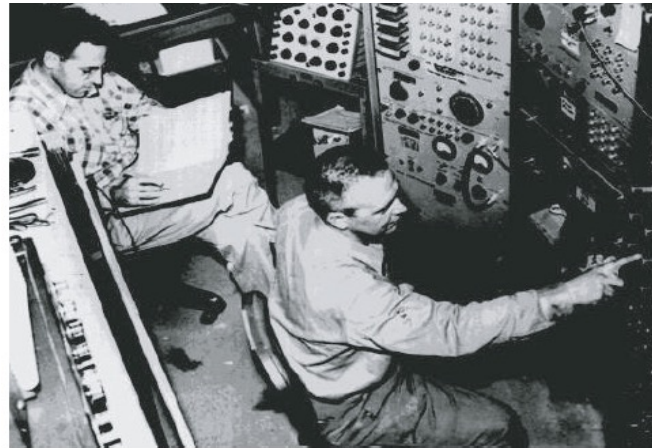
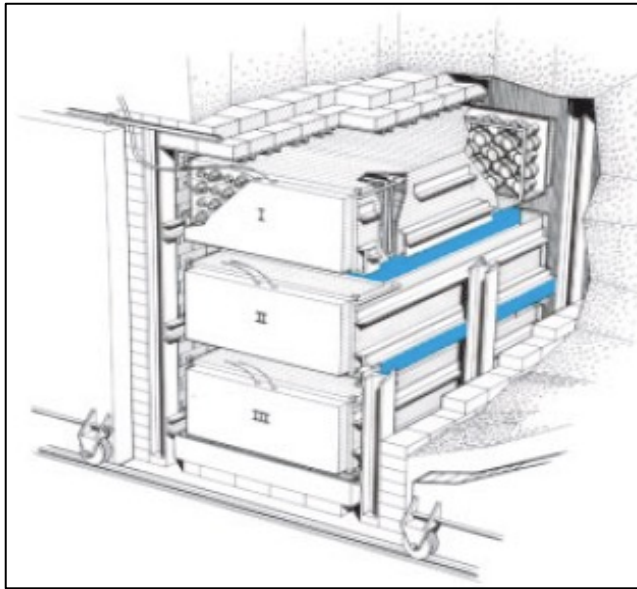
# First attempts to detect neutrinos

1st experiment to detect neutrinos from a nuclear reactor (1953)



# First detection of neutrinos

Evidence for neutrino detection from the Savannah River nuclear reactor (1956)



**Fred Reines**  
**(1918–1998)**  
**Nobel Prize in Physics 1995**

Telegram to Pauli:

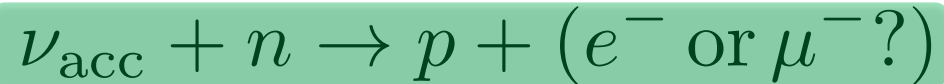
*"We are happy to inform you that we have definitely detected neutrinos from fission fragments by observing inverse beta decay of protons. Observed cross section agrees well with expected six times ten to minus forty-four square centimeters"*



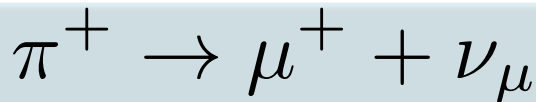


# More than ONE neutrino flavour?

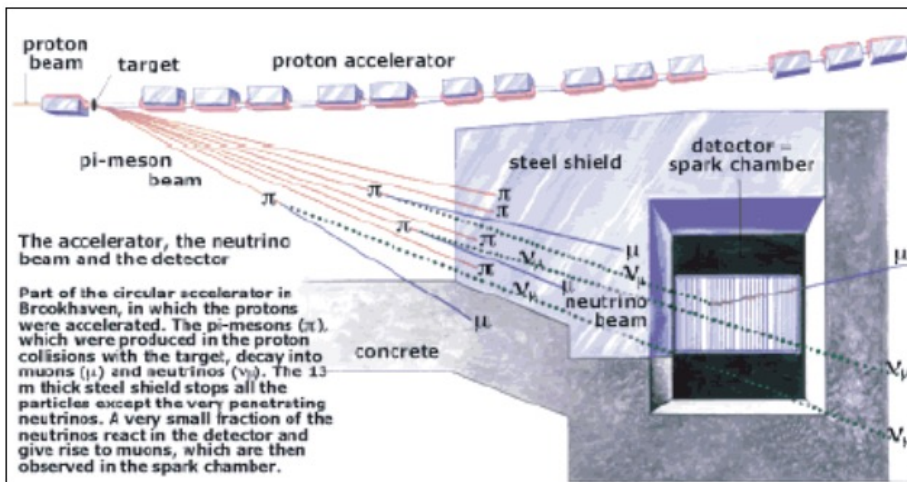
1959: Pontecorvo suggested the existence of a **different neutrino state**, associated to muon decay, and proposed an experiment to check it.



1963: Discovery of **muon neutrinos** ( $\nu_{\mu}$ ) by Lederman, Schwartz & Steinberger



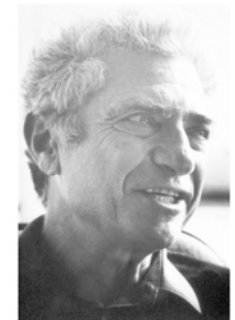
not  $e^-$



Leon M. Lederman



Melvin Schwartz



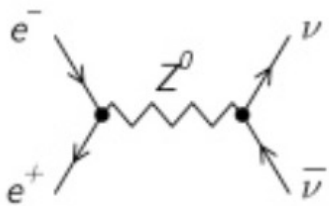
Jack Steinberger

**Nobel Prize in Physics 1988**

# More than TWO neutrino flavours? $\nu_e$ $\nu_\mu$ $\nu_\tau$

1978: Discovery of the  $\tau$  lepton at SLAC  $\rightarrow$  imbalance of energy in  $\tau$  decay suggests the existence of a **third neutrino**

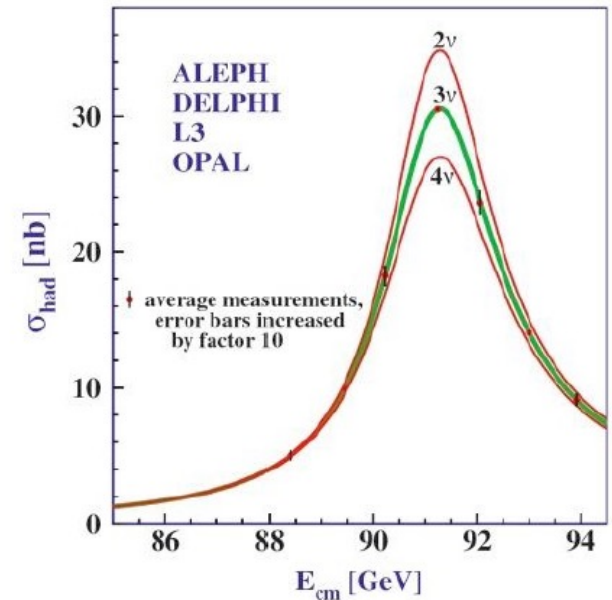
1989: measurements at LEP accelerator of the **invisible decay width of Z boson**



$$\Gamma_{\text{inv}} \equiv \Gamma_Z - \Gamma_{\text{had}} - 3\Gamma_{\text{lep}}$$

$$N_\nu = \Gamma_{\text{inv}} / \Gamma_{\text{SM}}(Z \rightarrow \nu_i \bar{\nu}_i)$$

$$\rightarrow N_\nu = 2.984 \pm 0.008$$



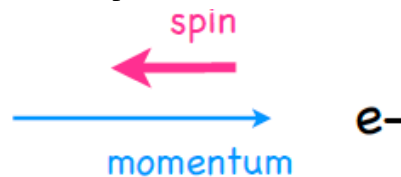
2000: **Discovery of  $\nu_\tau$**  by the DONUT Collaboration:

800 GeV  $p \Rightarrow$  Ds meson ( $\equiv c\bar{s}$ )  $\rightarrow \nu_\tau$   $\tau \Rightarrow \tau$  detected

# Parity violation in weak interactions

1956: T.D. Lee and C.N. Yang proposed **parity violation** in weak interactions.

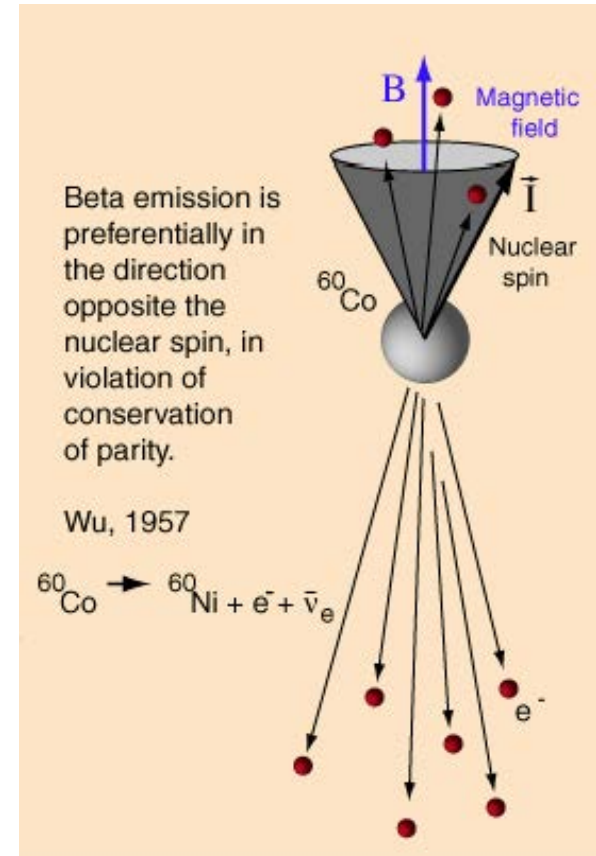
1957: using a radioactive source of  $^{60}\text{Co}$ , Chien-Shiung Wu et al. determined that **weak interaction violates parity conservation maximally**



1957: Nobel Prize in Physics (Lee & Yang)

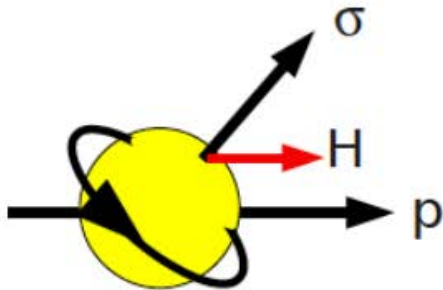
1978: Wolf Prize in Physics (C.S. Wu)

1958: Goldhaber et al. showed that **neutrinos can only be emitted with their spin anti-parallel to their momentum** direction.



# Helicity and Chirality

**Helicity** is the projection of spin along the momentum direction



$$\hat{H} = \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|}$$

→ Lorentz-invariant only for massless particles

**Chirality** is an asymmetry property: a chiral object is not identical to its mirror image, cannot be superimposed on it.

$$P_{L,R} = \frac{1 \mp \gamma_5}{2}, \quad \psi_{L,R} = P_{L,R} \psi$$

→ **Handedness = Chirality**

→ Lorentz-invariant although not directly measurable

**Massless particles:** Helicity = Chirality

**Massive particles:** Chiral states contain contributions from both helicity states

**Ultra-relativistic particles:** LH (RH) chiral projection dominated by a - (+) helicity state



# Neutrinos in the Standard Model

# Neutrinos in the Standard Model of particle physics

Elementary Particles				
Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	Force Carriers
	$e$ electron	$\mu$ muon	$\tau$ tau	
	I II III Three Families of Matter			
Quarks	$u$ up	$c$ charm	$t$ top	Force Carriers
	$d$ down	$s$ strange	$b$ bottom	
	I II III Three Families of Matter			
				$\gamma$ photon
				$g$ gluon
				$Z$ Z boson
				$W$ W boson

Each type (**flavour**) of neutrino is associated to a charged lepton

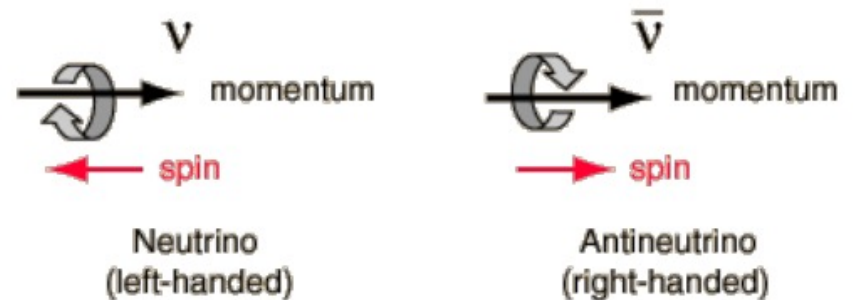
They belong to **SU(2) lepton doublets**

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L \quad \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$$

only sensitive to the **weak force**

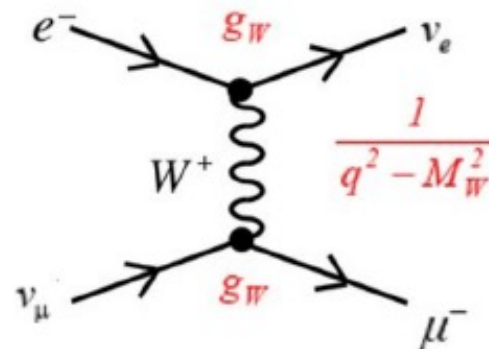
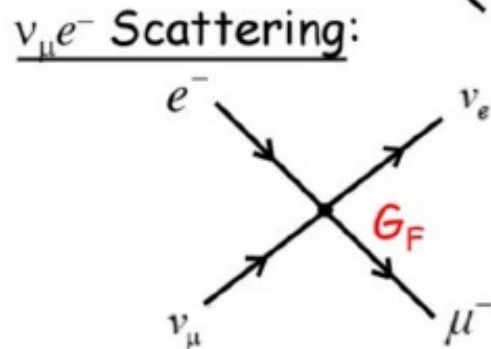
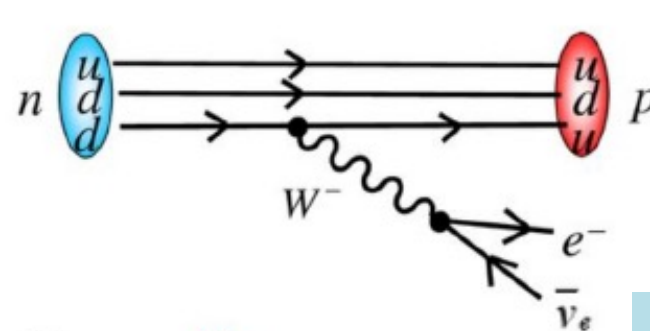
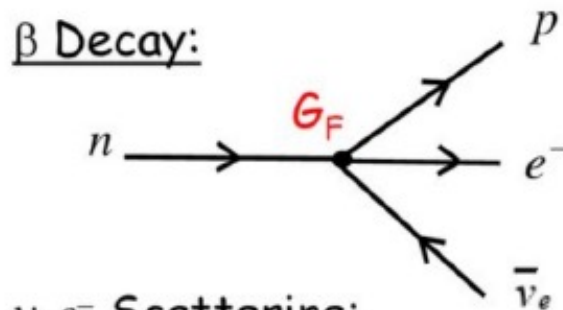
- Only two types of neutrinos have been observed in nature: **left-handed neutrinos AND right-handed antineutrinos**

In the SM, there exist ( $e_R, \mu_R, \tau_R$ )  
but no **SU(2) neutrino singlets**



# Weak interactions of neutrinos

- Neutrinos were first detected through their weak interactions with **charged leptons**, or **Charged Current (CC) interactions**.
- CC weak interactions **first described by Fermi** as point-like 4-fermion vertex.
- **SM**: CC interactions are **mediated by the vector boson W** ( $W^-$ ,  $W^+$ )

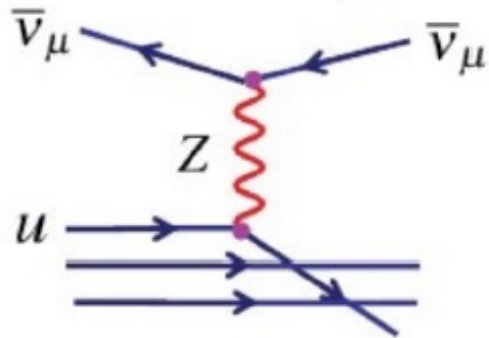


W bosons couple to leptons in the same doublet

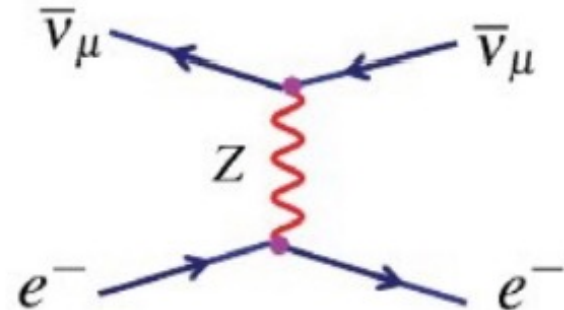
# Weak interactions of neutrinos

- In the **SM**: predicted **Neutral Current (NC) interactions** mediated by a **neutral vector boson**, the  $Z^0$
- NC **first observed in 1973** with the Gargamelle bubble chamber

$$\bar{\nu}_\mu + N \rightarrow \bar{\nu}_\mu + \text{hadrons}$$



$$\bar{\nu}_\mu + e^- \rightarrow \bar{\nu}_\mu + e^-$$

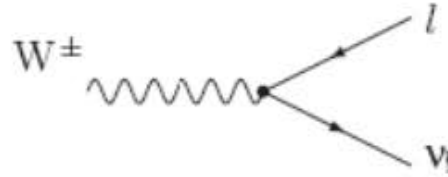


First evidence for Z boson



# Neutrino interactions in the SM

## Charged Current (CC):



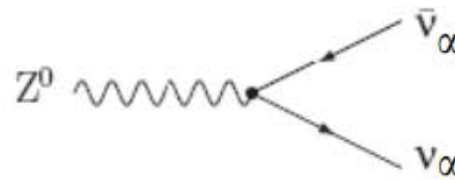
$$W^- \rightarrow l_\alpha^- + \bar{\nu}_\alpha$$

$$W^+ \rightarrow l_\alpha^+ + \nu_\alpha$$

$$(\alpha = e, \mu, \tau)$$

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \sum_{\alpha} \bar{\nu}_{\alpha L} \gamma^{\mu} l_{\alpha L} W_{\mu} + \text{h.c.}$$

## Neutral Current (NC):



$$Z^0 \rightarrow \nu_{\alpha} \bar{\nu}_{\alpha}$$

$$\mathcal{L}_{NC} = -\frac{g}{2 \cos \theta_W} \sum_{\alpha} \bar{\nu}_{\alpha L} \gamma^{\mu} \nu_{\alpha L} Z_{\mu}^0$$

in the SM, only LH neutrinos and RH antineutrinos participate in weak interactions

- Interactions conserve the **total lepton number L**:

$$L(l^-) = L(\nu) = -L(l^+) = -L(\bar{\nu}) = 1$$

- **Family lepton numbers**  $L(\nu_e)$   $L(\nu_{\mu})$   $L(\nu_{\tau})$  are also conserved

# Fermion masses in the SM lagrangian

- ◆ In the SM, fermion masses appear in the lagrangian with terms like:

$$m\bar{\psi}\psi \quad \rightarrow \text{Dirac mass term}$$

decomposing into its chiral states:  $\psi \equiv \psi_L + \psi_R$

$$\rightarrow m\bar{\psi}\psi = m\bar{\psi}_L\psi_R + m\bar{\psi}_R\psi_L$$

- **forbidden**: not invariant under SU(2): it couples  $\psi_L$  with  $\psi_R$  ( $I_W=1/2$ )
- solved by **Higgs mechanism**: after SSB, Dirac mass terms appear from Yukawa couplings:

$$\mathcal{L}_{\text{Yukawa}} = Y\bar{\psi}_L\phi\psi_R + \text{h.c.} \quad \langle\phi^0\rangle = v$$

- OK for most of particles but SM neutrino has only a L-chiral state (no  $\psi_R$ )

a **Dirac mass term** for **neutrinos** can **not** be built in the Standard Model

# Majorana neutrino mass

- ◆ We build a R-chiral field from a L-chiral field by charge conjugation:

$$\psi_R \equiv \psi_L^C = \hat{C} \bar{\psi}^T \quad \hat{C} = i\gamma^2 \gamma^0$$

→ the total neutrino field is:  $\psi = \psi_L + \psi_R = \psi_L + \psi_L^C$

→ taking the charge conjugate:  $\psi^C = (\psi_L + \psi_R)^C = \psi_L^C + \psi_L = \psi$

$$\psi = \nu = \nu_L + \nu_L^C$$

neutrino = antineutrino

**Majorana mass term:**

$$-\mathcal{L}_M = \frac{1}{2} m \left( \overline{\nu_L^C} \nu_L + \overline{\nu_L} \nu_L^C \right)$$

Not invariant under  
U(1) transformations

However: this mass term not invariant under weak isospin ( $I_W=1$ )

→ solved with a **Higgs triplet** BUT it is not included in the SM.

→ solved with a **dim-5 operator** (Weinberg operator) BUT non-renormalizable

# Neutrino mass in the SM

- ◆ Since the SM does not contain **right-handed neutrinos**: a Dirac mass term as for the rest of fermions is not allowed.
- ◆ The SM only contains one Higgs doublet: no **Higgs triplet** to build a Majorana mass term
- ◆ The SM is **renormalizable** and, therefore, dim-5 terms as the Weinberg operator are not allowed.

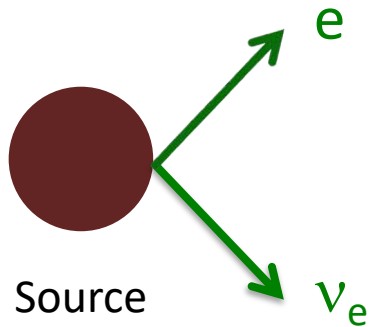
**Neutrinos** are strictly MASSLESS in the Standard Model!



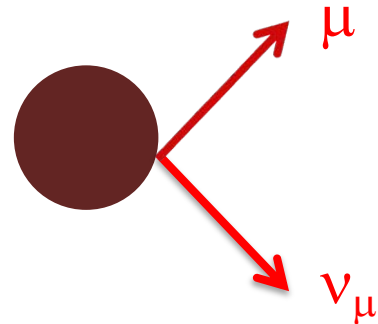
# Weak interactions conserve flavour

Neutrinos are always produced together with an associated charged lepton ( $e, \mu, \tau$ )

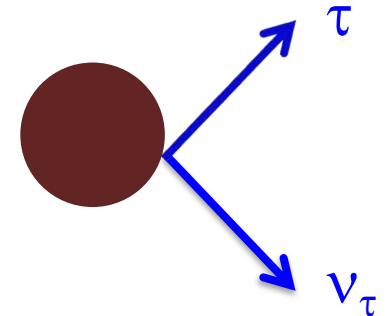
**FLAVOUR IS PRESERVED**



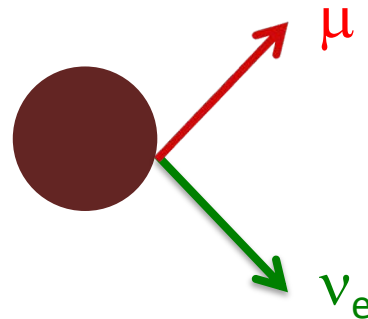
or



or

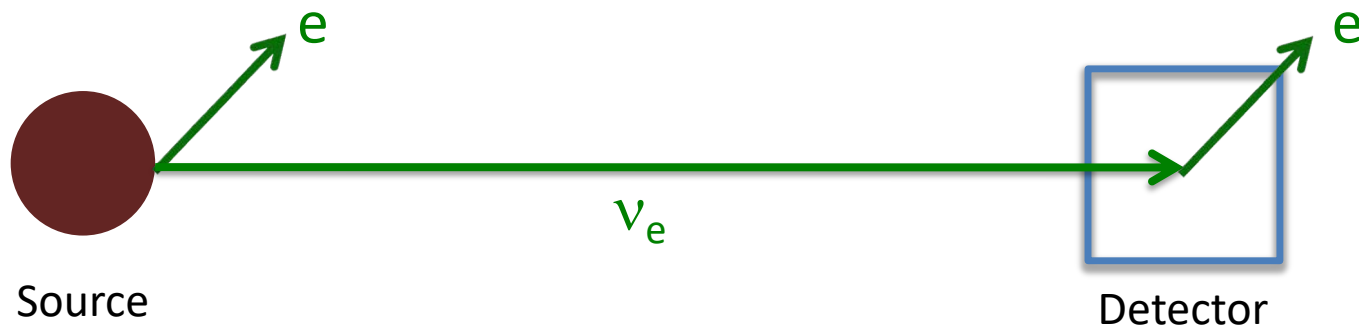


**NEVER**

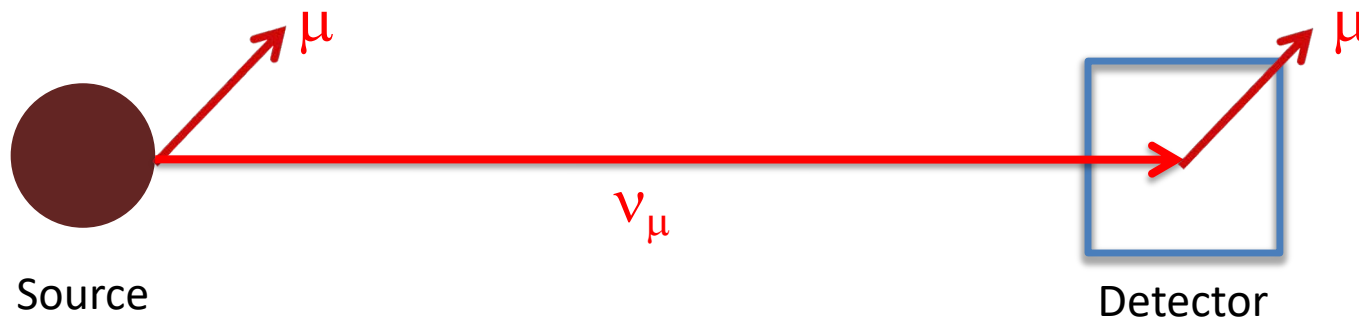


# Production and detection of neutrinos

Neutrino detection at experiments is related to the corresponding **charged lepton**

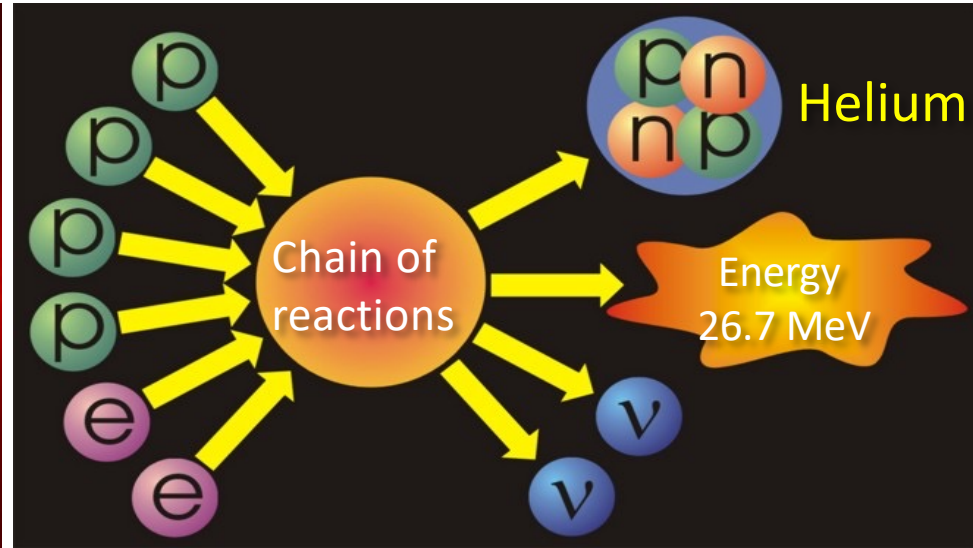


**FLAVOURS DO NOT MIX**



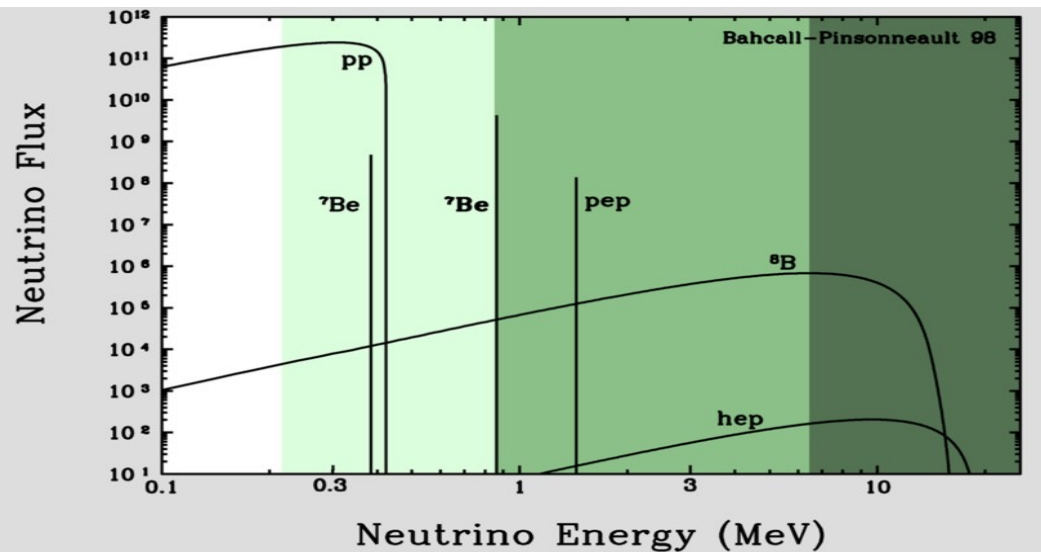
# Neutrino oscillations

# Solar neutrinos



Solar luminosity :  
**98 % light (photons)**  
**2 % neutrinos**

Solar neutrino  
flux @ Earth :  
 $6.6 \times 10^{10}$  neutrinos  $\text{cm}^{-2}\text{s}^{-1}$



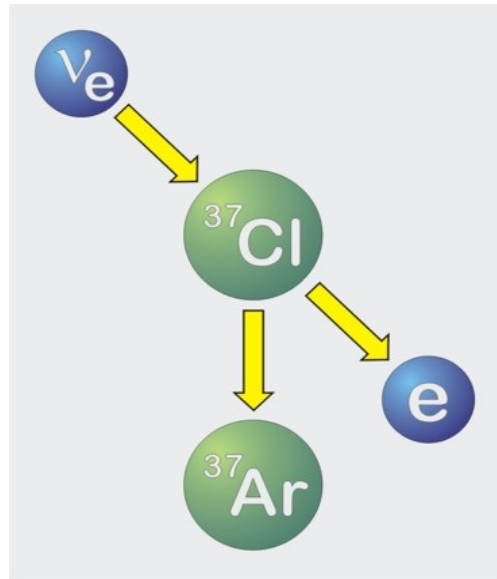


Raymond Davis Jr.

# Seeing the Sun with neutrinos

**1968:** First observation of **solar neutrinos** in an **underground experiment** (Homestake gold mine, South Dakota)

615 Tn of  $C_2Cl_4$





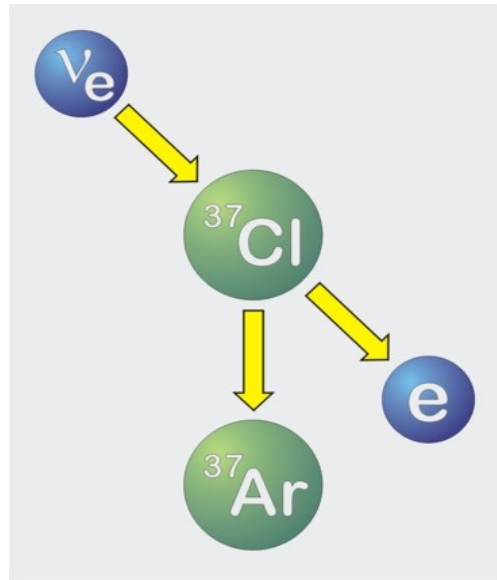


Raymond Davis Jr.  
Nobel Prize 2002

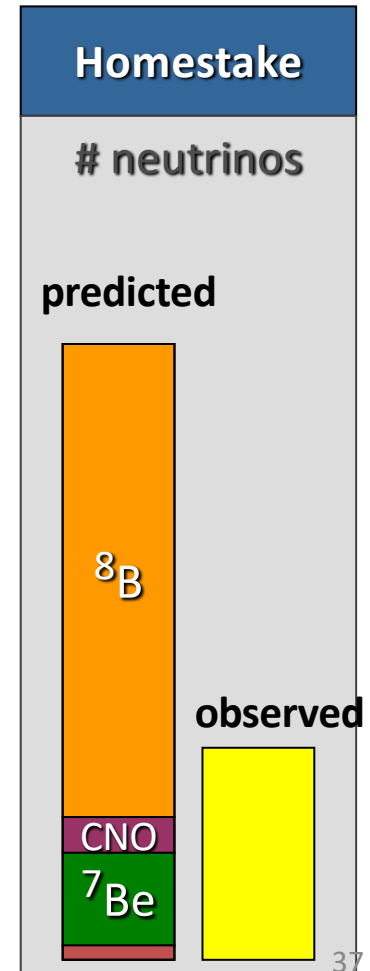
# Seeing the Sun with neutrinos

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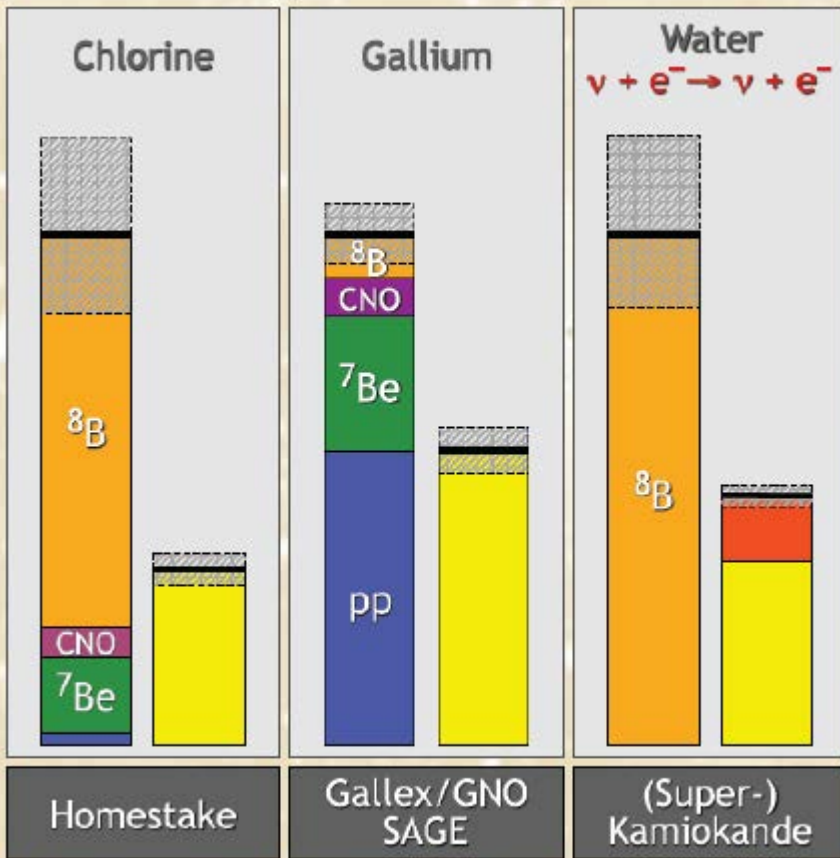


**the Solar neutrino problem**



# The solar neutrino problem

## Electron neutrino detectors

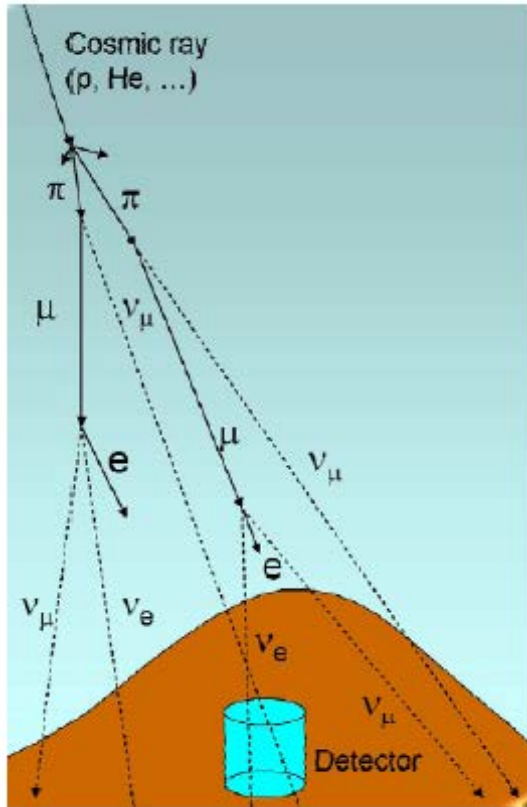


**1980s-1990s:** Confirmed by the following solar neutrino experiments

## Explanation?

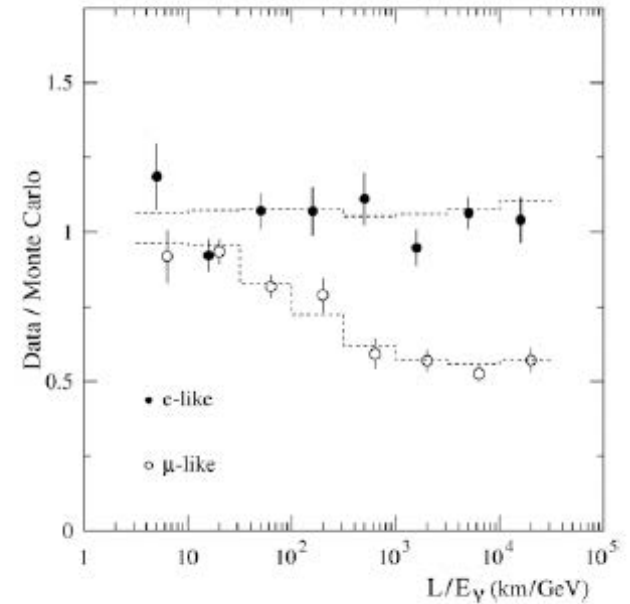
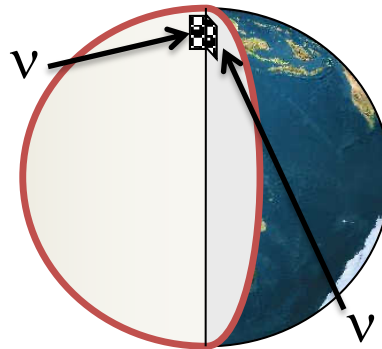
- theory (SM, SSM) was wrong
- experiments were wrong (all of them?)
- something was happening to neutrinos

# The atmospheric neutrino anomaly



**1985:** First indications of a deficit in the observed number of atmospheric  $\nu_\mu$  at the IMB experiment.

**1994:** Kamiokande finds the  $\nu_\mu$  deficit depends on the distance travelled by the neutrino and its energy.

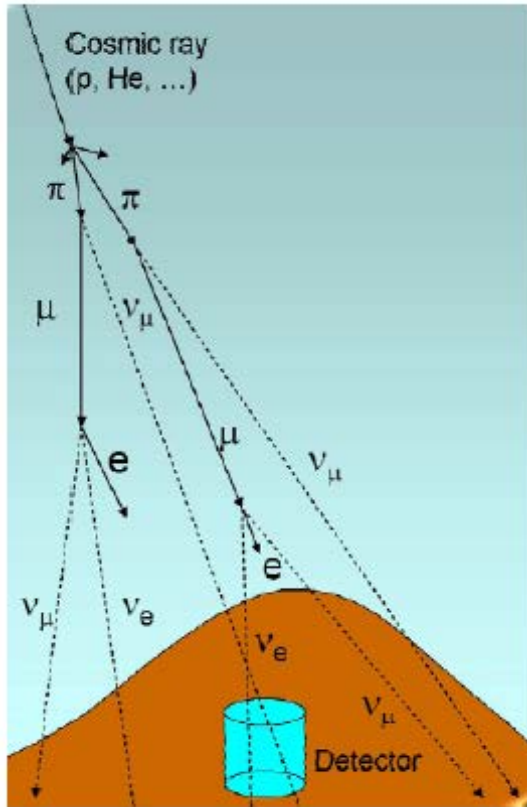


**1998:** Discovery of atmospheric neutrino oscillations in Super-Kamiokande.

oscillation channel  $\nu_\mu \rightarrow \nu_\tau$

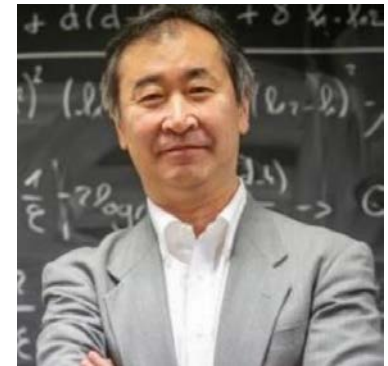
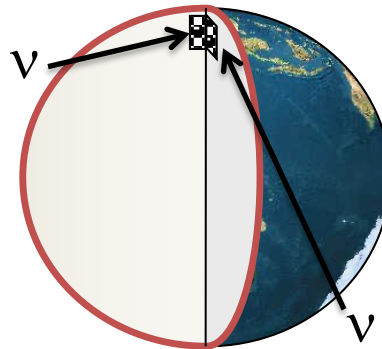
→ first evidence for non-zero **neutrino masses**.

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Takaaki Kajita  
**Nobel Prize in Physics 2015**

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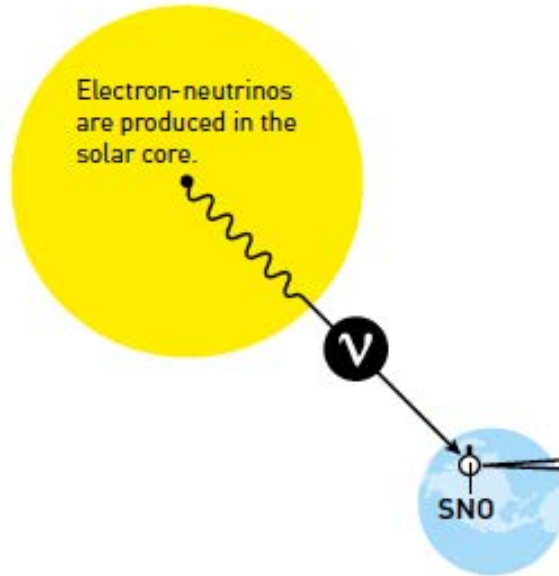


# Sudbury neutrino observatory (SNO)

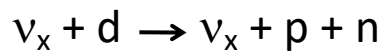
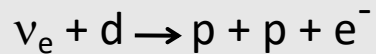


Arthur B. McDonald  
Nobel Prize in  
Physics 2015

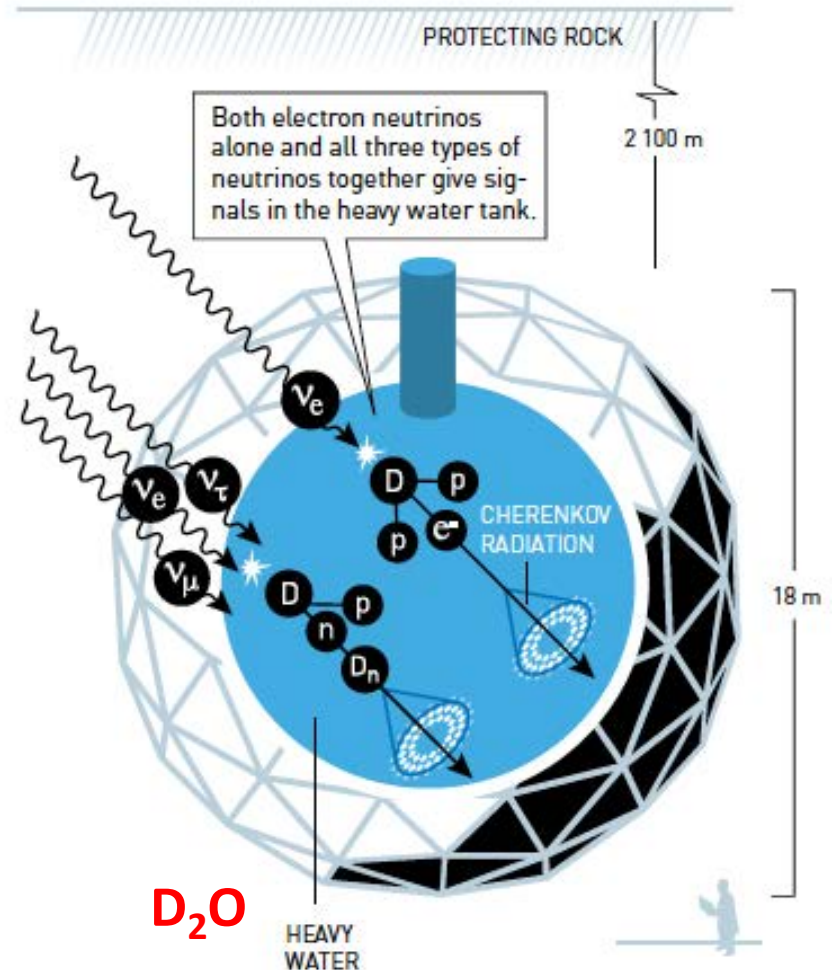
NEUTRINOS FROM  
THE SUN



neutrino detection in SNO



SUDBURY NEUTRINO OBSERVATORY (SNO)  
ONTARIO, CANADA



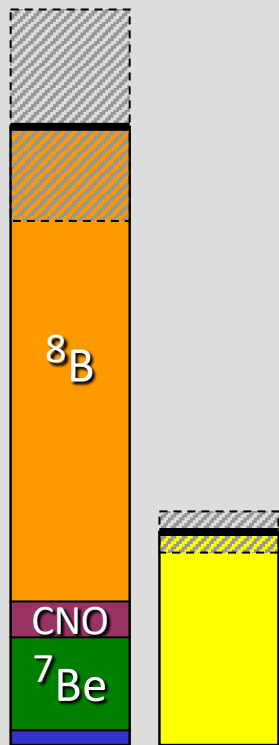


# SNO: confirmation of solar neutrino conversion

Detectors sensitive only (or mostly) to electron neutrinos

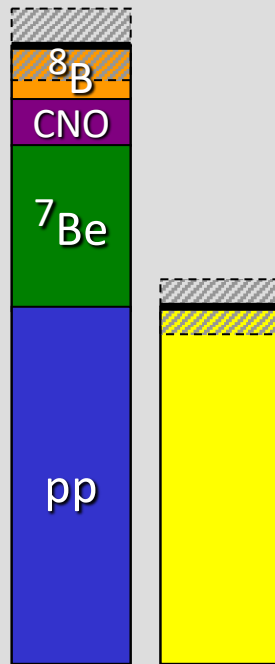
Any  $\nu$

chlorine



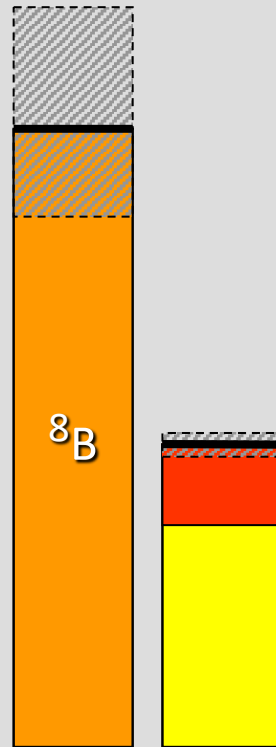
Homestake

gallium



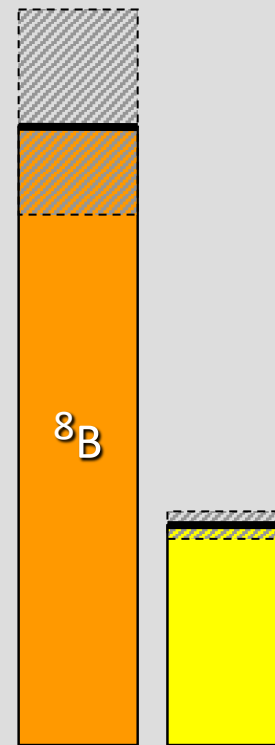
Gallex/GNO  
SAGE

water  
 $\nu + e^- \rightarrow \nu + e^-$



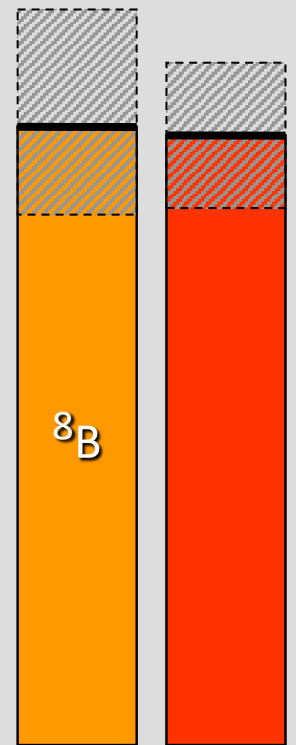
(Super-)  
Kamiokande

heavy water  
 $\nu_e + d \rightarrow p + p + e^-$



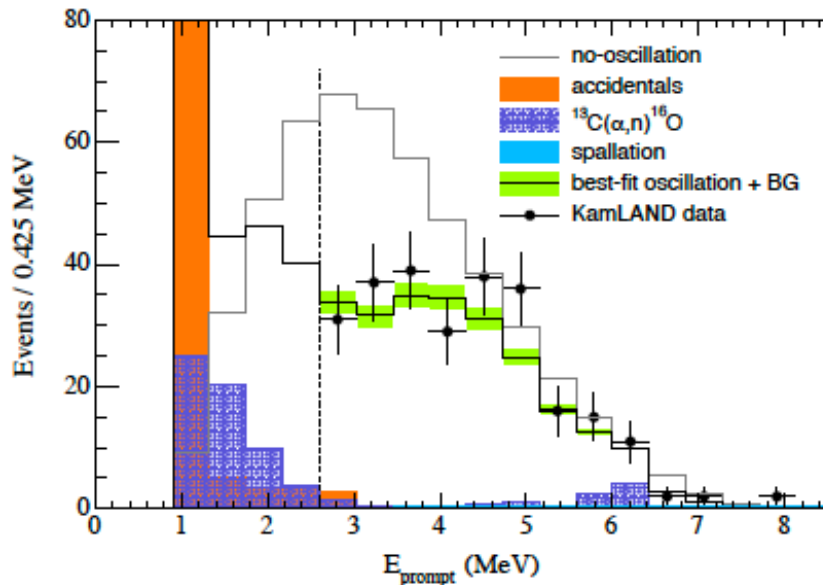
SNO (2001)

heavy water  
 $\nu + d \rightarrow p + n + \nu$



# Other important results on neutrino oscillations

2002: The reactor experiment **KamLAND** observed neutrino oscillations consistent with the solar anomaly.



KamLAND Coll, PRL 90 (2003) 021802

2002: Results of the accelerator experiment **K2K** consistent with  $\nu_{\mu}$  oscillations as in the atmospheric anomaly (**MINOS, T2K, NOvA**).

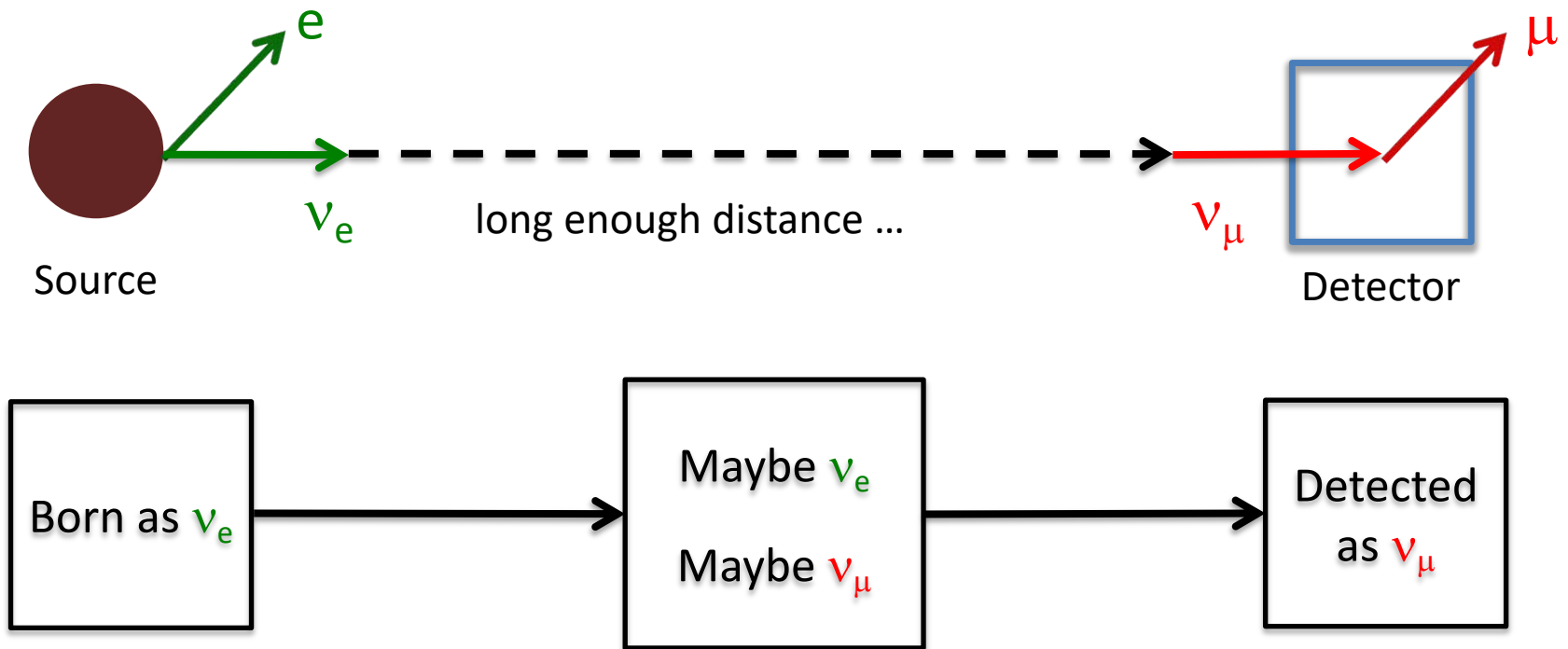
2011:  $\nu_{\mu} \rightarrow \nu_e$  oscillations observed in long-baseline accelerator experiments.

2011: Double Chooz confirmed reactor antineutrino oscillations in a baseline of  $\sim 1$  km (**Daya Bay, RENO**).

**3-neutrino oscillations** have been observed in solar, atmospheric, reactor and accelerator neutrino experiments

# Flavour neutrino conversions?

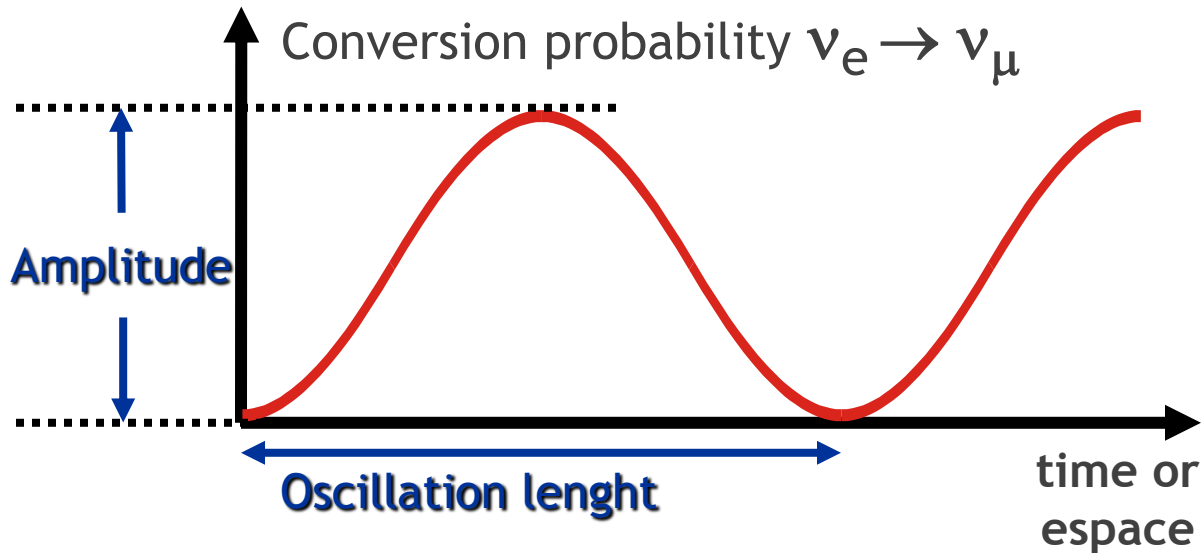
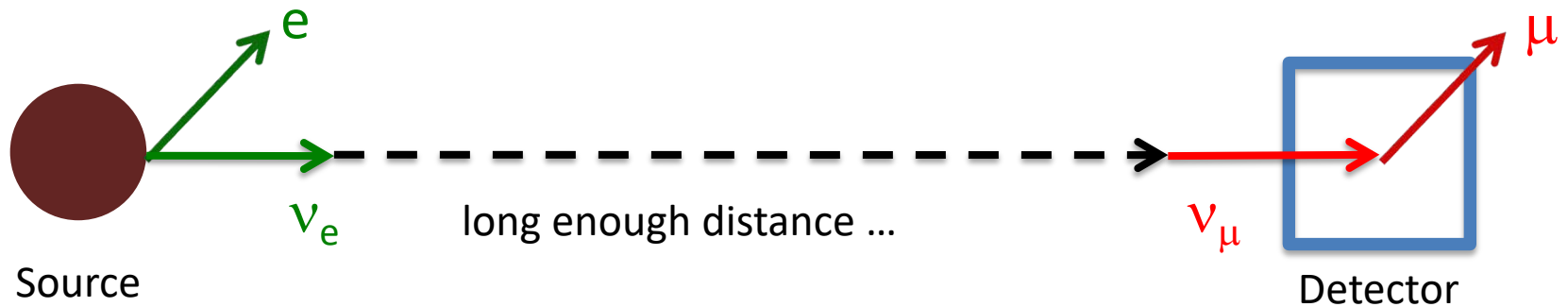
Experimental data can only be explained if **neutrinos change type (flavour)** during their propagation



Flavour neutrinos are produced and detected,  
but mass eigenstates propagate ( $\nu_1, \nu_2$ )

# Flavour neutrino conversions?

Experimental data can only be explained if **neutrinos change type (flavour)** during their propagation



Bruno Pontecorvo  
(1913–1993)

# Neutrino mixing

- ♦ Mixing described by the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix:

$$\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{kL}$$

- ♦ NxN unitary matrix: NxN real parameters ↙ mixing matrix

→ N(N-1)/2 mixing angles + N(N+1)/2 phases (not all observables!)

- ♦ Leptonic weak charged current:

$$j_{\rho}^{\text{CC}\dagger} = 2 \sum_{\alpha} \overline{\alpha}_L \gamma_{\rho} \nu_{\alpha L} = 2 \sum_{\alpha} \sum_k \overline{\alpha}_L \gamma_{\rho} U_{\alpha k} \nu_{kL}$$

$$U = U_l^{\dagger} U_{\nu}$$

- ♦ Lagrangian invariant under global phase transformations of Dirac fields:

$$\alpha \rightarrow e^{i\theta_{\alpha}} \alpha, \quad \nu_k \rightarrow e^{i\phi_k} \nu_k$$

$$j_{\rho}^{\text{CC}\dagger} \rightarrow 2 \sum_{\alpha, k} \overline{\alpha}_L e^{-i(\theta_{\alpha} - \phi_1)} \gamma_{\rho} U_{\alpha k} e^{i(\phi_k - \phi_1)} \nu_{kL}$$

**N**
**N-1**

+ common rephasing of fields leaves current unchanged

2N-1 arbitrary phases can be eliminated from U: (N-1)(N-2)/2 physical phases



# Neutrino mixing

◆ For **Majorana neutrinos**, the lagrangian is NOT invariant under global phase transformations of the Majorana fields:

$$\nu_k \rightarrow e^{i\phi_k} \nu_k \quad \longrightarrow \quad \nu_{kL}^T C^\dagger \nu_{kL} \rightarrow e^{2i\phi_k} \nu_{kL}^T C^\dagger \nu_{kL}$$

→ only N phases can be eliminated by rephasing charged lepton fields (neutrino fields can not be rephased!!):

$$j_\rho^{CC^\dagger} \rightarrow 2 \sum_{\alpha,k} \overline{\alpha}_L e^{-i\theta_\alpha} \gamma_\rho U_{\alpha k} \nu_{kL}$$

$N(N+1)/2 - N = N(N-1)/2$  physical phases for Majorana neutrinos

→  $N(N-1)/2$  **physical phases**:  $(N-1)(N-2)/2$  Dirac phases

$(N-1)$  Majorana phases

← only these have an effect on oscillations

# Neutrino mixing

- ◆ 2-neutrino mixing depends on 1 angle only (+1 Majorana phase)

$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

- ◆ 3-neutrino mixing is described by 3 angles and 1 Dirac (+2 Majorana) CP violating phases.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric + LBL

reactor + LBL

solar + KamLAND

# Neutrino oscillations

◆ Flavour states are admixtures of mass eigenstates:  $\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{kL}$

◆ Neutrino evolution equation:  $-i \frac{d}{dt} |\nu\rangle = H |\nu\rangle$

in the neutrino mass eigenstates basis  $\nu_j$ :

$$H = \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix}$$



neutrino mass eigenstates evolve as plane waves \*:

$$|\nu_j(t)\rangle = e^{-iE_j t} |\nu_j\rangle$$

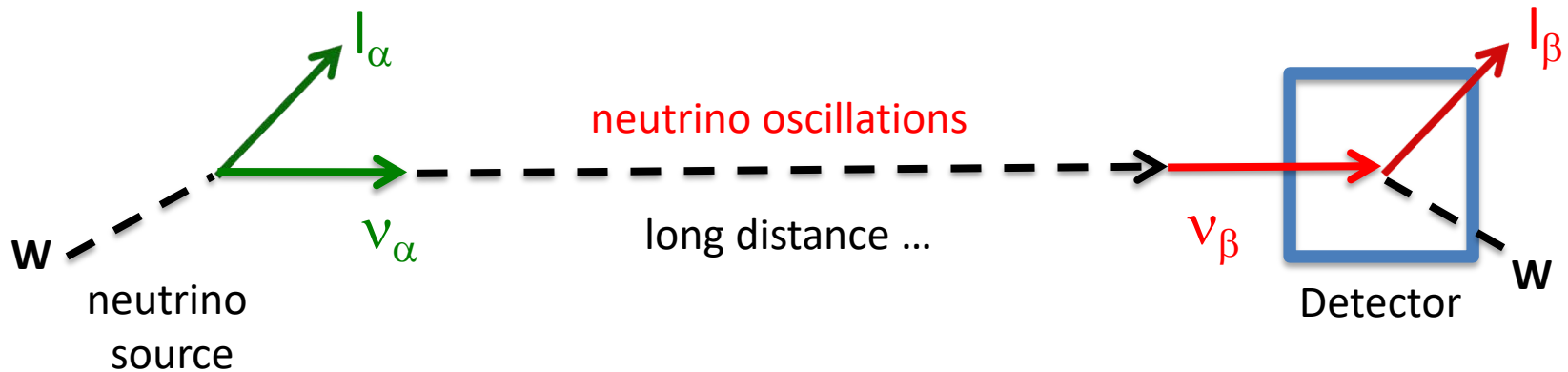
◆ For ultrarelativistic neutrinos:  $E_j \simeq E + \frac{m_j^2}{2E}$

and  $t = L$ :

$$\longrightarrow |\nu_j(t)\rangle = e^{-iEL} e^{-i\frac{m_j^2 L}{2E}} |\nu_j\rangle \rightarrow e^{-i\frac{m_j^2 L}{2E}} |\nu_j\rangle$$

\* For a wave-packet treatment see: Giunti & Kim, *Fundamentals of Neutrino Physics and Astrophysics*. Oxford University Press, 2007.

# Neutrino oscillations picture



## Production

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle$$

coherent superposition  
of massive states

## Propagation

$$\nu_j : e^{-i \frac{m_j^2 L}{2E}}$$

different propagation  
phases change  $\nu_j$   
composition

## Detection

$$\langle \nu_\beta | = \sum_j \langle \nu_j | U_{\beta j}$$

projection over  
flavour eigenstates

# General properties of neutrino oscillations

◆ Conservation of probability:  $\sum_{\beta} P(\nu_{\alpha} \rightarrow \nu_{\beta}) = 1$

◆ For **antineutrinos**:  $U \rightarrow U^*$

◆ Neutrino oscillations violate flavour **lepton number conservation** but conserve total lepton number.

◆ Phases in the mixing matrix induce **CP violation**:

$$P(\nu_{\alpha} \rightarrow \nu_{\beta}) \neq P(\bar{\nu}_{\alpha} \rightarrow \bar{\nu}_{\beta})$$

◆ Neutrino oscillations do not depend on the absolute neutrino mass scale and Majorana phases.

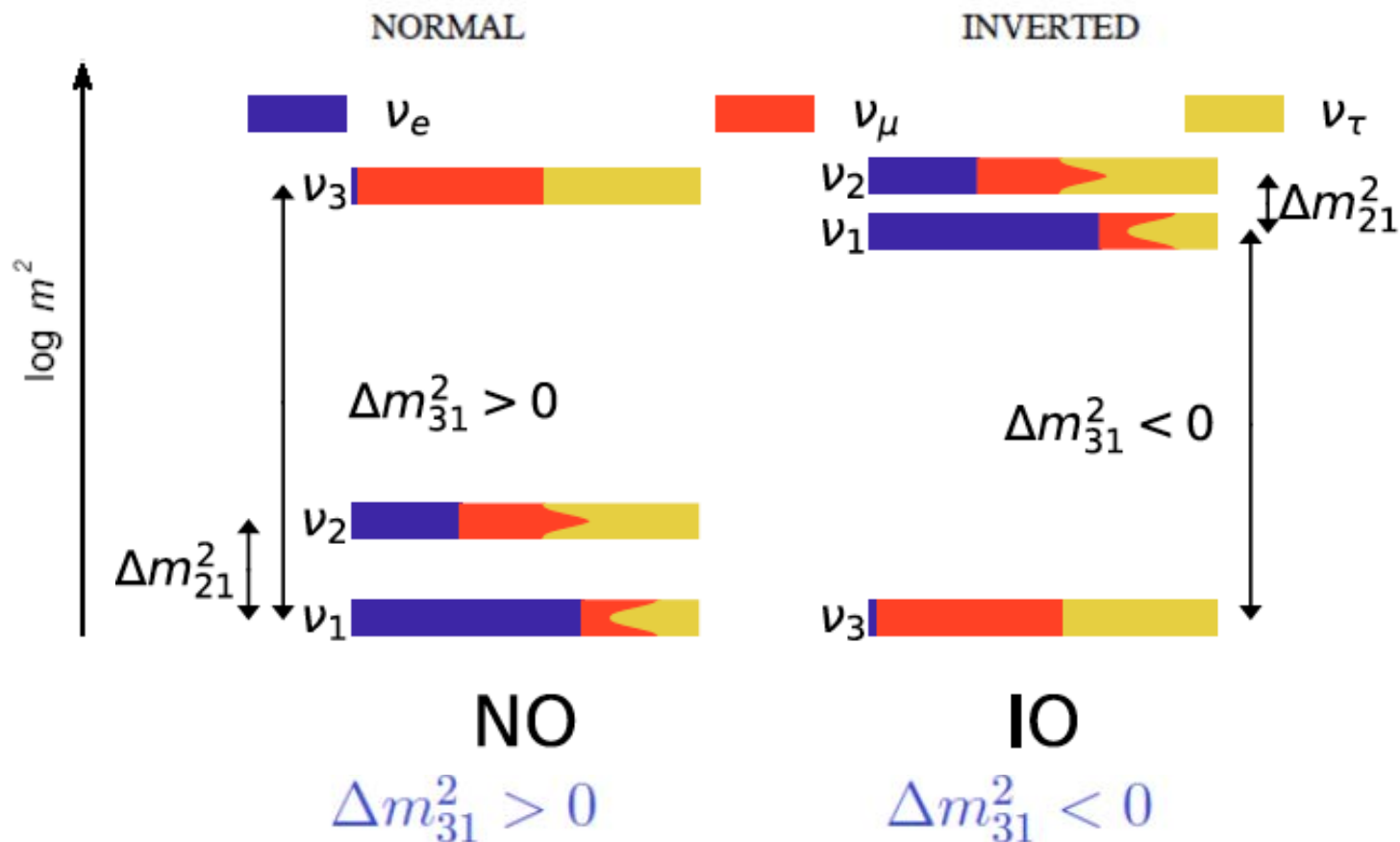
◆ Neutrino oscillations are sensitive only to **mass squared differences**:

$$\Delta m_{kj}^2 = m_k^2 - m_j^2$$



# Two possible neutrino mass orderings

- ◆  $\Delta m_{21}^2$  : solar + KamLAND (positive)
- ◆  $\Delta m_{31}^2$  : atmospheric + LBL accelerator + SBL reactor (sign?)



# 2-neutrino oscillations

◆ Two-neutrino mixing matrix: 
$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

◆ Two-neutrino oscillation probability ( $\alpha \neq \beta$ ):

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| U_{\alpha 1} U_{\beta 1}^* + U_{\alpha 2} U_{\beta 2}^* e^{-i \frac{\Delta m_{21}^2 L}{2E}} \right|^2 = \sin^2 2\theta \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right)$$

◆ The **oscillation phase**:

$$\phi = \frac{\Delta m_{21}^2 L}{4E} = 1.27 \frac{\Delta m_{21}^2 [\text{eV}^2] L [\text{km}]}{E [\text{GeV}]}$$

→ short distances,  $\phi \ll 1$ : oscillations do not develop,  $P_{\alpha\beta} = 0$

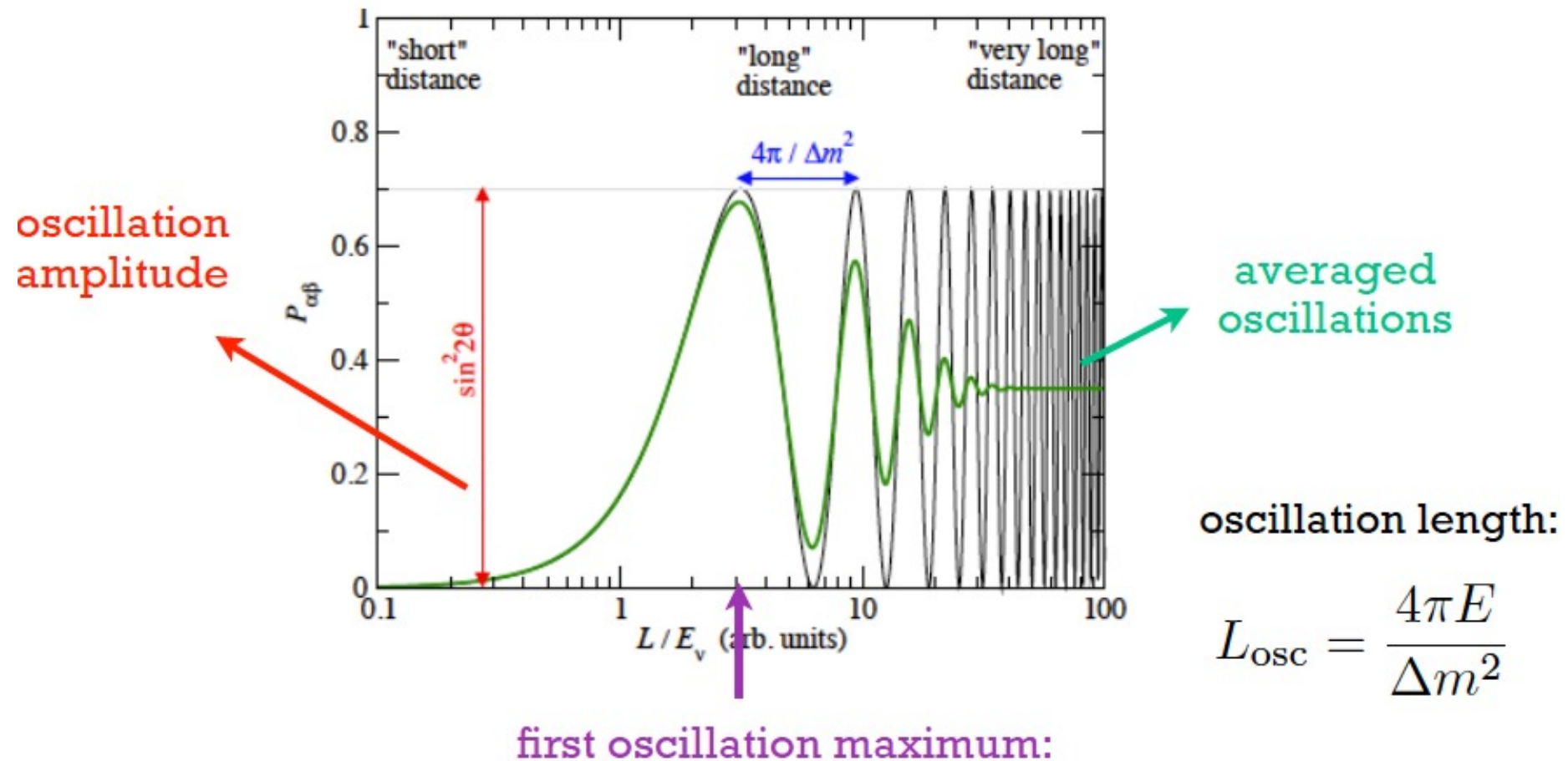
→ long distance,  $\phi \sim 1$ : oscillations are observable

→ very long distances,  $\phi \gg 1$ : oscillations are averaged out:

$$P_{\alpha\beta} \simeq \frac{1}{2} \sin^2 2\theta$$

# 2-neutrino oscillation probability

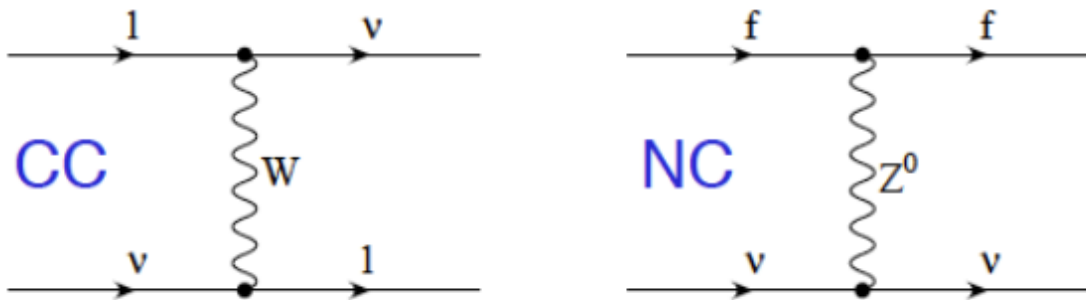
$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$



# Matter effects on neutrino oscillations

◆ When neutrinos pass through matter, the interactions with the particles in the medium induce an **effective potential** for neutrinos.

[→ the coherent forward scattering amplitude leads to an index of refraction for neutrinos. **L. Wolfenstein, 1978**]



→ modifies the **mixing between flavor states and mass eigenstates** as well as the eigenvalues of the Hamiltonian, leading to a different oscillation probability with respect to vacuum oscillations.

**End of 1st lecture**