

(A very brief & focused introduction to...)

Effective Field Theories

TAE 2024 - Benasque

Sept 2024

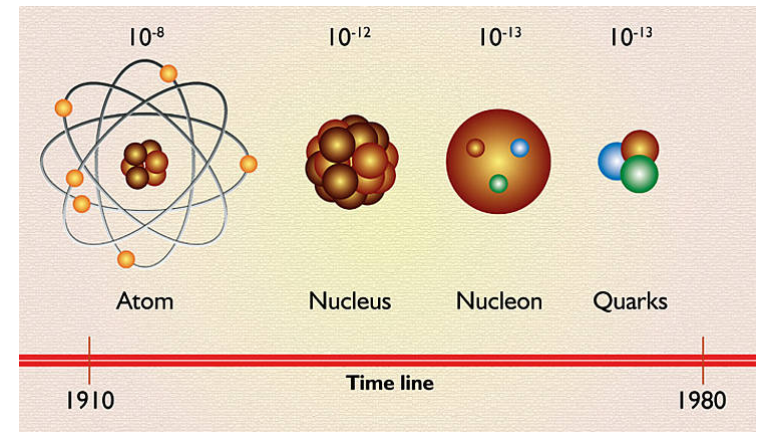
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Outline

- Intro
- EFT at the ~ 100 GeV scale: SMEFT
- EFT at $E \ll 100$ GeV: LEFT
- EFT phenomenology
- Conclusions



Disclaimers:

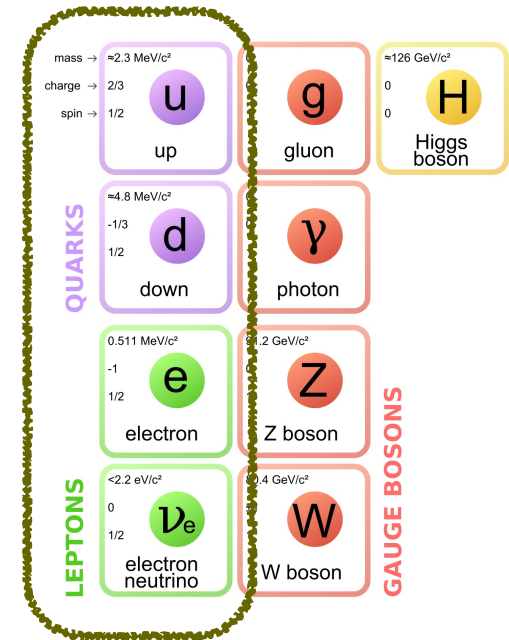
- EFT is a wide field \rightarrow we'll focus on its application to heavy New Physics
- I don't think a technical 2h presentation would be very useful. Instead I'll give a qualitative (personal) overview, hopefully conveying some important ideas, & giving you the motivation to read a real EFT work
- Many many good refs: EFT (Manohar'97, Pich'98, Rothstein'03, Kaplan'05, Skiba'10, Cohen'19, Burgess'20, ...), SMEFT (**Falkowski'23**, Isidori-Wilsch-Wyler'23, ...), recorded lectures, ...
- Occasionally I went slightly outside my strict comfort zone. Fun but risky.
- It's OK if we don't go over all the slides. Stop me if you get lost.



Motivation. 1- The Standard Model

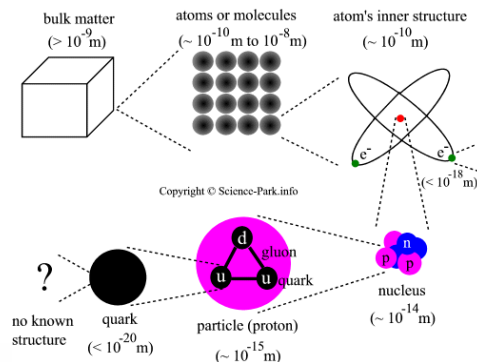


- The SM is the QFT describing electromagnetic, weak & strong interactions.
- It's the ultimate result of reductionism & unification [electromagnetism (→ chemistry), radioactivity, nuclear physics, ...] Our periodic table.
- ~50 years old, spectacularly confirmed [All particles have been observed (Higgs @CERN, 2012)]
- Whatever [future experiments] find, SM has proven to be valid as an effective theory for $E < \text{TeV}$



x 3 !?

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + i\bar{\psi}\not{\partial}\psi + h.c. + \bar{\psi}_i y_{ij} \psi_j \phi + h.c. + \frac{1}{2} \partial_\mu \phi^2 - V(\phi)$$



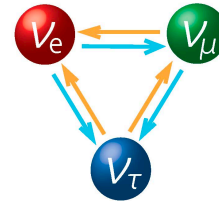
Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	1 H																	2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
*			57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb		
*			89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No		



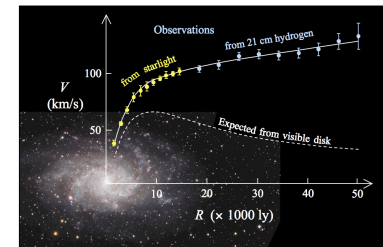
Motivation. 2- The SM is not enough*



- Neutrinos oscillate → they have a mass!



- What lies under the SM periodic table?



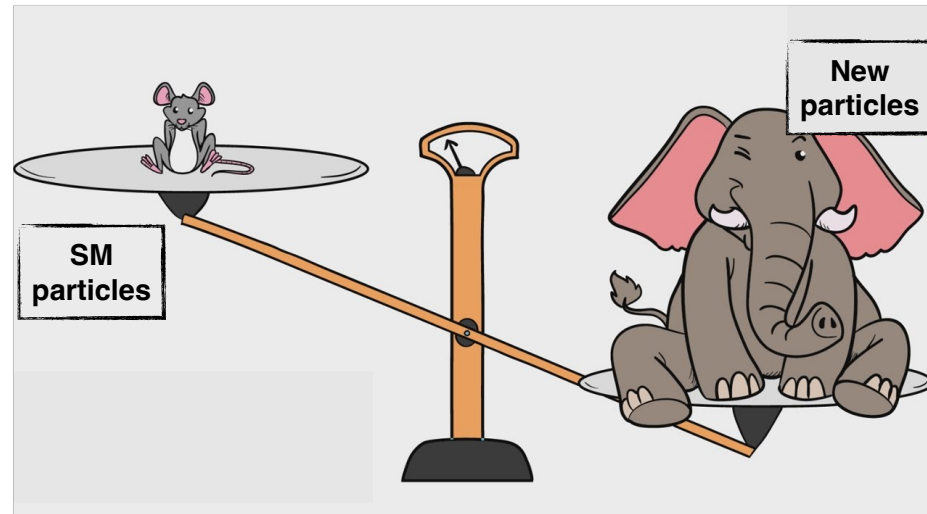
- Dark matter, matter-antimatter asymmetry, strong CP problem, hierarchy problem, dark energy, quantum gravity, cosmological problems, ...
- All SM problems are theoretical or astrophysical/cosmological, except for neutrino masses.
- Many BSM theories around (often not very convincing)
- The SM works too well (quite curious crisis).
We need new hints. Physics = EXP + TH



*Fortunately for us.

Motivation. 3- Going beyond the SM

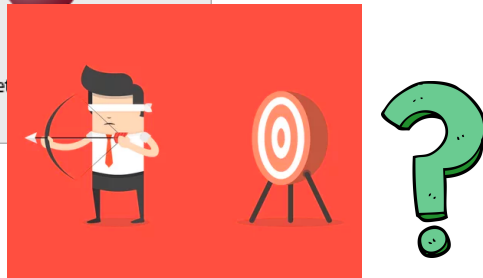
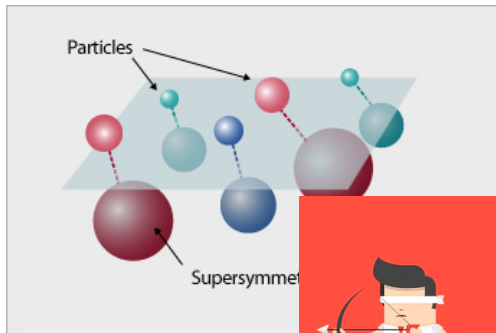
Theory?



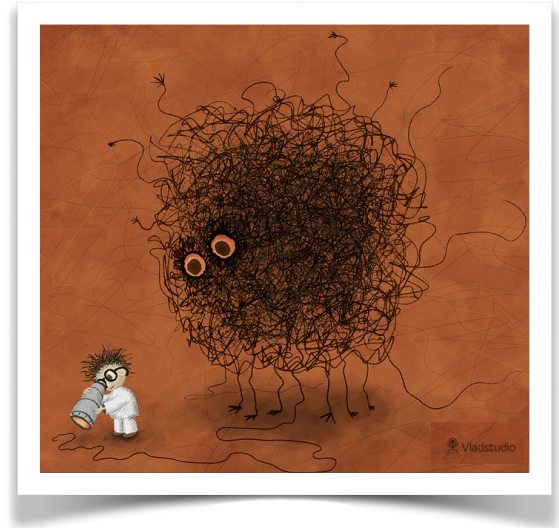
Motivation. 3- Going beyond the SM

Specific BSM model

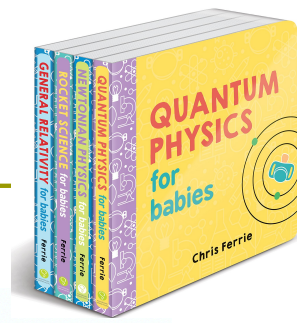
$$\mathcal{L}_{BSM} = \mathcal{L}(\phi_{SM}, \Phi_{BSM})$$



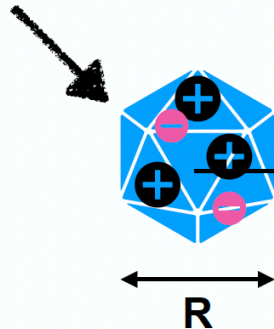
Effective Field Theory (EFT) approach



Far vs near



Some distribution
of electric charges



Near
observer



L

Far
observer



r

Near observer, $L \sim R$, needs to know the position of every charge to describe electric field in her proximity

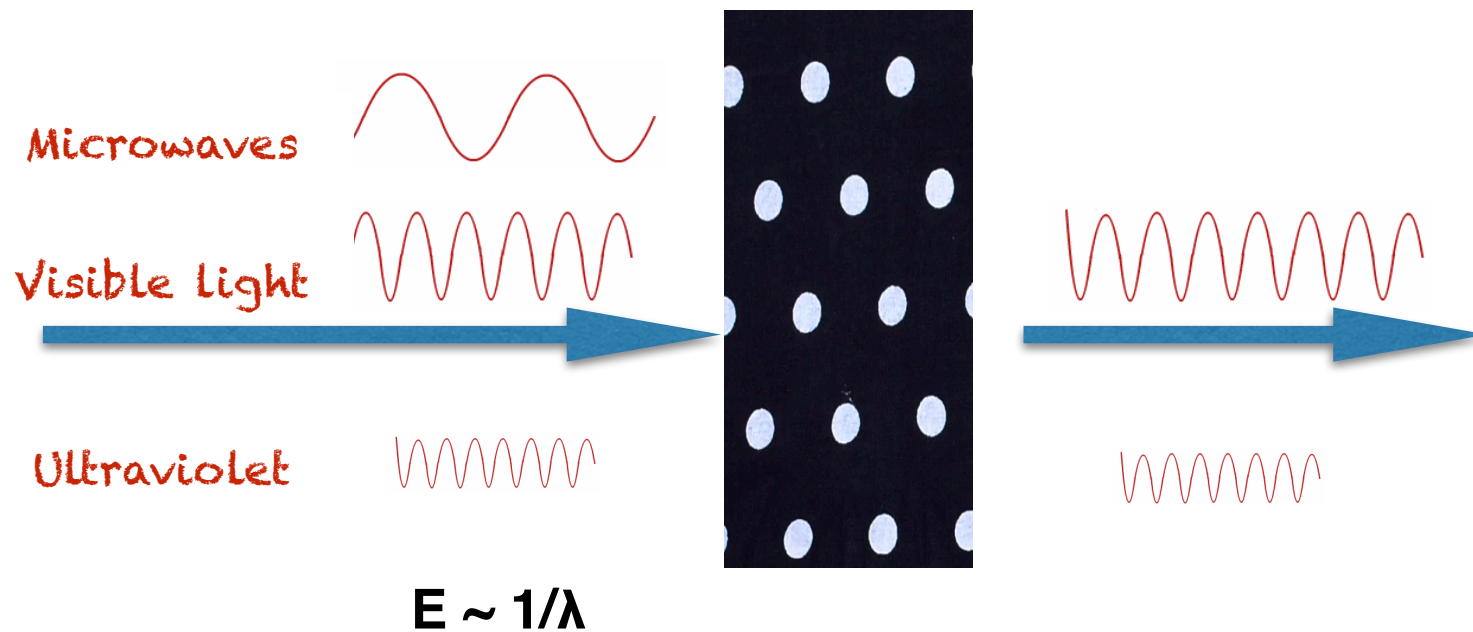
Far observer, $r \gg R$, can instead use multipole expansion:
$$V(\vec{r}) = \frac{Q}{r} + \frac{\vec{d} \cdot \vec{r}}{r^3} + \frac{Q_{ij} r_i r_j}{r^5} + \dots$$
$$\sim 1/r \quad \sim R/r^2 \quad \sim R^2/r^3$$

Higher order terms in the multipole expansion are suppressed by powers of the small parameter (R/r) . One can truncate the expansion at some order depending on the value of (R/r) and experimental precision

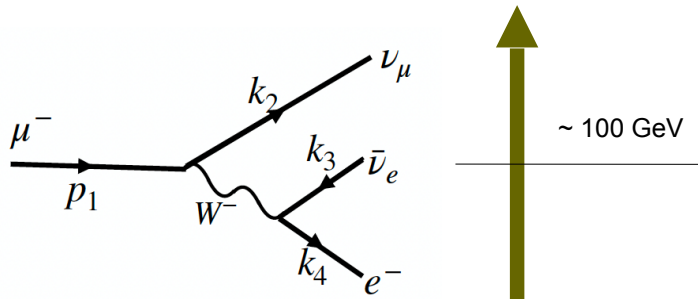
Far observer is able to describe electric field in his vicinity using just a few parameters: the total electric charge Q , the dipole moment \vec{d} , eventually the quadrupole moment Q_{ij} , etc....

On the other hand, far observer can only guess the "fundamental" distributions of the charges, as many distinct distributions lead to the same first few moments

High-E = small distances



EFT in QFT (example)

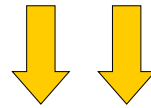


~ 100 GeV

\mathcal{L}_{SM} (EW theory)

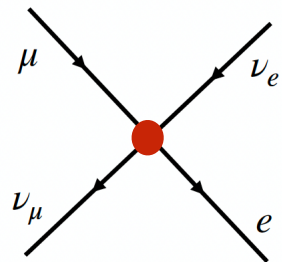
$$\mathcal{M} = \frac{g_L^2}{2} \bar{u}(k_2) \gamma_\rho P_L u(p_1) \frac{1}{q^2 - m_W^2} \bar{u}(k_4) \gamma_\rho P_L v(k_3)$$

$$q = p_1 - k_2$$



$$q^2 \lesssim m_\mu^2 \ll m_W^2$$

$$\mathcal{M} = -\frac{g_L^2}{2m_W^2} \bar{u}(k_2) \gamma_\rho P_L u(p_1) \bar{u}(k_4) \gamma_\rho P_L v(k_3) + \mathcal{O}(q^2/m_W^4)$$



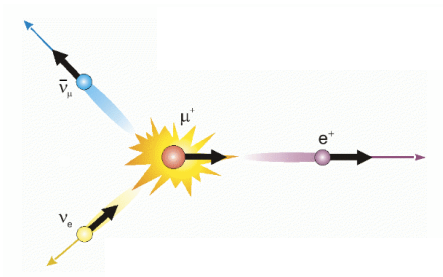
~ GeV

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu$$

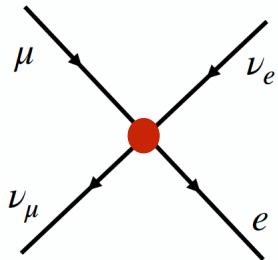
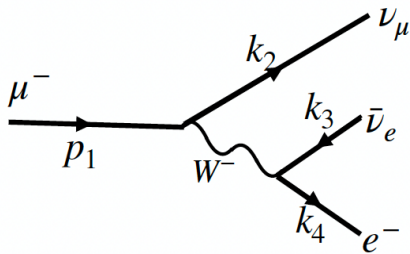
$$G_F = \frac{g^2}{4\sqrt{2}m_W^2}$$

Wilson coefficient

+ higher-dim terms



EFT in QFT (example)



Historically the logic was quite different:

- Data \rightarrow Fermi EFT \rightarrow SM

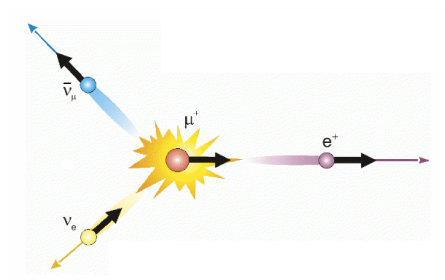
The EFT idea works beyond tree-level:

- The EFT is not renormalizable
- However, for a finite precision (= at a given order in the EFT *power counting*: E^2/m_W^2), the EFT is renormalizable.
- Couplings become renormalization scheme and scale (μ) dependent. They "run" $\rightarrow \log(\mu/m_W)$. Renormalization group equations (RGEs) tell us how to calculate this *running*. Observables are μ indep.
- The UV/IR *matching* can be done at any given order. Typically done at $\mu = m_w$
- Running + matching + running: important to connect measurements (LHC measurement at ~ 300 GeV vs muon decay lifetime)

$$G_F = \frac{g^2}{4\sqrt{2}m_W^2}$$

Wilson coefficient

+ higher-dim terms



EFT in QFT

**Top
down**



Known theory at
high-E



EFT at low-E

**Bottom
up**



EFT that includes
high-E effects

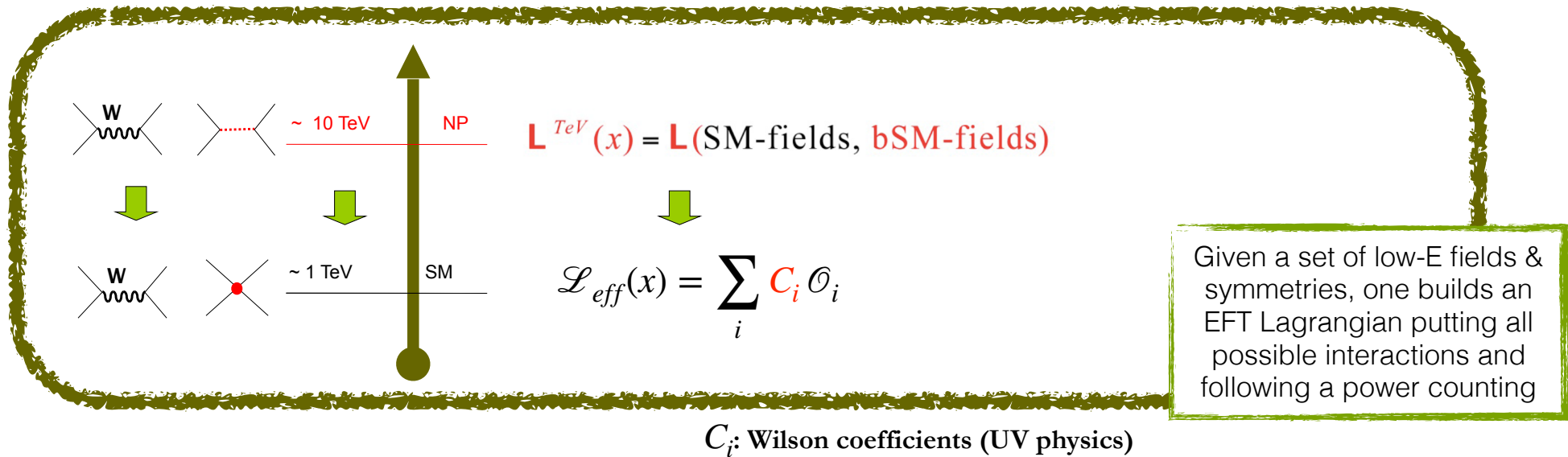


Known theory at low-E
(or at least symmetries & fields)

Given a set of low-E fields & symmetries, one builds an EFT Lagrangian putting all possible interactions and following a power counting

EFT at the EW scale: SM \rightarrow SMEFT

[S. Weinberg, 1979-1980;
Buchmuller-Wyler, 1986; ...]



EFT = Model-independent approach \neq Assumption independent

SMEFT: assumptions

Known elementary particles (masses < 173 GeV)

mass →	≈2.3 MeV/c ²	≈1.275 GeV/c ²	≈173.07 GeV/c ²	0	≈126 GeV/c ²
charge →	2/3	2/3	2/3	0	0
spin →	1/2	1/2	1/2	1	0
	u up	c charm	t top	g gluon	H Higgs boson
QUARKS					
	≈4.8 MeV/c ²	≈95 MeV/c ²	≈4.18 GeV/c ²	0	
	-1/3	-1/3	-1/3	0	
	1/2	1/2	1/2	1	
	d down	s strange	b bottom	γ photon	
	0.511 MeV/c ²	105.7 MeV/c ²	1.777 GeV/c ²	91.2 GeV/c ²	
	-1	-1	-1	0	
	1/2	1/2	1/2	1	
	e electron	μ muon	τ tau	Z Z boson	
LEPTONS					
	<2.2 eV/c ²	<0.17 MeV/c ²	<15.5 MeV/c ²	80.4 GeV/c ²	
	0	0	0	±1	
	1/2	1/2	1/2	1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
					GAUGE BOSONS

1. QFT

2. SM fields + gap:
NP scale \gg EW scale.

3. Gauge symmetry: local
SU(3)xSU(2)xU(1) symmetry

SMEFT: assumptions

Physics above the EW scale is described by a manifestly Poincaré-invariant local quantum theory.
Safe assumption.

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 $SU(3) \times SU(2) \times U(1)$ symmetry

	up	charm	top	gluon	Higgs boson
QUARKS	$\approx 4.8 \text{ MeV}/c^2$ -1/3 1/2 d down	$\approx 95 \text{ MeV}/c^2$ -1/3 1/2 s strange	$\approx 4.18 \text{ GeV}/c^2$ -1/3 1/2 b bottom	0 0 1 γ photon	
	$0.511 \text{ MeV}/c^2$ -1 1/2 e electron	$105.7 \text{ MeV}/c^2$ -1 1/2 μ muon	$1.777 \text{ GeV}/c^2$ -1 1/2 τ tau	0 0 1 Z Z boson	
	$< 2.2 \text{ eV}/c^2$ 0 1/2 ν_e electron neutrino	$< 0.17 \text{ MeV}/c^2$ 0 1/2 ν_μ muon neutrino	$< 15.5 \text{ MeV}/c^2$ 0 1/2 ν_τ tau neutrino	$80.4 \text{ GeV}/c^2$ ± 1 1 W W boson	
LEPTONS				GAUGE BOSONS	

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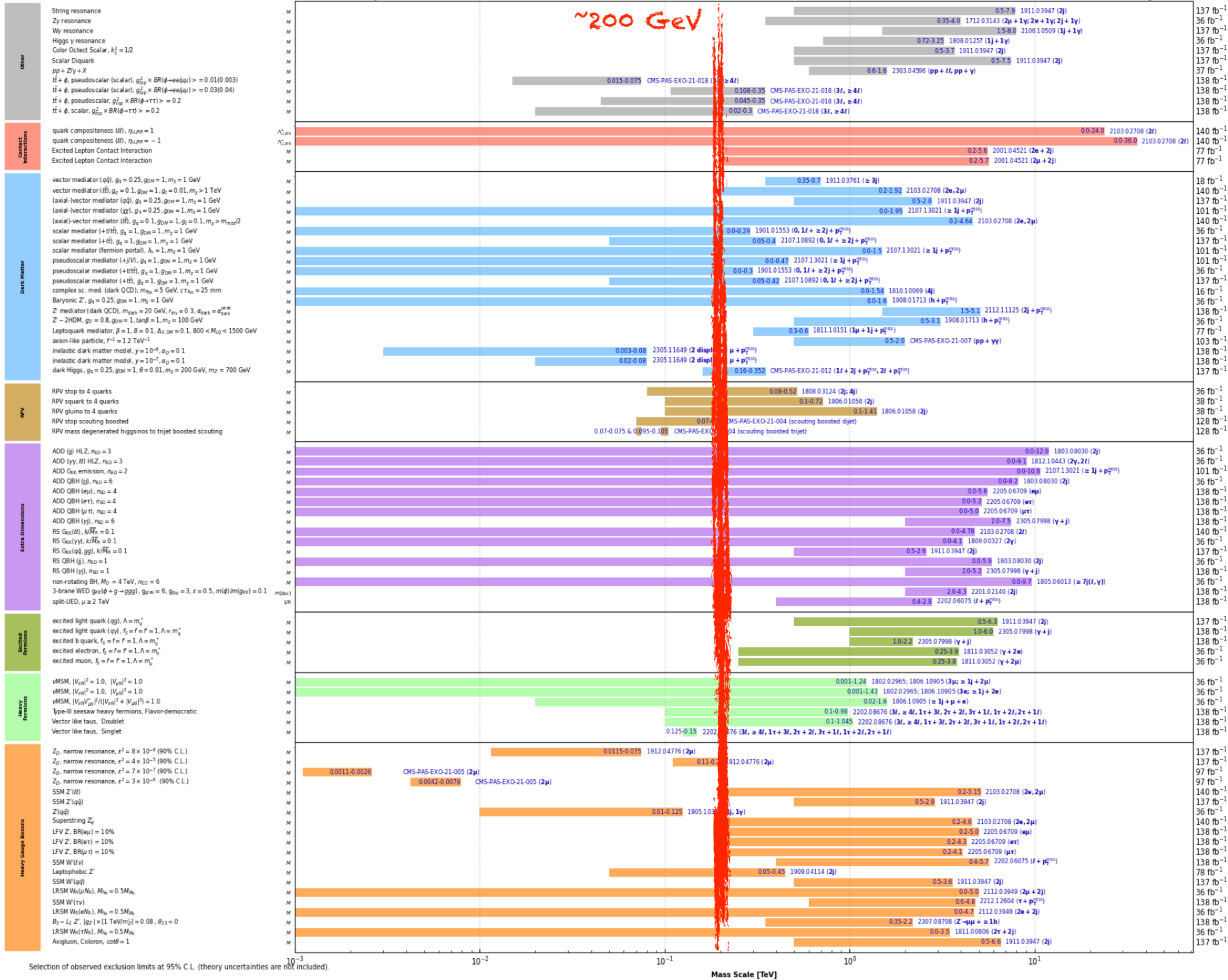
3. Gauge symmetry: local
SU(3)xSU(2)xU(1) symmetry

Overview of CMS EXO results

CMS Preliminary

August 2023

~200 GeV



Selection of observed exclusion limits at 95% C.L. (theory uncertainties are not included).

Mass Scale [TeV]

SMEFT: assumptions

Known elementary particles

(masses < 173 GeV)

- Reasonable assumption.
- But it could easily be wrong:
 - new $O(100$ GeV) particles somehow evading LHC searches;
 - light RH neutrinos (\rightarrow R-SMEFT), axions (\rightarrow ALP-SMEFT), light dark matter, ...
- In fact it's wrong (graviton!) but unlikely to be relevant for EW physics (\rightarrow GRSMEFT).

1. QFT

2. SM fields + gap:
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 $SU(3) \times SU(2) \times U(1)$ symmetry

SMEFT: assumptions

- One could follow a different approach, where the Higgs field $h(x)$ transforms as a singlet (and the Goldstone bosons transform non-linearly). This takes us to a different EFT called **HEFT**.
- $\text{SMEFT} \subset \text{HEFT}$.
- $\text{HEFT} \setminus \text{SMEFT} \rightarrow$ non-decoupling BSM models (where the masses of new particles vanish in the limit $v \rightarrow 0$) [[Falkowski-Rattazzi, 1902.05936](#); [Cohen+, 2108.03240](#); ...]. Example: a 4th SM family.
 - HEFT validity regime $\lesssim 4\pi v \sim 3 \text{ TeV}$
 \rightarrow mass gap! (Assumption #2)
- **SMEFT** describes BSM theories that can be parametrically decoupled, i.e., the mass scale of new particles depends on a free parameter that can be taken to infinity.
- Reasonable assumption, given the apparent mass gap.

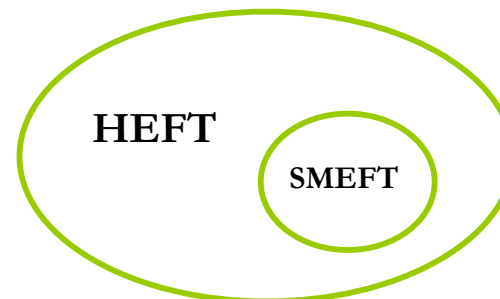
1. QFT

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NP scale \gg EW scale.

3. Gauge symmetry: local
 $\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)$ symmetry
[spontaneously broken to $\text{SU}(3) \times \text{U}(1)$
by a VEV of the Higgs field]

$$\varphi \equiv \begin{pmatrix} \varphi^+ \\ \varphi^0 \end{pmatrix} \rightarrow \exp\left(i \vec{\sigma} \cdot \frac{\vec{\theta}}{v}\right) \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h \end{pmatrix}$$

Unitarity gauge



SMEFT: assumptions

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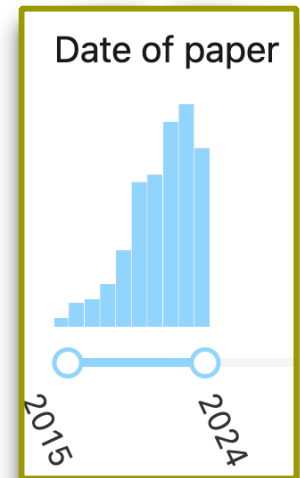
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NP scale ≫ EW scale.

3. Gauge symmetry: local
SU(3)×SU(2)×U(1) symmetry

SMEFT is the result of very conservative & parsimonious assumptions

(→ Extremely active field the last ~10 years)



Fit SMEFT
(Use with caution:
the field is much older!)

Building the SMEFT



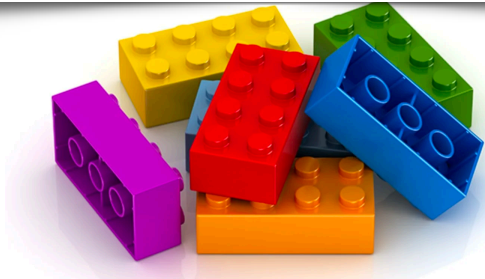
Building the SMEFT



Building blocks:

$G_\mu^a, W_\mu^k, B_\mu, q, u, d, \ell, e, \varphi$

Field	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	Spin
G_μ^a	8	1	0	1
W_μ^k	1	3	0	1
B_μ	1	1	0	1
Q	3	2	1/6	1/2
u	3	1	2/3	1/2
d	3	1	-1/3	1/2
L	1	2	-1/2	1/2
e	1	1	-1	1/2
H	1	2	1/2	0



Rules

Lorentz +
 $SU(3)_C \times SU(2)_L \times U(1)_Y$



$$\mathcal{L} = \sum_i C_i \mathcal{O}_i(\phi_j, D_\mu \phi_k)$$

Example: $\mathcal{L} = C (\varphi^\dagger \varphi)^3$

Building the SMEFT



There are infinite gauge-invariant terms.
But that's OK because there's a well-defined expansion:

- Take an operator (=interaction term) \mathcal{O}_D of dimension D .

- Since $[\mathcal{L}] = E^4 \rightarrow \mathcal{L} \supset C_D \mathcal{O}_D$ where $[C_D] \sim c_D / \Lambda^{4-D}$

$$G_F = \frac{g^2}{4\sqrt{2}m_W^2}$$

- Its contribution to a (dimensionless) amplitude associated to a process with $E \gg m$

$$\mathcal{M} \sim C_D E^{D-4} \sim \left(\frac{E}{\Lambda}\right)^{D-4}$$

- Thus, for $E \ll \Lambda$:
a $D=5$ term gives a larger contribution than a $D=6$ one,
a $D=6$ term gives a larger contribution than a $D=7$ one,
and so on.



- For a given precision, we only need a finite amount of terms
(Generic key EFT feature)

$$\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

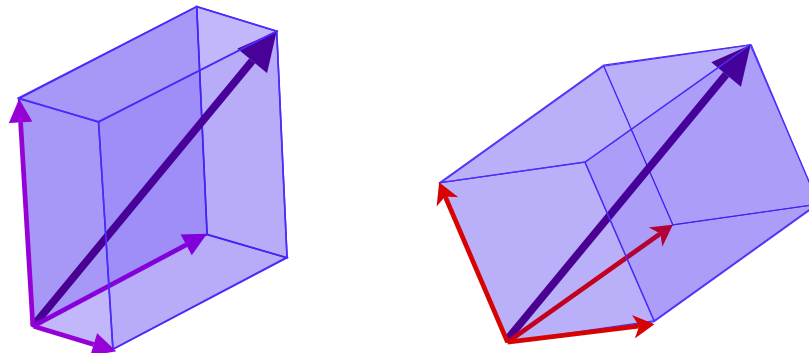
Building the SMEFT



$$\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

$\sum_i C_6^i \mathcal{O}_6^i$ Complete (and minimal) set of operators \rightarrow "Basis"

- Finding a minimal set of operators is a subtle business.
 - It's not just (O_1, O_2) vs (O_1+O_2, O_1-O_2) . Operators can be related through integration by parts, Fierz transformation and field redefinitions.
 - Solved recently
[Grzadkowski et al. 1008.4884; Lehman-Martin 1510.00372; Henning et al. 1512.03433; Li et al. 2201.04639; ...]
- Any physical result will be independent of the basis chosen.



Building the SMEFT



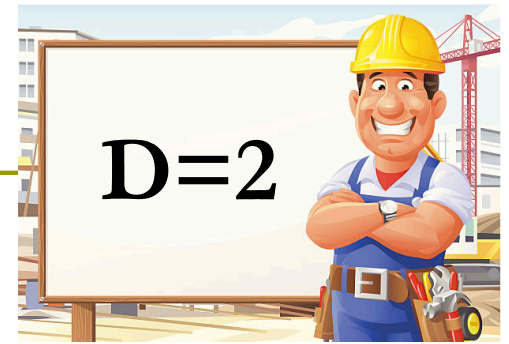
$$\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

This power counting allows us to define SMEFT at the quantum level:

- The SMEFT is renormalizable at a any finite order in the EFT expansion, $\frac{1}{\Lambda^2} \rightarrow \frac{E^2}{\Lambda^2}, \frac{v^2}{\Lambda^2}, \frac{vE}{\Lambda^2}$.
- Wilson Coefficients "run" \rightarrow RGEs
 - Important to do precise analyses connecting experimental searches at different scales, & also with the UV scale (matching)
 - Operators mix under running



Building the SMEFT

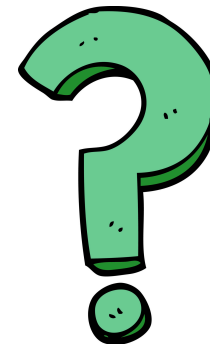


$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

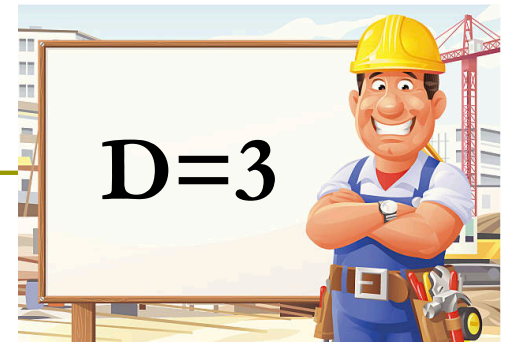
- The first contribution appears at $D=2$, where we find only one operator:

$$\mathcal{L}_2 = \mu^2 \varphi^\dagger \varphi$$

- From the EFT point of view one expects μ of order $\Lambda \gg$ EW scale (at least ~ 1 TeV)
- Data tell us that $\mu \sim 100$ GeV
(In the SM: $M_h = \mu\sqrt{2}$)
- \rightarrow "**Hierarchy problem**".
- The EFT (dimensional analysis!) "failed" us on the first try.



Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \cancel{\mathcal{L}_3} + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

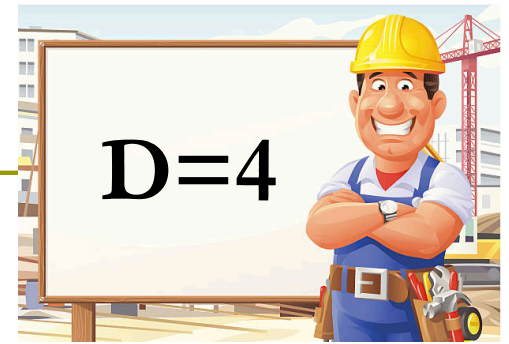
- There are no operators.



- PS: There's nothing fundamental about this.
If one adds RH neutrinos, a D=3 term is possible (Majorana mass).

$$\mathcal{L}_M = -\frac{1}{2} m_M \bar{\nu}_R^c \nu_R + h.c., \quad \nu^c \equiv C \bar{\nu}^T$$

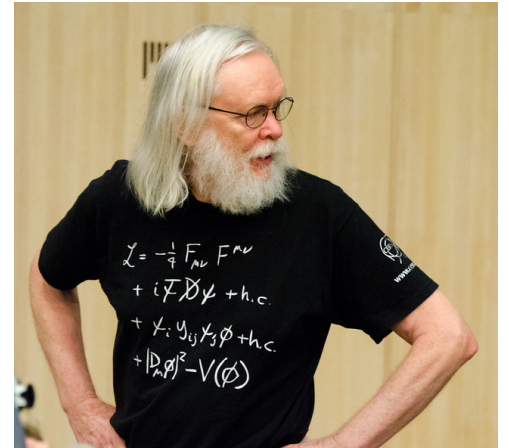
Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

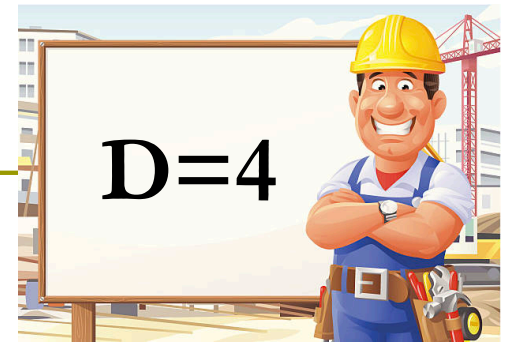
- At D=4 we find the rest of the SM

$$\begin{aligned} \mathcal{L}_{SM} = & -\frac{1}{4}G^{a\mu\nu}G_{\mu\nu}^a - \frac{1}{4}W^{k\mu\nu}W_{\mu\nu}^k - \frac{1}{4}B^{\mu\nu}B_{\mu\nu} - \tilde{\theta} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a \\ & + i \sum_f \bar{f} D_\mu \gamma^\mu f \\ & - (\bar{\ell} Y_e \phi e + \bar{q} \phi Y_d d + \bar{q} \tilde{\phi} Y_u u) + h.c. \\ & + (D_\mu \phi)^\dagger (D^\mu \phi) - \mu^2 (\phi^\dagger \phi) - \lambda (\phi^\dagger \phi)^2 \end{aligned}$$



$$\begin{aligned} W_{\mu\nu}^i &= \partial_\mu W_\nu^i - \partial_\nu W_\mu^i - g \epsilon^{ijk} W_\mu^j W_\nu^k \\ D_\mu X &= \partial_\mu X + i g_s G_\mu^a T^a X + i g_L W_\mu^i \frac{\sigma^i}{2} X + i g_Y B_\mu Y_X X \end{aligned}$$

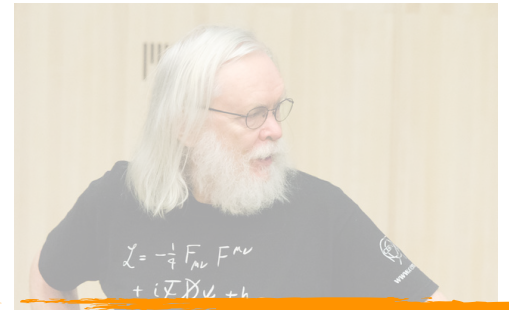
Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- At D=4 we find the rest of the SM

$$\begin{aligned} \mathcal{L}_{SM} = & -\frac{1}{4} G^{a\mu\nu} G_{\mu\nu}^a - \frac{1}{4} W^{k\mu\nu} W_{\mu\nu}^k - \frac{1}{4} B^{\mu\nu} B_{\mu\nu} - \tilde{\theta} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a \\ & + i \sum_f \bar{f} D_\mu \gamma^\mu f \\ & - (\bar{\ell} Y_e \varphi e + \bar{q} \varphi Y_d d + \bar{q} \tilde{\varphi} Y_u u) + h.c. \\ & + (D_\mu \varphi)^\dagger (D^\mu \varphi) - \mu^2 (\varphi^\dagger \varphi) - \lambda (\varphi^\dagger \varphi)^2 \end{aligned}$$

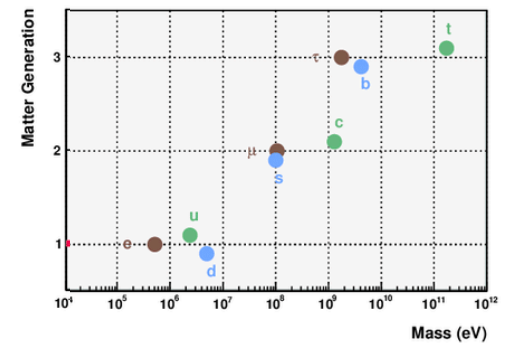


- All coefficients have been measured...
except the theta term → "strong CP problem"

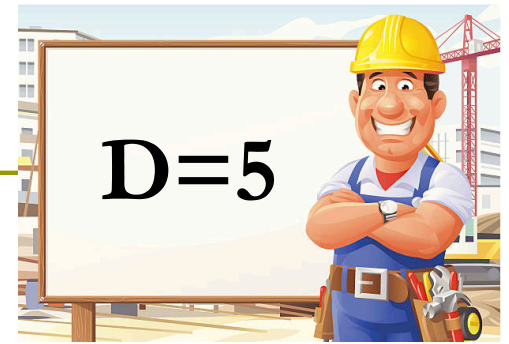
- Interaction size OK except:

- $\mu = M_h \sqrt{2} \sim 100 \text{ GeV} \ll \Lambda$ (??)
- EFT predicts: $Y_f \sim \mathcal{O}(1) \rightarrow m_f \sim v$, $V_{ij} \sim \mathcal{O}(1)$
→ "flavor puzzle"

$$V_{CKM} = \begin{pmatrix} & d & s & b \\ u & \blacksquare & \blacksquare & \cdot \\ c & \blacksquare & \blacksquare & \blacksquare \\ t & \cdot & \blacksquare & \blacksquare \end{pmatrix}$$



Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- Only one operator (Weinberg'79)

$$\mathcal{L}_5 = \frac{[c_5]_{pr}}{\Lambda} \left(\tilde{\varphi}^\dagger \ell_p \right)^T C \left(\tilde{\varphi}^\dagger \ell_r \right) + h.c.$$

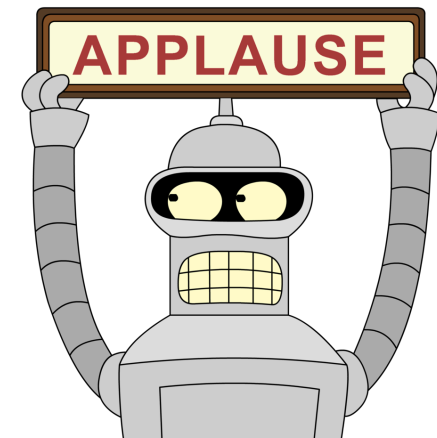
$$\tilde{\varphi} \equiv i \sigma_2 \varphi = \begin{pmatrix} (\varphi^0)^* \\ -(\varphi^+)^* \end{pmatrix} \rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} \nu + H \\ 0 \end{pmatrix}$$

$$\ell \equiv \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

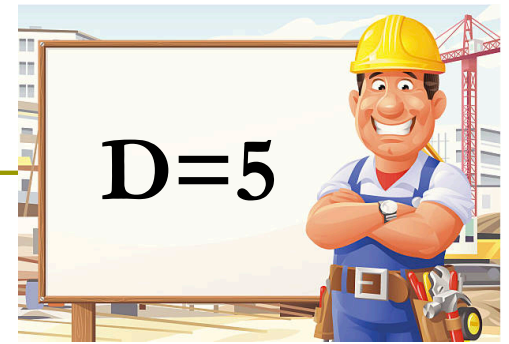
- After EWSB generates Majorana masses (for LH neutrinos):

$$\mathcal{L}_M = -\frac{1}{2} m_M \bar{\nu}_L^c \nu_L + h.c., \quad \nu^c \equiv C \bar{\nu}^T$$

- Perfect! (neutrino oscillations \rightarrow neutrino masses)
Great success of the SMEFT approach: corrections to the SM Lagrangian predicted at 1st order in the EFT expansion, are indeed observed!



Building the SMEFT



$$\mathcal{L}_5 = \frac{[c_5]_{pr}}{\Lambda} \left(\tilde{\varphi}^\dagger \ell_p \right)^T C \left(\tilde{\varphi}^\dagger \ell_r \right) + h.c. \rightarrow m_\nu \sim 2 c_5 v^2 / \Lambda$$

- Oscillation data $\rightarrow \Delta m^2$.
Other experiments (KATRIN) / observations \rightarrow bounds on m .
All in all, $m \sim \mathcal{O}(0.01)$ eV. Thus:

$$v^2 / \Lambda \sim 0.1 \text{ eV} \rightarrow \Lambda \sim 10^{15} \text{ GeV} !!$$

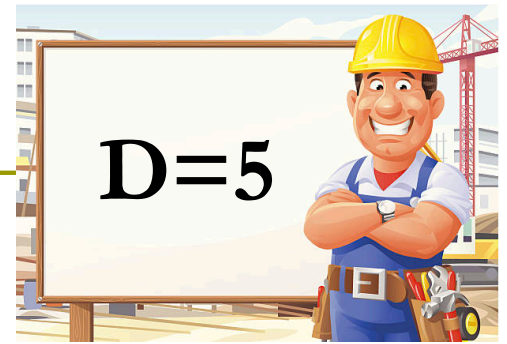


- The mass gap is certainly OK
- But then higher dimensional effects are then extremely suppressed (only hope: B-number violation)

$$D = 6 \rightarrow v^2 / \Lambda^2 \sim 10^{-26} !!$$



Building the SMEFT



$$\mathcal{L}_5 = \frac{[c_5]_{pr}}{\Lambda} \left(\tilde{\varphi}^\dagger \ell_p \right)^T C \left(\tilde{\varphi}^\dagger \ell_r \right) + h.c. \rightarrow m_\nu \sim 2 c_5 v^2 / \Lambda$$

- Tiny neutrino masses point to huge NP scale: $\Lambda \sim 10^{15}$ GeV \longrightarrow



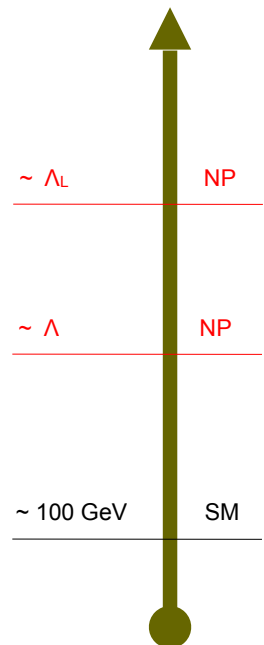
- Alternative:

It's possible (and even natural) that there's more than one NP scale. This is not arbitrary since D=5 is "special": it violates B-L

- A very high scale Λ_L associated to B-L violating physics (D=5, 7, ...)
- A (hopefully) not so high scale, Λ , associated to B-L conserving physics (D=6, 8, ...)

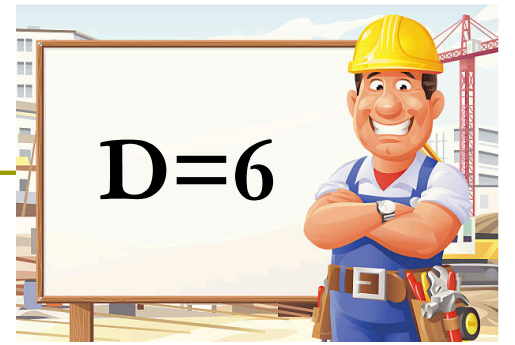
$$\mathcal{L}_{D=5} \sim \frac{1}{\Lambda_L}, \quad \mathcal{L}_{D=6} \sim \frac{1}{\Lambda^2}, \quad \mathcal{L}_{D=7} \sim \frac{1}{\Lambda_L^3}, \quad \mathcal{L}_{D=8} \sim \frac{1}{\Lambda^4}, \quad \text{and so on}$$

- PS: Outside the SMEFT paradigm there are other explanations for m_ν . E.g., SM + $\nu_R \rightarrow$ one has D=3 Majorana & D=4 yukawas (\rightarrow Dirac mass).



Building the SMEFT

D=6



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]
Flavor structure \rightarrow 3045 coefficients



X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$
Q_W	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$

Table 2: Dimension-six operators other than the four-fermion ones.

$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
		$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating			
Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s^k q_t^l)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$		
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{quu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$		
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	Q_{qqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jnk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^\gamma)^T C l_t^k]$		
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	Q_{duu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				

Table 3: Four-fermion operators.

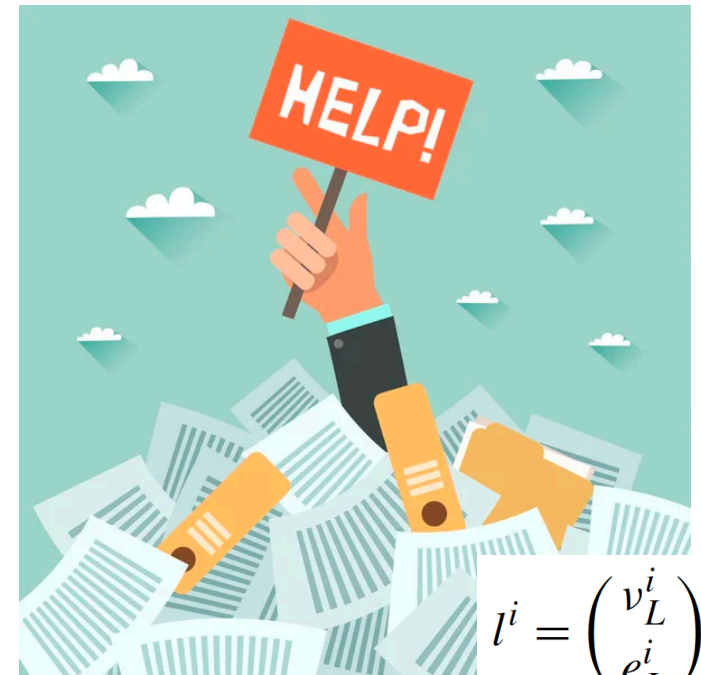
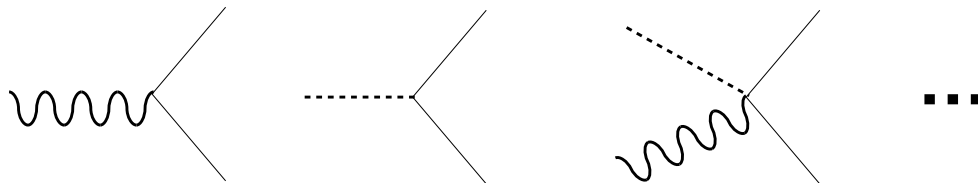
Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]
Flavor structure \rightarrow 3045 coefficients

$$(\varphi^\dagger i D_\mu \varphi)(l_p \gamma^\mu l_r)$$

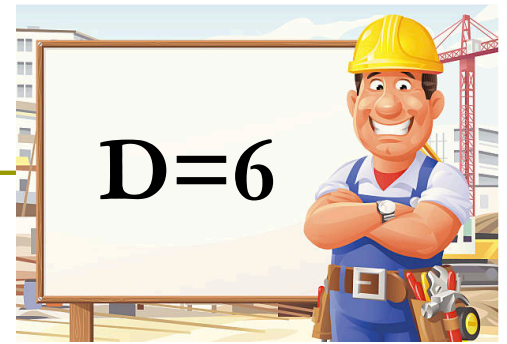


$$l^i = \begin{pmatrix} \nu_L^i \\ e_L^i \end{pmatrix}$$

$$\varphi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

$$D_\mu = I\partial_\mu - ig_s \frac{\lambda^A}{2} G_\mu^A - ig \frac{\sigma^a}{2} W_\mu^a - ig' Y B_\mu$$

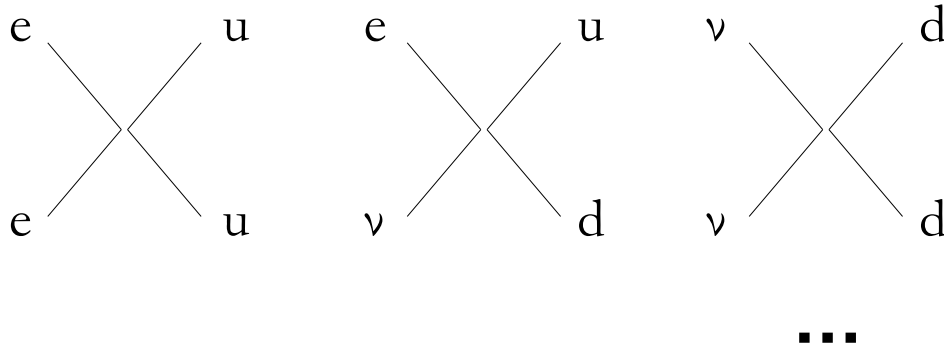
Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]
Flavor structure \rightarrow 3045 coefficients

$$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$$

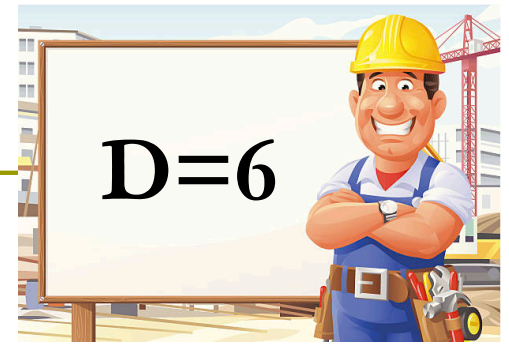


$$l^i = \begin{pmatrix} \nu_L^i \\ e_L^i \end{pmatrix}$$

$$\varphi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

$$D_\mu = I\partial_\mu - ig_s \frac{\lambda^A}{2} G_\mu^A - ig \frac{\sigma^a}{2} W_\mu^a - ig' Y B_\mu$$

Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]
Flavor structure \rightarrow 3045 coefficients
- Extremely rich phenomenology:
colliders,
flavor,
low-energy searches,
neutrino physics,
proton decay,
CP violation,
...

- All results compatible with zero \rightarrow Bounds on Λ
$$\left(\mathcal{L} \supset \frac{1}{\Lambda^2} \mathcal{O}_6 \right)$$



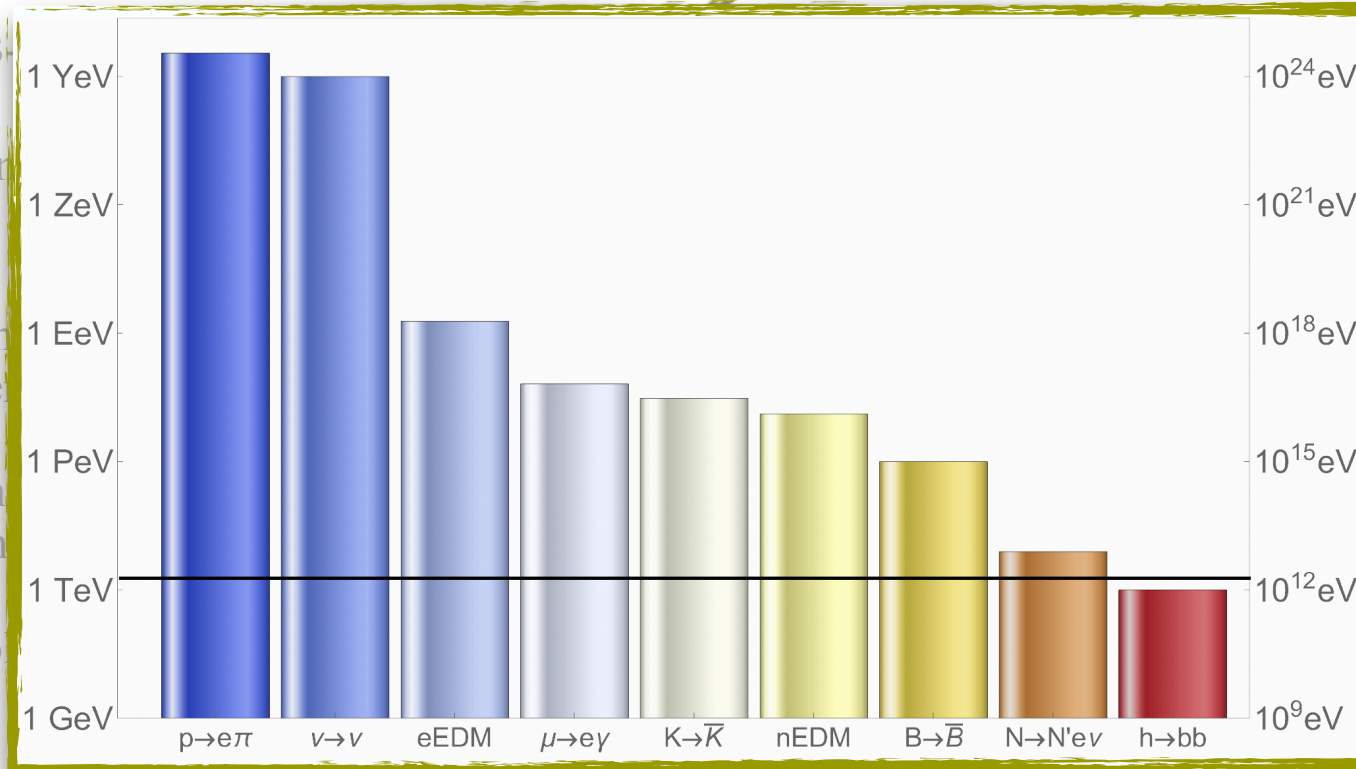
Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

[A. Falkowski, Eur.Phys.J.C 83 (2023) 7, 656]

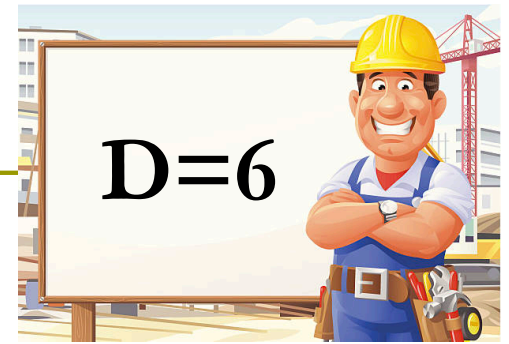
- First B...
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- proton
- CP vio
- ...



- All results compatible with zero \rightarrow Bounds on Λ

$$\left(\mathcal{L} \supset \frac{1}{\Lambda^2} \mathcal{O}_6 \right)$$

Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

[A. Falkowski, Eur.Phys.J.C 83 (2023) 7, 656]

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]
Flavor structure \rightarrow 3045 coefficients
- Extremely rich phenomenology:
colliders,
flavor,
low-energy searches (beta decay!),
neutrino physics,
proton decay,
CP violation (EDMs!),
...
- All results compatible with zero \rightarrow Bounds on Λ
- Dim-6 RGEs known at 1 loop
[Jenkins et al., 1308.2627 & 1310.4838; Alonso et al., 1312.2014]

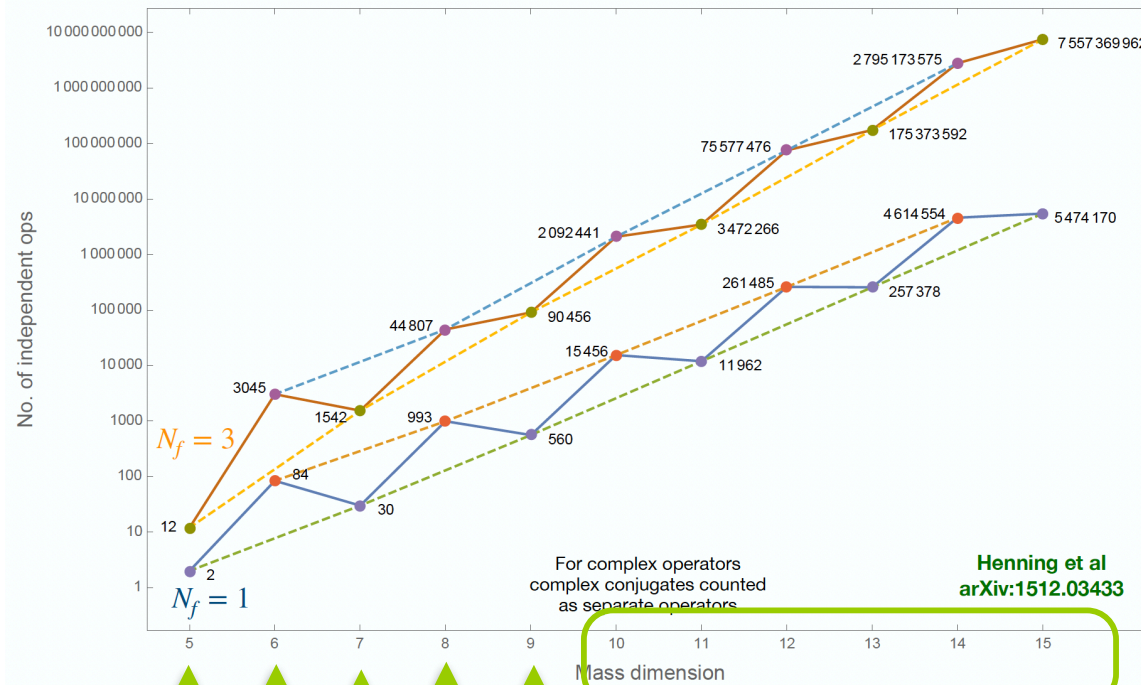


Building the SMEFT



$$L = L_2 + L_3 + L_4 + L_5 + L_6 + L_7 + \dots$$

- At dim-6 is where all the fun starts, but it's also where it ends



Exponential growth with D

Weinberg'79 Lehman'14 Li et al.'21
 Grzadkowski et al.'10 Li et al.'20 Li et al'22
 (Code valid at any dimension)

Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- At dim-6 is where all the fun starts, but it's also where it ends
 - Really too many operators
 - For $D=7, 9, \dots$ the effect is expected to be tiny
 - For $D=8, 10, \dots$ not easy to imagine situations where terms that are so suppressed (if the EFT works) give measurable effects in observable X whereas all $D=6$ terms do not give measurable effects in so many other observables.
- A few processes receive their first tree-level correction at $D>6$:
light-by-light scattering (dim-8), neutron-antineutron oscillation (dim-9), ...
Depending on the mass gap, they could compete w/ loop effects from lower-dim. operators.

Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- It's crucial to keep in mind that these operators exist.
E.g. $(\text{dim}-6)^2$ vs $\text{dim}-8$ contributions (validity of the EFT expansion)
- Let's think in a (non-forbidden) low-E process ($E \ll v$):

$$\mathcal{M} = \mathcal{M}_{SM} \left(1 + c_6 \mathcal{O} \left(\frac{v^2}{\Lambda^2} \right) + c_8 \mathcal{O} \left(\frac{v^4}{\Lambda^4} \right) + \dots \right)$$

$$|\mathcal{M}|^2 = |\mathcal{M}_{SM}|^2 \left(1 + c_6 \mathcal{O} \left(\frac{v^2}{\Lambda^2} \right) + c_6^2 \mathcal{O} \left(\frac{v^4}{\Lambda^4} \right) + c_8 \mathcal{O} \left(\frac{v^4}{\Lambda^4} \right) + \dots \right)$$

- One should NOT include quadratic terms
(equivalently: results should not depend strongly on quadratic terms)*
- The reasoning is the same for $E \sim v$ or higher energies.

*In specific models: $(\text{dim}-8) > (\text{dim}-6)^2$.

Building the SMEFT

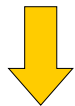


$$\mathcal{L} = \mathcal{L}_{SM} + \text{Majorana neutrino masses} + \sum \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

Down the EFT stairs



Known theory at
high-E



EFT at low-E

**Bottom
up**



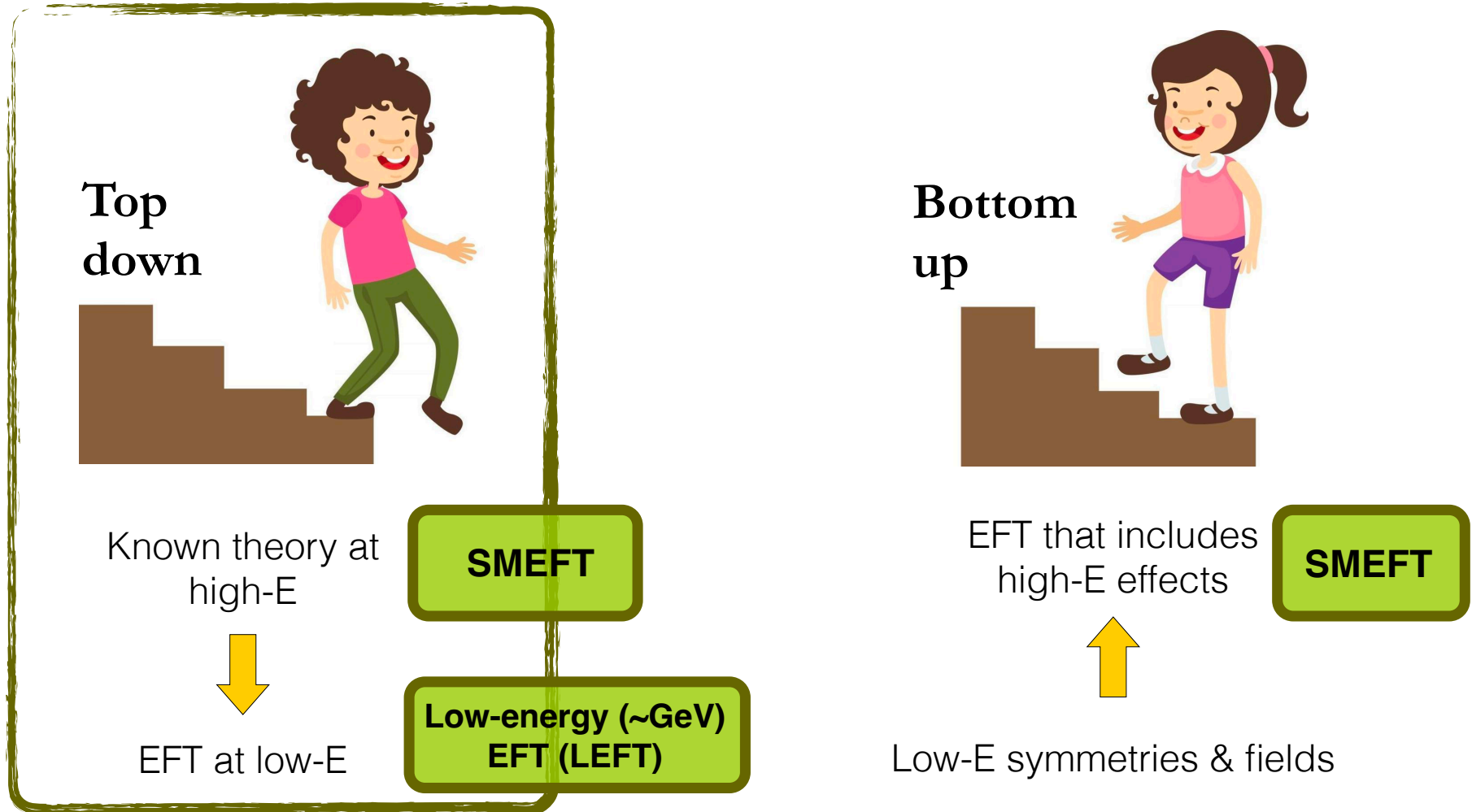
EFT that includes
high-E effects



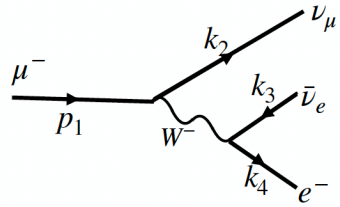
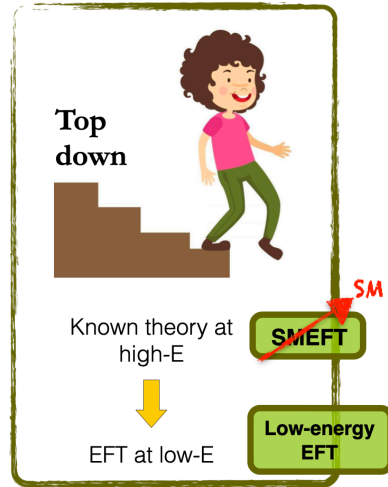
Low-E symmetries & fields

SMEFT

Down the EFT stairs

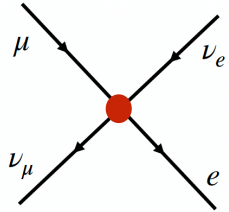


Down the EFT stairs



$\sim 100 \text{ GeV}$

$$\mathcal{L}_{eff} = \mathcal{L}_{SM}$$



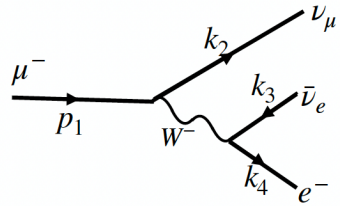
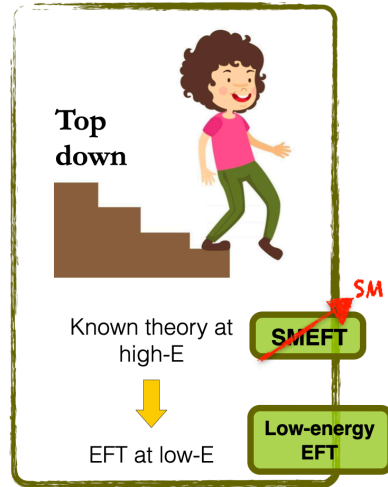
$\sim \text{GeV}$

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu$$

$$G_F = \frac{g^2}{4\sqrt{2} m_W^2}$$

+ higher-dim terms

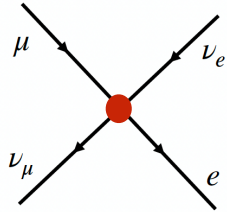
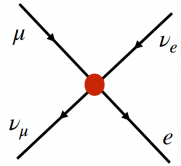
Down the EFT stairs



~ 100 GeV

$$\mathcal{L}_{eff} = \mathcal{L}_{SM}$$

$$+ \frac{c_5}{\Lambda} \mathcal{O}_5 + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$



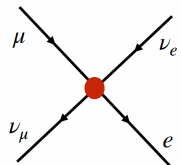
~ GeV

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu + \text{higher-dim terms}$$

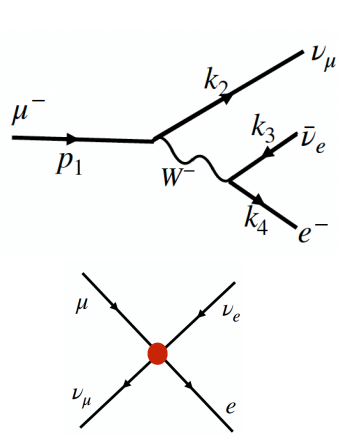
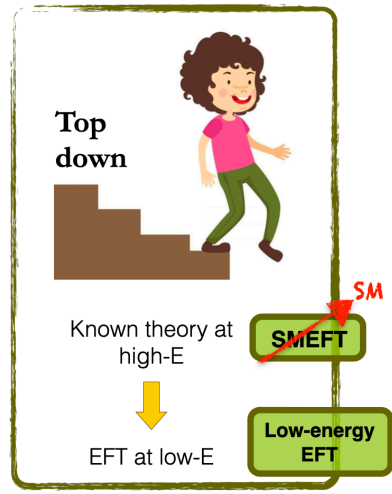
$$G_F = \frac{g^2}{4\sqrt{2} m_W^2} + f \left(\frac{c_6^i}{\Lambda^2} \right)$$

$$+ \frac{4\epsilon}{\sqrt{2}} \bar{e} (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu (1 + \gamma_5) \mu$$

$$\epsilon = g \left(\frac{c_6^i}{\Lambda^2} \right)$$

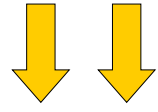


Down the EFT stairs



$$\mathcal{L}_{eff} = \mathcal{L}_{SM}$$

$$+ \frac{c_5}{\Lambda} \mathcal{O}_5 + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$



$$G_F = \frac{g^2}{4\sqrt{2} m_W^2} + f \left(\frac{c_6^i}{\Lambda^2} \right)$$

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu + \text{higher-dim terms}$$

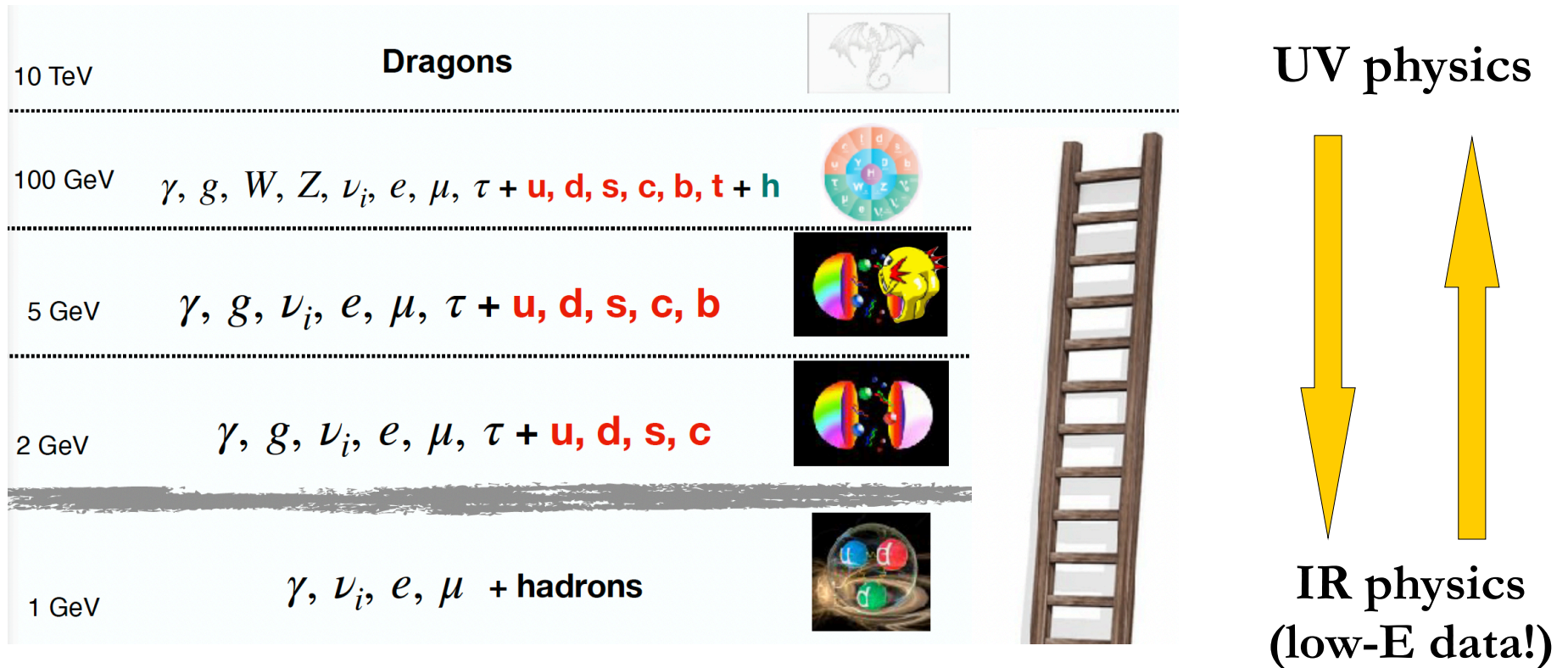
$$+ \frac{4\epsilon}{\sqrt{2}} \bar{e} (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu (1 + \gamma_5) \mu$$

$$\epsilon = g \left(\frac{c_6^i}{\Lambda^2} \right)$$

The SMEFT has ~3K coefficients, but it generates only one new term to the muon decay low-energy EFT Lagrangian.

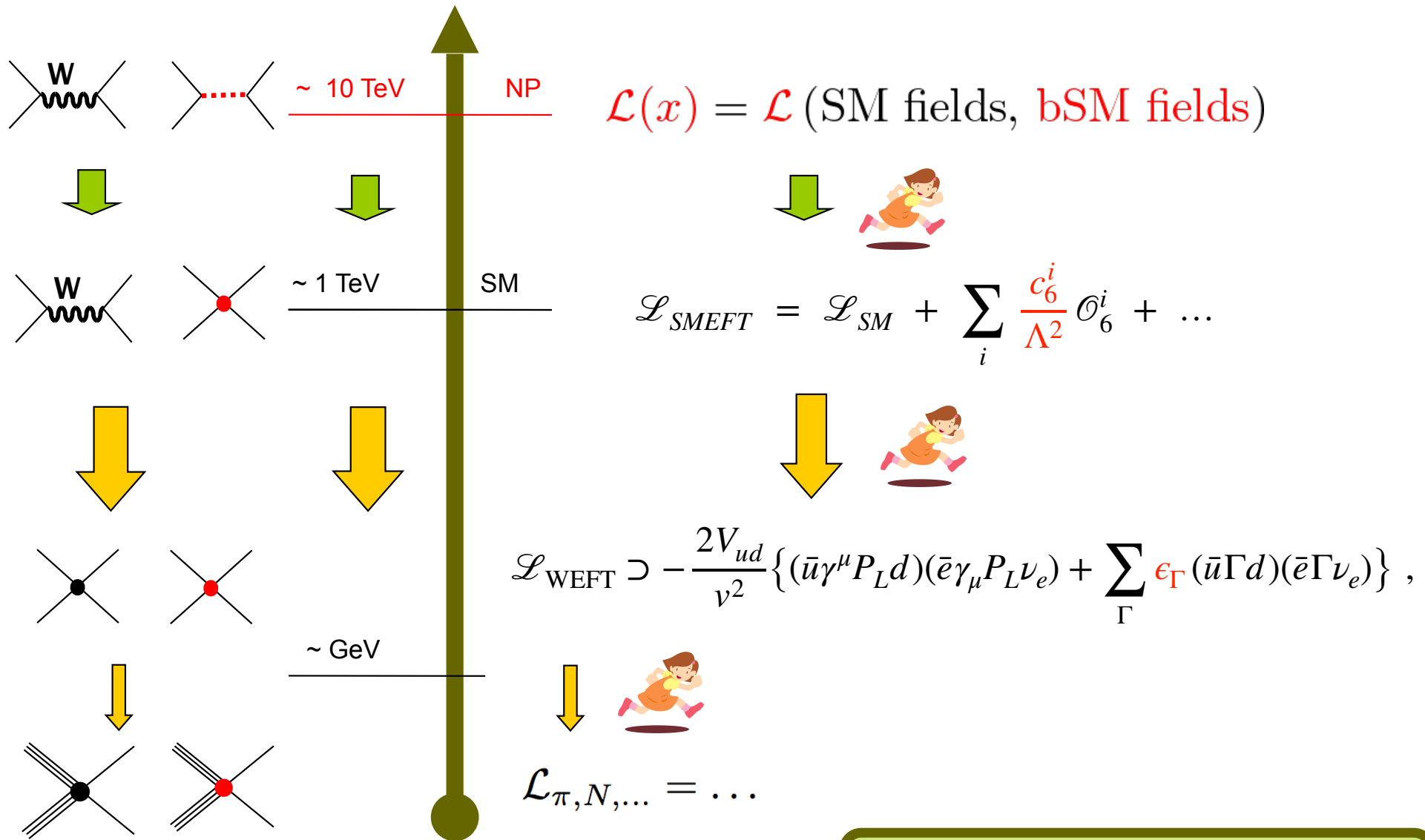
- Moreover this term can be neglected in most cases (contributions $\sim m_e/m_\mu$)

SMEFT \rightarrow Low-energy EFT



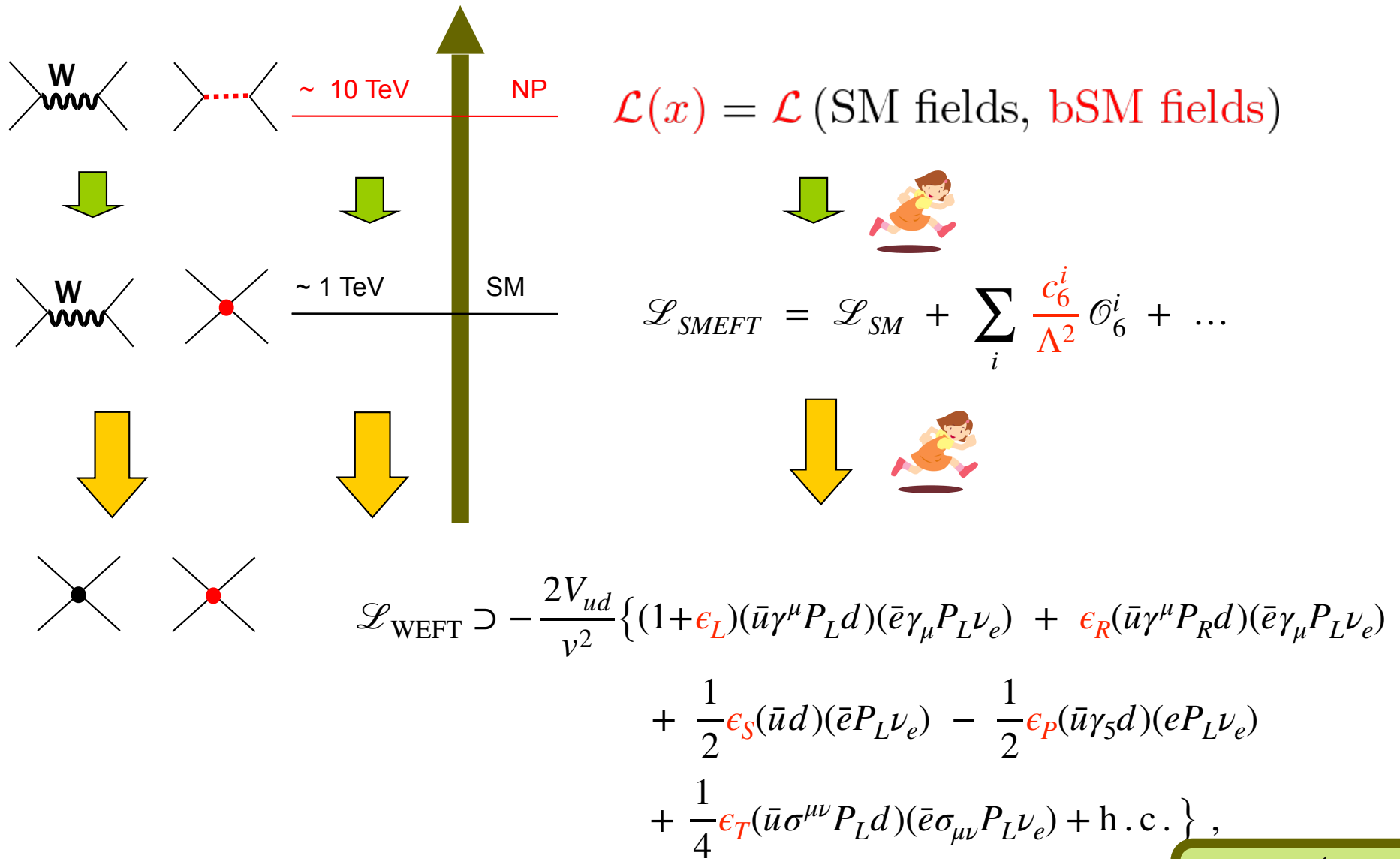
- Various names: LEFT, WEFT, WET, ...
 - Variants: LEFT-5, LEFT-4, ...
- In any case, the full LEFT (generated by the SMEFT) has of course many many terms. The LEFT running, and LEFT/SMEFT matching are known at 1-loop [[Jenkins et al., 1709.04486 & 1711.05270](#); [Dekens & Stoffer, 1908.05295](#)].
- For concreteness, I'll focus on beta decays ($d \rightarrow ue\bar{\nu}$).

SMEFT \rightarrow Beta-decay LEFT



$$\frac{\epsilon_\Gamma}{v^2} = f\left(\frac{c_6^i}{\Lambda^2}\right) \rightarrow \epsilon_\Gamma = f\left(c_6^i \frac{v^2}{\Lambda^2}\right)$$

SMEFT \rightarrow Beta-decay LEFT



$$\epsilon_\Gamma = f \left(c_6^i \frac{v^2}{\Lambda^2} \right)$$

SMEFT \rightarrow Beta-decay LEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\begin{aligned} \mathcal{L}_{WEFT} \supset & -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_L \nu_e) \right. \\ & + \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e) \\ & \left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}, \end{aligned}$$

$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

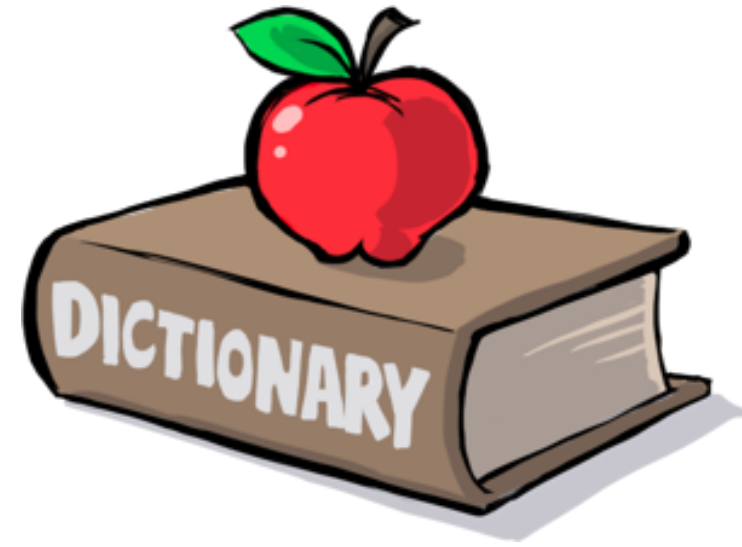
$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$

$$\epsilon_\Gamma = f \left(c_6^i \frac{v^2}{\Lambda^2} \right)$$



SMEFT \rightarrow Beta-decay LEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\begin{aligned} \mathcal{L}_{WEFT} \supset & -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L)(\bar{u}\gamma^\mu P_L d)(\bar{e}\gamma_\mu P_L \nu_e) + \epsilon_R(\bar{u}\gamma^\mu P_R d)(\bar{e}\gamma_\mu P_L \nu_e) \right. \\ & + \frac{1}{2}\epsilon_S(\bar{u}d)(\bar{e}P_L \nu_e) - \frac{1}{2}\epsilon_P(\bar{u}\gamma_5 d)(eP_L \nu_e) \\ & \left. + \frac{1}{4}\epsilon_T(\bar{u}\sigma^{\mu\nu} P_L d)(\bar{e}\sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}, \end{aligned}$$

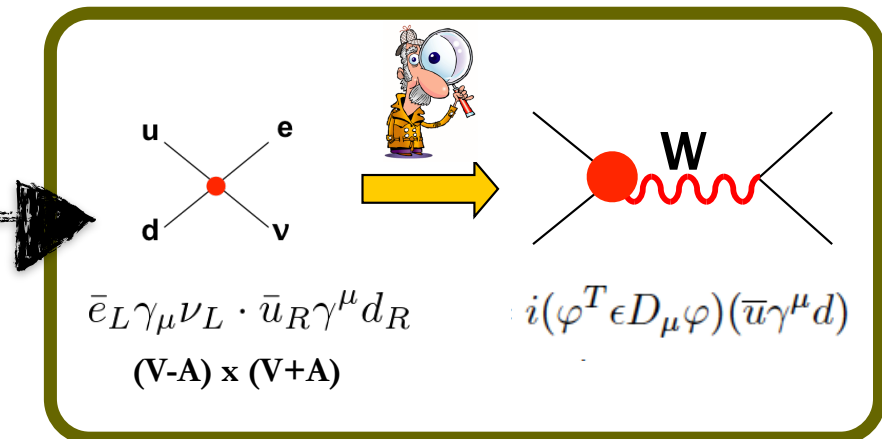
$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud}[c_{HI}^{(3)}]_{11} + V_{jd}[c_{Hq}^{(3)}]_{1j} - V_{jd}[c_{lq}^{(3)}]_{111j} \right),$$

$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd}[c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd}[c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd}[c_{lequ}]_{11j1}^*,$$



\rightarrow **RH currents are lepton flavor universal!**
(SMEFT prediction)

SMEFT \rightarrow Beta-decay LEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\begin{aligned} \mathcal{L}_{WEFT} \supset & -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_L \nu_e) \right. \\ & + \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e) \\ & \left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}, \end{aligned}$$

Reminder:

$$\begin{aligned} \ell &\equiv \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \\ q &= \begin{pmatrix} u_L \\ d_L \end{pmatrix} \end{aligned}$$

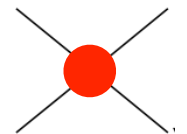
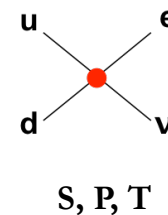
$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

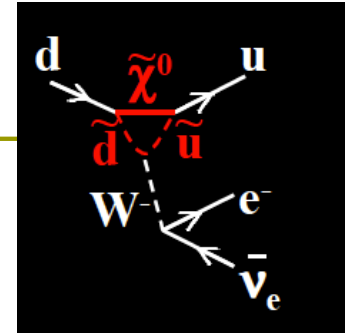
$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$



$$\begin{aligned} & (\bar{\ell} e) (\bar{d} q) \\ & (\bar{\ell}_a e) \epsilon^{ab} (\bar{q}_b u) \\ & (\bar{\ell}_a \sigma^{\mu\nu} e) \epsilon^{ab} (\bar{q}_b \sigma_{\mu\nu} u) \end{aligned}$$

SMEFT \rightarrow Beta-decay LEFT

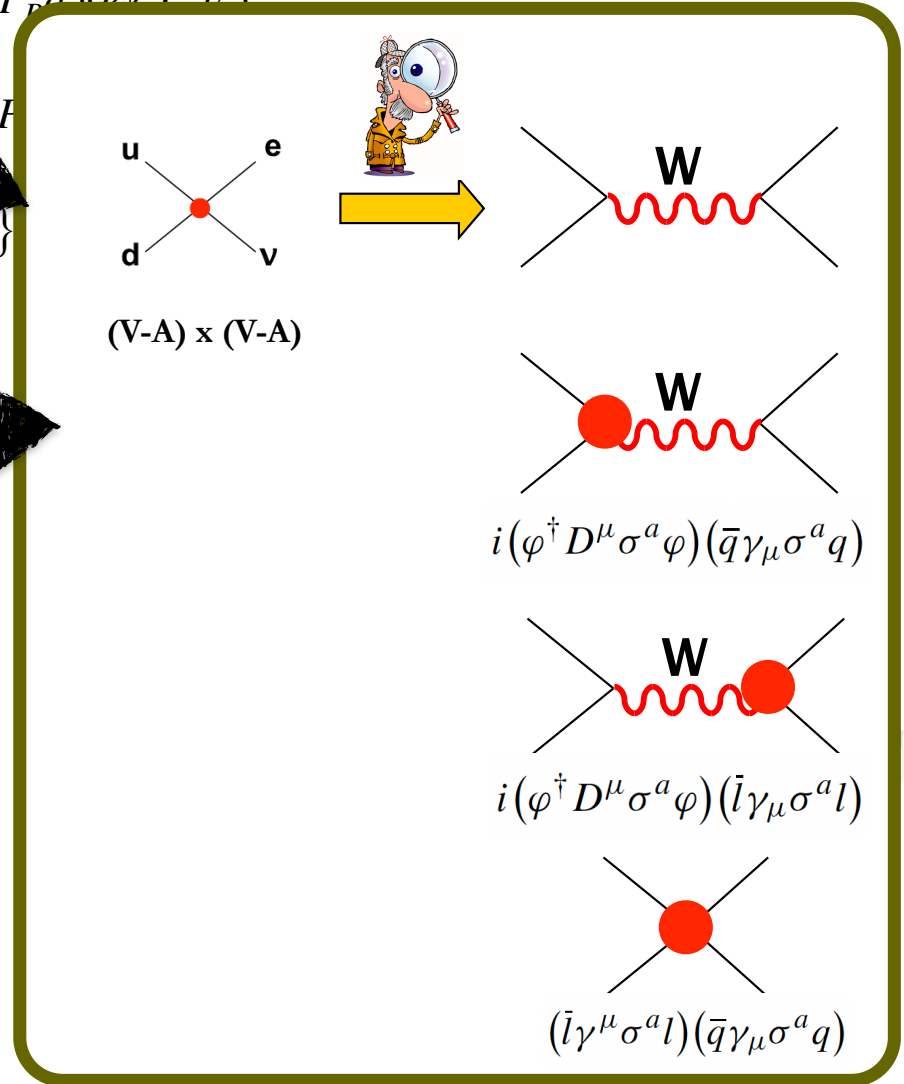


$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\mathcal{L}_{WEFT} \supset -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_R \nu_e) \right.$$

$$+ \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e)$$

$$\left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}$$



$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

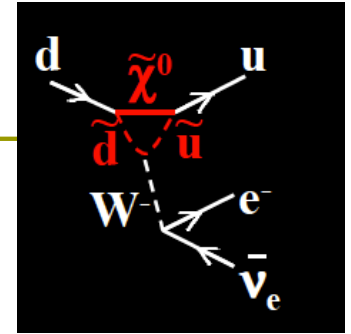
$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$

SMEFT \rightarrow Beta-decay LEFT



$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\mathcal{L}_{WEFT} \supset -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_R \nu_e) \right.$$

$$+ \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e)$$

$$\left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}$$

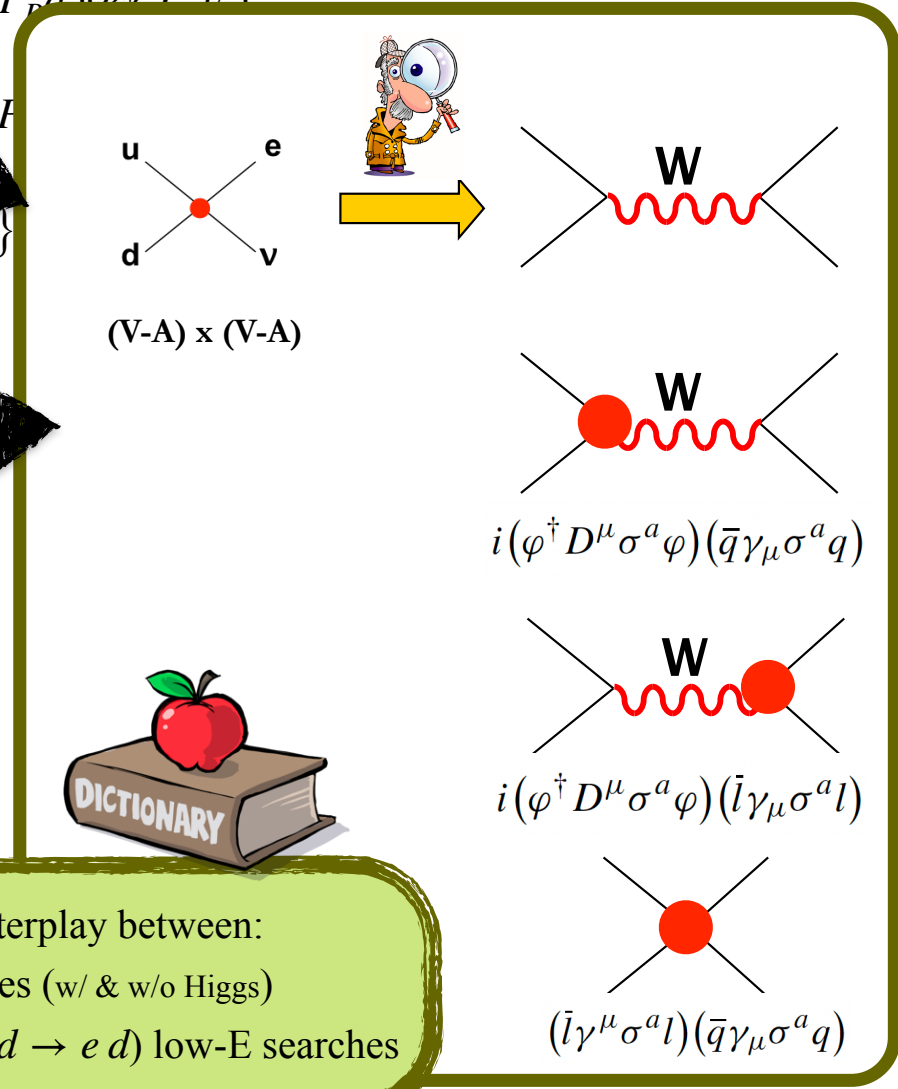
$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

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$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

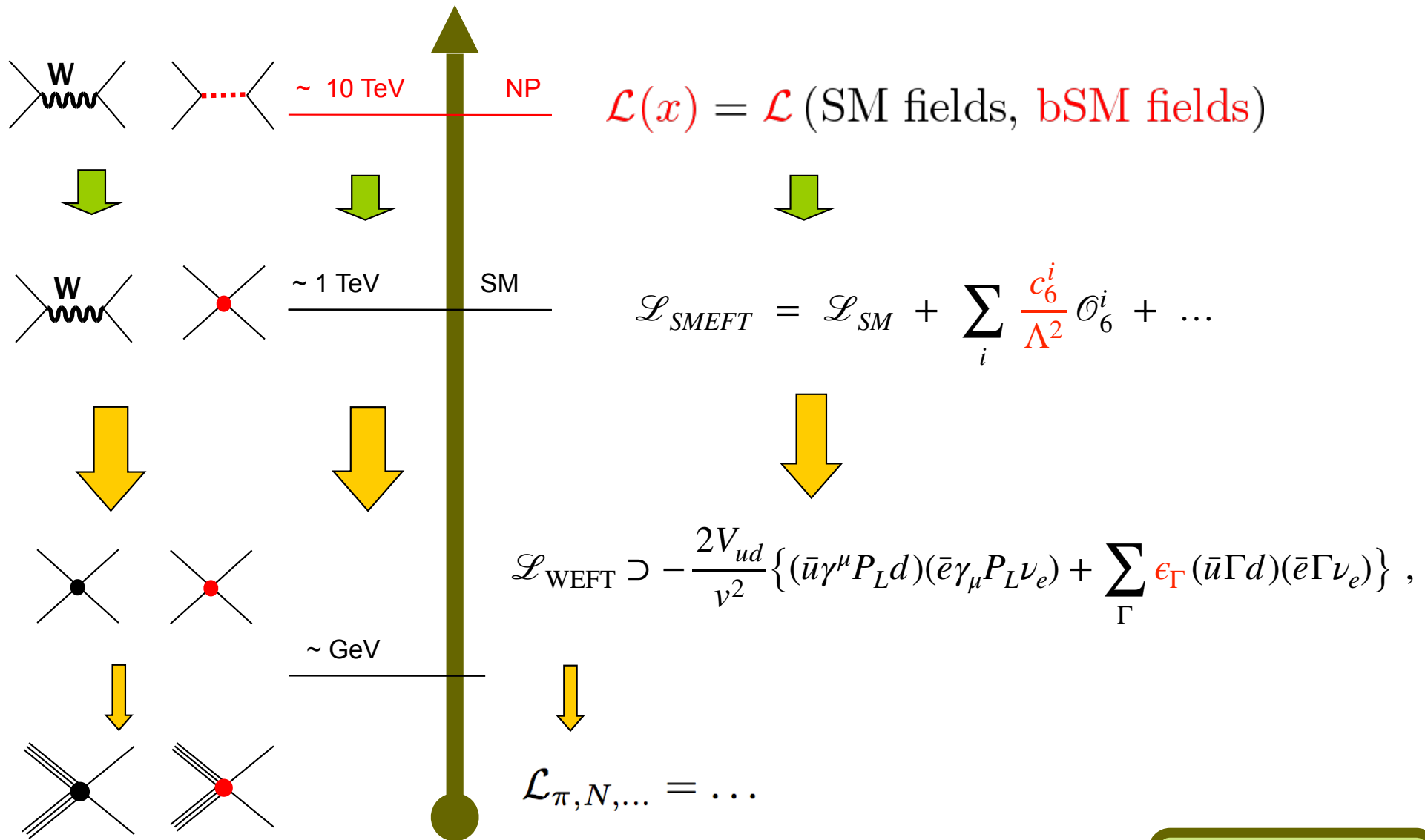
$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*$$



This dictionary shows the interplay between:

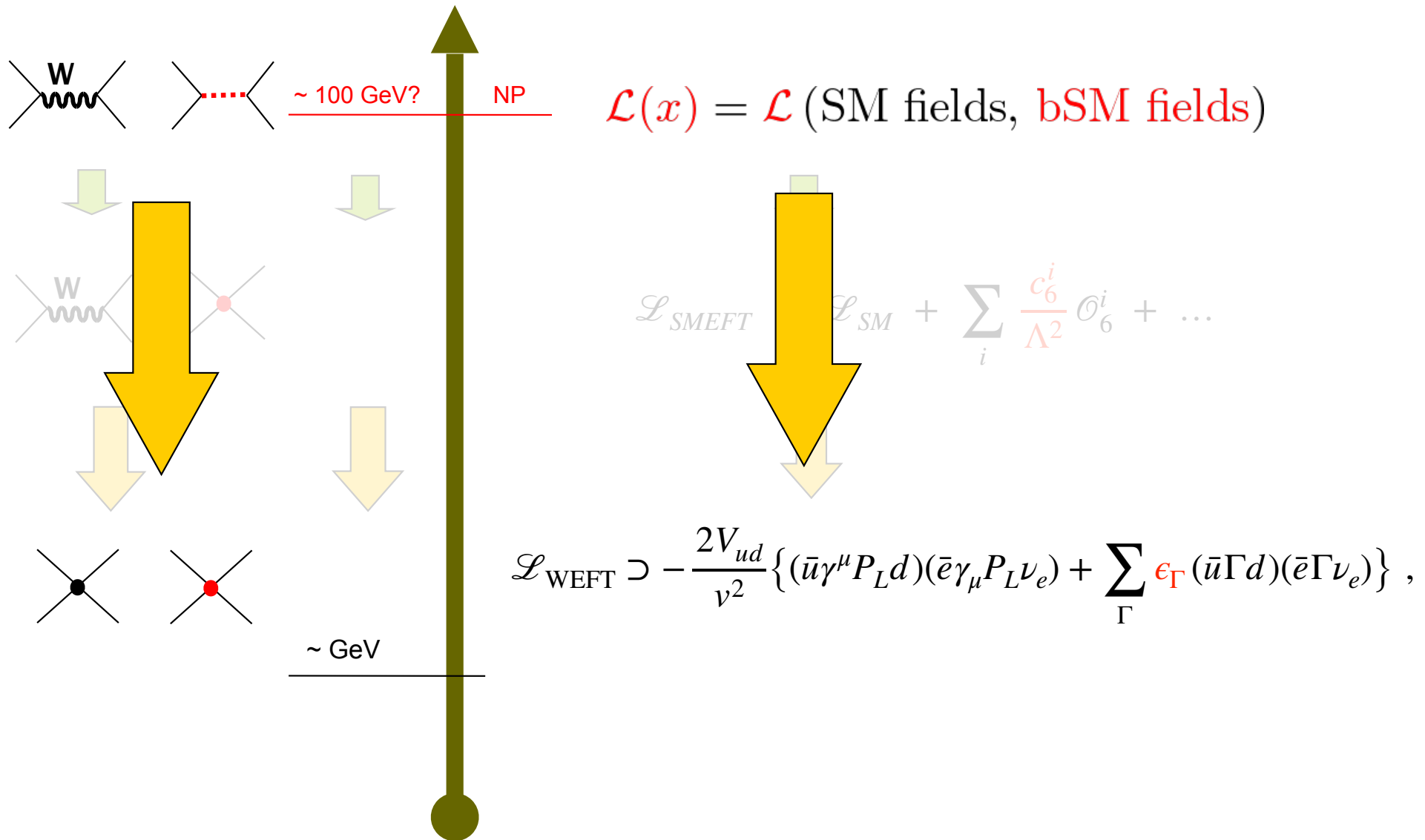
- low-E and high-E searches (w/ & w/o Higgs)
- CC ($d \rightarrow ue\bar{\nu}$) & NC ($ed \rightarrow ed$) low-E searches

LEFT from SMEFT



$$\epsilon_\Gamma = f \left(\frac{c_6^i}{\Lambda^2} \right)$$

LEFT ^{without} from SMEFT



Building the LEFT



Building blocks:

$$G_\mu^a, A_\mu, q_L^i, q_R^i, e_L^i, e_R^i, \nu_L^i$$



Rules

$$SU(3)_c \times U(1)_{em}$$

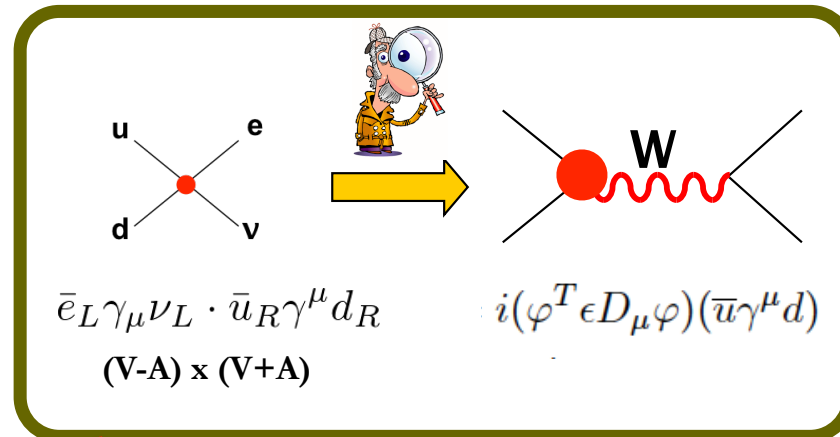


$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

Beta-decay LEFT (not necessarily from SMEFT)

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ (1+\epsilon_L)(\bar{u}\gamma^\mu P_L d)(\bar{e}\gamma_\mu P_L \nu_e) + \epsilon_R(\bar{u}\gamma^\mu P_R d)(\bar{e}\gamma_\mu P_L \nu_e) \right. \\ \left. + \frac{1}{2}\epsilon_S(\bar{u}d)(\bar{e}P_L \nu_e) - \frac{1}{2}\epsilon_P(\bar{u}\gamma_5 d)(eP_L \nu_e) \right. \\ \left. + \frac{1}{4}\epsilon_T(\bar{u}\sigma^{\mu\nu} P_L d)(\bar{e}\sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\},$$

No new operators (SMEFT generates them all)*



Not necessarily true anymore

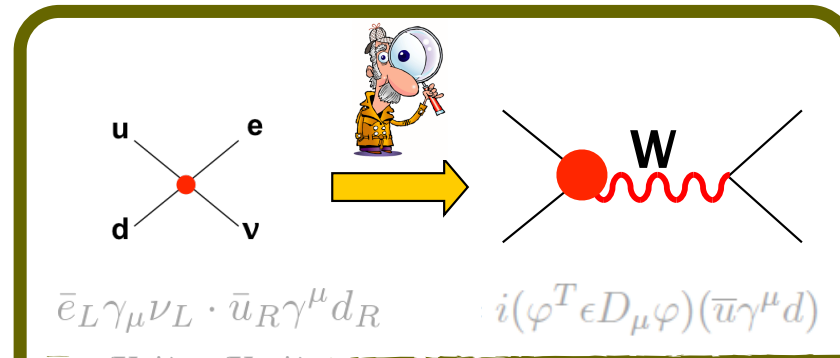
~~RH currents are lepton flavor universal (SMEFT prediction)~~

*Not always the case. E.g., in $b \rightarrow s e^+ e^-$ some structures are forbidden!

[Alonso, Grinstein & Camalich '2014]

Beta-decay LEFT (not necessarily from SMEFT)

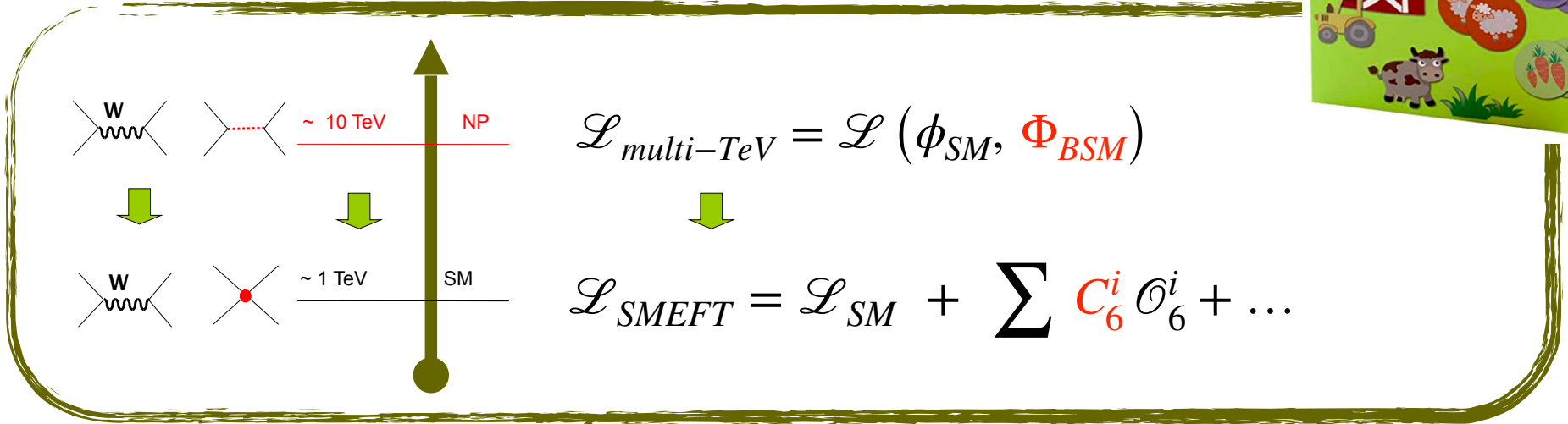
$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L)(\bar{u}\gamma^\mu P_L d)(\bar{e}\gamma_\mu P_L \nu_e) + \epsilon_R(\bar{u}\gamma^\mu P_R d)(\bar{e}\gamma_\mu P_L \nu_e) \right. \\ \left. + \frac{1}{2}\epsilon_S(\bar{u}d)(\bar{e}P_L \nu_e) - \frac{1}{2}\epsilon_P(\bar{u}\gamma_5 d)(eP_L \nu_e) \right. \\ \left. + \frac{1}{4}\epsilon_T(\bar{u}\sigma^{\mu\nu} P_L d)(\bar{e}\sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\},$$



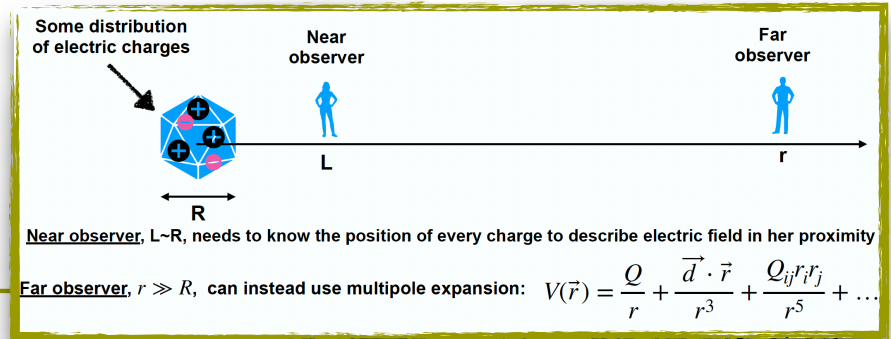
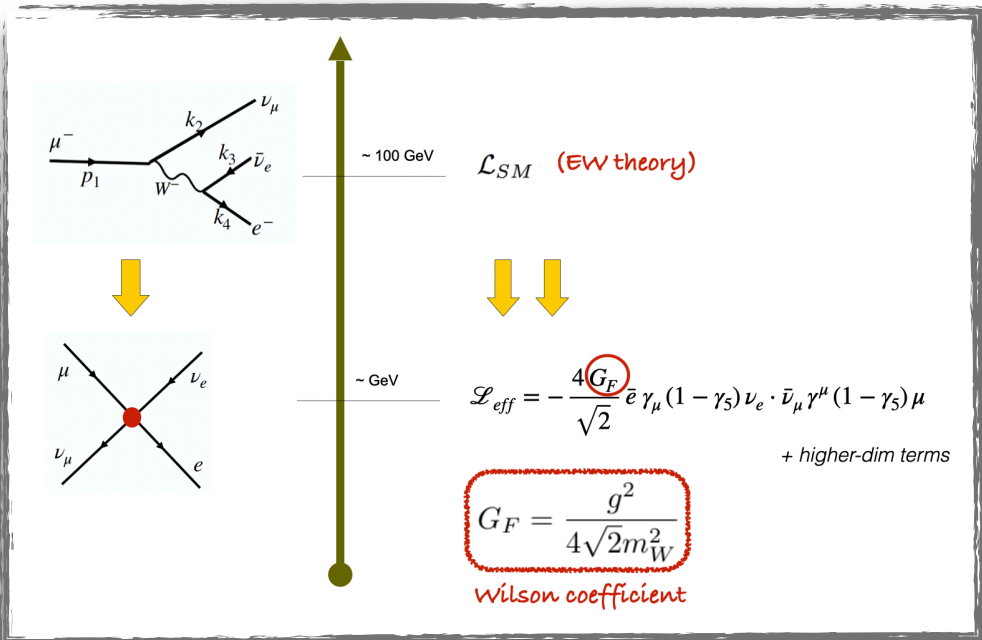
Correlations are lost in the LEFT (w/o SMEFT)

- CC ($d \rightarrow ue\bar{\nu}$) vs NC ($e d \rightarrow e d$) low-E searches
- Higgs vs Higgsless processes
- Low-E vs high-E (since the latter are not covered by the LEFT)

Matching to NP models



$$C_6^i = f(g_{NP}, M_{NP})$$



(SM)EFT phenomenology



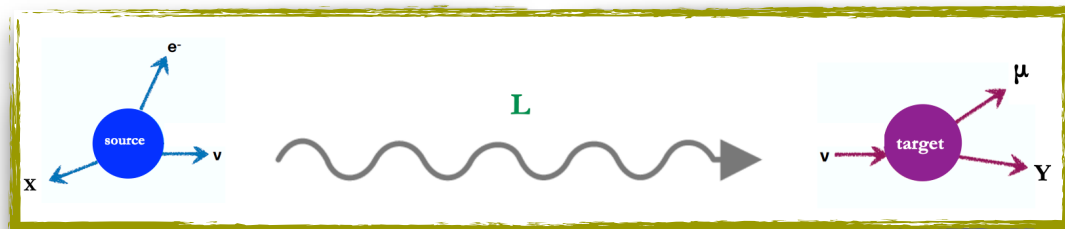
(SM)EFT phenomenology

- First step: calculate observable X in the (SM)EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i C_6^i O_6^i + \dots \longrightarrow X = X_{SM} + \sum_i \alpha_i C_6^i + \dots$$

- Sometimes it's trivial.
Sometimes it's not:

- New quark currents? Hadrons? Nuclei?
- "Indirect" BSM effects: $X = X_{SM}(V_{ud}) + 3 C_6$
One can't just take the value of V_{ud} from the PDG.
More generally: $V_{ud} \rightarrow$ CKM, PMNS, FFs (FLAG), ...
- PDFs, cuts, correlations, FFs, EFT at 1 loop, consistent EFT expansion, ...
- Was the SM assumed to hold in the experimental analysis?
- Other complications...

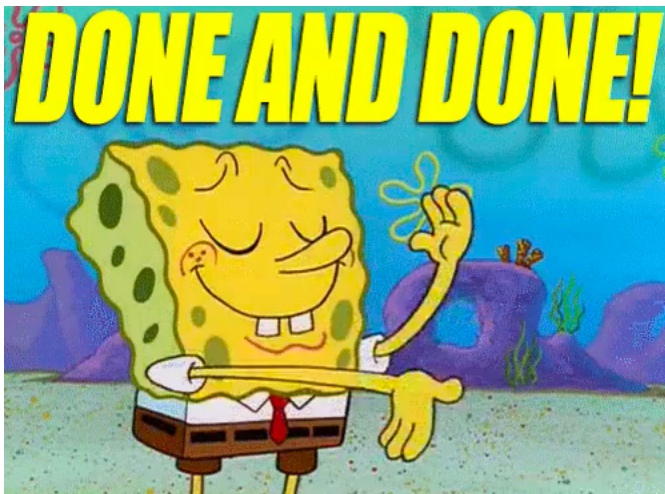


(SM)EFT phenomenology

- First step: calculate observable X in the (SM)EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i C_6^i O_6^i + \dots \longrightarrow X = X_{SM} + \sum_i \alpha_i C_6^i + \dots$$

- Sometimes it's trivial.
Sometimes it's not.
- But once it's done, it's done.
(you don't have to do it for each model)

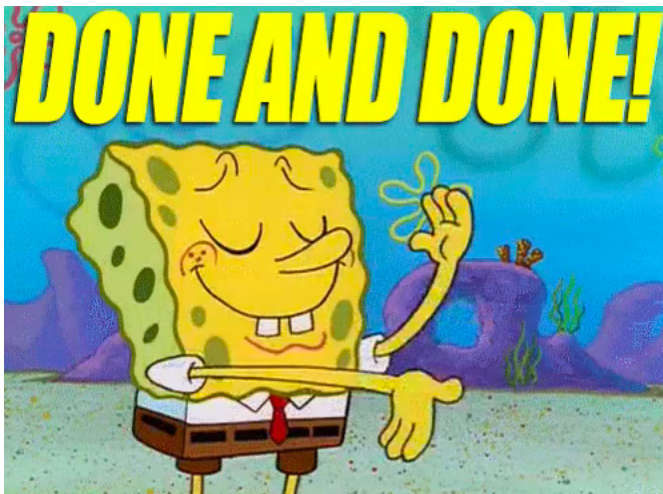


(SM)EFT phenomenology

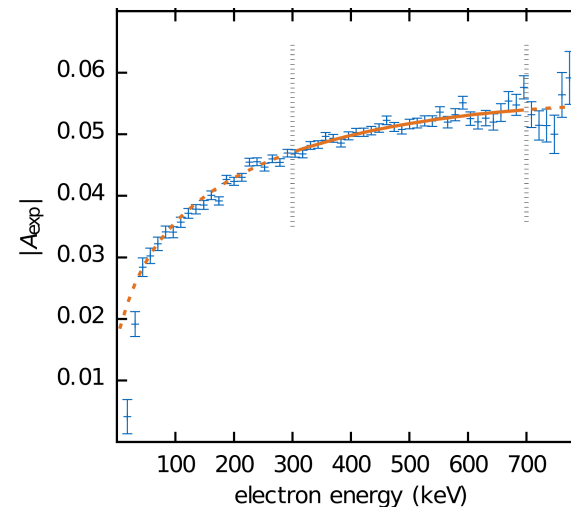
- First step: calculate observable X in the (SM)EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i C_6^i O_6^i + \dots \longrightarrow X = X_{SM} + \sum_i \alpha_i C_6^i + \dots$$

- Sometimes it's trivial.
Sometimes it's not.
- But once it's done, it's done.
(you don't have to do it for each model)



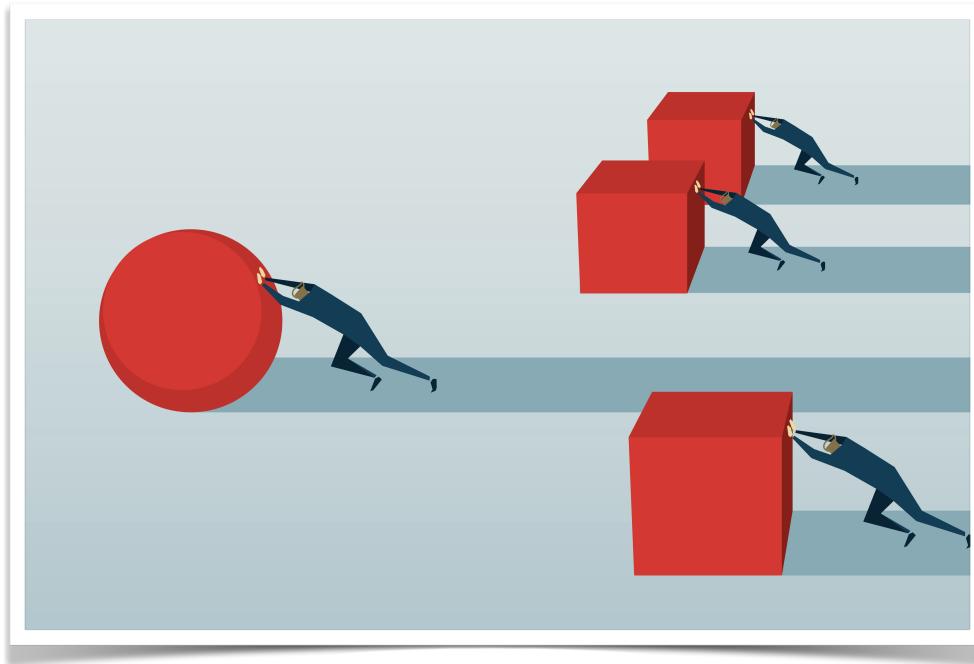
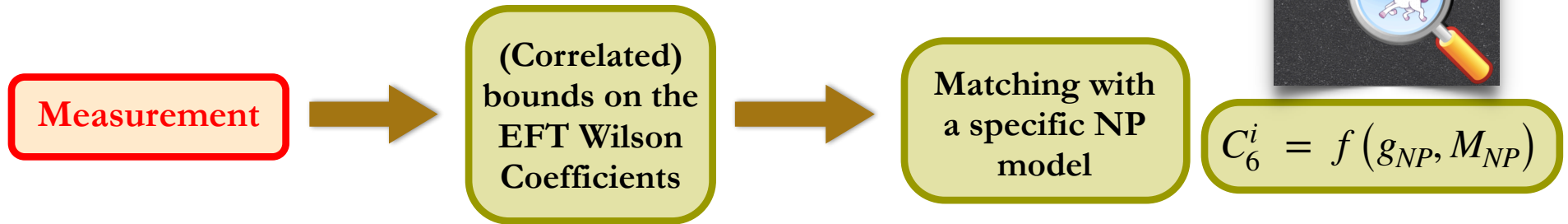
$$X_j = X_{j,SM} + \sum_i \alpha_{ij} C_6^i + \dots$$



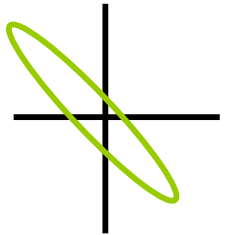
This approach gives us a model-indep. parametrization for the observable X

$$\frac{dX}{dE} = \left(\frac{dX}{dE} \right)_{SM} (1 + 2C_6^{13}) + \frac{m_e}{E_e} C_6^4$$

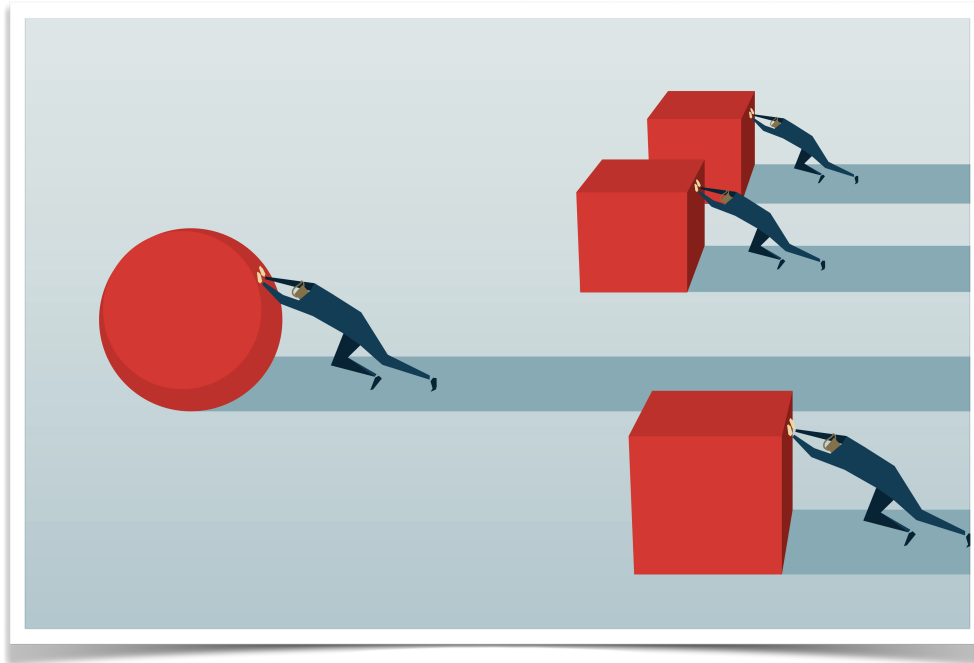
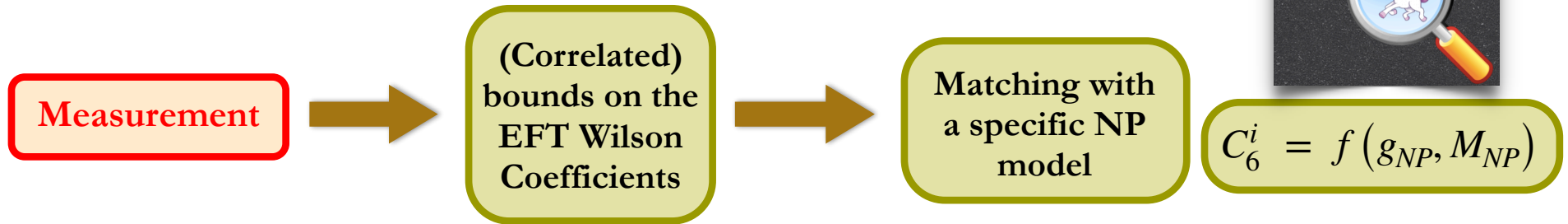
(SM)EFT phenomenology



- Useful especially if...
 - Global analysis
 - Final likelihood public (correlation matrix!)
 - Avoid additional assumptions
- Valid also if NP is found!



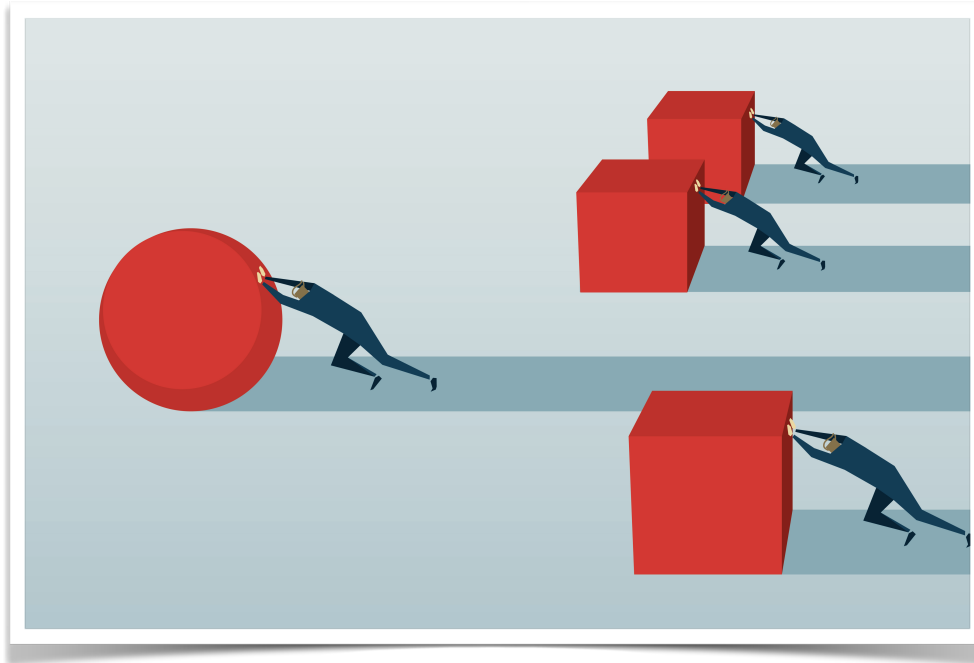
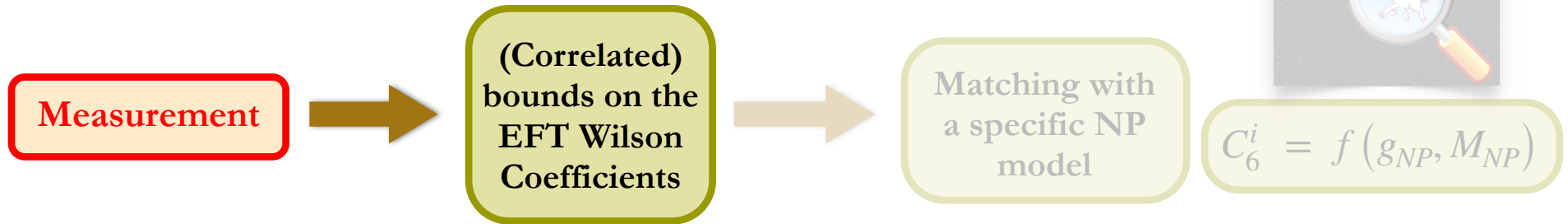
(SM)EFT phenomenology



The EFT setup allows us to...

- Obtain results that can be applied to any given model later;
- Assess the interplay between processes (related by symmetries) in a general setup;

(SM)EFT phenomenology

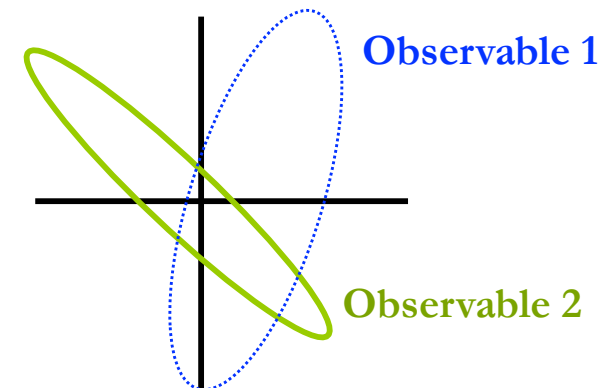


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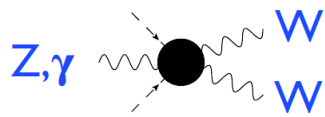
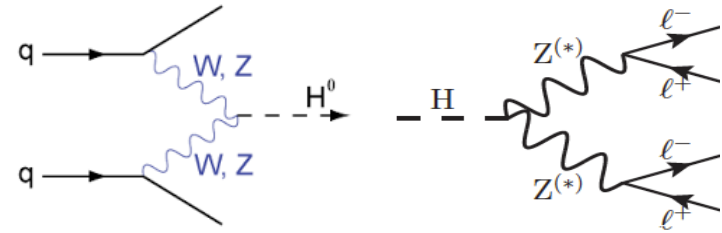
Comparing different probes

- Choose an operator basis $\{O_1, O_2, \dots, O_n\}$, *e.g. the Warsaw basis*
 $\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum C_i O_i$
- Calculate the observable you like in the EFT, *e.g. $O = O_{\text{SM}} + 3C_1 - C_6$*
- What are the known limits on the Wilson coeff.? *e.g. from LEP... $C_1 = 0.001(3)$, $C_2 = \dots$, ...*
More precisely: χ^2 with (*LEP*) measurements gives you central values and error matrix.
- Implications for your observable? *e.g. error matrix $\rightarrow 3C_1 - C_6 = 0.02(4)$*
 - $\sim 4\%$ sensitivity (th+exp) to be competitive (or to check a LEP anomaly);
 - If your sensitivity is better than that, you are exploring new SMEFT territory and your measurement should be added to the big fit.
 - A deviation larger than that indicates some wrong assumptions in your EFT!
- Often we have a dataset (instead of a single data point O).
The same logic applies, but it's often better to look at the (C_1, C_6) space \rightarrow example.

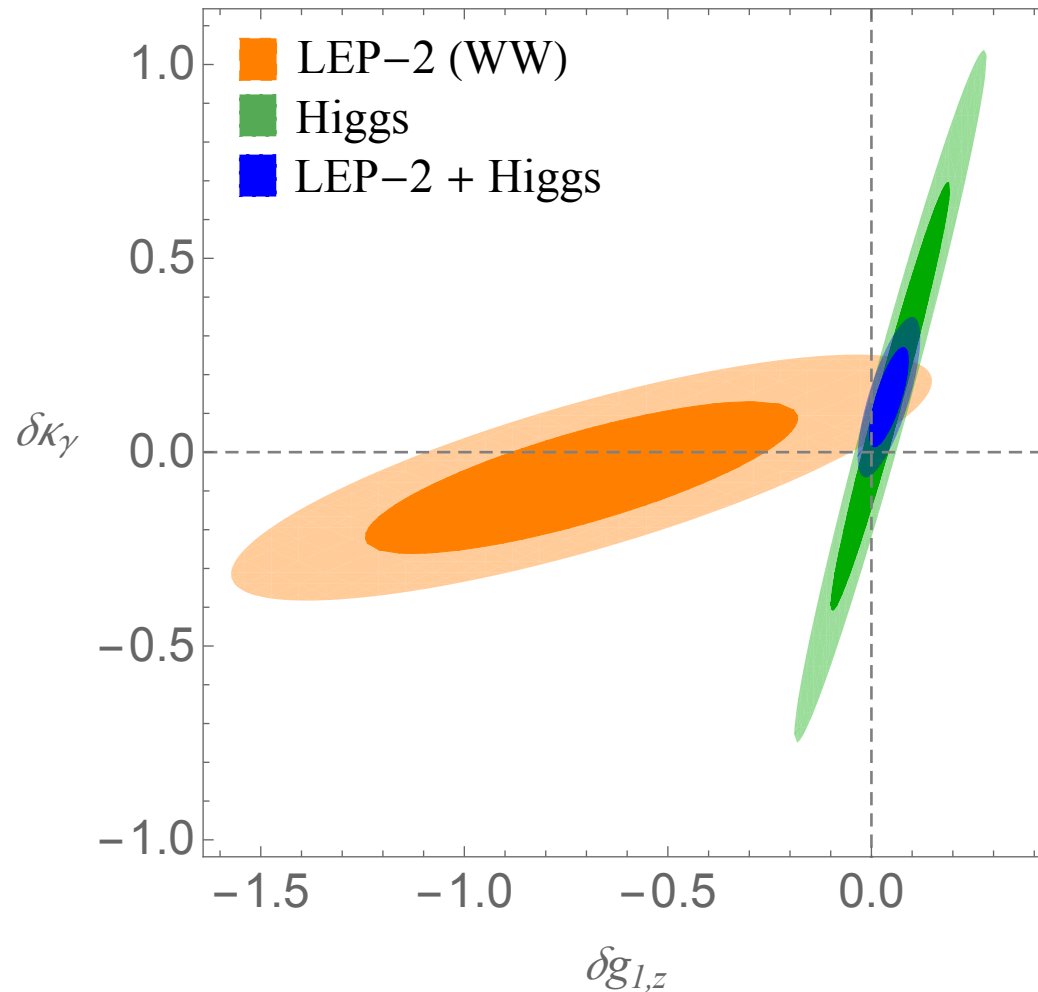
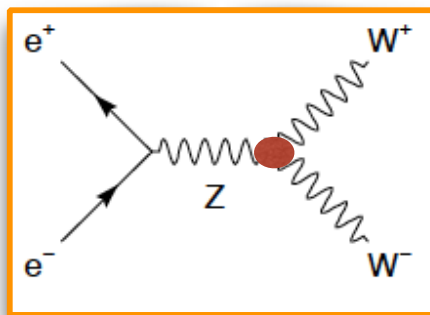


Comparing different probes

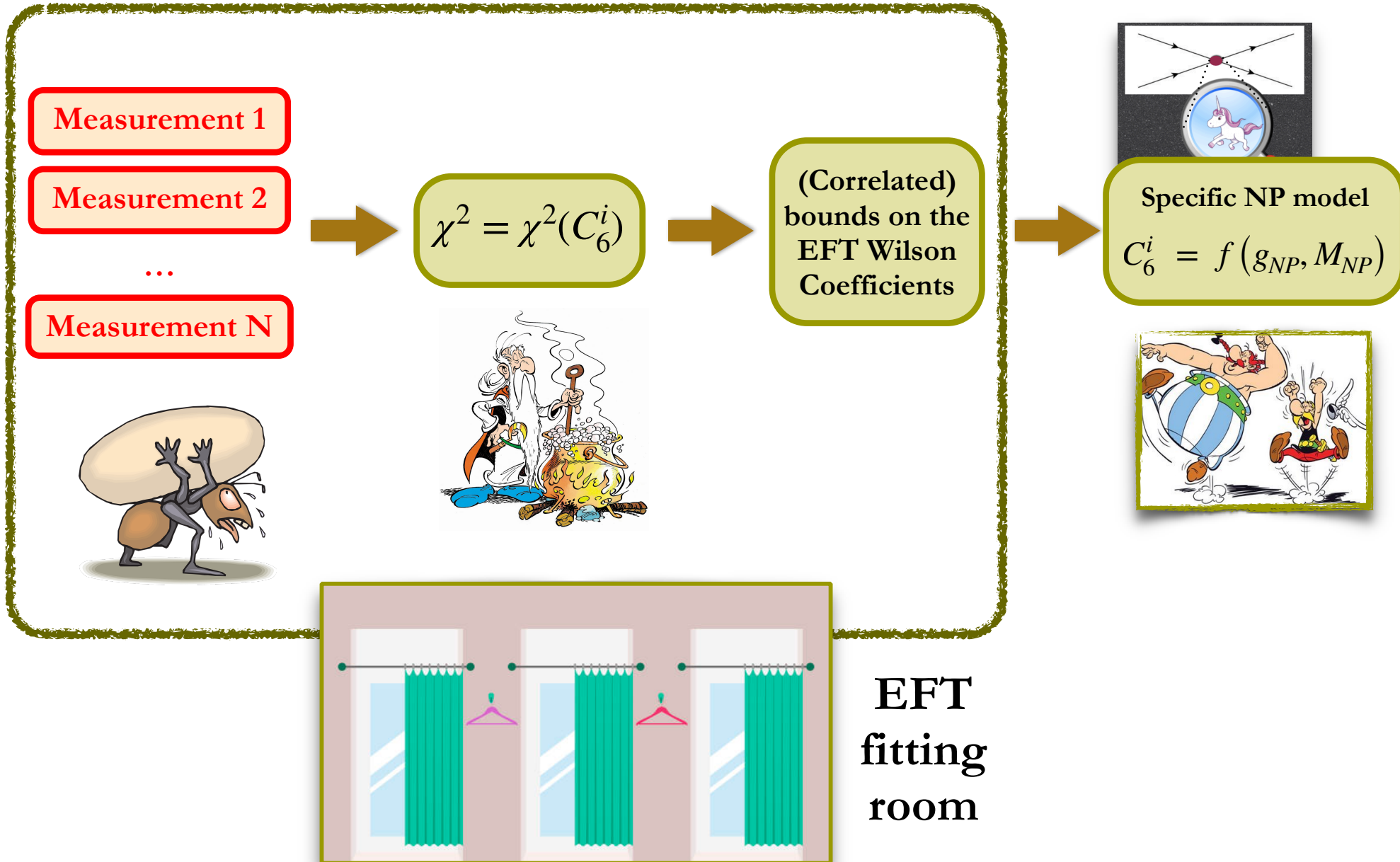
$$(D^\mu H)^\dagger (D^\nu H) B_{\mu\nu}$$



$e^+e^- \rightarrow W^+W^-$ (LEP2)



Fitting room: global analyses



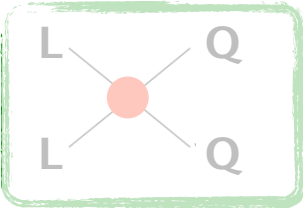
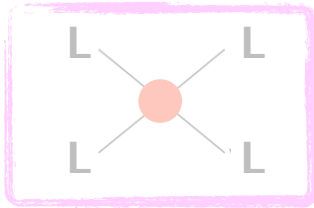
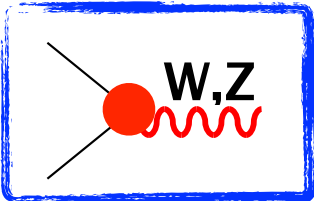
EWPO fit in the flavorful SMEFT

$$\mathbf{O} = \mathbf{O}_{\text{SM}} + \mathbf{O}(c_1, c_2, \dots, c_{80}) \rightarrow \chi^2 = \chi^2(c_i)$$

- 264 experimental input
 - Z- & W-pole data
 - $[e^+e^- \rightarrow l^+l^-]_{qq}$
 - Low-energy processes:

- hadron decays ($d \rightarrow ul\nu$)
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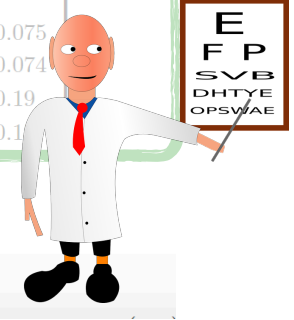
- They constrain 61 combinations of Wilson Coefficients [Higgs / Warsaw basis]



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δg_L^{Zd}	-0.9 ± 4.4
δg_L^{Zs}	0.9 ± 2.8
δg_L^{Zb}	0.33 ± 0.17
δg_R^{Zd}	3 ± 16
δg_R^{Zs}	3.4 ± 4.9
δg_R^{Zb}	2.30 ± 0.88

$[c_{\ell\ell}]_{1111}$	1.01 ± 0.38
$[c_{\ell e}]_{1111}$	-0.22 ± 0.22
$[c_{ee}]_{1111}$	0.20 ± 0.38
$[c_{\ell\ell}]_{1221}$	-4.8 ± 1.6
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$[\hat{c}_{e q}]_{2211}$	4 ± 41
$[c_{\ell e q u}]_{1111}$	-0.080 ± 0.075
$[c_{\ell e d q}]_{1111}$	-0.079 ± 0.074
$[c_{\ell e q u}^{(3)}]_{1111}$	-0.02 ± 0.19
$\epsilon_P^{\Delta\mu}(2 \text{ GeV})$	-0.02 ± 0.1



EWPO fit in the flavorful SMEFT

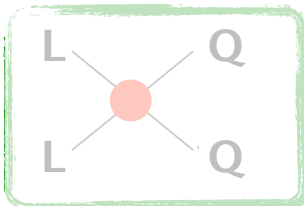
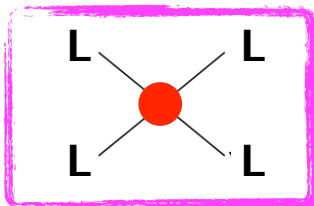
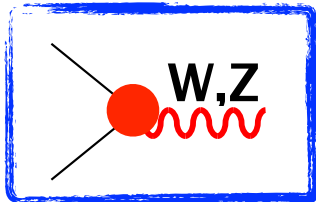
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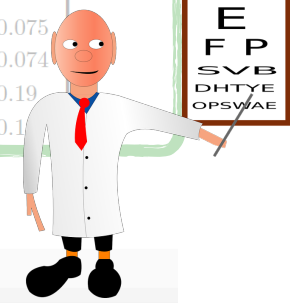
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EWPO fit in the flavorful SMEFT

[Efrati et al., 2015]

[Falkowski & Mimouni, 2015]

[Falkowski, MGA & Mimouni, 2017]

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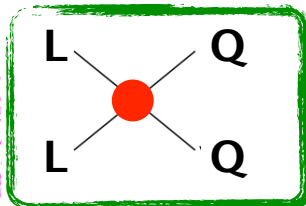
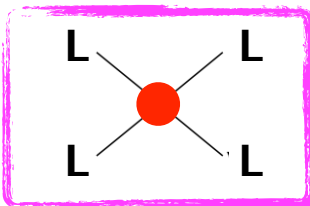
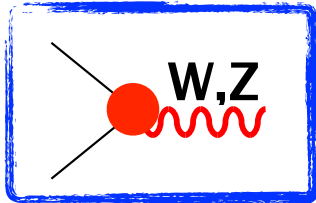
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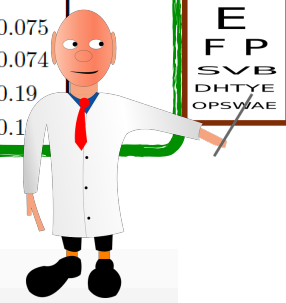
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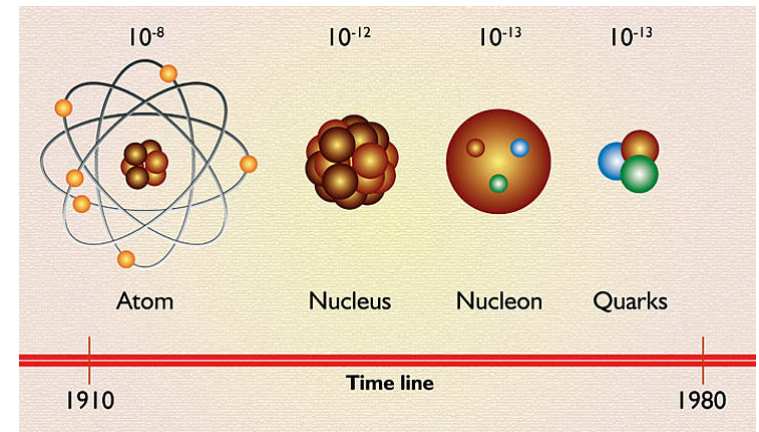
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~~Outline~~ Summary

- Intro
- EFT at the ~ 100 GeV scale: SMEFT
- EFT at $E \ll 100$ GeV: LEFT
- EFT phenomenology

Plenty of recent activity!



Disclaimers:

- EFT is a wide field → we'll focus on its application to heavy New Physics
- I don't think a technical 2h presentation would be very useful. Instead I'll give a qualitative (personal) overview, hopefully conveying some important ideas, & giving you the motivation to read a real EFT work
- Many many good refs: EFT (Manohar'97, Pich'98, Rothstein'03, Kaplan'05, Skiba'10, Cohen'19, Burgess'20, ...), SMEFT (**Falkowski'23**, Isidori-Wilsch-Wyler'23, ...), recorded lectures, ...

