A lightning course on Flavor Physics

Jorge Martin Camalich







Outline of these lectures and bibliography

• Flavor physics in the SM

- The flavor structure of the SM
- The Cabibbo-Kobayashi-Maskawa matrix
- Flavor-changing neutral currents in the SM

• Elements of flavor physics phenomenology

- Effective field theories EFT lectures • Hadronic matrix elements - LQCD lectures
- Examples of flavor-violating processes
 - Pion and kaon 2- and 3-body (semi)leptonic decays
 - *B* meson 4-body decays
 - Neutral-meson mixing

• Bibliography

- Lecture notes: Grossman&Tanedo arXiv: 1711.03624 Grinstein - arXiv: 1501.05283
- Books: Branco, Lavoura & Silva "CP violation" Core reference

Donoghue, Golowich & Holstein <u>"Dynamics of SM"</u> - Phenomenology Buras <u>"Gauge Theory of Weak Decays ..."</u> -Detailed calcs in SM and BSM

Flavor physics in the SM

Flavor universality: gauge interactions

• The SM matter content appears in 3 generations



The gauge interactions in the SM are flavor universal \mathscr{L}_{gauge} has a **global accidental** $U(3)^5$ flavor symmetry

$$\partial_{\mu} + g X^A_{\mu} t^A_{k} \right) \gamma^{\mu} \psi^{k} \qquad k = 1,2 \text{ or } 3$$

Flavor breaking: Yukawa interactions

$$\mathscr{L}_{\text{yukawa}} = y_u^{kl} \bar{Q}_L^k \tilde{H} u_R^l +$$

Mass generation in the

e SM:
$$SU(2)_L \times U(1)_Y \xrightarrow{\text{SSB}} U(1)_{\text{EM}}$$

 $m_f^{kl} = v_{\text{ew}} y_f^{kl}$
 $\mathscr{L}_{\text{masses}} = m_u^{kl} \bar{u}_L^k u_R^l + m_d^{kl} \bar{d}_L^k d_R^l + m_e^{kl} \bar{e}_L^k e_R^l + \text{h.c.}$

Diagonalization: Linear & unitary field redefinitions commuting with $U(1)_{\rm EM}$ lacksquare



 $\cdot y_d^{kl} \bar{Q}_L^k H d_R^l + y_e^{kl} \bar{L}_L^k H e_R^l + \text{h.c.}$

Matrices with N^2 complex parameters

$$\rightarrow L_{u}^{\dagger}m_{u}R_{u} = \operatorname{diag}\left(m_{u}, m_{c}, m_{t}\right) \longrightarrow$$

$$\rightarrow L_{d}^{\dagger}m_{d}R_{d} = \operatorname{diag}\left(m_{d}, m_{s}, m_{b}\right) \longrightarrow$$

$$9 \text{ real parameters}$$

$$\rightarrow L_{e}^{\dagger}m_{e}R_{e} = \operatorname{diag}\left(m_{e}, m_{\mu}, m_{\tau}\right) \checkmark$$



Flavor violation in the charged currents (CC)

$$\begin{aligned} \mathscr{L}_{gauge} \supset g\bar{\psi}_{L}^{k} \left(T^{+}W_{\mu}^{+} + T^{-}W_{\mu}^{-} \right) \gamma^{\mu}\psi_{L}^{k} &= g \left(\bar{u}_{L}^{k}\gamma^{\mu}d_{L}^{k} + \bar{\nu}_{L}^{k}\gamma^{\mu}e_{L}^{k} \right) W_{\mu}^{+} + h.c. \\ Q_{L}^{k} &= (u_{L}^{k}, d_{L}^{k})^{T} \qquad L_{L}^{k} &= (\nu_{L}^{k}, e_{L}^{k})^{T} \\ \text{between gauge and } up \text{ and } down \text{ quark mass matrices} \\ \mathscr{L}_{CC} &= g \left(V_{CKM} \right)_{kl} \bar{u}_{L}^{k}\gamma^{\mu}d_{L}^{l}W_{\mu}^{+} + g\bar{\nu}_{L}^{k}\gamma^{\mu}e_{L}^{k}W_{\mu}^{+} + h.c. \end{aligned}$$

Missalignment

$$\begin{split} g\bar{\psi}_{L}^{k} \left(T^{+}W_{\mu}^{+} + T^{-}W_{\mu}^{-}\right) \gamma^{\mu}\psi_{L}^{k} &= g\left(\bar{u}_{L}^{k}\gamma^{\mu}d_{L}^{k} + \bar{\nu}_{L}^{k}\gamma^{\mu}e_{L}^{k}\right) W_{\mu}^{+} + \text{h.c.} \\ Q_{L}^{k} &= (u_{L}^{k}, d_{L}^{k})^{T} \qquad \qquad L_{L}^{k} = (\nu_{L}^{k}, e_{L}^{k})^{T} \\ \text{gauge and } up \text{ and } down \text{ quark mass matrices} \\ \mathscr{L}_{\text{CC}} &= g\left(V_{\text{CKM}}\right)_{kl} \bar{u}_{L}^{k}\gamma^{\mu}d_{L}^{l}W_{\mu}^{+} + g\bar{\nu}_{L}^{k}\gamma^{\mu}e_{L}^{k}W_{\mu}^{+} + \text{h.c.} \end{split}$$

• The Cabibbo-Kobayashi-Maskawa mixing matrix

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

• Neutrinos in the SM are massless and flavor mixing can be rotated away



Flavor violation occurs because we cannot diagonalize simultaneously the gauge and yukawa interactions



Parameter counting in the CKM matrix

- 1. $V_{\rm CKM}$ is a unitary matrix (it is the product of 2 unitary matrices)
 - - $\#_{\text{angles}} = N(N-1)/2$ $\#_{\text{phases}} = N(N+1)/2$
- 2. Physics invariant w.r.t. (2N 1) rephasings of the quark fields $u_I^k \to e^{i\alpha_k} u_I^k$
 - $\#'_{angles} = N(N-1)/2$ N = 3: $\#'_{angles} = 3$
 - The minimum number of generations needed to generate <u>CP violation</u> is 3!

 $N \times N$ unitary matrix parametrized by N^2 real numbers

$$d_L^k \to e^{i\beta_k} d_L^k$$

The N^{th} dimensional CKM matrix contains ...

$$\#'_{\text{phases}} = (N-1)(N-2)/2$$

 $\#'_{\text{phases}} = 1$

More about parameter counting and spurions

- Symmetry argument for parameter counting
 - 1. \mathscr{L}_{gauge} in the SM invariant w.r.t. $U(3)_L \times U(3)_e \Rightarrow 18$ generators

#physical parameters = #unbroken generators

- 5. For quarks: $U(3)^3 \rightarrow U(1)_R \Rightarrow 10$ physical parameters (6 masses, 3 angles, 1 phase)
- Spurions: Pretend yukawa matrices are bifundamentals of the flavor group Keep track of flavor violation in the SM and beyond (Minimal flavor violation)

2. \mathscr{L}_{vukawa} breaks $U(3)_L \times U(3)_e \to U(1)_e \times U(1)_u \times U(1)_\tau \Rightarrow 3$ unbroken generators

3. We can use broken generators to rotate away unphysical parameters in $\mathscr{L}_{ ext{yukawa}}$

(3 masses for leptons)

A standard parametrization of CKM

- Phase redefinitions of quarks \Rightarrow Set V_{ud} , V_{us} , V_{cb} and V_{tb} real
- The "standard" unitary parametrization ($s_{ij} = \sin \theta_{ij}$, $c_{ij} = \cos \theta_{ij}$)

$$V_{\text{CKM}} = \begin{pmatrix} c_{12}c_{13} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e_{13} \end{pmatrix}$$

The SM is *defined* when the 3 CKM angles and its 1 phase are **determined experimentally** ... $s_{12} = 0.22650(48)$ $s_{23} = 0.04053(71)$ $s_{13} = 0.00361(10)$ $\delta = 68.5(2.6)^{\circ}$

• The quark mixing matrix is **hierarchical!**

 $s_{12}c_{13} \qquad s_{13}e^{-i\delta}$ $3e^{i\delta} \qquad c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} \qquad s_{23}c_{13}$ $e^{i\delta} \qquad -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} \qquad c_{23}c_{13}$

Complex phases and CP violation

- The SM is a chiral theory \Rightarrow The SM violates parity (P) and charge conjugation (C)
- However the SM does not necessarily violate CP

The SM violates CP if the nontrivial CKM phase is not 0 or π

• Unambiguous (rephasing invariant) measure of CP violation in the SM:

In the standard CKM parametrization 0

Jarlskog invariant $J = \operatorname{Im}\left(V_{ij}V_{kl}V_{il}^*V_{kj}^*\right)$

All mixing angles must be nonzero for CP violation • CP violation <u>is in</u> the SM but <u>not explained</u> by the SM

$$J = c_{12} s_{12} c_{13}^2 s_{13} c_{23} s_{23} \sin \delta$$

Wolfenstein parametrization

• Expose the CKM hierarchies explicitly



- The Wolfenstein parametrization is not exactly unitary



• Mixing first two families is unitary (and independent of 3rd family) up to $\mathcal{O}(\lambda^2)$

The unitary triangle(s)

Unitary relations 1. Row(column) unitarity: $|V_{i1}|^2 + |V_{i2}|^2 + |V_{i3}|^2 = 1$ 2. Off-diagonal unitarity: $V_{i1}V_{j1}^* + V_{i2}V_{j2}^* + V_{i3}V_{j3}^* = 0$

• 2. is a null sum of complex vectors \Rightarrow Unitarity triangles

1st and 3rd columns give triangle with all sides of same $\mathcal{O}(\lambda^3)$ • Three (rephasing invariant) angles (directly observable!)

$$\beta = \phi_1 = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right) \qquad \alpha = \phi_2 = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right) \qquad \gamma = \phi_2$$

The apex is fixed by a redefinition: 0

$$\bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}$$

that is **rephasing invariant**

$\phi_3 = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$



Experimental constraints in the unitary triangle

Two collaborations perform updated fits to the CKM parameters

- CKMfitter frequentist analysis ckmfitter.in2p3.fr
 - Conservative with uncertainties (*Rfit*)



- **Geometric interpretation:** Area_{UT} = J/20

- - UTfit bayesian analysis www.utfit.org
 - Includes fits with BSM (EFT) parameters



- UT triangle and the Jarslkog invariant

° CP violation small in SM because of small mixing: $J_{\rm SM} \approx \lambda^6 A^2 \eta = 3.00(12) \times 10^{-5}$



Flavor hierarchies and the (quark) flavor puzzle

• Flavor transitions



Flavor puzzle: Origin of patterns and hierarchies in the values of the flavor parameters • Portal to BSM physics!

- hierarchies (Weinberg), clockwork mechanism, etc.
- Essential for our existence! Anthropic principle
- Origin of CP violation? Connection to baryogenesis
 - Why 3 families?



• Horizontal symmetries (Froggatt-Nielsen), extra dimensions (Randall-Sundrum), tree-loop

• Stability of matter (up and down quark masses) & stability of vacuum (top-quark mass)



Neutral currents at tree level in the SM: Photon, gluon and Higgs



• Yukawa interactions (higgs): Couplings aligned with the mass basis



• QED (photons) and QCD (gluons): Couplings diagonal in flavor space (same charges/reps)

CKM unitarity:
$$V^{\dagger}V = \mathbf{1}$$

$$= e \, Q_q \, \bar{q}^k \gamma^\mu \, (\mathbf{1})_{kl} \, q^l \to e \, Q_q \, \bar{q}^k \gamma^\mu \, (V_q^\dagger)_{kj} \, (V_q)_{jl} \, q^l = J_{\rm EM}^\mu$$

SSB in the SM:
$$H^T \to \left(\begin{array}{cc} 0 & v + \frac{h}{\sqrt{2}} \end{array} \right)$$

 $\bar{Q}_L^k H(y_d)_{kl} d_R^l \to \bar{Q}_L^k (m_d)_{kl} d_R^l \left(1 + \frac{h}{v\sqrt{2}} \right)$

Neutral currents at tree level in the SM: The Z boson

• Weak charges: Couplings of the Z also diagonal in flavor space





- Before 1970 hadrons were thought composed exclusively of *u*, *d* and *s* quark 0 with CC interactions rotated by 2×2 Cabibbo mixing: $J_{CC}^{\mu} = \overline{u}(1 - \gamma_5)(\cos\theta_C d + \sin\theta_C s)$
- ° If $(u, d)^T$ is iso-doublet and s isosinglet \Rightarrow There must be tree-level neutral $\Delta S = 1$ decays
- **PDG (Particle Data Group):** 0

CC: Br($K_L \rightarrow \pi^+ e^- \bar{\nu}$) = 40.55(11) %

NC: Br($K_L \rightarrow \mu^+ \mu^-$) = 6.84(11) × 10⁻⁹

$$J_Z^{\mu} = -\frac{e}{2s_w^2} \,\bar{\psi}^k \left(g_V^{\psi} \gamma_{\mu} + g_A^{\psi} \gamma^{\mu} \gamma_5 \right) \psi^k$$

$$g_V^{\psi_k} = T_3^{(\psi_k)} - 2s_w^2 Q_{\psi} \qquad g_A^{\psi_k} = T_3^{(\psi_k)}$$

What is relevant here is that all *up*-like fermions and all *down*-like fermions have the same weak isospin

Flavor changing neutral currents (FCNC) are suppressed! There must be a 4th quark (charm)! Glashow, Iliopoulos & Maiani (GIM) 1970



Flavor-changing neutral currents (FCNC) in the SM

• The GIM mechanism

- In the SM, FCNCs occur only at **1-loop level**!
- In addition, they receive a **flavor suppression**

Take the $\Delta C = 1$ neutral transition $c \rightarrow u\gamma$



- The GIM mechanism is a consequence of CKM unitarity at loop level



• It implies suppression of FCNCs by loop, small yukawas and/or small mixing angles



The role of the top-quark in the FCNCs

- FCNCs in the *down*-quark sector
 - Sensitive to *up*-quarks \Rightarrow **Prominence of top yukawa**
 - $m_W \lesssim m_t$: Suppression to be revisited

Take now the **neutral** *down* **quark** transition $b \rightarrow s\gamma$



Amplitude
$$\approx \frac{e g^2}{4\pi^2 m_W^2} \underbrace{\frac{\lambda^2}{V_{tb}V_{ts}^*}}_{W} f(\frac{m_t^2}{m_W^2})$$





The case of the charged leptons

- FCNCs in the charged lepton sector

 - Symmetry broken by **neutrino masses!**

Take now the **neutral charged-lepton transition** $\mu \rightarrow e\gamma$

• In the simplest case with **Dirac Neutrinos**



• $U(1)_{\tau} \times U(1)_{\mu} \times U(1)_{e}$ accidental symmetry in the SM \Rightarrow No charged-lepton flavor violation (CLFV)

Amplitude
$$\approx \frac{e g^2}{4\pi^2 m_W^2} \sum_i V_{\mu i} V_{ei}^* \frac{m_{\nu_i}^2}{m_W^2}$$

• Cosmological bound $\sum m_{\nu_i} \lesssim 0.1 \text{ eV}$

° CLFV is suppressed by $\approx 10^{-22}$ compared to quark sector! • Similar conclusions for Majorana fermions

Flavor physics are sensitive probes of BSM

- Flavor violation is very sensitive to BSM with *non-standard* gauge or flavor structure
 - Searching for FCNCs in experiment could herald the discovery of New Physics 0
 - Null searches are typically expressed as lower-bounds on mass scales of the putative BSM 0



Observable

Flavor NP puzzle: BSM at TeV scales requires non-trivial flavor structure

Elements of flavor physics phenomenology

The theorist's tool kit: Effective field theories EFT lectures tomorrow

• Energies involved in hadron decays $m_h \ll m_W$

Rigorous and systematic expansion in the small parameter $\epsilon \approx m_h/m_W$ within the Effective Field Theory (EFT)



Modern subnuclear extension of Fermi Theory

° Neutron β decay

 $\mathcal{M}_{\beta} \approx \mathbf{G}_{F} \mathbf{C}_{\beta} \left(\bar{u} \gamma^{\mu} P_{L} d \right) \left(\bar{e} \gamma_{\mu} P_{L} \nu \right)$

• Extended also to FCNCs

° Radiative B-meson decays (e.g. $B^0 \to K^* \gamma$) $\mathcal{M}_{\gamma} \approx \frac{e \, m_b}{\Delta \pi^2} \, G_F C_{\gamma} \, \bar{s} \sigma^{\mu\nu} \, P_R \, b \, F_{\mu\nu}$

° Dimensionful constant: Scale of dynamics that have been integrated out - $G_F \approx 1/m_W^2$

• Wilson coefficient: Structure and constants of UV theory - $C_{\beta} \approx V_{ud}$, $C_{\gamma} = V_{tb}V_{ts}^*f(x_t)$

° Non-renormalizable operators: with $d \geq 5$ and composed of dynamical fields at $E \ll m_W$



EFT for BSM: Low energies

- 1. List **fields** that can be made *on-shell* at the energies of interest
- 2. List gauge symmetries manifest at the energies of interest
- 3. Construct all gauge invariant operators with these fields up to a given dimension d

• Only a **finite number of operators** needed for a given precision!

• Example CCs: Leading (dim-6) weak L

$$\mathscr{L}_{CC} = \frac{4G_F}{\sqrt{2}} \sum_{ij,\alpha\beta} \left(C_{LL}^{ij,\alpha\beta} (\bar{u}_i \gamma^{\mu} P_L d_j) (\bar{e}_{\alpha} \gamma_{\mu} P_L \nu_{\mu} + C_{S_L S_L}^{ij,\alpha\beta} (\bar{u}_i P_L d_j) (\bar{e}_{\alpha} P_L \nu_{\beta}) + C_{S_R S_L}^{ij,\alpha\beta} (\bar{u}_i P_L d_j) (\bar{e}_{\alpha} P_L \nu_{\beta}) \right)$$

- SM is recovered for $C_{IL}^{ij,\alpha\alpha} = 1$ and all other WCs=0
- Most general BSM with SM d.o.f.

Power counting: Ordering of the ∞ operators according to power *n* in $(E/\Lambda_{\rm RSM})^n$

-agrangian at
$$\mu pprox E_{
m low}$$

 $\nu_{\beta}) + C_{RL}^{ij,\alpha\beta}(\bar{u}_{i}\gamma^{\mu}P_{R}d_{j})(\bar{e}_{\alpha}\gamma_{\mu}P_{L}\nu_{\beta})$

 $\bar{i}_{i} P_{R} d_{j} (\bar{e}_{\alpha} P_{L} \nu_{\beta}) + C_{T_{L} T_{L}}^{ij,\alpha\beta} (\bar{u}_{i} \sigma^{\mu\nu} P_{L} d_{j}) (\bar{e}_{\alpha} \sigma_{\mu\nu} P_{L} \nu_{\beta}) \right)$

Imposing a flavor ansatz in the EFT: Minimal Flavor violation

- - One can implement MFV in the EFT using the **spurion analysis** 0



- MFV is useful because it transfers the flavor component of the GIM suppression to BSM 0
- **Note:** Works only in the EFT defined in terms of the SM fields/symmetries (SMEFT) 0
- **Example:** Contribution to the FCNC $b \rightarrow s\gamma$

 $\frac{e \bar{c}}{\Lambda_{\rm NP}^2} F_{\mu\nu} \bar{Q}_L \sigma^{\mu\nu} \underbrace{y_u y_u^{\dagger} y_d}_{\mathcal{U}} b_R \quad \Rightarrow \quad \frac{e \bar{c}}{\Lambda_{\rm NP}^2} F_{\mu\nu} \begin{pmatrix} \bar{U}_L \\ \bar{D}_L V^{\dagger} \end{pmatrix} \sigma^{\mu\nu} m_u^2 V m_d b_R$ y_d alone does not produce FCNC

• Minimal Flavor Violation (MFV): All the flavor violation in SM+BSM stems from just the SM Yukawas

Same yukawa suppression as in the SM! $C_{\gamma} = \frac{e \ \overline{c}}{\sqrt{2}} \ m_b \ y_t^2 \ V_{ts}^* V_{tb}$ $\Lambda^2_{
m NP}$



Summary of the EFT procedure



Cirigliano and Mussolf Prog.Part.Nucl.Phys. 71 (2013) 2-20



Low-energy: The realm of the hadrons

- QCD confines around and below energies ~ $\Lambda_{QCD} \approx$ 200 MeV



• Only the proton is (almost) really stable!

The <u>PDG</u> is phenomenologist's 1st best friend!



Baryons qqq and Antibaryons qqq Baryons are fermionic hadrons. There are about 120 types of baryons.						Mesons qq Mesons are bosonic hadrons. There are about 140 types of mesons.					
Symbol	Name	Quark content	Electric charge	Mass GeV/c ²	Spin	Symbol	Name	Quark content	Electric charge	Mass GeV/c ²	Spin
р	proton	uud	1	0.938	1/2	π^+	pion	ud	+1	0.140	0
p	anti- proton	$\overline{u}\overline{u}\overline{d}$	-1	0.938	1/2	К-	kaon	sū	-1	0.494	0
n	neutron	udd	0	0.940	1/2	ρ^+	rho	ud	+1	0.770	1
Λ	lambda	uds	0	1.116	1/2	В ⁰	B-zero	db	0	5.279	0
Ω-	omega	SSS	-1	1.672	3/2	η_{c}	eta-c	٢	0	2 .980	0

The thousands of different decay modes of these hundreds of particles are a precious source of information

- Branching fraction of a decay channel i of a hadron h $\mathrm{Br}_i = \Gamma_i / \Gamma_h = \tau_h \Gamma_i$
- Only hadrons whose main decay channel is weak
 - 1. Flavor violations !
 - 2. Sensitivity to $E \gtrsim m_W$!



Connecting to the observables of the hadronic world

- Our Lagrangians are written in terms of quarks and our observables in terms of hadrons!
- **Observables** defined in terms of matrix elements

 $\mathcal{M} \sim \langle e', \nu', \dots; H'_1, H'_2, \dots \mid \overbrace{\mathcal{O}_{\ell} \times \mathcal{O}_a}^{\mathscr{I}} \mid e, \nu, \dots; H'_1, H'_2, \dots \rangle$ with Observables ~ $|\mathcal{M}|^2$

• Factorization: Wick's theorem *typically* leads to factorization of matrix element

$$\mathcal{M} \sim \langle e', \nu', \dots | \mathcal{O}_{\ell} | e, \nu, \dots \rangle \times$$
Perturbative matrix element

Very difficult to compute! They limit our capacity to learn about short distances

Interactions: $\mathscr{L}(u, d, s, c, b, e, \nu, G, F)$ Asymptotic states: $|\pi^{\pm}, \pi^{0}, K^{\pm}, D^{\pm}, B^{\pm}, p, n, \Lambda, ... \rangle$

• By asymptotic we mean hadrons with long life times ($\tau_{\text{weak}} \approx \frac{K_{\text{aons}}}{10^{-8}} - \frac{B-\text{mesons}}{10^{-12}}$ s vs. $\tau_{\text{EM}} \approx \frac{\pi^0}{10^{-17}}$ s OR $\tau_{\text{strong}} \approx \frac{\rho-\text{resonance}}{10^{-24}}$)

 $\times \langle H'_1, H'_2, \dots | \mathcal{O}_a | H'_1, H'_2, \dots \rangle$ Hadronic matrix element

• Hadronic matrix elements: Encapsulate all the nonperturbative-QCD information of the transition



Determinations of the hadronic brown muck

• General strategy:

- **1.** Parametrize the matrix element (discrete and Lorentz symmetries)
- **2.** EFTs of QCD in perturbative expansions

° Heavy-quark symmetry ($m_{c,b} \gg \Lambda_{\rm QCD}$) - Heavy quark effective theory

- 3. Calculate hadronic matrix elements
 - Lattice QCD systematic approximation from discrete and finite space-time
 - QCD sum rules, quark models, Ads/CFT, etc ...

Example: Leptonic pion decay $\pi^- \rightarrow e^- \bar{\nu}$

- **Parity invariance:** Vector & Scalar are 0!
- Lorentz invariance: Tensor is 0!
- f_{π} is the pion decay constant $f_{\pi} = 130.2(0.8)$ MeV

° Isospin ($m_d \approx m_\mu$) and $SU(3)_F$ ($m_\mu \approx m_d \approx m_s$) in light quarks - Chiral Perturbation Theory



Flavor processes

The CC leptonic (2-body) pion decay (π_{e2})

$$\mathscr{M} = \langle \ell^+ \nu_{\ell} | \mathscr{L}_{SM} | \pi^+ \rangle = \frac{4G_F V_{ud}}{\sqrt{2}} \langle \ell^+ \nu_{\ell} | i$$

• Chiral suppression: In the chiral limit $m_{\ell} \rightarrow 0$ the amplitude vanishes!





• Pseudoscalar operator: Contribution of $d\gamma_{z}$

 \circ Pseudoscalar operator is chirally flipping \Rightarrow Not chirally suppressed!

Experimental data

Br(
$$\pi^+ \to \mu^+ \nu_{\mu}$$
) = 99.98770(4) %
Br($\pi^+ \to e^+ \nu_e$) = 1.230(4) × 10⁻⁴

Discovered at CERN (G. Fidecaro) - 1958





The SM is a "current-current" interaction

Weinberg's "V-A was the key" - 2009

BSM-Vector: $\Lambda_{LL} \approx$ 1 TeV





The CC semileptonic (3-body) decays (K_{P3})

• Hadronic form factors: Functions of $q^2 = (p' - p)^2$

$$\langle \pi^0(p') \,|\, \bar{s}\gamma_\mu d \,|\, K^+(p) \rangle = f_+(q^2)$$

 \circ Parity and charge invariance \Rightarrow No pseudoscalar/axial form factors

$$\Gamma(K_{\ell^{3}(\gamma)}) = \frac{G_{\mu}^{2}m_{K}^{5}}{192\pi^{3}} S_{\text{ew}} \frac{\text{Norm}}{|\tilde{V}_{us}|^{2} f_{+}(0)^{2}}$$

- Form factors obtained from LQCD \Rightarrow e.g. $f_{+}(0) = 0.9698(17)$

²) $P_{\mu} + q_{\mu} \frac{m_{K^+}^2 - m_{\pi 0}^2}{a^2} \left(f_+(q^2) - f_0(q^2) \right)$



• Normalization (and spectrum) sensitive to BSM $\Rightarrow \tilde{V}_{us} \approx (1 + C_{LL} + C_{RL} - C_{LL}^{\mu})V_{us}^{SM} + \mathcal{O}\left(\frac{m_K^4}{\Lambda^4}\right)$

Testing CKM unitarity

• Disentangle BSM from CKM: Unitarity relation



ion
$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

• Tensions in the $V_{ud} - V_{us}$ plane
• Use $K_{\ell 3}$ and ratio $\frac{K_{\ell 2}}{\pi_{\ell 2}}$ (to determine $\frac{\tilde{V}_{us}}{\tilde{V}_{ud}}$)
Lattice results $(N_f = 2+1+1)$
 $|\tilde{V}_{ud}|^2 + |\tilde{V}_{us}|^2 = 0.9816(64)$
Tension at $\sim 3\sigma$

- $\circ\,$ Tension increases with β decays
- o BSM or uncontrolled EM/isospin corrections?



Charmed-meson CC decays: the unitarity test π or K• Same strategy as with kaon decays: Use leptonic $D_{(s)} \to \ell^+ \nu$ and semileptonic $D \to P \ell \bar{\nu}$ • 2nd-row unitarity



• **Phase space:** Many decay modes potentially available!

$$D_{(s)} \to V \ell \bar{\nu}, D_s \to \tau \nu \dots$$



$$|\tilde{V}_{cd}|^2 + |V_{cs}|^2 + |\tilde{V}_{cb}|^2 = 0.999(8)$$





B meson CC decays into tau leptons



• Hadronic form factors

- Heavy-quark EFT with data light leptons and/or LQCD
- Define Lepton Universality ratio to cancel uncertainties

$$R_{D^{(*)}} = \frac{\operatorname{Br}(B \to D^{(*)} \tau \nu)}{\operatorname{Br}(B \to D^{(*)} \ell \nu)}$$

• Semi-tauonic charged-current decay

- Governed by the weak amplitude $G_F V_{ch}$
- Two main hadronic channels studied

$$B \to D$$
 with $J^P(D) = 0^-$
 $B \to D^*$ with $J^P(D^*) = 1^+$

HFLAV collaboration

$$R_D = 0.298 \pm 0.004$$
$$R_{D^*} = 0.254 \pm 0.005$$

Theoretical errors well controlled at the 3 - 6% level



B-meson decays into tau leptons

• Situation in <u>2024</u>



Picture is not clear \Rightarrow More data needed!

Semileptonic <u>rare</u> B decays

• FCNC decays of *B* mesons into kaons and leptons





$$\mathscr{H}_{sl} = -\frac{4G_F}{\sqrt{2}}\lambda_t \Big[\underbrace{\underbrace{\mathsf{EM}}_{C_{7\gamma} \mathscr{O}_{7\gamma}}}_{\text{Semileptonic}} + \underbrace{C_9 \mathscr{O}_9 + C_{10} \mathscr{O}_{10}}_{\text{Semileptonic}} + \underbrace{\sum_{\ell} C_{\nu_\ell} \mathscr{O}_{\nu_\ell}}_{\text{Semileptonic}} \Big]$$





The rare semileptonic (4-body) decay $B \to K^*(\to K\pi)\ell\ell$

° Kinematic variables: $(p_B - p_{K^*})^2 = q^2$, $\cos \theta_{\ell}$, $\cos \theta_K$, ϕ



- 4-body decay: Very rich phenomenology
- ° Each coefficient $I_i(q^2)$ is a q^2 -dependent observable
- $^{\circ}$ The P'_{5} anomaly (related to the coefficient I_{5})

New Physics hypothesis: $C_{0}^{NP} \simeq -1$ (-25 % w.r.t. SM)

Descotes-Genon et al., PRD88 (2013) 074002

$$\frac{d^{(4)}\Gamma}{(\cos\theta_l)d\cos\theta_k)d\phi} = \frac{9}{32\pi} \Big[I_1^s \sin^2\theta_k + I_1^c \cos^2\theta_k + (I_2^s \sin^2\theta_k + I_2^c \cos^2\theta_k) \cos 2\theta_\ell + I_3 \sin^2\theta_k \sin^2\theta_\ell \cos 2\phi + I_4 \sin 2\theta_k \sin 2\theta_\ell \cos \phi + I_5 \sin 2\theta_k \sin \theta_\ell \cos \phi + I_6 \sin^2\theta_k \cos \theta_\ell + I_7 \sin 2\theta_k \sin \theta_\ell \sin \phi + I_8 \sin 2\theta_k \sin 2\theta_l \sin \phi + I_9 \sin^2\theta_k \sin^2\theta_\ell \sin 2\phi_l \Big]$$



Kinematic regions in the $B \rightarrow K^* \ell \ell$ decay





Anatomy of the vectorial $B \to K^{(*)} \ell \ell$ amplitude

• Helicity amplitudes

$$H_V(\lambda) = -iN\left\{\left[C_9\right]\right\}$$

- 7 (local) form factors (independent) and 3 non-local form factors
- ^o Vector amplitude! \Rightarrow Sensitive to the charm contributions!





- At leading order $C_9^{\text{eff}} = C_9(\mu) + Y(q^2, \mu)$
 - In fact C_0^{eff} is observable \Rightarrow Scale independent
 - ° One cannot disentangle C_9 from C_9^{eff} without h_{λ}



The $b \rightarrow s\ell\ell$ anomalies: two approaches to life

• Interpretation of data depends on prior beliefs about "charm"

<u>Algueró et al., EPJ.C(2023)83:648</u>



Ciuchini et al., PRD107 (2023) 5, 055036



Generalities about neutral meson mixing

• U(1) flavor symmetries (e.g. strangeness)

S=+1:
$$K^0 = d\bar{s}, K^+ = u\bar{s}$$

C=+1: $D^0 = c\bar{u}, D^+ = c\bar{d}$
B=+1, S=-1: $B_s^0 = s\bar{b}$

Charges conserved by strong and EM

• Neutral meson mixing



Flavor eigenstates \neq Mass eigenstates

S= -1:
$$\bar{K}^0 = s\bar{d}, K^- = s\bar{u}$$

C= -1: $\bar{D}^0 = u\bar{c}, D^- = d\bar{c}$
B= -1, S=+1: $\bar{B}^0_s = b\bar{s}$

Weak interactions \Rightarrow Flavor (symmetry) violations





Neutral meson mixing in QM

- Γ is definite positive!
- CPT: $M_{11} = M_{22} \equiv m_K$, $\Gamma_{11} = \Gamma_{22} \equiv$

- CP conservation (?)
 - Eigenstates:

 $K_{L,S} = \frac{1}{\sqrt{2}} \left(K^0 \mp \sqrt{2} \right)$

- Eigenstates of *CP* too: $CP | K^0_+ \rangle =$
- Eigenvalues: mass and width differences (observables)

$$\Delta m = 2 \left| M_{12} \right|$$

Weisskopf-Wigner QM formalism

$$\equiv \Gamma$$

$$H_{eff} \equiv \mathbf{R} = \mathbf{M} - i\frac{\Gamma}{2} = \begin{pmatrix} m_K & M_{12} \\ M_{12}^* & m_K \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma \end{pmatrix}$$

$$\equiv \bar{K}^0 \qquad \Rightarrow \qquad K_{L,S} = K_{\mp}^0$$

$$=\pm |K^0_{\pm}
angle$$
 with $CP|K^0
angle = -|ar{K}^0
angle$

$$\Delta \Gamma = 2 |\Gamma_{12}|$$



Evidence of CP violation in kaons

• CP violation discovered in Kaon decays $CP | \pi^+ \pi^- \rangle = + | \pi^+ \pi^- \rangle$ (CP-even)

IF CP is conserved THEN $K_L \rightarrow \pi^+ \pi^-$ is forbidden

PDG $BR(K_S \to \pi\pi) = 99.89(10)\%$ BR($K_L \to \pi \pi \pi) = 32.06(17)\%$ $BR(K_I \rightarrow \pi\pi) = 0.2831(16)\%$



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EVIDENCE FOR THE 2π DECAY OF THE K_2° MESON*[†]

J. H. Christenson, J. W. Cronin,[‡] V. L. Fitch,[‡] and R. Turlay[§] Princeton University, Princeton, New Jersey (Received 10 July 1964)

$K_L \rightarrow \pi^+ \pi^-$ is observed **THEN** *CP* is violated in Kaon decays!



Neutral-kaon mixing in the SM and mass difference

• FCNC: Box diagram



- Perturbative calculation
 - Wilson coefficient: $C(\mu) = b(\mu) (\lambda_c^2 S_0)$
 - * Higher-order QCD corrections: $b(\mu$
 - GIM hidden in Inami-Lim functions *****

* Charm
$$\approx \lambda^2 x_c$$
 vs. top $\approx \lambda^{10} x_t$

Low-energy EFT

SM:
$$\mathscr{H}_{\text{eff}} = \frac{G_F^2}{4\pi^2} C(\mu) \left(\bar{d}\gamma^{\mu} P_L s \right) \left(\bar{d}\gamma_{\mu} P_L s \right) + \text{h.c.}$$

$$M_{12} = \frac{1}{2m_K} \langle K^0 | \mathcal{H}_{eff} | \bar{K}^0 \rangle$$

$$\lambda_{i} = \sqrt{\frac{\pi}{id}}\sqrt{\frac{1}{is}}$$

$$(x_{c})\eta_{1} + \lambda_{t}^{2}S_{0}(x_{t})\eta_{2} + 2\lambda_{c}\lambda_{t}S_{0}(x_{c}, x_{t})\eta_{3})$$

$$x_{i} = \frac{m_{i}^{2}}{m_{w}^{2}}$$

CP Violation - Branco, Lavoura & Silva, Appendix B



Hadronic matrix element for kaon mixing

- To make predictions we need a hadronic matrix element $\langle K^0 | \left(\bar{d} \gamma^{\mu} P_L s \right) \left(\bar{d} \gamma_{\mu} P_L s \right) | \bar{K}^0 \rangle = \frac{2}{3} m_K^2 f_K^2 \frac{B_K(\mu)}{B_K(\mu)}$
- **Bag parameter:** B_{K} dimensionless parameter
- Scale & Scheme independent: $\hat{B}_{K} = b(\mu)B_{K}(\mu)$
- Standard calculation in LQCD today

$$N_f = 2 + 1$$

 $\hat{B}_K = 0.763(10)$

° Parametrization inspired by "vacuum approximation" ($B_K = 1$) CP Violation - Branco et al., Appendix C







The kaon-mass difference in the SM

• Kaon mass difference: $\Delta m_K \approx 2 \operatorname{Re}(M_{12})$

• The charm-quark contribution dominates:

$$\Delta m_K^{\rm SD} \approx \frac{G_F^2}{24\pi^2} m_c^2 \Re(V_{cd}^* V_{cd}^* V_{cd}^$$

• Same ballpark as experiment! $\Delta m_{\kappa}^{\text{expt}} = 3.484(6) \times 10^{-15} \text{ GeV}$

- **Problem:** Uncontrolled **long-distance** contributions
 - $^{\rm o}$ Exchange of pions and other hadrons at $d\approx 1/\Lambda_{\rm OCD}$



 $\circ \Delta m_K$ is not used to test the SM but taken as experimental fact in kaon mixing

- $f_{cs}^{2} f_{K}^{2} m_{K} \hat{B}_{K} \approx 10^{-15} \, \text{GeV}$

SM predictions for heavy meson mixing: $B^0 - \bar{B}^0$





• B^0 -meson mixing dominated by top loop!

$$M_{12} = \frac{G_F^2}{12\pi^2} f_B^2 m_B \hat{B}_{B_d} (V_{td}^* V_{tb})^2 S_0(x_t) \equiv |M_{12}| e^{i\phi}$$

$$\langle 0|A^{\mu}|B_q(p)\rangle = ip_B^{\mu} f_{B_q}$$

$$B_{B_q}(\mu) = \frac{\langle \bar{B}_q^0 |Q_R^q(\mu)| B_q^0 \rangle}{\frac{8}{3} f_{B_a}^2 m_B^2}$$

• Predictions in the SM

$$\Delta m_d^{\text{SM}} = 0.555(50) \text{ ps}^{-1}$$
$$\Delta m_d^{\text{expt}} = 0.5065(19) \text{ ps}^{-1}$$
$$\phi = \arg(V_{td}^* V_{tb}) \approx \beta$$

$B_s^0 - \bar{B}_s^0$ and ratios with the B^0 system

• Difference B^0 and B^0_s ? \Rightarrow CKM!

• Identical to B^0 replacing $d \rightarrow s$

$$\Delta m_s^{\text{SM}} = 17.6(1.0) \text{ ps}^{-1}$$
$$\Delta m_s^{\text{expt}} = 17.7656(57) \text{ ps}^{-1}$$
$$\phi = \arg(V_{ts}^* V_{tb}) \approx \beta_s$$

Phenomenology of neutral-meson oscillations

• Define:

$$x = \frac{\Delta m}{\Gamma} \qquad y = \frac{\Delta \Gamma}{2\Gamma}$$

° We can use QM to measure small mass differences Δm (x)

• Observable:

$$P(t) = |\langle X_0(t) | X_0 \rangle|^2 = |f_+(t)|^2 = \frac{e^{-\Gamma t}}{2} \left(\cosh(y\Gamma t) + \cos(\Gamma x)\right)^2$$

Approximate valuesxyK11B1 10^{-2} (*) B_s 10 10^{-1} (*)D 10^{-2} 10^{-2}

Flavor tagging with heavy mesons

• Use leptonic decays as tags!

• **B** factories: Entangled $\Upsilon \to B\overline{B}$ pairs \Rightarrow Same-sign leptons is a smoking gun!

1987: Discovery of $B^0 - \bar{B}^0$ mixing! (ARGUS)

- Exquisite $B_s^0 \bar{B}_s^0$ oscillations at LHCb
 - Tag final flavor state with hadronic decays

BSM bounds from neutral-meson mixing

- Neutral-meson mixing leads to very strong bounds on BSM physics
 - They need to be taken into accoount by almost any flavor model building
 - Very sensitive to SM flavor structure \Rightarrow Only MFV survives at low scales! 0

$$H_{\rm eff}^{\Delta F=2} = \frac{G_F^2}{16\pi^2} M_W^2 \sum_i V_{\rm CKM}^i C_i(\mu) Q_i$$

$$Q_{1}^{\text{VLL}} = \left(\bar{s}^{\alpha} \gamma_{\mu} P_{L} d^{\alpha}\right) \left(\bar{s}^{\beta} \gamma^{\mu} P_{L} d^{\beta}\right),$$

$$Q_{1}^{\text{LR}} = \left(\bar{s}^{\alpha} \gamma_{\mu} P_{L} d^{\alpha}\right) \left(\bar{s}^{\beta} \gamma^{\mu} P_{R} d^{\beta}\right), \qquad Q_{2}^{\text{LR}} = \left(\bar{s}^{\alpha} P_{L} d^{\alpha}\right) \left(\bar{s}^{\beta} P_{R} d^{\beta}\right),$$

$$Q_{1}^{\text{SLL}} = \left(\bar{s}^{\alpha} P_{L} d^{\alpha}\right) \left(\bar{s}^{\beta} P_{L} d^{\beta}\right), \qquad Q_{2}^{\text{SLL}} = \left(\bar{s}^{\alpha} \sigma_{\mu\nu} P_{L} d^{\alpha}\right) \left(\bar{s}^{\beta} \sigma^{\mu\nu} P_{L} d^{\beta}\right),$$

Concluding: experimental golden era

• "Multi-purpose" *B*-meson factories

• Many more flavor experiments at different scales

TeV scale

Concluding: probe to physics beyond the SM

Flavor Physics spearheaded the discovery of the SM when the SM was the New Physics!

- Rare kaon decays: Discovery of charm quark

PROPOSAL FOR K° DECAY AND INTERACTION EXPERIMENT J. W. Cronin, V. L. Fitch, R. Turlay (April 10, 1963) 1 +

INTRODUCTION The present proposal was largely stimulated by the recent anomalous results of Adair et al., on the coherent regeneration of K_1^0 mesons. It is the purpose of this experiment to check these results with a precision far transcending that attained in the previous experiment. Other results to be obtained will be a new and much better limit for the partial rate of $K_{2}^{0} \rightarrow \pi^{+} + \pi^{-}$, a new limit for the presence (or absence) of neutral currents as observed through $K_2 \neq \mu^+ + \mu^-$.

• Nuclear β decay: Discovery of weak interactions and the neutrinos Kaon decays: Discovery of CP violation → Discovery of 3 generations

Hands - on workshop: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

• Prototypical very-rare kaon decay: $\Delta S = 1$ FCNC

Penguin diagram

Box diagram

• Relevant form factors related by isospin to CCs

$$Br(K^{+} \to \pi^{+} \nu \bar{\nu})^{SM} = \frac{\alpha^{2} |V_{ts} V_{td}^{*}|^{2} Br(K^{+} \to \pi^{0} e^{+} \nu_{e})}{2\pi^{2} |V_{us}|^{2}} \sum_{\ell} |C_{\nu}|^{2}$$
$$\simeq \frac{\alpha^{2}}{2\pi^{2}} A^{4} \lambda^{8} Br(K^{+} \to \pi^{0} e^{+} \nu_{e}) \sum_{\ell} |C_{\nu_{\ell}}|^{2}$$

• Effective Lagrangian

$$\mathscr{L}_{\rm SM} = -\frac{4G_F}{\sqrt{2}} V_{ts} V_{td}^* \frac{\alpha}{2\pi} \sum_{\ell} C_{\nu_{\ell}} (\bar{d}\gamma^{\mu} P_L d) (\bar{\nu}_{\ell} \gamma_{\mu} \nu_{\ell})$$

Wilson Coefficient:
$$C_{\nu_{\ell}} = \frac{1}{s_{w}^{2}} \left(\frac{V_{cs} V_{cd}^{*}}{V_{ts} V_{td}^{*}} X_{c}^{\ell} + X_{t} \right)$$

 $C_{\nu_{\ell}}^{SM} \simeq 9$

$$Br(K^{+} \to \pi^{+} \nu \bar{\nu})_{SM} = 8.55(4) \times 10^{-11}$$
$$Br(K^{+} \to \pi^{+} \nu \bar{\nu})_{expt} = 1.14(36) \times 10^{-10}$$

Hands - on workshop: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

• Let's take a Z' boson of mass $m_{Z'}$ that is coupled to the SM via $\mathscr{L} \supset \left(g_{ij}^Q \bar{Q}_L^i \gamma^\mu Q_L^j \right)$

 g^Q is a matrix in general real matrix in flavor space and g_L a universal coupling for leptons.

Exercise

- 1. Calculate BR in the SM using (approximate) formula
- 2. Match the UV model to the LEEFT
- 4. How does this bound change if we impose MFV?

$$Q_L^j + g^L \bar{L}_L^{\alpha} \gamma^{\mu} L_L^{\alpha} \bigg) Z'_{\mu}$$

3. Estimate the lower bound on $m_{Z'}$ given by $Br(K^+ \to \pi^+ \nu \bar{\nu})_{expt}$ assuming $\mathcal{O}(1)$ couplings