

Formulation of Pseudoknotted Covariance Models

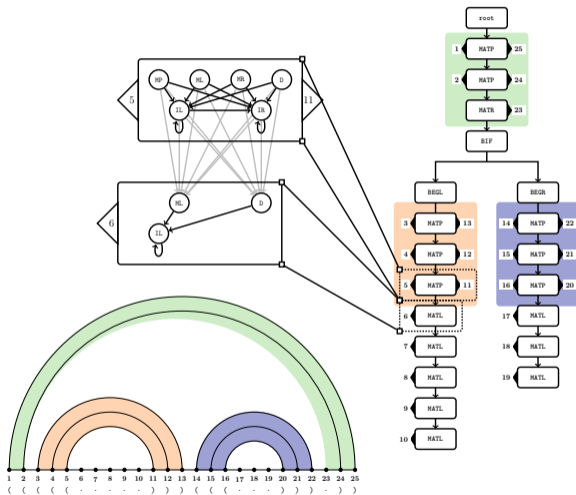
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with Manuel Lafond and Aïda Ouangraoua

Former PhD student of Yann Ponty and Laurent Bulteau
this work: with Amibio team, in ~Paris

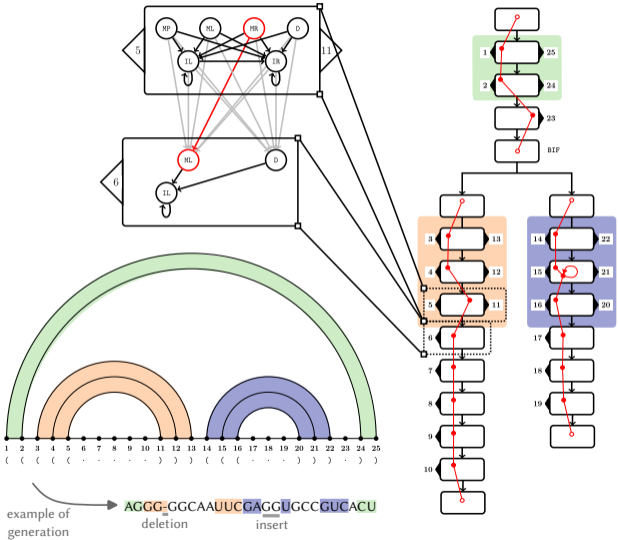
August 2, 2024

Covariance Models, reminder 1: basics



- ▶ Statistical model for **homology families** [Eddy and Durbin, 1994]
- ▶ built from **structure-annotated MSA**
- ▶ Can use it to **scan databases** for new homologs
 - at the base of RFAM [Kalvari et al., 2021] through Infernal [Nawrocki and Eddy, 2013]
- ▶ Can produce MSA of input sequences

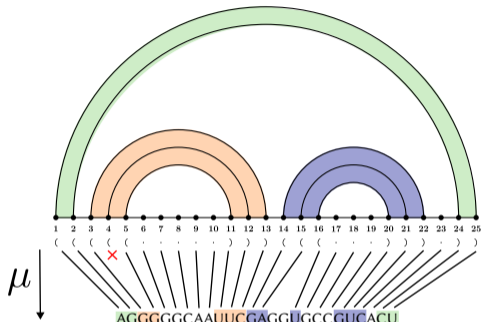
Covariance Models, reminder 2: sampling



► probability space = **aligned sequences**

$$\begin{aligned}
 \log P(\text{aligned seq}) &= \\
 &\log P(\text{state sequence}) + \\
 &\log P(\text{symbol emissions}) \\
 &= \sum_{\text{transition } u \rightarrow v} \log P(u \rightarrow v) \\
 &+ \sum_{\text{emitting state } u} \log P(\text{emission})
 \end{aligned}$$

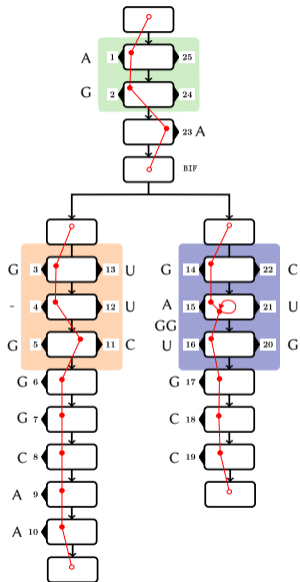
Covariance Models, reminder 3: alignment of a sequence to the model



dynamic programming. Example for MP state:

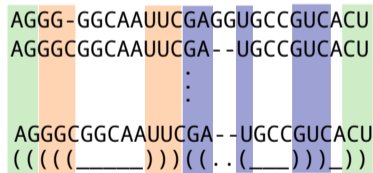
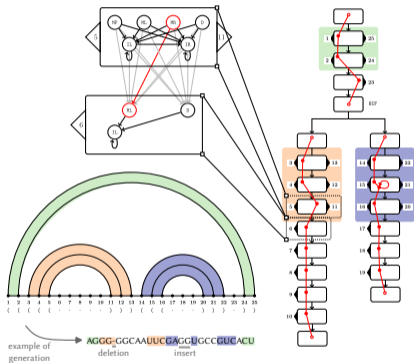
$$M[v, i, j] = \log P(v \text{ emits } S[i], S[j]) + \max_{w \in \text{succ}(v)} [M[w, i + 1, j - 1] + \log p(v \rightarrow w)]$$

$M[v, i, j]$: most likely state sequence + emission scenario starting at v and generating $S[i:j]$



Covariance Models: highlight on some features

- ▶ **stacked** base-pairs are not independent
- ▶ no dependence across helices though
- ▶ scores are **position-dependent**, learned from alignment (counting)

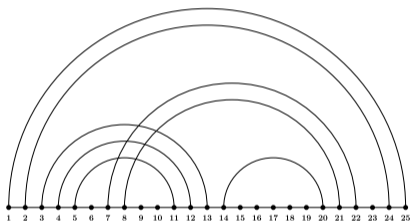


structure-annotated MSA

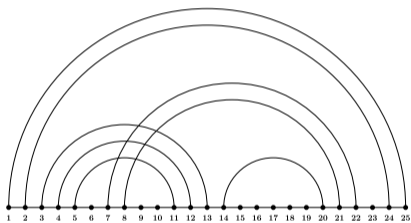
Formulating a Pseudoknotted version: the task

Problems:

- ▶ Lose **inside/outside** separation
- ▶ No notions of “left/right” anymore
- ▶ **alignment**: a close problem is NP-hard [Jiang et al., 2002].



Formulating a Pseudoknotted version: the task



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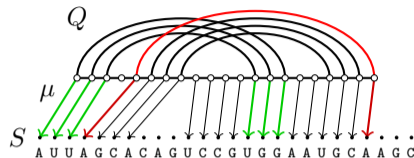
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Need equivalents of

- ▶ **cmbuild** What probabilistic model ?
- ▶ **cmemit** What probabilistic model ?
- ▶ **cmalign** → a parameterized algorithm ?
i.e. runtime of the form $f(k)n^{g(k)}$

A related precedent: LiCoRNA [Rinaudo et al., 2012]

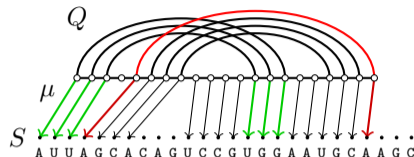
- ▶ **input:** structure-annotated seq. Q and seq. S
- ▶ **output:** best mapping $\mu \sim$ alignment
- ▶ **complexity** $|Q| \cdot |S|^{tw+1}$



$$\text{cost}(\mu) = \sum_{i,j \in \text{bps}} \text{bp_cost}(Q[i], Q[j], S[\mu(i)], S[\mu(j)])$$
$$+ \sum_{i \text{ unpaired}} \text{unpaired_cost}(Q[i], S[\mu(i)]) + \text{affine_gap_costs}(\mu)$$

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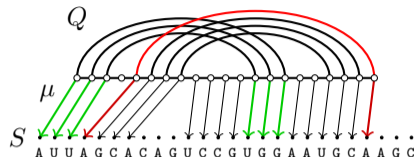


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- ▶ **tw: treewidth**
- ▶ no pseudoknots $\rightarrow tw=2$
- ▶ RFAM cons. str. (with pk): $tw \leq 5$
($tw = 3$: 110, $tw = 4$: 52, $tw = 5$: 3)

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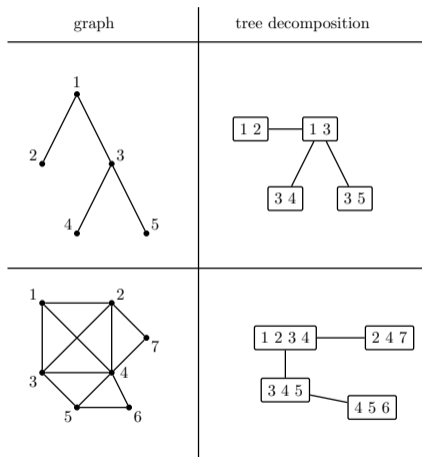


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	PK	stacking	positional scores
Infernal	✗	✓	✓
LiCoRNA	✓	✗	✗
we want	✓	✓	✓

Treewidth



tree decomposition: tree of bags of vertices

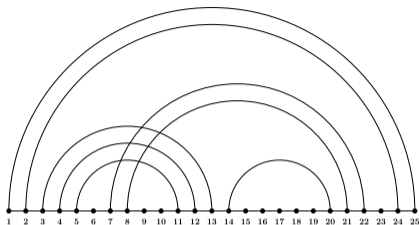
$\mathcal{T} = (T, \{X_t\}_{t \in T})$ s.t

- ▶ every vertex is represented in a non-empty connected set of bags
- ▶ for each edge (u, v) , there is a bag containing u and v

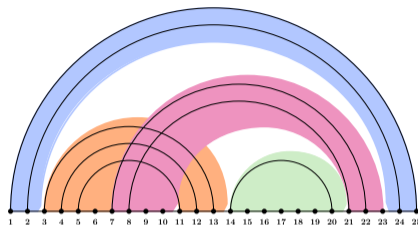
$$tw(G) = \min_{\mathcal{T} \text{ tree dec.}} \max_{t \in T} |X_t| - 1$$

- ▶ NP-hard to compute but good heuristic and solvers [Tamaki, 2019]

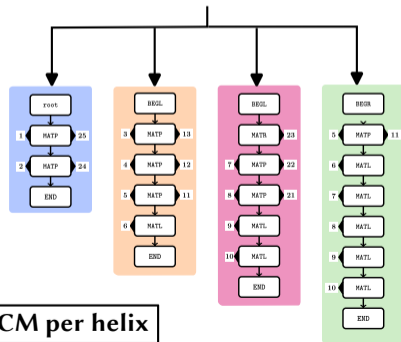
Proposal: one covariance model per helix



partition into helices



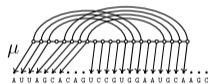
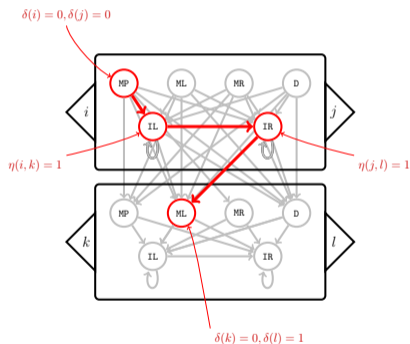
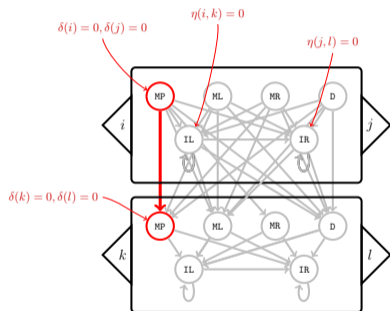
- ▶ building: split seed alignment and build normal CMs ✓
- ▶ sampling: sample each CM + interleave ✓
- ▶ aligning: the hard part, in $O(2^{c \cdot tw} m \cdot n^{tw+1})$ (latest: $O(m \cdot n^{tw+1})$)
- ▶ m = consensus size, n = sequence size
- ▶ no PK $\rightarrow tw = 2 \rightarrow$ recover n^3



1 normal CM per helix

How do we solve alignment? \rightarrow state variable encoding

$\delta(i) \in \{0, 1\}$: whether consensus position i is deleted, $\eta(i) \in \{0, 1\}$: whether there is an insert between i and $i + 1$, $\mu(i)$: where i is mapped in the input sequence



► **state sequence + emission scenario** \Leftrightarrow **assigning δ, η, μ variables.**

Cost function network

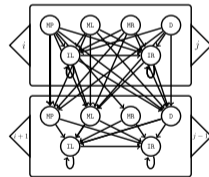
The cost function is :

$$\sum_{\text{helices}} \sum_{\text{node}_1 \rightarrow \text{node}_2} f_{\text{node}_1 \rightarrow \text{node}_2}(\text{delta_vars}_1, \text{insert_vars}_1, \text{delta_vars}_2) \\ + \text{emission_term}_1(\text{mu_vars}_1) + \text{emission_term}_2(\text{mu_vars}_2)$$

e.g. for two stacked bps:

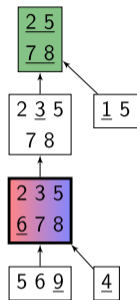
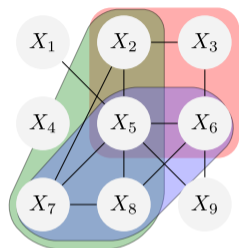
δ_i	δ_j	δ_{i+1}	δ_{j-1}	η_i	η_{j-1}	score contribution
0	0	0	0	0	0	$\log(P(MP_{ij} \rightarrow MP_{i+1,j-1})) + \text{emission}$
1	0	0	0	0	0	$\log(P(MR_{ij} \rightarrow MP_{i+1,j-1})) + \text{emission}$
1	0	0	1	0	0	$\log(P(MR_{ij} \rightarrow ML_{i+1,j-1})) + \text{emission}$

⋮



yields $\rightarrow f_{\text{MATP}_{ij} \rightarrow \text{MATP}_{i+1j-1}}(\delta_i, \delta_j, \eta_i, \eta_{j-1}, \delta_{i+1}, \delta_{j-1})$ term in the costfunction

Treewidth and cost function networks



- ▶ X_i : variables with domain D_i
- ▶ purpose: minimize some $\sum_{i=k}^m f_k(S_k \subset \{X_i\})$
- ▶ network: variables scored together \rightarrow connected
- ▶ example on the left: $f_1(X_2, X_5, X_7, X_8) + f_2(X_2, X_3, X_5, X_6) + \dots$

May encode **many** problems, and solvable in $O(m \cdot D^{tw+1})$ with $D = \max_i D_i$

$$T[\text{bag}, \text{assignment}] = \min_{x \in D_{\text{new}}} \left[\text{lcost}(\text{full_assignment}) + \sum_{\text{child}} T[\text{child}, \text{full_assignment} \cap \text{child}] \right]$$

assignment: on variables both in bag and its parent.

Infrared and prototype implementation



- ▶ Infrared: generic framework for cost function network optimization [Yao et al., 2024]
- ▶ <https://gitlab.inria.fr/amibio/Infrared>

The screenshot shows the Infrared web application interface. At the top, there is a navigation bar with 'Infrared' and several menu items: 'Main Page', 'Tutorials', 'Examples', 'Related Works', 'Change Log', 'Namespaces', and 'Classes'. A search bar is on the right. A left sidebar contains a list of navigation options: 'Infrared', 'Tutorials', 'Examples' (highlighted), 'Related Works', 'Change Log', 'Namespaces', and 'Classes'. The main content area is titled 'Example' and contains three diagrams:

- Graph Coloring:** A graph with 9 nodes labeled v_1 through v_9 . Nodes v_1, v_4, v_7 are red; v_2, v_6 are green; v_3, v_5, v_8 are purple; and v_9 is orange.
- Network Parsimony:** A tree structure with nodes containing nucleotide symbols in curly braces: {C}, {T}, {C}, {C,T}, {T}, {C,T}, {G}, {A}, {T}, {T}, {C}, {G}, {G}.
- Alignment:** A sequence alignment diagram showing two sequences of nucleotides (A, T, C, G) with colored arcs indicating matches and mismatches between them.

At the bottom of the page, there are some faint diagrams and a small bar chart with three bars labeled E1, E2, and E3.

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```
~ / Documents / code / phd_projects / pk-covariance-models  git main !6  pkcmalign -h
usage: pkcmalign [-h] [-c C] [-f FORMAT] [--assert-sequence] pkcm_file helix_file fasta_file

align a set of sequences in a fasta file to a pseudoknotted covariance model.

positional arguments:
  pkcm_file      .pkcm file to align to
  helix_file     .helix file describing helix arrangement
  fasta_file     .fasta of sequences to align

options:
  -h, --help      show this help message and exit
  -c C            upper bound on max insert length
  -f FORMAT       output file format
  --assert-sequence add assert that aligned sequence without the gaps is the input sequence.

~ / Doc / code / phd_projects / pk-covariance-models  git main !6
```

Example

- ▶ With **domain banding** ($i - c \leq \mu(i) \leq i + c$) $\rightarrow O(m \cdot c^{tw+1})$
- ▶ Example below: $c = 5$, 4 sequences, $tw = 5$ (RF03160, twister ribozyme), 5 minutes

```
~/Documents/code/phd_projects/pkca_experiments main 11 pkcaalign -c 5 --assert-sequence pkca_models/RF03160.pkcn pkca_models/RF03160.pkcn,helix short_sequences_RF03160.fa
aligning seq1 ...
c value: 5
score: -103.88
aligning seq2 ...
c value: 5
score: -90.85
aligning seq3 ...
c value: 5
score: -85.72
aligning seq4 ...
c value: 5
score: -75.22
CLUSTAL X (1.81) multiple sequence alignment

seq1          UGUGUA AUGCUACU AUGAU GCA---CAUUGCGAAUCUA CGGGUUGCAA
seq2          UUUCGUA AUGCGGCCGUGCU GGUAA CGUUC---AGCGGACGGUCCCAA
seq3          AGCGUA AUGUAGCCUAGUC CGAC---UUUG---GACUAGAGGGUUCACA
seq4          CUUGUA AUGCGGCCGUGUA AAU---AAUU---UACACGUCGGUUCCAA
#=GC_5S_cons  (((---(((BB<<<<<_..._>>>>><<<_AAA_
#=GC_RF      uuuUUAUGCaGCGaguau cu...cauuu..gauaucGCGGUCCCAA

seq1          GUCCCUCA---AGCAGAGCACAG
seq2          GCCCG-AAAAACGCAGAGGGAGAA
seq3          GCCCUUUUA-UACAGAUGACGCA
seq4          GCCCG-AUAAACGCAGAGGCAAG
#=GC_5S_cons  bb_>>>,,,,)))-aa)))))
#=GC_RF      GCCCGgAuAAAUgCaGAGGgaaaa
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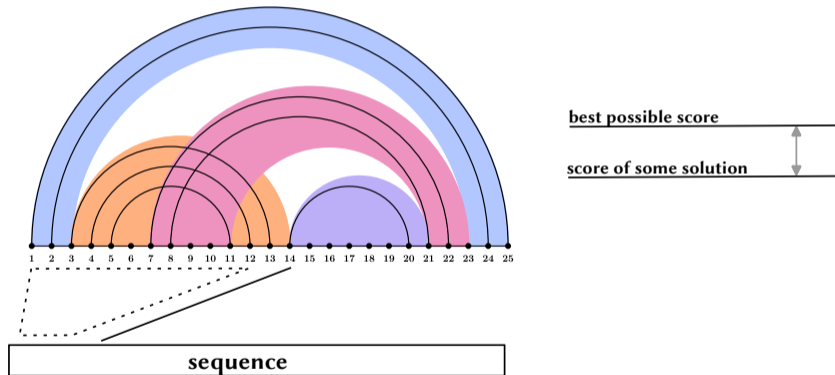
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seq3          AGCGUA AUGUAGCCUAGUCCGAC---UUUG---GACUAGAGGGUUCACA
seq4          CUUGUA AUGCGGCCGUGUAAAU---AAUU---UACACGUCGGUCCAA
#=GC_55_cons  ((((((((BB<<<<<<.....,.,>>>>>><<<< AAA
#=GC_RF      uuuUUAUGCaGCcaguauuu.....cauuu..gauaucuGCGGCCCAA

seq1          GUCCUCA---AGCAGAGCACAG
seq2          GCCCG-AAAAACGCAGAGGGAGAA
seq3          GCCCUUUUA-UACAGAUGACGCA
seq4          GCCCG-AUAAACGCAGAGCAAG
#=GC_55_cons  bb,>>>,.,.,,)))-aa)))))
#=GC_RF      GCCCGgAuAAAUgCaGAGGgaaaa
```

- ▶ needs **speeding-up**
- ▶ Room for improvement in enumeration of variables, in tree decomposition computation. . .

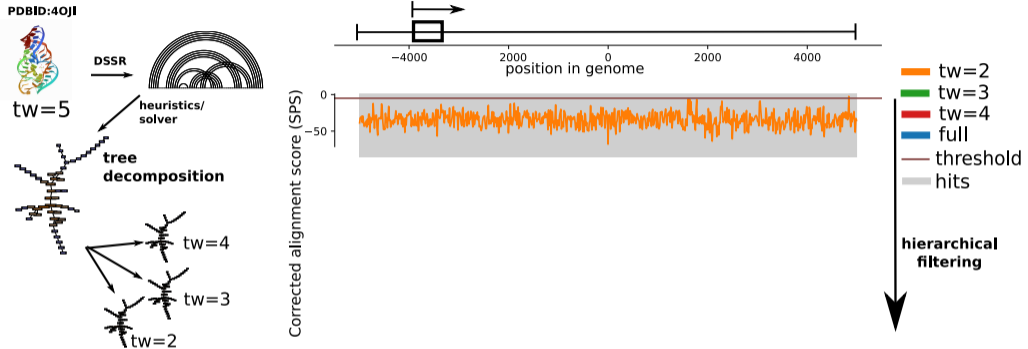
Idea for speeding up 1: analyze weights + some solution

Use *some* solution (e.g. InfeRNA1) to give a lower bound to the best score, and use it to rule out possibilities for μ variables



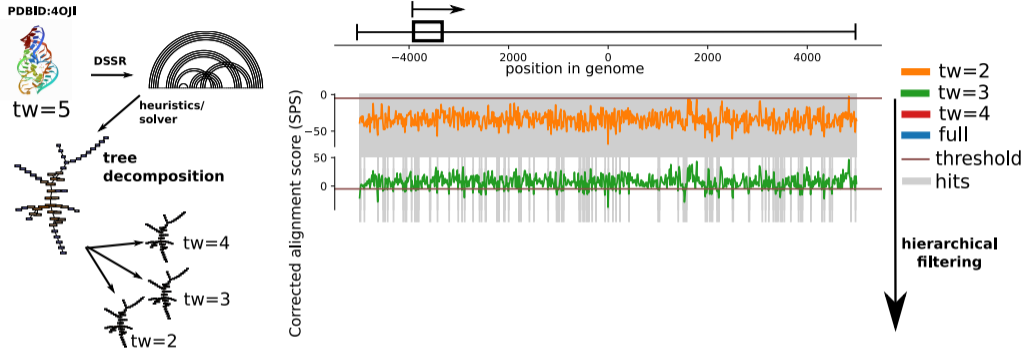
- ▶ can compute, for each helix and i, j , the best score of mapping it into $seq[i : j]$. → use it to bound μ domains

Idea for speeding up 2: hierarchy of models [Marchand et al., 2022]



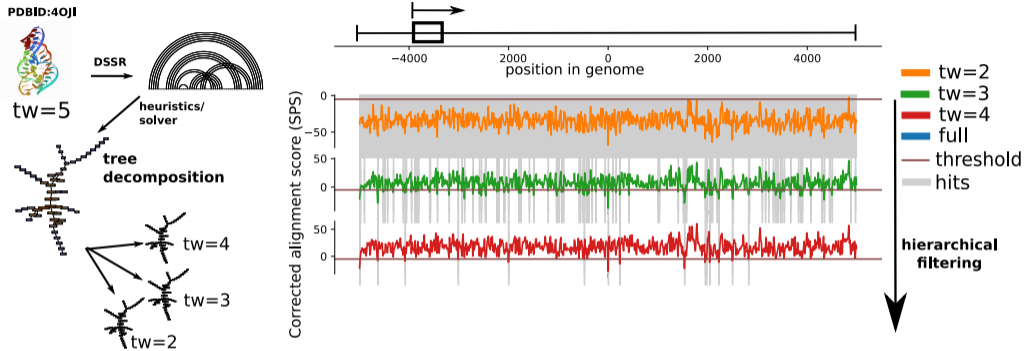
- ▶ **Guarantee:** no hits are lost → **exact process**
- ▶ Computation of the hierarchy: **a few seconds.**
- ▶ Overall speedup: **x42** (24 hours → 34 min with “LiCoRNA” [Rinaudo et al., 2012])

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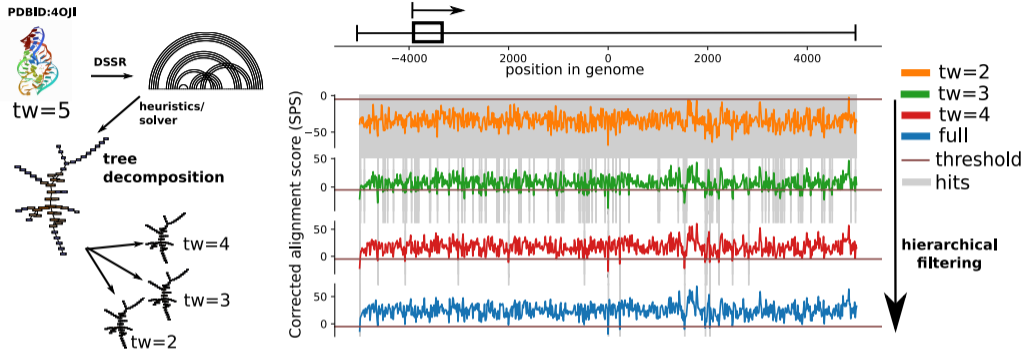
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Conclusion

- ▶ Model and prototype implementation for a fully-featured generalizations of covariance models to the pseudoknotted case → **now, needs speeding up**
- ▶ there might also be an interesting middle-ground:

model	PK	stacking	position-dependent scores	multiple interactions
InfeRNAL	✗	✓	✓	✗
LiCoRNA	✓	✗	✗	✓
LiCoRNA+probas	✓	✗	✓	✓
Pseudoknotted CMs	✓	✓	✓	✗

- ▶ treewidth and tree decompositions: **automates the design of dynamic programming algorithms for pseudoknotted structures**
- ▶ Joint work with past and current members of **Amibio team**: Yann Ponty, Sebastian Will, Hua-Ting Yao, Sarah Berkemer






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Thank you for your attention

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