

Abstract damped wave equations: The optimal decay rate

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DYNAMICS, CONTROL,
MACHINE LEARNING
AND NUMERICS

joint work with F. Dell'Oro and V. Pata

Abstract damped wave equations

- $(H, \langle \cdot, \cdot \rangle, \|\cdot\|)$ Hilbert space
- $A : \mathcal{D}(A) \subset H \rightarrow H$ strictly positive selfadjoint operator
- $f : \sigma(A) \subset (0, \infty) \rightarrow [0, \infty)$ continuous function

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$$\ddot{u}(t) + 2f(A)\dot{u}(t) + Au(t) = 0 \quad (\text{W})$$

- $f(A)$ is the selfadjoint operator constructed via the functional calculus of A

$$f(A) = \int_{\sigma(A)} f(s) dE_A(s)$$

being E_A the spectral measure of A

Examples

- $\Omega \subset \mathbb{R}^n$ bounded domain with smooth boundary $\partial\Omega$
- $A = -\Delta$ with $\mathcal{D}(-\Delta) = H^2(\Omega) \cap H_0^1(\Omega) \subset L^2(\Omega)$
- $f(s) = s^\theta$ with $\theta \in \mathbb{R}$

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We get the **wave equation with fractional damping**

$$\begin{cases} \partial_{tt}u + 2(-\Delta)^\theta \partial_t u - \Delta u = 0 \\ u|_{\partial\Omega} = 0 \end{cases}$$

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→ for $\theta = 1$ **strongly damped wave equation**

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Beam and plate equations with fractional damping can be obtained in a similar way choosing $A = \Delta^2$ with

$$\mathfrak{D}(\Delta^2) = \{u \in H^2(\Omega) \cap H_0^1(\Omega) : \Delta u \in H^2(\Omega) \cap H_0^1(\Omega)\}$$

The solution semigroup

- Product space $\mathcal{H} = \mathcal{D}(A^{\frac{1}{2}}) \times H$
- Linear operator $\mathbb{G} : \mathcal{D}(\mathbb{G}) \subset \mathcal{H} \rightarrow \mathcal{H}$ defined as

$$\mathbb{G} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} v \\ -2f(A)v - Au \end{pmatrix}$$

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→ for every $\mathbf{u}_0 \in \mathcal{H}$ the unique (mild) solution $\mathbf{u}(t)$ to (W) with initial condition $\mathbf{u}(0) = \mathbf{u}_0$ is given by

$$\mathbf{u}(t) = S(t)\mathbf{u}_0$$

Exponential stability

$S(t)$ is said to be **exponentially stable** if there exist $\omega > 0$ and $C \geq 1$ such that

$$\|S(t)\|_{L(\mathcal{H})} \leq Ce^{-\omega t}$$

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$$\inf_{s \in \sigma(A)} f(s) > 0 \quad \text{and} \quad \sup_{s \in \sigma(A)} \frac{f(s)}{s} < \infty \quad (\text{EXP})$$

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We define the exponential **decay rate** as

$$\omega_* = \sup \{ \omega > 0 : \|S(t)\|_{L(\mathcal{H})} \leq Ce^{-\omega t} \text{ for some } C = C(\omega) \geq 1 \}$$

The SDG condition

Much easier to detect is the **spectral bound** of \mathbb{G}

$$\sigma_* = \sup_{\lambda \in \sigma(\mathbb{G})} \Re \lambda$$

related to ω_* through the (possibly strict) inequality

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$S(t)$ satisfies the spectrum determined growth (**SDG**) condition if

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Even if $S(t)$ fulfills the SDG condition this does **not** mean that

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$$u(t) = \begin{cases} c_1 e^{-(a-\sqrt{a^2-1})t} + c_2 e^{-(a+\sqrt{a^2-1})t} & a > 1 \\ c_1 e^{-t} + c_2 t e^{-t} & a = 1 \\ c_1 e^{-at} \sin[(\sqrt{1-a^2})t] + c_2 e^{-at} \cos[(\sqrt{1-a^2})t] & a < 1 \end{cases}$$

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when $a = 1$ the norm of the solution reads

$$\|S(t)(u_0, v_0)\|_{\mathcal{H}} = \sqrt{u_0^2 + v_0^2 + 2(u_0^2 - v_0^2)t + 2(u_0 + v_0)^2 t^2} e^{-\frac{t}{2}}$$

Problem 12 (R. Nagel). Let $(T(t))_{t \geq 0}$ be a C_0 -semigroup with growth bound

$$\omega_0 := \inf\{\omega \in \mathbb{R} : \|T(t)\| \leq M^\omega \cdot e^{t\omega} \text{ for } t \geq 0\}$$

Find condition such that ω_0 is minimum, i.e.,

$$\|T(t)\| \leq M_0 \cdot e^{t\omega_0} \text{ for } t \geq 0$$

Comments. This corresponds to a characterization of boundedness for semigroups.

Source: R. Nagel's list of problems collected in 2003 at the workshop in Bari.

$S(t)$ satisfies the strong spectrum determined growth (SSDG) condition if the decay rate $\omega_* = -\sigma_*$ is attained, that is if

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holds for some $C \geq 1$

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Previous contributions. Under some assumptions which prevent $f(s)$ to grow at infinity faster than s^θ with $\theta < \frac{1}{2}$ J. Goldstein and coauthors [2012-2014] obtained sharp exponential decay estimates for trajectories originating from sufficiently regular initial data

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Our results. Within the sole assumption (EXP) we show that $S(t)$ fulfills the SSDG condition except in some particular resonant cases where the term $e^{-\omega_* t}$ is penalized by a factor t

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Our results. Within the sole assumption (EXP) we show that $S(t)$ fulfills the SSDG condition except in some particular resonant cases where the term $e^{-\omega_* t}$ is penalized by a factor t

→ **this result is optimal** and the decay rate is the best possible allowed by the theory

The Spectrum of \mathbb{G}

For every fixed $s \in \sigma(A)$ we introduce the pair of complex numbers

$$\lambda_s^\pm = \begin{cases} -f(s) \pm i\sqrt{s - f^2(s)} & \text{if } f(s) \leq \sqrt{s} \\ -f(s) \pm \sqrt{f^2(s) - s} & \text{if } f(s) > \sqrt{s} \end{cases}$$

which are nothing but the solutions to the second order equation

$$\lambda^2 + 2f(s)\lambda + s = 0$$

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We also consider the (possibly empty) set

$$\Lambda = \left\{ \lambda < 0 : \exists s_n \in \sigma(A) : s_n \rightarrow \infty \text{ and } \lim_{n \rightarrow \infty} \frac{f(s_n)}{s_n} = -\frac{1}{2\lambda} \right\}$$

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The spectrum of \mathbb{G} reads

$$\sigma(\mathbb{G}) = \bigcup_{s \in \sigma(A)} \{\lambda_s^\pm\} \cup \Lambda$$

We introduce the continuous function $\phi : \sigma(A) \rightarrow (0, \infty)$

$$\phi(s) = \begin{cases} f(s) & \text{if } f(s) \leq \sqrt{s} \\ f(s) - \sqrt{f^2(s) - s} & \text{if } f(s) > \sqrt{s} \end{cases}$$

along with the number

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The following hold

- $m_* > 0$
- $\sigma_* = -m_*$

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Definition

$S(t)$ is said to be **resonant** if there exists $s_* \in \sigma(A)$ such that

$$m_* = \phi(s_*) \quad \text{and} \quad f(s_*) = \sqrt{s_*}$$

Statement of the result

Theorem

There exists a constant $C \geq 1$ such that

- $\|S(t)\|_{L(\mathcal{H})} \leq Ce^{-m_*t}$ if $S(t)$ not resonant
- $\|S(t)\|_{L(\mathcal{H})} \leq C(1+t)e^{-m_*t}$ if $S(t)$ resonant

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Since $\sigma_* = -m_*$ the latter yields

Corollary

- *If $S(t)$ is not resonant then it fulfills the SSDG condition*
- *If $S(t)$ is resonant then it fulfills the SDG condition but not the SSDG one*

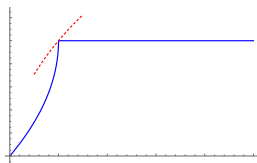
Application: wave equations with fractional damping

$$\ddot{u}(t) + 2aA^\theta \dot{u}(t) + Au(t) = 0 \quad a > 0 \text{ and } \theta \in [0, 1]$$

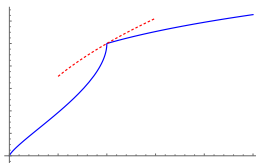
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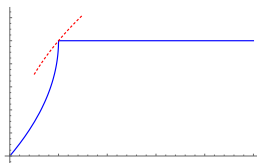
The function ϕ is increasing and thus

$$m_* = \phi(s_0) \quad \text{where} \quad s_0 = \min \sigma(A) > 0$$

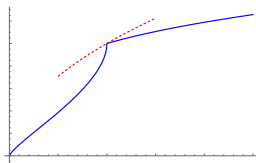
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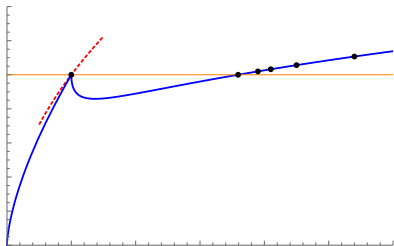
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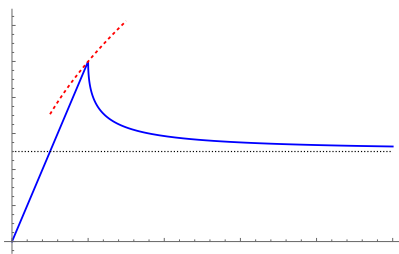
→ $S(t)$ is **resonant** if and only if $s_0 = a^{\frac{2}{1-2\theta}}$

$$\theta \in \left(\frac{1}{2}, 1\right)$$



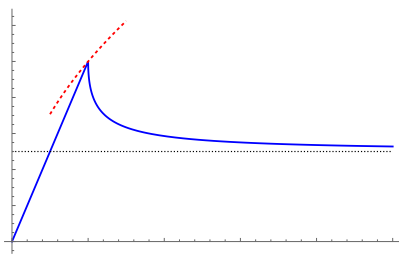
- ϕ increasing for $s < a^{\frac{2}{1-2\theta}}$
- ϕ decreasing for $s \in (a^{\frac{2}{1-2\theta}}, s_m)$, $s_m = \min\{\phi(s) : s > a^{\frac{2}{1-2\theta}}\}$
- ϕ increasing and diverging to infinity for $s > s_m$

$$\theta = 1$$



- $\phi(s) = as$ for $s < \frac{1}{a^2}$
- ϕ reaches its maximum value $\frac{1}{a}$ and then it is decreasing and converges to $\frac{1}{2a}$

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- ϕ reaches its maximum value $\frac{1}{a}$ and then it is decreasing and converges to $\frac{1}{2a}$

→ resonance cannot occur except in the trivial case $\sigma(A) = \{\frac{1}{a^2}\}$

Sketch of the proof

Theorem

There exists a constant $C \geq 1$ such that

- $\|S(t)\|_{L(\mathcal{H})} \leq Ce^{-m_*t}$ if $S(t)$ not resonant
- $\|S(t)\|_{L(\mathcal{H})} \leq C(1+t)e^{-m_*t}$ if $S(t)$ resonant

Sketch of the proof

For $K \geq 2$ and $\varepsilon \in (0, 1)$ we decompose $\sigma(A)$ into the disjoint union

$$\sigma(A) = \sigma_0 \cup \sigma_1 \cup \sigma_2 \cup \sigma_3$$

where

$$\sigma_0 = \left\{ s \in \sigma(A) : \frac{f(s)}{\sqrt{s}} > K \right\}$$

$$\sigma_1 = \left\{ s \in \sigma(A) : \frac{f(s)}{\sqrt{s}} \leq 1 - \varepsilon \right\}$$

$$\sigma_2 = \left\{ s \in \sigma(A) : 1 + \varepsilon \leq \frac{f(s)}{\sqrt{s}} \leq K \right\}$$

$$\sigma_3 = \left\{ s \in \sigma(A) : 1 - \varepsilon < \frac{f(s)}{\sqrt{s}} < 1 + \varepsilon \right\}$$

- Given any trajectory

$$(u(t), \dot{u}(t)) = S(t)(u_0, v_0) \in \mathfrak{D}(\mathbb{G})$$

we define the energy

$$E(t) = \|A^{\frac{1}{2}} u(t)\|^2 + \|\dot{u}(t)\|^2$$

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- We split $E(t)$ into the sum

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where

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$\rightarrow E_{\iota} \equiv 0$ if $\sigma_{\iota} = \emptyset$

- For every $K \geq 2$ large enough we have the inequality

$$E_0(t) \leq 3E_0(0)e^{-2m_*t}$$

- For every $\varepsilon \in (0, 1)$ we have the inequality

$$E_1(t) \leq \frac{2-\varepsilon}{\varepsilon}E_1(0)e^{-2m_*t}$$

- For every $\varepsilon \in (0, 1)$ and every $K \geq 2$ we have the inequality

$$E_2(t) \leq \frac{9K^2}{\varepsilon}E_2(0)e^{-2m_*t}$$

- For every $\varepsilon \in (0, \frac{1}{16})$ such that $\sigma_3 \neq \emptyset$ we have the inequality

$$E_3(t) \leq \frac{8}{\varepsilon}E_3(0)e^{-2m_3(1-4\sqrt{\varepsilon})t}$$

where $m_3 = \inf_{s \in \sigma_3} \phi(s)$

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Using the fact that $S(t)$ is not resonant we show that for all ε small

$$m_3 > m_*$$

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Since m_3 is a decreasing function of ε we can fix $\varepsilon > 0$ so small that

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This leads to the estimate

$$E_3(t) \leq \frac{8}{\varepsilon} E_3(0) e^{-2m_*t}$$

$$\|S(t)\|_{L(\mathcal{H})} \leq Ce^{-m_*t} \text{ if } S(t) \text{ not resonant}$$

Using the fact that $S(t)$ is not resonant we show that for all ε small

$$m_3 > m_*$$

Since m_3 is a decreasing function of ε we can fix $\varepsilon > 0$ so small that

$$m_3(1 - 4\sqrt{\varepsilon}) \geq m_*$$

This leads to the estimate

$$E_3(t) \leq \frac{8}{\varepsilon} E_3(0) e^{-2m_*t}$$

We conclude that

$$E(t) = \sum_{i=0}^3 E_i(t) \leq ME(0) e^{-2m_*t}$$

for some $M = M(K, \varepsilon) > 0$

$$\|S(t)\|_{L(\mathcal{H})} \leq C(1+t)e^{-m_*t} \quad \text{if } S(t) \text{ resonant}$$

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Now we have the equality

$$m_3 = m_*$$

for every $\varepsilon \in (0, \frac{1}{16})$ and thus

$$E(t) \leq \frac{9K^2}{\varepsilon} E(0) e^{-2m_*(1-4\sqrt{\varepsilon})t}$$

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$$\varepsilon = \varepsilon(t) = \frac{\varepsilon_*}{(1+t)^2}$$

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Fixing an arbitrary $\varepsilon_* \in (0, \frac{1}{16})$ we choose

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This leads to

$$E(t) \leq M(1+t)^2 E(0) e^{-2m_*t}$$

for some $M = M(K, \varepsilon_*, m_*) > 0$

Thank you for your attention