# Controllability of the Linearized Compressible Navier-Stokes System with Maxwell's Law<sup>1</sup>

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<sup>&</sup>lt;sup>1</sup>Joint work with Subrata Majumdar (Instituto de Matemáticas, UNAM)

 $\triangleright$  Let us consider the one-dimensional compressible Navier-Stokes system in the domain  $(0, 2\pi)$ :

$$\begin{aligned} \partial_t \hat{\rho} + \partial_x (\hat{\rho} \hat{u}) &= 0 & \text{in } (0, T) \times (0, 2\pi), \\ \partial_t (\hat{\rho} \hat{u}) + \partial_x (\hat{\rho} \hat{u}^2) + \partial_x p &= \partial_x \hat{S} & \text{in } (0, T) \times (0, 2\pi). \end{aligned}$$
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• Here  $\mu$  represents the fluid viscosity and  $\kappa$  denotes the relaxation time that characterizes the time delay in the response of the stress tensor to the velocity gradient.

## Linearized system

▷ We consider the linearized system around the constant steady state  $(\rho_s, u_s, 0), \rho_s > 0, u_s > 0$  of (1):

$$\begin{aligned} &\partial_{t}\rho + u_{s}\partial_{x}\rho + \rho_{s}\partial_{x}u = \mathbb{1}_{\mathcal{O}_{1}}\mathbf{f}_{1}, & \text{in } (0,T) \times (0,2\pi), \\ &\partial_{t}u + u_{s}\partial_{x}u + a\gamma\rho_{s}{}^{\gamma-2}\partial_{x}\rho - \frac{1}{\rho_{s}}\partial_{x}S = \mathbb{1}_{\mathcal{O}_{2}}\mathbf{f}_{2}, & \text{in } (0,T) \times (0,2\pi), \\ &\partial_{t}S + \frac{1}{\kappa}S - \frac{\mu}{\kappa}\partial_{x}u = \mathbb{1}_{\mathcal{O}_{3}}\mathbf{f}_{3}, & \text{in } (0,T) \times (0,2\pi), \\ &\rho(t,0) = \rho(t,2\pi), \ u(t,0) = u(t,2\pi), \ S(t,0) = S(t,2\pi), & t \in (0,T), \\ &\rho(0,x) = \rho_{0}(x), \quad u(0,x) = u_{0}(x), \quad S(0,x) = S_{0}(x), & x \in (0,2\pi). \end{aligned}$$

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•  $\mathbb{1}_{\mathcal{O}_j}$  is the characteristic function of an open set  $\mathcal{O}_j \subseteq (0, 2\pi), j = 1, 2, 3$ .

•  $f_1, f_2, f_3$  are the controls.

#### Semigroup framework

• Let  $(L^2(0, 2\pi))^3$  be endowed with the inner product

$$\left\langle \begin{pmatrix} \rho \\ u \\ S \end{pmatrix}, \begin{pmatrix} \sigma \\ v \\ \tilde{S} \end{pmatrix} \right\rangle_{(L^2(0,2\pi))^3} = b \int_0^{2\pi} \rho \bar{\sigma} \, \mathrm{d}x + \rho_s \int_0^{2\pi} u \bar{v} \, \mathrm{d}x + \frac{\kappa}{\mu} \int_0^{2\pi} S \bar{\tilde{S}} \, \mathrm{d}x.$$

• We now define the unbounded operator  $(\mathcal{A}, \mathcal{D}(\mathcal{A}; (L^2(0, 2\pi))^3))$  in  $(L^2(0, 2\pi))^3$  by

$$\mathcal{D}(\mathcal{A}; (L^{2}(0, 2\pi))^{3}) = \left\{ \begin{pmatrix} \rho \\ u \\ S \end{pmatrix} \in (L^{2}(0, 2\pi))^{3} : (\rho, u, S)^{\top} \in H^{1}_{p} \times H^{1}_{p} \times H^{1}_{p} \right\}$$

and

$$\mathcal{A} = \begin{bmatrix} -u_s \frac{d}{dx} & -\rho_s \frac{d}{dx} & 0\\ -b \frac{d}{dx} & -u_s \frac{d}{dx} & \frac{1}{\rho_s} \frac{d}{dx}\\ 0 & \frac{\mu}{\kappa} \frac{d}{dx} & -\frac{1}{\kappa} \end{bmatrix}.$$

• The control operator  $\mathcal{B} \in \mathcal{L}((L^2(0, 2\pi))^3; (L^2(0, 2\pi))^3)$  is defined by

 $\mathcal{B}\boldsymbol{f} = (1_{\mathcal{O}_1}\boldsymbol{f_1}, 1_{\mathcal{O}_2}\boldsymbol{f_2}, 1_{\mathcal{O}_3}\boldsymbol{f_3})^\top, \qquad \boldsymbol{f} = (\boldsymbol{f_1}, \boldsymbol{f_2}, \boldsymbol{f_3})^\top \in (L^2(0, 2\pi))^3.$ 

# Well-posedness

 $\triangleright$  With the above introduced notations, the system (2) can be rewritten as

$$\dot{z}(t) = \mathcal{A}z(t) + \mathcal{B}f(t), \quad t \in (0,T), \qquad z(0) = z_0,$$
(3)

• where  $z(t) = (\rho(t, \cdot), u(t, \cdot), S(t, \cdot))^{\top}, z_0 = (\rho_0, u_0, S_0)^{\top}$ , and  $f(t) = (f_1(t, \cdot), f_2(t, \cdot), f_3(t, \cdot))^{\top}$ .

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Theorem 1 The operator  $(\mathcal{A}, \mathcal{D}(\mathcal{A}; (L^2(0, 2\pi))^3))$  is the infinitesimal generator of a strongly continuous semigroup  $\{\mathbb{T}_t\}_{t\geq 0}$  on  $(L^2(0, 2\pi))^3$ . Further, for any  $f \in L^2(0, T; (L^2(0, 2\pi))^3)$  and for any  $z_0 \in (L^2(0, 2\pi))^3$ , (3) admits a unique solution  $(\rho, u, S) \in C([0, T]; (L^2(0, 2\pi))^3)$  with

$$\|(\rho, u, S)\|_{C([0,T]; (L^2(0,2\pi))^3)} \leq C\Big(\|z_0\|_{(L^2(0,2\pi))^3} + \|f\|_{L^2(0,T; (L^2(0,2\pi))^3)}\Big).$$

#### Problem statement

▷ Let T > 0. Then for any  $(\rho_0, u_0, S_0)^{\top}, (\rho_1, u_1, S_1)^{\top} \in (L^2(0, 2\pi))^3$ , can we find controls  $f_i \in L^2(0, T; L^2(\mathcal{O}_i)), i = 1, 2, 3$ , such that the corresponding solution  $(\rho, u, S)^{\top}$  of (2) with initial condition  $(\rho_0, u_0, S_0)^{\top}$ , satisfy

 $(\rho, \mathbf{u}, \mathbf{S})^{\top}(\mathbf{T}, \mathbf{x}) = (\rho_1, \mathbf{u}_1, \mathbf{S}_1)^{\top}(\mathbf{x}), \text{ for all } x \in (0, 2\pi)?$ 

# Controllability results (Control acts locally)

#### Theorem 2

Let  $f_2 = 0 = f_3$  in (2) and  $\mathcal{O}_1 \subset (0, 2\pi)$ . Then there exists a  $T_0 > 0$  such that the system (2) is exactly controllable in  $L^2(0, 2\pi) \times \dot{L}^2(0, 2\pi) \times \dot{L}^2(0, 2\pi)$  at time  $T > T_0$ , by an interior control  $f_1 \in L^2(0, T; L^2(\mathcal{O}_1))$  for the density.

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#### Remark 1

• The system is also *exactly controllable* at time  $T > T_0$  by velocity or stress control.

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#### Remark 1

- The system is also *exactly controllable* at time  $T > T_0$  by velocity or stress control.
- In the above theorem, the waiting time  $T_0$  is of the form

$$T_0 = 2\pi \left(\frac{1}{|\beta_1|} + \frac{1}{|\beta_2|} + \frac{1}{|\beta_3|}\right),\,$$

where  $\beta_i$ , i = 1, 2, 3 are the velocity of the characteristics equations associated to the linear system.

### Observability inequality

 $\triangleright$  Consider the following adjoint system of (2):

$$\begin{aligned} &\partial_{t}\sigma + u_{s}\partial_{x}\sigma + \rho_{s}\partial_{x}v = 0, & \text{in } (0,T) \times (0,2\pi), \\ &\partial_{t}v + b\partial_{x}\sigma + u_{s}\partial_{x}v - \frac{1}{\rho_{s}}\partial_{x}\tilde{S} = 0, & \text{in } (0,T) \times (0,2\pi), \\ &\partial_{t}\tilde{S} - \frac{1}{\kappa}\tilde{S} - \frac{\mu}{\kappa}\partial_{x}v = 0, & \text{in } (0,T) \times (0,2\pi), \\ &\sigma(t,0) = \sigma(t,2\pi), \ v(t,0) = v(t,2\pi), \ \tilde{S}(t,0) = \tilde{S}(t,2\pi), & t \in (0,T), \\ &\sigma(T,x) = \sigma_{T}(x), \quad v(T,x) = v_{T}(x), \quad \tilde{S}(T,x) = \tilde{S}_{T}(x), & x \in (0,2\pi). \end{aligned}$$

#### Proposition 3

Let T > 0. Then the system (2) is exactly controllable in  $(L^2(0, 2\pi))^3$  at time T > 0 using a control  $f_1$  in  $L^2(0, T; L^2(0, 2\pi))$  with support in  $\mathcal{O}_1$  acting in the density equation, if and only if, there exists a positive constant  $C_T > 0$  such that for any  $(\sigma_T, v_T, \tilde{S}_T)^\top \in (L^2(0, 2\pi))^3$ ,  $(\sigma, v, \tilde{S})^\top$ , the solution of (4), satisfies the following observability inequality:

$$\int_0^{2\pi} |\sigma_T(x)|^2 \, \mathrm{d}x + \int_0^{2\pi} |v_T(x)|^2 \, \mathrm{d}x + \int_0^{2\pi} |\tilde{S}_T(x)|^2 \, \mathrm{d}x \leqslant C_T \int_0^T \int_{\mathcal{O}} |\sigma(t,x)|^2 \, \mathrm{d}x \, \mathrm{d}t$$

## Spectral analysis of the linearized operator

#### Proposition 4

The spectrum of the linearized operator consists of 0 and three sequences  $\lambda_n^1$ ,  $\lambda_n^2$  and  $\lambda_n^3$  of eigenvalues. Furthermore:

- (a) All the eigenvalues have negative real part.
- (b) The eigenvalues behave asymptotically as

$$\begin{split} \lambda_n^1 &= -\omega_1 + i\beta_1 n + O\left(\frac{1}{|n|}\right), \\ \lambda_n^2 &= -\omega_2 + i\beta_2 n + O\left(\frac{1}{|n|}\right), \\ \lambda_n^3 &= -\omega_3 + i\beta_3 n + O\left(\frac{1}{|n|}\right). \end{split}$$

•  $\beta_j, j = 1, 2, 3$  are the distinct real roots of the equation

 $r^{3} + 2u_{s}r^{2} + \left(u_{s}^{2} - b\rho_{s} - \frac{\mu}{\kappa\rho_{s}}\right)r - \frac{\mu u_{s}}{\kappa\rho_{s}} = 0,$ and  $\omega_{j} = \frac{\beta_{j}^{2} + 2u_{s}\beta_{j} + u_{s}^{2} - b\rho_{s}}{\kappa\left(3\beta_{j}^{2} + 4u_{s}\beta_{j} + u_{s}^{2} - b\rho_{s} - \mu/\kappa\rho_{s}\right)} \neq \omega_{i} \text{ for } i \neq j.$ 

(c) Multiple eigenvalues can occur only for finitely many n.

### Spectrum of the linearized operator



Figure: Eigenvalues of  $\mathcal{A}$  in the complex plane for |n| varies from 1 to 30 when  $\mu = \rho_s = u_s = b = 1$  and k=1.

# Ingham inequality

Proposition 5 Let  $T > 2\pi \left( \frac{1}{|\beta_1|} + \frac{1}{|\beta_2|} + \frac{1}{|\beta_3|} \right)$ . Then there exist positive constants C and  $C_1$  depending on T such that for  $g(t) = \sum_{n=1}^{3} a_n^l e^{\overline{\lambda_n^l}(T-t)}$  with  $n \in \mathbb{Z}^*$  l-1 $\sum \sum_{n=1}^{\infty} |a_n^l|^2 < \infty$ , the following inequality holds:  $C \sum_{n=1}^{3} \sum_{n=1}^{3} |a_n^l|^2 \le \int_0^T |g(t)|^2 \, \mathrm{d}t \le C_1 \sum_{n=1}^{3} \sum_{l=1}^{3} |a_n^l|^2.$ 

▷ The proof of this inequality relies on the construction of a family biorthogonal to the family of exponentials  $\{e^{-\lambda_n^l t}, n \in \mathbb{Z}^*, l = 1, 2, 3\}$ .

# Methodology of the proof

 $\triangleright$  *Exact controllability* of the linear system is *equivalent* to a certain *observability inequality* satisfied by the solution of the corresponding adjoint problem.

 $\triangleright$  We proved the observability inequality using the spectral analysis of the linearized operator.

- The spectrum of the linear operator consists of three sequences of complex eigenvalues whose *real parts converge* to three distinct finite numbers, and the *imaginary parts behave as* n for |n| → ∞.
- The eigenfunctions of the linearized operator and its adjoint form *Riesz bases.*
- Using the series representation of the solution of the adjoint problem and a *hyperbolic type Ingham inequality*, we proved the *observability inequality*.

# Controllability results (Control acts everywhere)

Theorem 6 Let  $f_2 = 0 = f_3$  in (2) and  $\mathcal{O}_1 = (0, 2\pi)$ . Then for any T > 0 the system (2) is exactly controllable in  $L^2(0, 2\pi) \times \dot{L}^2(0, 2\pi) \times \dot{L}^2(0, 2\pi)$  at time T > 0, by a control  $f_1 \in L^2(0, T; L^2(0, 2\pi))$  acting everywhere in the density.

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#### Remark 2

Additionally, we achieve *exact controllability* of the system (2) at time T > 0 by means of interior control acting either velocity or stress equation applied everywhere in the domain.

# Methodology of the proof

 $\triangleright$  We used *direct method* by constructing the control explicitly to prove the controllability.

- The eigenfunctions of  $\mathcal{A}$ , the linear operator associated to the system (2) forms a Riesz Basis.
- System (2) can be projected onto each finite dimensional eigenspaces for each  $n \in \mathbb{Z}$ .
- Any given time T > 0, each *finite dimensional system is controllable* using *Hautus Test* and construct the control using the finite-dimensional controllability operator.
- Summing up these finite dimensional controls, we can construct a control for the whole system.

# Conclusion

• We thoroughly study the controllability aspects of the compressible Navier-Stokes system with Maxwell's law linearized around a non-zero velocity in  $(L^2(0, 2\pi))^3$  with *periodic boundary* condition using distributed  $L^2$ -controls.

• We give the proof of a suitable *Ingham-type inequality* which helps to derive the required *observability inequality*.

• We can obtain the above results for the system with *boundary* controls.

• Also, we have *lack of controllability* of the system in *small time* when the *control acts locally* in the domain or in the boundary.

# Open problems

# • Does T<sub>0</sub> represent the minimal time for the exact controllability of the system?

Determine the minimal time  $T_{min} > 0$ , such that the system is exactly controllable at  $T \ge T_{min}$  and the system is not exactly controllable at  $T < T_{min}$  is a challenging open problem.

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# • What controllability results can we obtain for the system with Dirichlet boundary conditions?

The proof is based on explicit computation of the eigenvalues and eigenfunctions of the linear operator; hence, it is confined to specific boundary conditions (periodic in this case). Thus, it is interesting to see what controllability result we can get for the Dirichlet boundary conditions.

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