# **The moment method in action**

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X Partial differential equations, optimal design and numerics Benasque, Spain

# **Outline**

#### 1. [Abstract moment problems](#page-2-0)

#### 2. [Null-controllability and moment problems](#page-7-0)

#### 3. [Solving scalar exponential moment problems](#page-13-0)

- 3.1 [Partial moment problems](#page-14-0)
- 3.2 [Back to parabolic controllability questions](#page-20-0)

#### 4. [Further cases and applications](#page-30-0)

- 4.1 [What about non scalar control problems ?](#page-31-0)
- 4.2 [Time discrete systems](#page-35-0)
- 4.3 [Boundary controllability of a system with different diffusions](#page-37-0)

# <span id="page-2-0"></span>**Outline**

#### 1. [Abstract moment problems](#page-2-0)

- 
- 3.2 [Back to parabolic controllability questions](#page-20-0)

- 4.1 [What about non scalar control problems ?](#page-31-0)
- 4.2 [Time discrete systems](#page-35-0)
- 4.3 [Boundary controllability of a system with different diffusions](#page-37-0)

 $\bullet$  *H* a Hilbert space  $\bullet$   $E \subset H \setminus \{0\}$  a family in  $H$   $\bullet$   $\omega = (\omega_e)_{e \in E} \subset \mathbb{R}$  a family of numbers

## **Moment problem**

We look for an element  $x \in H$  such that

<span id="page-3-0"></span>
$$
(e, x)_H = \omega_e, \ \forall e \in E. \tag{P}
$$

#### **Main question**

Given the family  $E$ , for which data  $\omega$  is there a solution to [\(P\)](#page-3-0)?

## Remarks

- $\text{\textbf{t}} \cdot \textsf{Uniqueness} \quad \Longleftrightarrow \quad E^\perp = \{0\} \quad \Longleftrightarrow \quad E \text{ is complete in } H.$
- Necessary existence conditions : there exists  $C > 0$  such that

$$
|\omega_e| \leq C ||e||_H, \qquad \forall e \in E,
$$
  
\n
$$
|\omega_e - \omega_f| \leq C ||e - f||_H, \qquad \forall e, f \in E,
$$
  
\n...  
\n
$$
\left| \sum_{e \in E} \alpha_e \omega_e \right| \leq C \left\| \sum_{e \in E} \alpha_e e \right\|_H, \qquad \forall (\alpha_e)_{e \in E} \subset \mathbb{R} \text{ finitely supported }.
$$

 $\bullet$  *H* a Hilbert space  $\bullet$   $\mathcal{E} \subset H \setminus \{0\}$  a family in  $H$   $\bullet$   $\omega = (\omega_e)_{e \in E} \subset \mathbb{R}$  a family of numbers

## **Moment problem**

We look for an element  $x \in H$  such that

$$
(e,x)_H = \omega_e, \ \forall e \in E. \tag{P}
$$

## **Main question**

Given the family  $E$ , for which data  $\omega$  is there a solution to [\(P\)](#page-3-0)?

## **Proposition (Finite case)**

*If E is finite and linearly independent, then* [\(P\)](#page-3-0) *has a solution for every* ω*.*

# Sketch of proof

• There exists a **biorthogonal family**  $(q_e)_{e\in E} \subset H$ 

$$
(e, q_f)_H = \delta_{e,f}, \quad \forall e, f \in E.
$$

• A solution of (P) is given by 
$$
x = \sum_{f \in E} \omega_f q_f.
$$

• Note that the minimal such family satisfies  $\|q_e\|_H =$  $\frac{1}{d(e, \text{Span}(E \setminus \{e\}))}.$ 

 $\bullet$  *H* a Hilbert space  $\bullet$   $E \subset H \setminus \{0\}$  a family in  $H$   $\bullet$   $\omega = (\omega_e)_{e \in E} \subset \mathbb{R}$  a family of numbers

## **Moment problem**

We look for an element  $x \in H$  such that

$$
(e, x)_H = \omega_e, \ \forall e \in E. \tag{P}
$$

#### **Main question**

Given the family  $E$ , for which data  $\omega$  is there a solution to [\(P\)](#page-3-0)?

## **Theorem (Infinite case - usual approach)**

*If*  $E$  *is infinite, there exists a biorthogonal family*  $(q_e)_{e \in E}$  *if and only if* 

$$
d(e, \mathrm{Span}(E \setminus \{e\})) > 0, \quad \forall e \in E.
$$

In that case, we have  $\|q_e\|_H = \frac{1}{d(e,\text{Span}(E\setminus\{e\}))}$  .

*In particular,*

$$
\sum_{e \in E} |\omega_e| \|q_e\|_H < +\infty \qquad \Longrightarrow \qquad x = \sum_{e \in E} \omega_e q_e \text{ solves (P)}.
$$

 $\bullet$  *H* a Hilbert space  $\bullet$   $\mathcal{E} \subset H \setminus \{0\}$  a family in  $H$   $\bullet$   $\omega = (\omega_e)_{e \in E} \subset \mathbb{R}$  a family of numbers

#### **Moment problem**

We look for an element  $x \in H$  such that

$$
(e, x)_H = \omega_e, \ \forall e \in E. \tag{P}
$$

#### **Main question**

Given the family  $E$ , for which data  $\omega$  is there a solution to [\(P\)](#page-3-0)?

## **Theorem (Infinite case - block moment approach)**

*Assume*  $d(e, \text{Span}(E\setminus\{e\})) > 0$ ,  $\forall e \in E$ *. Let*  $(q_e)_{e \in E}$  be the minimal biorthogonal family.

*Let E* = Ů  $G \in \mathcal{G}$ *G be a partition of E into finite subsets. We have*

$$
\sum_{G \in \mathcal{G}} \left\| \sum_{e \in G} \omega_e q_e \right\| < +\infty \qquad \Longrightarrow \qquad x = \sum_{G \in \mathcal{G}} \left( \sum_{e \in G} \omega_e q_e \right) \text{ solves (P)}.
$$

Remark:  $q_G =$  $e \in G$  $\omega_e q_e$  solves the partial moment problem  $(e,q_G)_H=$  $\sqrt{ }$  $\mathcal{L}$  $\omega_e$ , if  $e \in G$ , 0, otherwise

# <span id="page-7-0"></span>**Outline**

#### 2. [Null-controllability and moment problems](#page-7-0)

- 
- 3.2 [Back to parabolic controllability questions](#page-20-0)

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- 4.3 [Boundary controllability of a system with different diffusions](#page-37-0)

## **Abstract linear time invariant parabolic control problems**

- $\bm{\cdot}$  Two Hilbert spaces : the state space  $(X, \langle .,. \rangle)$  and the control space  $(U, (.,.)_U).$
- $\cdot A : D(A) \subset X \rightarrow X$  some unbounded operator and such that  $-A$  generates a continuous semigroup.
- $\cdot$   $\mathcal{B}: U \mapsto X$  the (bounded) control operator,  $\mathcal{B}^\star$  its adjoint.

Our controlled parabolic problem is

$$
(S) \quad \begin{cases} \partial_t y + \mathcal{A}y = \mathcal{B}u & \text{in } ]0, T[, \\ y(0) = y_0, \end{cases}
$$

 $\cdot \;\: y_0 \in X$  is the initial data and  $u \in L^2(]0,\,T[, \,U)$  is the control we are looking for.

## **Theorem (Well-posedness of** (*S*) **in a dual sense)**

 $F$ or any  $y_0 \in X$  and  $u \in L^2(0,\,T;\,U)$ , there exists a unique  $y = y_{u,\,y_0} \in \mathcal{C}^0([0,\,T],\,X)$  such that

$$
\langle y(\tau), \phi \rangle - \langle y_0, e^{-\tau \mathcal{A}^{\star}} \phi \rangle = \int_0^{\tau} \left( u(t), \mathcal{B}^{\star} e^{-(\tau-t)\mathcal{A}^{\star}} \phi \right)_U dt, \ \ \forall \tau \in [0, T], \forall \phi \in X.
$$

### **Null-controllability**

Let  $T > 0$  be given. We say that  $(S)$  is null-controllable at time  $T$ , if

$$
\forall y_0 \in X, \ \exists u \in L^2(0, T; U), \text{ such that } y_{u, y_0}(T) = 0.
$$

A function  $u \in L^2(0,\, T;\, U)$  is a null-control for our system and the initial data  $y_0$  if and only if

<span id="page-9-0"></span>
$$
\int_0^T \left( u(T-t), \mathcal{B}^* e^{-t\mathcal{A}^*} \phi \right)_U dt = -\left\langle y_0, e^{-T\mathcal{A}^*} \phi \right\rangle, \ \forall \phi \in X. \tag{GMP}
$$

This is a moment problem in  $L^2(0,\,T;\,U)$  !

A function  $u \in L^2(0,\, T;\, U)$  is a null-control for our system and the initial data  $y_0$  if and only if

$$
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$$
 (GMP)

This is a moment problem in  $L^2(0,\,T;\,U)$  !

## **Remarks**

- It is enough to test against the elements of any **complete** family Φ of elements in *X*.
- Solving (*[GMP](#page-9-0)*) is *a priori* as difficult as solving the initial control problem or not ... see M. Morancey's talk.
- $\cdot$  (*[GMP](#page-9-0)*) can be reduced to a more tractable moment problem if we manage to find  $\Phi$  such that the "test functions"

$$
\left(t \mapsto \mathcal{B}^{\star} e^{-t \mathcal{A}^{\star}} \phi\right)_{\phi \in \Phi}
$$

have simple enough expressions.

A function  $u \in L^2(0,\, T;\, U)$  is a null-control for our system and the initial data  $y_0$  if and only if

$$
\int_0^T \left( u(T-t), \mathcal{B}^{\star} e^{-t\mathcal{A}^{\star}} \phi \right)_U dt = -\left\langle y_0, e^{-T\mathcal{A}^{\star}} \phi \right\rangle, \ \ \forall \phi \in \Phi. \tag{GMP}
$$

This is a moment problem in  $L^2(0,\,T;\,U)$  !

## First level of simplification : spectral structure of  $\mathcal{A}^{\star}$

Assume that  $\mathcal A^\star$  possesses a family of eigenfunctions  $\Phi=\{\phi_\lambda,\lambda\in\Lambda\}$  which is complete in  $X$ . Then  $(GMP)$  is equivalent to find  $v = u(T - .)$ 

$$
\int_0^T e^{-\lambda t} \left( v(t), \mathcal{B}^{\star} \phi_{\lambda} \right)_U dt = -e^{-\lambda T} \langle y_0, \phi_{\lambda} \rangle, \ \ \forall \lambda \in \Lambda.
$$

A function  $u \in L^2(0,\, T;\, U)$  is a null-control for our system and the initial data  $y_0$  if and only if

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## First level of simplification : spectral structure of  $\mathcal{A}^{\star}$

Assume that  $\mathcal A^\star$  possesses a family of eigenfunctions  $\Phi=\{\phi_\lambda,\lambda\in\Lambda\}$  which is complete in  $X$ . Then (*GMP*) is equivalent to find  $v = u(T - 1)$ 

$$
\int_0^T e^{-\lambda t} \left( v(t), \mathcal{B}^{\star} \phi_{\lambda} \right)_U dt = -e^{-\lambda T} \langle y_0, \phi_{\lambda} \rangle, \ \ \forall \lambda \in \Lambda.
$$

#### **Second level of simplification : scalar control**

Assume we are dealing with a scalar control problem :  $U = \mathbb{R}, \; \mathcal{B}^\star : U \mapsto \mathbb{R}.$ Then  $(GMP)$  is equivalent to find  $v = u(T - .) \in L^2(0, T; \mathbb{R})$ 

$$
\int_0^T e^{-\lambda t} v(t) dt = -e^{-\lambda T} \frac{\langle y_0, \phi_\lambda \rangle}{\mathcal{B}^* \phi_\lambda}, \ \ \forall \lambda \in \Lambda.
$$

# <span id="page-13-0"></span>**Outline**

#### 3. [Solving scalar exponential moment problems](#page-13-0)

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#### **Main result**

## <span id="page-14-0"></span>**Data**

- A family  $\Lambda \subset \mathbb{C}^+$
- A finite subset  $G \subset \Lambda$
- A non trivial  $\omega_G = (\omega_\lambda)_{\lambda \in G} \subset \mathbb{C}$
- A time  $T \in (0, +\infty]$

## **Notation**

<span id="page-14-1"></span>
$$
e[\lambda] := \left(t \in (0, +\infty) \mapsto e^{-\lambda t}\right) \in L^2(0, +\infty).
$$

#### **Main result**

## **Data**

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## **Partial moment problem**

Find 
$$
q = q_{G,\omega,T} \in L^2(0,T)
$$
 s.t. 
$$
\begin{cases} (e[\lambda], q)_{L^2(0,T)} = \omega_{\lambda}, & \text{for any } \lambda \in G, \\ (e[\lambda], q)_{L^2(0,T)} = 0, & \text{for any } \lambda \in \Lambda \setminus G. \end{cases}
$$
 (PM)

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### **Partial moment problem**

### **Assumptions**

• Parabolic sector:  $\Lambda \subset S_n$  for some  $\eta \in (0, \pi/2)$ .

# Find  $q = q_{G,\omega,T} \in L^2(0,T)$  s.t.  $\begin{cases} (e[\lambda], q)_{L^2(0,T)} = \omega_\lambda, & \text{for any } \lambda \in G, \\ (e[\lambda], q)_{L^2(0,T)} = \omega_\lambda, & \text{for any } \lambda \in G, \end{cases}$  $(e[\lambda], q)_{L^2(0,T)} = 0$ , for any  $\lambda \in \Lambda \backslash G$ . (PM)

## **Theorem (Necessary condition : the price to pay for orthogonality)**

*A solution to [\(PM\)](#page-14-1) exists* **if and only i** 

$$
\mathbf{f} \quad \sum_{\lambda \in \Lambda} \frac{1}{|\lambda|} < +\infty.
$$

**Sketch of proof** 

(Müntz, 1914) (Schwartz, 1943)

$$
d_{L^{2}(0,\infty)}\bigg(e[\lambda],\text{Span}(e[\mu],\mu\neq\lambda)\bigg)=\frac{1}{\sqrt{2\,{\rm Re}\,\lambda}}\prod_{\substack{\mu\in\Lambda\\ \mu\neq\lambda}}\left|\frac{1-\frac{\lambda}{\mu}}{1+\frac{\lambda}{\bar{\mu}}}\right|.
$$

9

## **Data**

- A family  $\Lambda \subset \mathbb{C}^+$
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## **Partial moment problem**

### **Assumptions**

- Parabolic sector:  $\Lambda \subset S_n$  for some  $\eta \in (0, \pi/2)$ .
- Asymptotics :  $N_\Lambda(r) \leqslant \kappa r^\theta$  for any  $r > 0$  with  $\theta \in (0, 1)$ .
- Group size:  $\#G \leq n$  and  $\text{diam}(G) \leq \rho$ .
- Separation :  $d(\text{conv}(G), \Lambda \backslash G) \ge \gamma$ .

Find 
$$
q = q_{G,\omega,T} \in L^2(0,T)
$$
 s.t. 
$$
\begin{cases} (e[\lambda], q)_{L^2(0,T)} = \omega_{\lambda}, & \text{for any } \lambda \in G, \\ (e[\lambda], q)_{L^2(0,T)} = 0, & \text{for any } \lambda \in \Lambda \setminus G. \end{cases}
$$
 (PM)

#### **Theorem**

*There exists*  $C > 0$  *depending only on*  $\eta$ ,  $\kappa$ ,  $\theta$ ,  $n$ ,  $\rho$ ,  $\gamma$  *such that :* **(B., '23+) (B., '23+)** *for any*  $T > 0$ , there exists a solution  $q_{G,\omega,T}$  to [\(PM\)](#page-14-1) that satisfies

$$
\|q_{G,\omega,T}\|_{L^2(0,\,T)}\leqslant Ce^{Cr_G^\theta+CT^{-\frac{\theta}{1-\theta}}}\max_{L\subset G}|\omega[L]|,
$$

where  $r_G = \min_{\lambda \in G} \text{Re} \, \lambda$  and  $\omega[L]$  denotes the divided difference associated to  $L$  and  $\omega$ .

**Main result**

**Examples, extensions**

- "Usual" bi-orthogonal families : **(Dolecki, '73) (Fattorini-Russel, '74) (Benabdallah B. Gonzalez–Burgos Olive, '14)**
	- Case 1 : The *usual* gap condition holds

<span id="page-18-0"></span>
$$
\inf_{\substack{\lambda,\mu\in\Lambda\\ \lambda\neq\mu}}|\lambda-\mu|\geqslant\rho.\tag{Gap}
$$

.

We recover the known estimates of the literature with "optimal" assumptions on  $\Lambda$ 

$$
\|q_{\lambda,T}\|_{L^2(0,T)} \leqslant Ce^{C(\text{Re }\lambda)^{\theta} + CT^{-\frac{\theta}{1-\theta}}}
$$

• Case 2 : the gap condition [\(Gap\)](#page-18-0) does not hold **(Allonsius - B. - Morancey, '20) (Gonzalez–Burgos - Ouaili '21)**

$$
\|q_{\lambda,T}\|_{L^2(0,T)} \leqslant Ce^{C(\operatorname{Re}\lambda)^\theta + CT^{-\frac{\theta}{1-\theta}}}\prod_{\substack{\mu\in\Lambda\\0<|\lambda-\mu|<\rho}}\frac{1}{|\mu-\lambda|}.
$$

**Examples, extensions**

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$$
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$$

• Case 2 : the gap condition [\(Gap\)](#page-18-0) does not hold

$$
\|q_{\lambda,T}\|_{L^2(0,T)}\leqslant Ce^{C(\operatorname{Re}\lambda)^\theta+C T^{-\frac{\theta}{1-\theta}}}\prod_{\substack{\mu\in\Lambda\\0<|\lambda-\mu|<\rho}}\frac{1}{|\mu-\lambda|}.
$$

• Taking into account multiplicities :

We get **for free** similar estimates for solutions to

$$
\begin{aligned}\n(e[\mu], q_{\lambda,0})_{L^2(0,T)} &= \delta_{\lambda,\mu}, \ \forall \mu \in \Lambda, \\
(e[\mu, \mu], q_{\lambda,0})_{L^2(0,T)} &= 0, \ \forall \mu \in \Lambda,\n\end{aligned}\n\quad \text{and} \quad\n\begin{cases}\n(e[\mu], q_{\lambda,1})_{L^2(0,T)} &= 0, \ \forall \mu \in \Lambda, \\
(e[\mu, \mu], q_{\lambda,1})_{L^2(0,T)} &= \delta_{\lambda,\mu}, \ \forall \mu \in \Lambda,\n\end{cases}
$$

with

$$
e[\lambda, \lambda] := \left( t \in (0, +\infty) \mapsto (-t)e^{-\lambda t} \right) \in L^2(0, +\infty).
$$

## <span id="page-20-0"></span>**Partial moment problems arising from parabolic controllability questions**

**(Benabdallah - B. - Morancey, '20) (B., '23+)**

Recall the original moment problem to solve

$$
\int_0^T e^{-\lambda t} v(t) dt = e^{-\lambda T} \frac{-\langle y_0, \phi_\lambda \rangle}{\mathcal{B}^* \phi_\lambda}, \ \forall \lambda \in \Lambda.
$$

This amount to consider

$$
\omega_{\lambda} = e^{-\lambda T} \psi_{\lambda},
$$

**Lemma (**« **Leibniz rule)**

*In a group G we have*

$$
\max_{L \subset G} |\omega[L]| \leqslant C e^{-r_G T} \max_{L \subset G} |\psi[L]|.
$$

## **Theorem**

*With the same assumption above there exists, for any*  $T > 0$ , a solution to

Find 
$$
q = q_{G,\psi,T} \in L^2(0,T)
$$
 s.t. 
$$
\begin{cases} (e[\lambda], q)_{L^2(0,T)} = e^{-\lambda T} \psi_\lambda, & \text{for any } \lambda \in G, \\ (e[\lambda], q)_{L^2(0,T)} = 0, & \text{for any } \lambda \in \Lambda \setminus G, \end{cases}
$$
 (PM)

*that satisfies*

$$
\|q_{G,\psi,T}\|_{L^2(0,T)} \leqslant Ce^{Cr_G^{\theta}+CT^{-\frac{\theta}{1-\theta}}}e^{-r_GT}\max_{L\subset G}|\psi[L]|.
$$

 $\mathcal{L}$ et  $n \in \mathbb{N}^*$ ,  $\rho > 0$ . If  $\Lambda$  satisfies the following  $\mathbf{weak}$   $\mathbf{gap}$  condition

$$
\#\bigg(\Lambda\cap D(\mu,\rho/2)\bigg)\leqslant n,\quad\forall\mu\in\mathbb{C},
$$

*then we can write*

**Lemma**

<span id="page-21-0"></span>
$$
\Lambda = \bigsqcup_{G \in \mathcal{G}} G,\tag{1}
$$

*where each*  $G \in \mathcal{G}$  *is a finite set satisfying the assumptions we considered above* 

 $\#G \leq n$ , diam(*G*)  $\leq \rho$ ,  $d(\text{Conv}(G), \Lambda \backslash G) \geq \gamma$ .

**It's time to sum up everything ...**

#### **It's time to sum up everything ...**

#### **Lemma**

 $\mathcal{L}$ et  $n \in \mathbb{N}^*$ ,  $\rho > 0$ . If  $\Lambda$  satisfies the following  $\mathbf{weak}$   $\mathbf{gap}$  condition

$$
\#\bigg(\Lambda\cap D(\mu,\rho/2)\bigg)\leqslant n,\quad\forall\mu\in\mathbb{C},
$$

*then we can write*

 $\Lambda = |$  $G \in \mathcal{G}$  $G$ ,  $(1)$ 

*where each*  $G \in \mathcal{G}$  *is a finite set satisfying the assumptions we considered above* 

$$
\#G \leqslant n, \quad \text{diam}(G) \leqslant \rho, \quad d(\text{Conv}(G), \Lambda \backslash G) \geqslant \gamma.
$$

### **Theorem (Small time null-controllability)**

*Let* Λ *satisfying the assumptions* **sector/asymptotics/weak gap***, and* G *as in* [\(1\)](#page-21-0)*. Assume that for some*  $M > 0$  *we have* 

$$
\max_{L \subset G} |\psi[L]| \leq M, \quad \forall G \in \mathcal{G},
$$

 $t$ hen for every  $T>0$ , the full moment problem (= the NC problem) has a solution  $v\in L^2(0,\,T)$  s.t.

$$
||v||_{L^2(0,T)} \leqslant CMe^{CT^{-\frac{\theta}{1-\theta}}}.
$$

12

**Some examples in 1D**

## Boundary control for 1D cascade parabolic systems **(Fernandez–Cara - González–Burgos - de Teresa, '10)**

The following system is null-controllable at any time  $T > 0$ 

$$
\partial_t y + \begin{pmatrix} \mathcal{A} & 1 \\ 0 & \mathcal{A} \end{pmatrix} y = 0, \quad y(t,0) = \begin{pmatrix} 0 \\ u(t) \end{pmatrix}, \quad y(t,1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}
$$

with  $\mathcal{A} = -\partial_x(\gamma(x)\partial_x \cdot).$ 

**Some examples in 1D**

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\partial_t y + \begin{pmatrix} A & 1 \\ 0 & A \end{pmatrix} y = 0, \quad y(t,0) = \begin{pmatrix} 0 \\ u(t) \end{pmatrix}, \quad y(t,1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}
$$

with  $\mathcal{A} = -\partial_x(\gamma(x)\partial_x \cdot).$ 

Uniform boundary control for discrete 1D cascade parabolic systems **(Allonsius-B.-Morancey, 18**)

$$
\begin{cases} \partial_t y_h + \begin{pmatrix} A_h & 1 \\ 0 & A_h \end{pmatrix} y_h = \mathcal{B}_h \begin{pmatrix} 0 \\ u_h(t) \end{pmatrix} \\ y_h(0) = y_{0,h}, \end{cases}
$$

where A*<sup>h</sup>* is the F.D. approximation of A and and B*<sup>h</sup>* is the discrete boundary control operator.

## **Theorem (Relaxed uniform null-controllability)**

*There exists*  $C > 0$  and  $h_0 > 0$  such that : For any  $h < h_0$ , any initial data  $y_{0,h}$ , there exists a  $u_h \in L^2(0, T, U_h)$  *such that* 

$$
||u_h||_{L^2(0,T)} \leq C||y_{0,h}||_h,
$$
  

$$
||y_h(T)||_h \leq Ce^{-C/h^2}||y_{0,h}||_h.
$$

#### **Some examples in 2D**

The cascade system on a rectangle **(Benabdallah - B. - Gonzalez–Burgos - Olive, '14) (Allonsius - B., '20)**

$$
\hat{c}_t y + \begin{pmatrix} -\Delta & 1 \\ 0 & -\Delta \end{pmatrix} y = 0, \quad y(t,.) = \begin{pmatrix} 0 \\ 1_{\Gamma} u(t,.) \end{pmatrix}.
$$
 (S)

## **Theorem**

*For any non empty*  $\Gamma$  *the system* [\(S\)](#page-25-0) *is null-controllable at any time*  $T > 0$ *, with the estimate* 

<span id="page-25-0"></span> $||u||_{L^2((0,T)\times\Gamma)} \leq C e^{C/T} ||y_0||.$ 

The cascade system on a disk **(Trabut, '24)**

Ω Γ

### **Theorem**

*For any non empty* Γ *the system* [\(S\)](#page-25-0) *is null-controllable at any time*  $T > 0$ *, with the estimate* 

 $||u||_{L^2((0,T)\times\Gamma)} \leq C e^{C/T} ||y_0||.$ 

#### **Minimal null-control time issues**

**(Benabdallah - B. - Morancey, '20) (B., '23+)**

#### **Theorem**

*Let* Λ *satisfying the assumptions* **sector/asymptotics/weak gap***, and* G *as in* [\(1\)](#page-21-0)*.*  $A$ ssume that for some  $M>0$  and some  $\,^* >0,$  we have

<span id="page-26-0"></span>
$$
\max_{L \subset G} |\psi[L]| \leqslant M e^{r_G T^*}, \quad \forall G \in \mathcal{G},\tag{2}
$$

.

 $t$ hen for every  $T>T^*$ , the full moment problem (= the NC problem) has a solution  $v\in L^2(0,\,T)$  s.t.

$$
||v||_{L^{2}(0,T)} \leqslant C_{T^{\ast}} Me^{C(T-T^{\ast})^{-\frac{\theta}{1-\theta}}}
$$

Remark : Conversely if the NC at time  $T$  has a solution, then [\(2\)](#page-26-0) holds for  $T^* = T$ .

The minimal null control time for this problem is thus the quantity



**Some examples**

1D boundary control - non constant coupling **(Ammar-Khodja - Benabdallah - González-Burgos - de Teresa, '16)**

$$
\partial_t y + \begin{pmatrix} A & a(x) \\ 0 & A \end{pmatrix} y = 0, \quad y(t,0) = \begin{pmatrix} 0 \\ u(t) \end{pmatrix}, \quad y(t,1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}
$$

 $\rightsquigarrow$  There exists functions  $a$  such that the minimal null-control time  $T_{0,a}$  is any  $a$  priori given number.

**Some examples**

1D boundary control - non constant coupling **(Ammar-Khodja - Benabdallah - González-Burgos - de Teresa, '16)**

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A two diffusion case - constant coupling **(Ammar-Khodja - Benabdallah - González-Burgos - de Teresa, '14)**  $\partial_t y +$  $\begin{pmatrix} A & 1 \end{pmatrix}$  $0 \quad -d\mathcal{A}$  $y = 0, y(t, 0) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ *u*(*t*)  $\bigg), \quad y(t,1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$  $\theta$  $\setminus$ 

 $\rightsquigarrow$  There exists (many) coefficients  $d > 0$  such that the minimal null-control time  $T_{0,d}$  is any *a priori* given number.

**Some examples**

1D boundary control - non constant coupling **(Ammar-Khodja - Benabdallah - González-Burgos - de Teresa, '16)**

$$
\partial_t y + \begin{pmatrix} A & a(x) \\ 0 & A \end{pmatrix} y = 0, \quad y(t,0) = \begin{pmatrix} 0 \\ u(t) \end{pmatrix}, \quad y(t,1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}
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 $\rightsquigarrow$  There exists (many) coefficients  $d > 0$  such that the minimal null-control time  $T_{0,d}$  is any *a priori* given number.

Less involved (yet interesting) example **(B. - Benabdallah - Morancey, '20)**

$$
\partial_t y + \begin{pmatrix} A & 1 \\ 0 & A + b(x) \end{pmatrix} y = 0, \quad y(t, 0) = \begin{pmatrix} 0 \\ u(t) \end{pmatrix}, \quad y(t, 1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix}
$$

 $\rightsquigarrow$  For  $b$  small enough in  $L^2$  the system is null-controllable at any time  $T>0$  despite the spectral condensation that occurs in the system.

# <span id="page-30-0"></span>**Outline**

- 
- 3.2 [Back to parabolic controllability questions](#page-20-0)

#### 4. [Further cases and applications](#page-30-0)

- 4.1 [What about non scalar control problems ?](#page-31-0)
- 4.2 [Time discrete systems](#page-35-0)
- 4.3 [Boundary controllability of a system with different diffusions](#page-37-0)

## <span id="page-31-0"></span>**Few words about some non scalar moment problem**

**Reminder**

For a non scalar control : the moment problem to solve is more involved

Find 
$$
v \in L^2(0, T; U)
$$
 such that  $\int_0^T e^{-\lambda t} (v(t), \mathcal{B}^{\star} \phi_{\lambda})_U dt = -e^{-\lambda T} \langle y_0, \phi_{\lambda} \rangle$ ,  $\forall \lambda \in \Lambda$ .

## **Abstract problem**

Given a family  $(b_\lambda)_{\lambda\in\Lambda}\subset U\backslash\{0\}$ , a family of scalars  $(\omega_\lambda)_{\lambda\in\Lambda}\subset\mathbb{C}$ , can we find  $v\in L^2(0,\,T;\,U)$  such that

$$
(e[\lambda]b_{\lambda},v)_{L^2(0,T;U)}=\omega_{\lambda}, \ \forall \lambda\in\Lambda.
$$

### **Partial version**

Given  $G \subset \Lambda$ , find  $q = q_{G,b,\omega,T}$  such that

$$
\begin{cases}\n(e[\lambda]b_{\lambda}, q)_{L^2(0,T;U)} = \omega_{\lambda}, & \forall \lambda \in G, \\
(e[\lambda], q)_{L^2(0,T)} = 0_U, & \forall \lambda \in \Lambda \backslash G.\n\end{cases}
$$

## **Few words about some non scalar moment problems**

#### **Resolution**

### **Partial version**

Given  $G \subset \Lambda$ , find  $q = q_{G,b,\omega,T}$  such that

<span id="page-32-0"></span>
$$
\langle (e[\lambda]b_{\lambda}, q)_{L^{2}(0, T; U)} = \omega_{\lambda}, \qquad \forall \lambda \in G,
$$
  
\n
$$
(e[\lambda], q)_{L^{2}(0, T)} = 0_{U}, \quad \forall \lambda \in \Lambda \setminus G.
$$
 (VPM)

Two particular *limiting* cases

### Case 1: All the  $(b_{\lambda})_{\lambda \in G}$  are **colinear**

[\(VPM\)](#page-32-0) is equivalent to a scalar moment problem

 $\Rightarrow$  same estimates as before depending on the divided differences  $\omega[L]$  for  $L \subset G$ .

#### Case 2: All the  $(b_{\lambda})_{\lambda \in G}$  are **pairwise orthogonal**

The eigenvalues in *G* do not see each other

$$
q(t) = \sum_{\mu \in G} \omega_{\mu} \frac{b_{\mu}}{\|b_{\mu}\|^2} \tilde{q}_{\mu}(t),
$$

where  $\tilde{q}_\mu$  is the biorthogonal in  $L^2(0,\,T)$  to  $e[\mu]$  among the family  $(\Lambda\backslash G)\cup\{\mu\}.$ 

# **Few words about some non scalar moment problems**

### **Partial version**

Given  $G \subset \Lambda$ , find  $q = q_{G,b,\omega,T}$  such that

$$
\begin{cases}\n(e[\lambda]b_{\lambda}, q)_{L^{2}(0, T; U)} = \omega_{\lambda}, & \forall \lambda \in G, \\
(e[\lambda], q)_{L^{2}(0, T)} = 0_{U}, & \forall \lambda \in \Lambda \setminus G.\n\end{cases}
$$
\n(VPM)

## Fifty shades of grey **(B. - Morancey, '23)**

#### **Theorem**

*Consider the same assumptions as before on* Λ *and G.*

*For each G, we can build:*

- *an* **explicit**  $n \times n$  *matrix*  $M_G$  *depending only on G and*  $(b_\lambda)_{\lambda \in G}$
- $\bullet$  *an* **explicit** vector  $\xi_G \in \mathbb{C}^n$  depending only on the divided differences  $\omega[L]$  with  $L \subset G$

*such that there exists a solution to* [\(VPM\)](#page-32-0) *that satisfies*

$$
\|q_{G,b,\omega,T}\|_{L^2(0,T;U)} \leqslant Ce^{Cr_G^{\theta}+CT^{-\frac{\theta}{1-\theta}}}\left(M_G\xi_G,\xi_G\right)^{\frac{1}{2}}.
$$

*The red factor is optimal.*

**Resolution**

**(Gonzalez–Burgos - de Teresa, '16) (Ammar-Khodja - Benabdallah - Gonzalez–Burgos - de Teresa, '16) (B. - Morancey, '24)**

1D distributed control - non constant coupling

$$
\partial_t y + \begin{pmatrix} A & a(x) \\ 0 & A \end{pmatrix} y = \begin{pmatrix} 0 \\ 1_\omega u(t,x) \end{pmatrix},
$$

- $\bullet$  If  $\omega \cap \text{Supp}(a) \neq \emptyset$ , the system is null-controllable at any time *T*.
- $\bullet$  There exists a coupling term  $\alpha$  and two non trivial control domains  $\omega_1$  and  $\omega_2$  that do not intersect Supp(*a*)such that
	- If  $\omega = \omega_1$ , the system is null-controllable at any time  $T > 0$ .
	- If  $\omega = \omega_2$ , the system is not even approximately controllable.

## <span id="page-35-0"></span>**Time discrete systems**

#### **(B. - Hernandez–Santamaria, '24)**

Consider a discretization of the time interval [0, *T*] with time step  $\tau$ . Set  $M = T/\tau$ .

$$
\frac{y^{n+1} - y^n}{\tau} + \begin{pmatrix} -\partial_x^2 & 1 \\ 0 & -\partial_x^2 \end{pmatrix} y^{n+1} = 0, \quad y^{n+1}(0) = \begin{pmatrix} 0 \\ u^{n+1} \end{pmatrix}, \quad y^{n+1}(1) = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \tag{S_\tau}
$$

Moment formulation : Instead of exponentials, use this family of time discrete functions

$$
p[\lambda] := \left( n \in [0, M] \mapsto \left( 1 + \lambda \tau \right)^{-n} \right) \in L^2(\mathbf{0}, T).
$$

### **Theorem**

 $A$ ssume  $\Lambda \subset (0, +\infty)$ , the gap condition and  $N_\Lambda(r) \leqslant \kappa r^\theta$ *.*

*There exists*  $\varpi > 0$ ,  $\tau_0$  *depending only on*  $\rho$ ,  $\kappa$ ,  $\theta$ , such that:  $\epsilon$  *For any*  $\tau < \tau_0$  *there exists a family*  $(q_{\lambda,\,T})_{\substack{\lambda \in \Lambda \ \lambda \tau \leqslant \varpi}}$ 

$$
\begin{aligned} (p[\mu], q_{\lambda, T})_{L^2_\tau(0,T)} &= \delta_{\lambda, \mu}, \quad \forall \lambda, \mu \in \Lambda, \text{ with } \lambda \tau \leq \varpi, \mu \tau \leq \varpi, \\ & \|q_{\lambda, T}\|_{L^2_\tau(0,T)} \leqslant C_T e^{C\lambda^\theta}. \end{aligned}
$$

Same result with multiplicities ...

## **Time discrete systems**

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$$

### **Theorem**

*For any initial data*  $y^0 \in L^2(\Omega)$ , for any  $\tau < \tau_0$  there exists a time-discrete control  $v_\tau = (v^n)_{n\in\llbracket 0,M\rrbracket}$ *such that*

$$
\|v_{\tau}\|_{L^{2}_{\tau}(0,T)} \leq C \|y^{0}\|_{L^{2}(\Omega)},
$$
  

$$
\|y^{M}\| \leq C e^{-\frac{C}{\tau^{2}}} \|y^{0}\|_{L^{2}(\Omega)}
$$

We have a similar result for fully discrete case.

# <span id="page-37-0"></span>**Boundary controllability of a system with different diffusions**

Let  $\Omega$  be a rectangle and  $\Gamma \subset \partial \Omega$ .

$$
\partial_t y + \begin{pmatrix} -\Delta & 1 \\ 0 & -d\Delta \end{pmatrix} y = 0, \quad y(t,.) = \begin{pmatrix} 0 \\ 1_{\Gamma} u(t,.) \end{pmatrix}
$$

### **Theorem**

*If*  $\Gamma$  *intersects two* **non parallel** *sides of*  $\partial\Omega$ *, then the system is null-controllable at any time*  $T > 0$ *,* **for any value of** *d.*

## **Boundary controllability of a system with different diffusions**

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#### **Theorem**

*If*  $\Gamma$  *intersects two* **non parallel** *sides of*  $\partial\Omega$ *, then the system is null-controllable at any time*  $T > 0$ *,* **for any value of** *d.*

Everything boils down to a (very) weird family of moment-like problems Here  $\Omega = (0, \pi)^2$ 

Find two families  $(u_k)_k,(v_l)_l\subset L^2(0,\,T)$  such that

$$
\begin{cases} \int_0^T e^{-(k^2+l^2)t} u_k(t) dt + \int_0^T e^{-(k^2+l^2)t} v_l(t) dt = \omega_{k,l}, \quad \forall k, l \ge 1, \\ \int_0^T e^{-d(k^2+l^2)t} u_k(t) dt + \int_0^T e^{-d(k^2+l^2)t} v_l(t) dt = \tilde{\omega}_{k,l}, \quad \forall k, l \ge 1. \end{cases}
$$

Thanks for your attention ! Any questions ?

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