

Many-body quantum heat engines based on free-Fermion systems

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G. Piccitto, M. Campisi, D. Rossini, *New J. Phys.* **24**, 103023 (2022).
V. R. Arezzo, G. Piccitto, D. Rossini, *arXiv:2403.11645* (2024).

Outline of the talk

- ◆ Thermodynamics of microscopic systems
- ◆ Free-fermion systems
- ◆ Quantum Otto cycle
 - ➔ Ideal engine
 - ➔ Finite-duration engine

Thermodynamics of a thermal engine

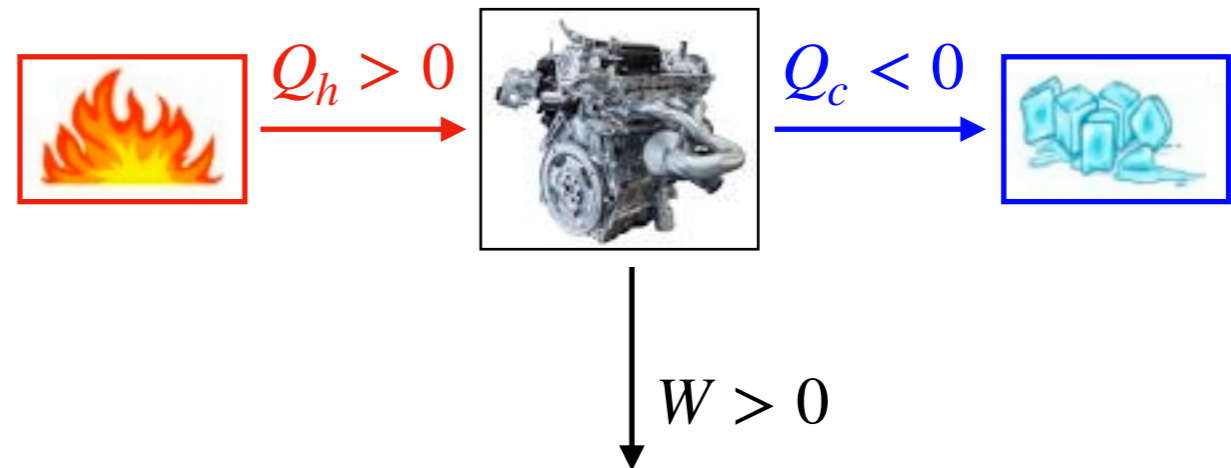
I principle

$$dE = \delta Q - \delta W$$

+

II principle

$$\sum_{i, \text{cycle}} \frac{Q_i}{T_i} \leq 0$$



Thermodynamic cycles

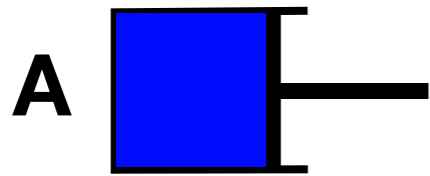
Heater: $Q_h < 0, Q_c < 0, W < 0.$

Accelerator: $Q_h > 0, Q_c < 0, W < 0.$

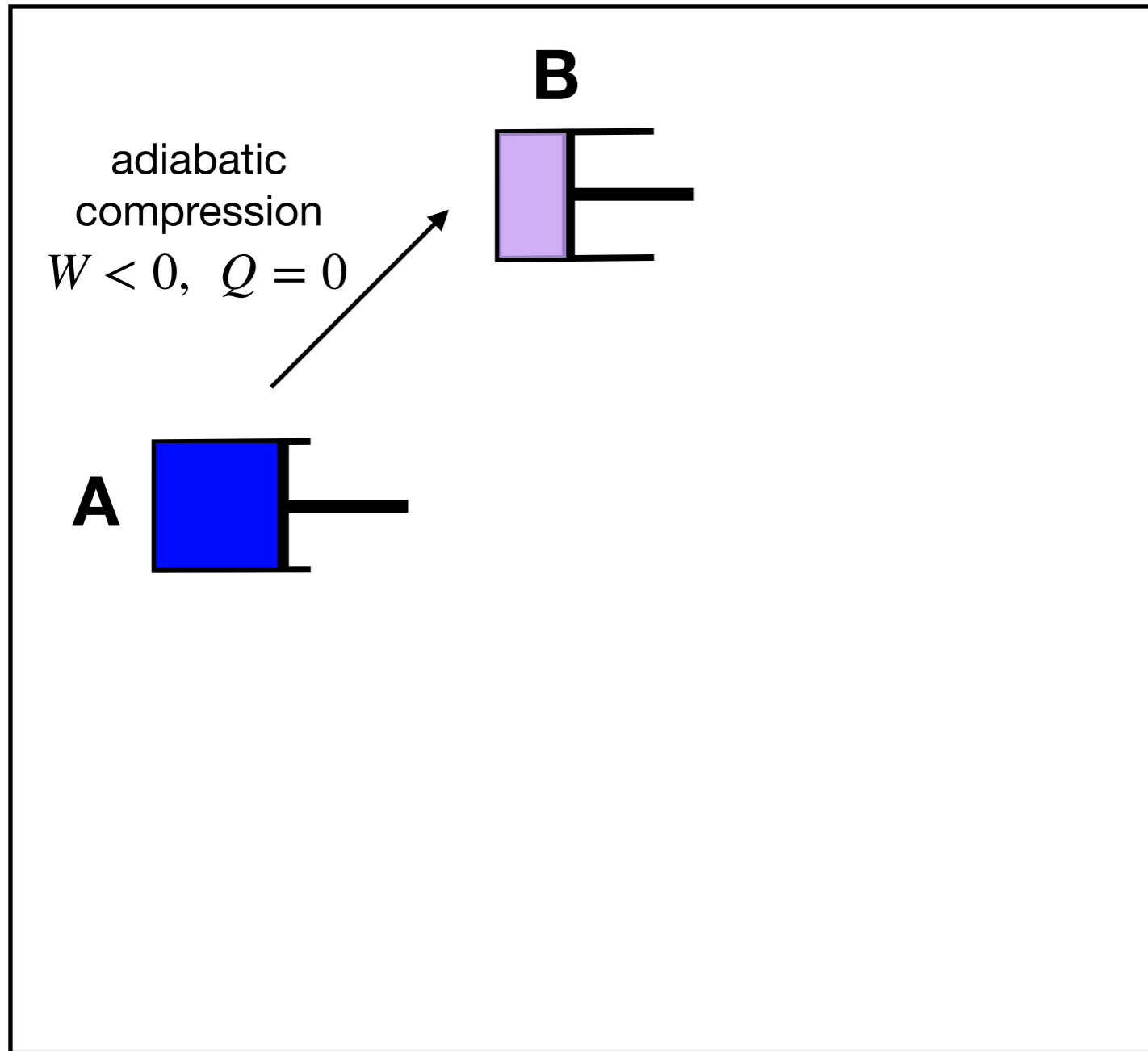
Heat engine: $Q_h > 0, Q_c < 0, W > 0.$

Refrigerator: $Q_h < 0, Q_c > 0, W < 0.$

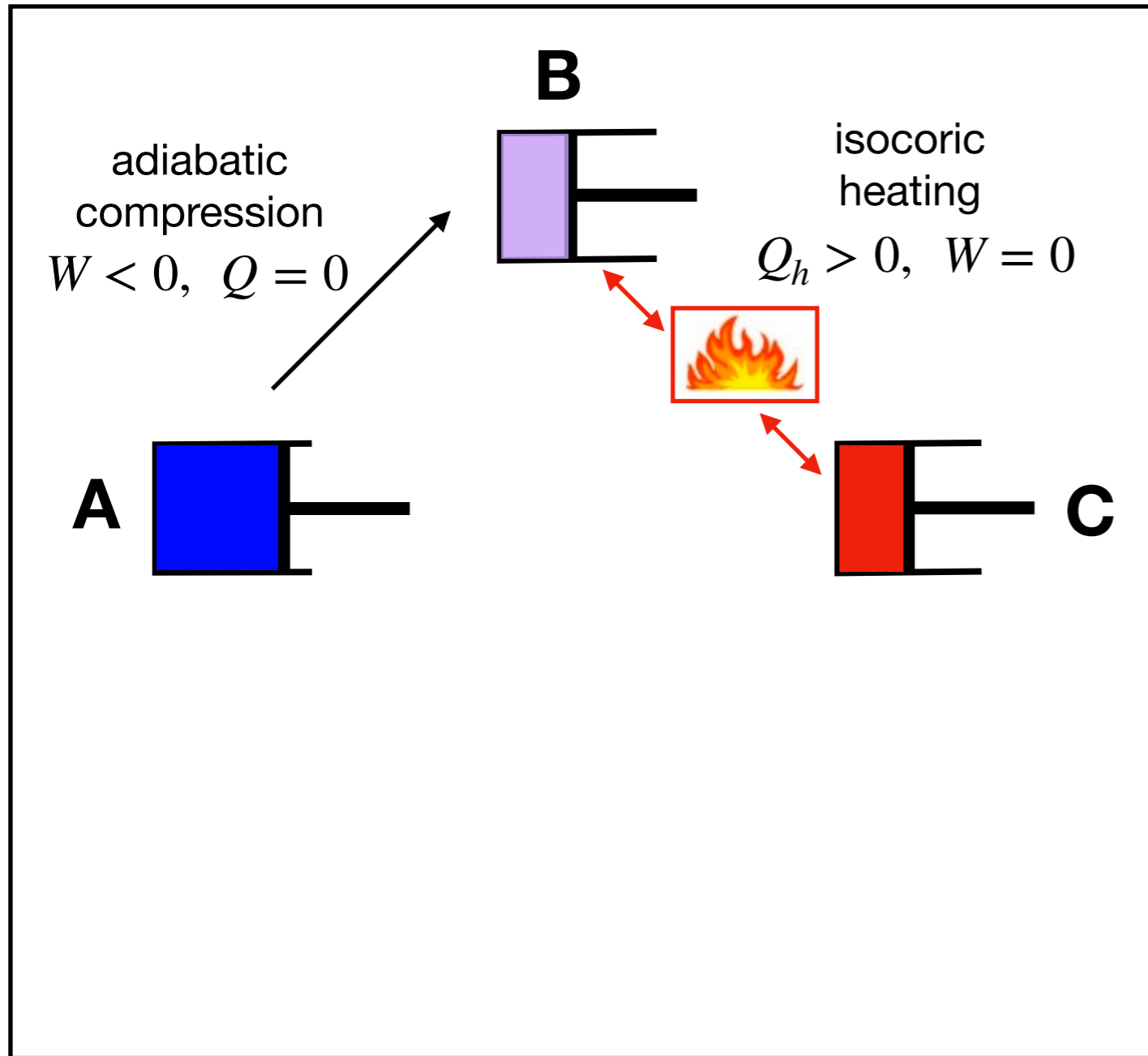
The Otto cycle



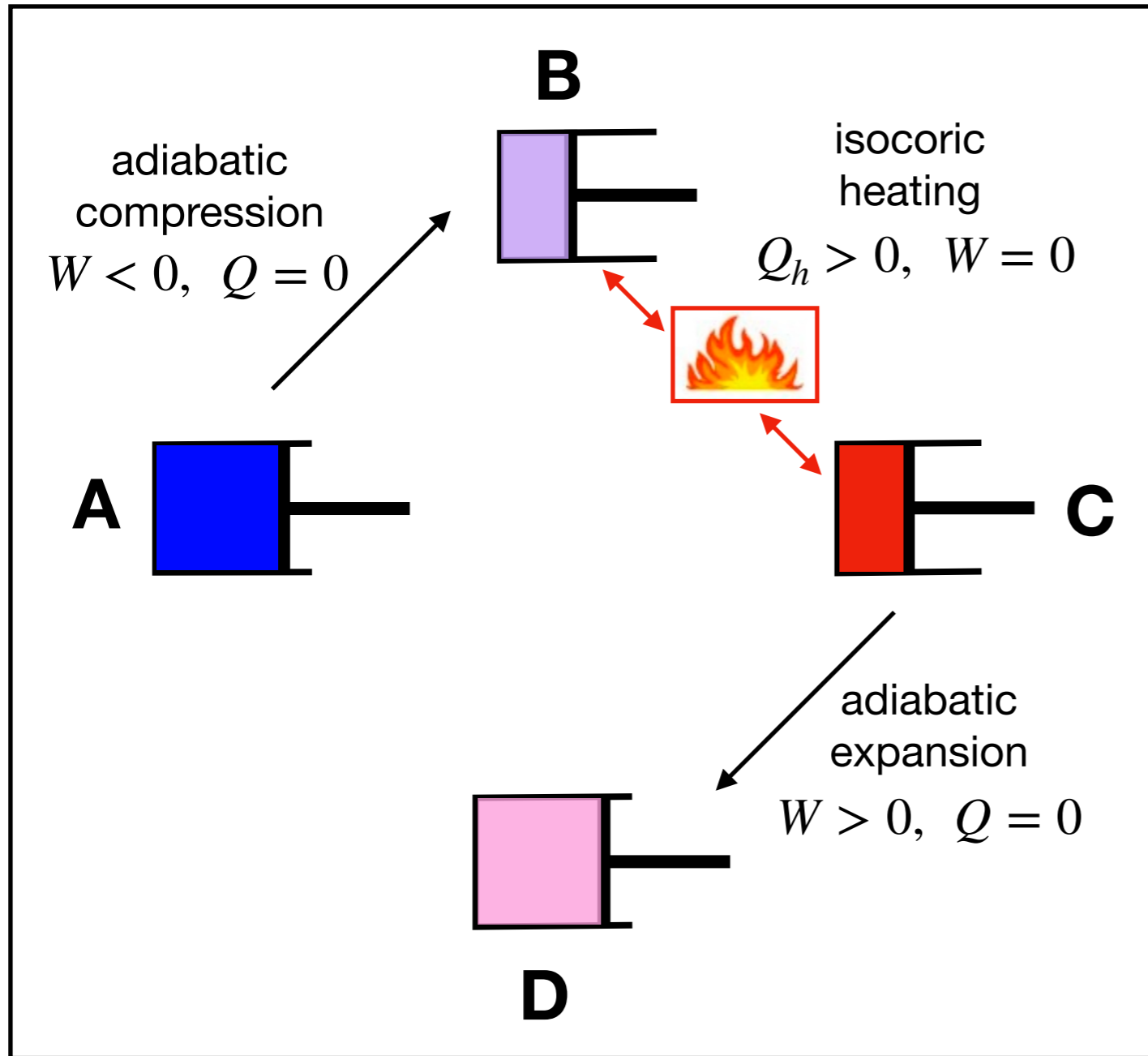
The Otto cycle



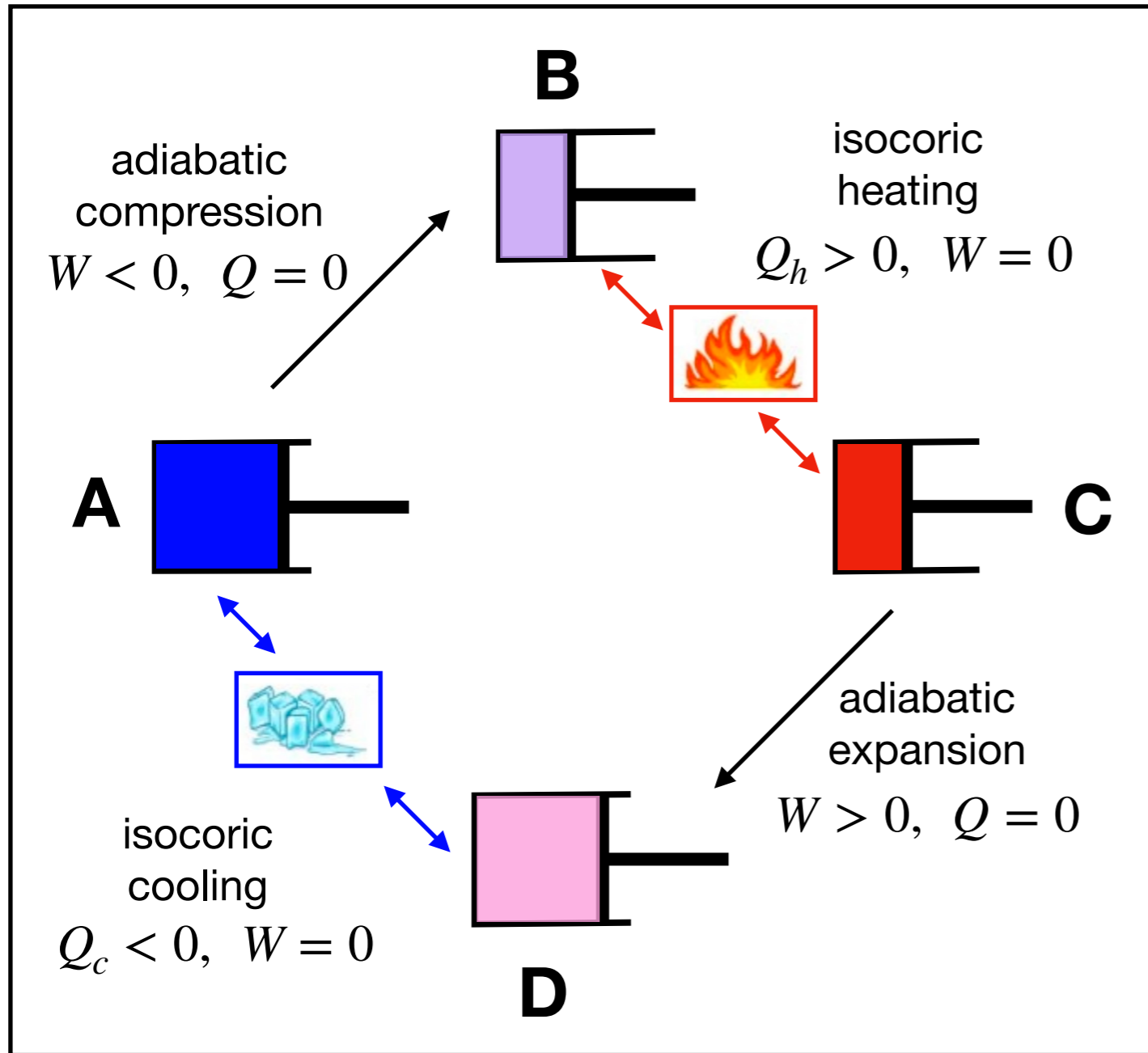
The Otto cycle



The Otto cycle

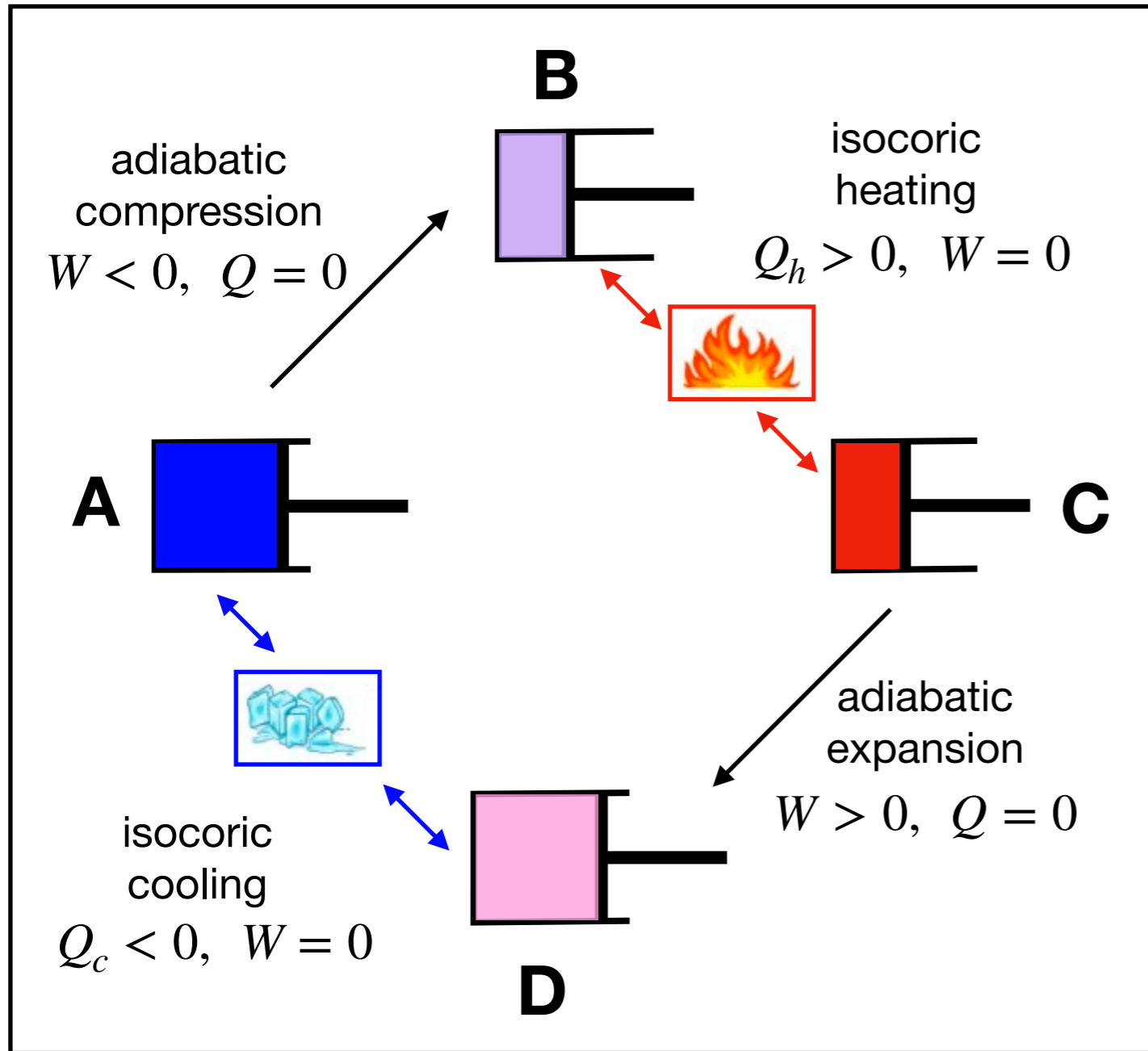


The Otto cycle



The Otto cycle

Going quantum??



Thermodynamics of microscopic systems

Classical systems: heat & work can be easily defined.

Quantum systems: additional care must be taken.

R. Alicki, J. Phys. A **12**, L103 (1979)

H.H. Quan, Y. Liu, C. Sun, F. Nori, PRE **76**, 031105 (2007)

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$$dE(\lambda) = \text{Tr}[dH(\lambda) \rho(\lambda)] + \text{Tr}[H(\lambda) d\rho(\lambda)]$$

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modification of the spectral
structure of the system

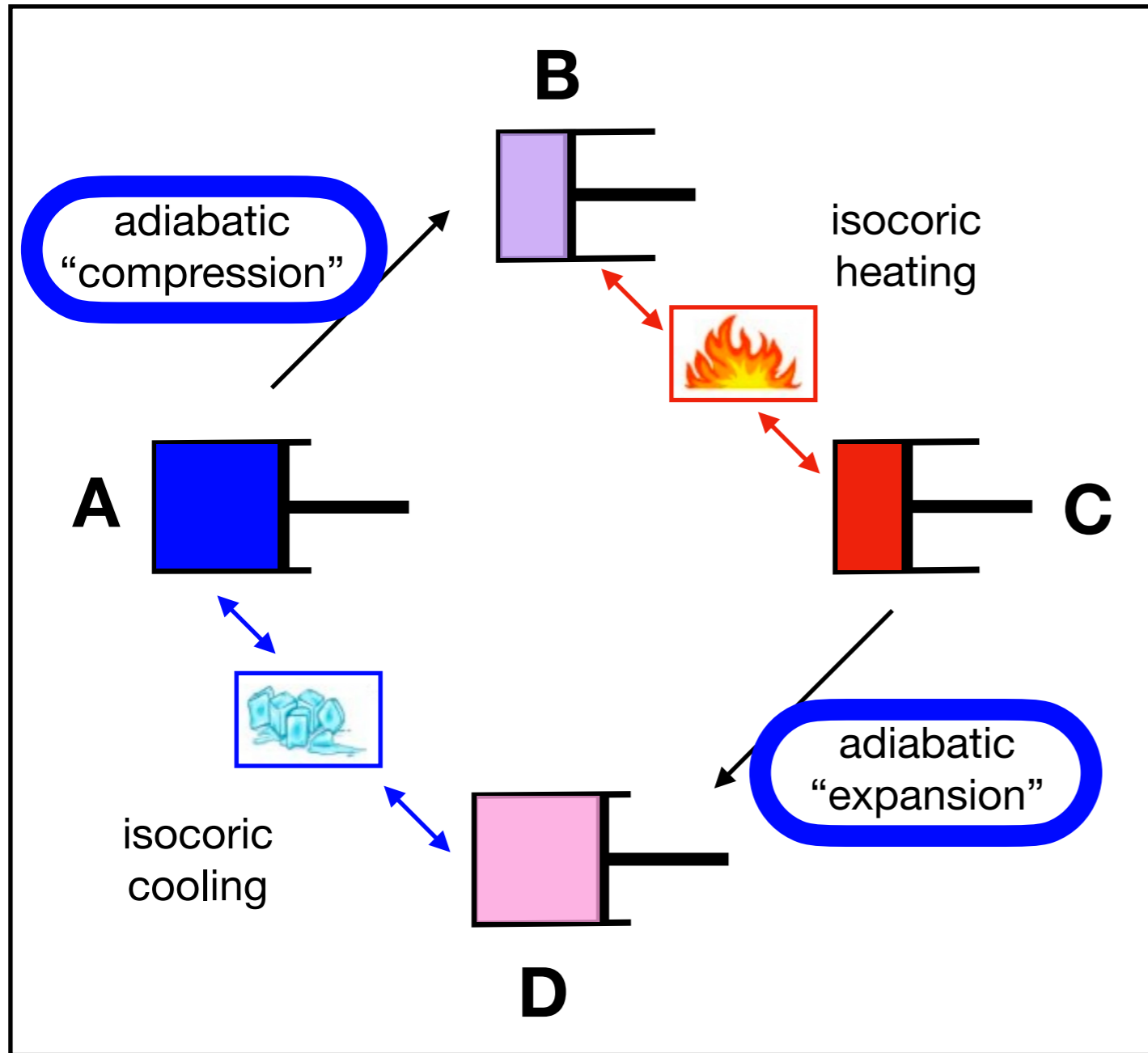
variation of the state
of the system

➔ identifying W and Q with the above two terms is not obvious

R. Alicki, J. Phys. A **12**, L103 (1979)

H.H. Quan, Y. Liu, C. Sun, F. Nori, PRE **76**, 031105 (2007)

The Otto cycle



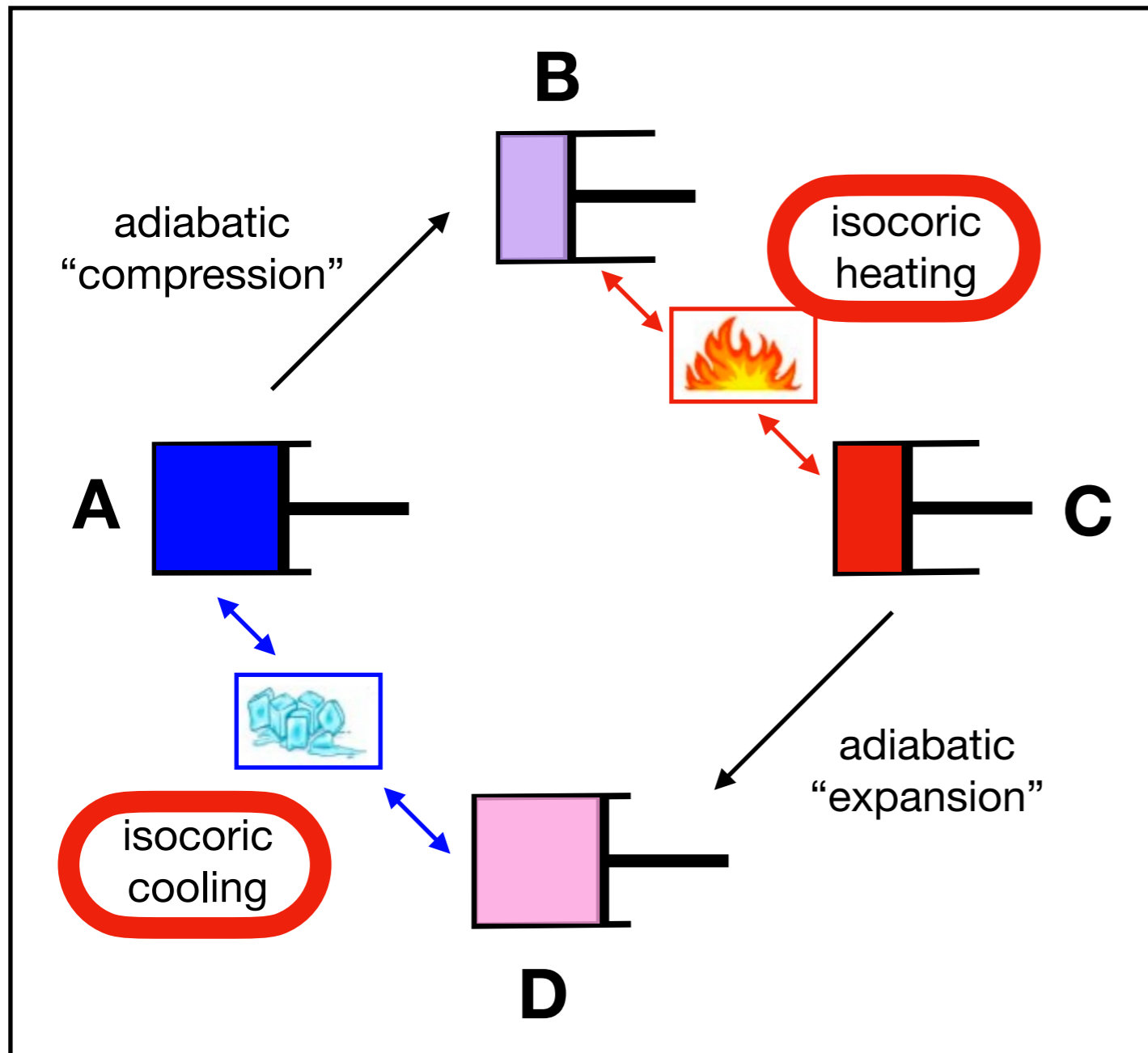
Going quantum??

Adiabatic transformations:

Unitary evolution $\lambda(t)$ changes the energy levels of $H[\lambda(t)]$.

$$Q = 0; \quad W \equiv -\Delta E = -\int_{t_i}^{t_f} \partial_{t'} \langle H[\lambda(t')] \rangle_{\rho(t')} dt' \\ = \langle H[\lambda(t_i)] \rangle_{\rho(t_i)} - \langle H[\lambda(t_f)] \rangle_{\rho(t_f)}$$

The Otto cycle



Going quantum??

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Isochoric transformations:

λ is fixed. The system in contact with a bath does not evolve unitarily.

$$W = 0; \quad Q = \langle H(\lambda) \rangle_{\rho(t_f)} - \langle H(\lambda) \rangle_{\rho(t_i)}$$

Heat engines with quantum many-body systems

The working medium can be a gas of interacting atoms

Jaramillo et al., Quantum supremacy of many-particle thermal machines, NJP **18**, 075019 (2016)

J. Bengtsson et al., Quantum Szilard engine with attractively interacting bosons, PRL **120**, 100601 (2018)

Y. Chen et al., Interaction-driven many-particle quantum heat engine and its universal behavior, npj Quant. Inf. **5**, 88 (2019)

N. Yunger Halpern et al., Quantum engine based on many-body localization, PRB **99**, 024203 (2019).

F. Carollo et al., Nonequilibrium quantum many-body Rydberg atom engine, PRL **124**, 170602 (2020).

T. Fogarty and T. Busch, A many-body heat engine at criticality, Quantum Sci. Technol. **6**, 015003 (2021)

M. Boubakour, T. Fogarty, and T. Busch, Interaction-enhanced quantum heat engine, PRR **5**, 013088 (2023)

R. S. Watson et al., Interaction-driven quantum many-body engine enabled by atom-atom correlations arXiv:2308.05266

...

or even a system of several interacting quantum spins

Q. Wang, Performance of quantum heat engines under the influence of long-range interactions PRE **102** 012138 (2020)

B.S. Revathy et al, Universal finite-time thermodynamics of many-body q. machines from KZ scaling, PRR **2**, 043247(2020)

A. Solfanelli et al., Quantum heat engine with long-range advantages, NJP **25**, 033030 (2023)

L. A. Williamson and M. J. Davis, Many-body enhancement in a spin-chain quantum heat engine, PRB **109**, 024310 (2024)

...

Free-fermion systems

$$H = \sum_{i,j} D_{i,j} c_i^\dagger c_j + \frac{1}{2} (O_{i,j} c_i^\dagger c_j^\dagger + \text{hc}), \quad D = D^\dagger, \quad O = -O^T$$

can be cast into a **free-quasiparticle model** through a Bogoliubov transformation:

$$H = \sum_k \omega_k \left(b_k^\dagger b_k - \frac{1}{2} \right) \quad \begin{array}{l} \omega_k \equiv \omega_k(\lambda) \\ \text{spectrum of the} \\ \text{(fermonic) quasiparticles} \end{array}$$

Thermal state: $\rho_\beta(\lambda) \propto e^{-\beta H(\lambda)}$

$$\langle b_k^\dagger b_k \rangle_{\rho_\beta(\lambda)} = \frac{1}{1 + e^{-\beta \omega_k(\lambda)}} \equiv f[\beta, \omega_k(\lambda)]$$

Fermi-Dirac distribution

Ideal transformations for free fermions

Isotherm: $\lambda: \lambda_i \rightarrow \lambda_f$ varies slowly in time, the system stays
in thermal equilibrium with a bath at temperature β^{-1}

$$W \equiv -\Delta F = - \int_{\lambda_i}^{\lambda_f} \sum_k [\partial_\lambda \omega_k(\lambda)] \left[\frac{1}{1 + e^{\beta \omega_k(\lambda)}} - \frac{1}{2} \right] d\lambda; \quad Q = \Delta E + W$$

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Adiabatic: no heat exchange; $\lambda: \lambda_i \rightarrow \lambda_f$ varies slowly in time,
the quantum adiabatic theorem can be invoked

$$Q = 0; \quad W \equiv -\Delta E = - \sum_k \left[\frac{1}{1 + e^{\beta \omega_k(\lambda_i)}} - \frac{1}{2} \right] [\omega_k(\lambda_f) - \omega_k(\lambda_i)]$$

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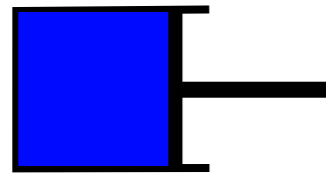
Isochoric: no variations of λ ; initially equilibrium with a bath β_i , then thermalization with another bath β_f

$$W = 0; \quad Q \equiv \langle H \rangle_{\rho_f} - \langle H \rangle_{\rho_i} = \sum_k \omega_k [f(\beta_2, \omega_k) - f(\beta_1, \omega_k)]$$

Ideal quantum Otto cycle

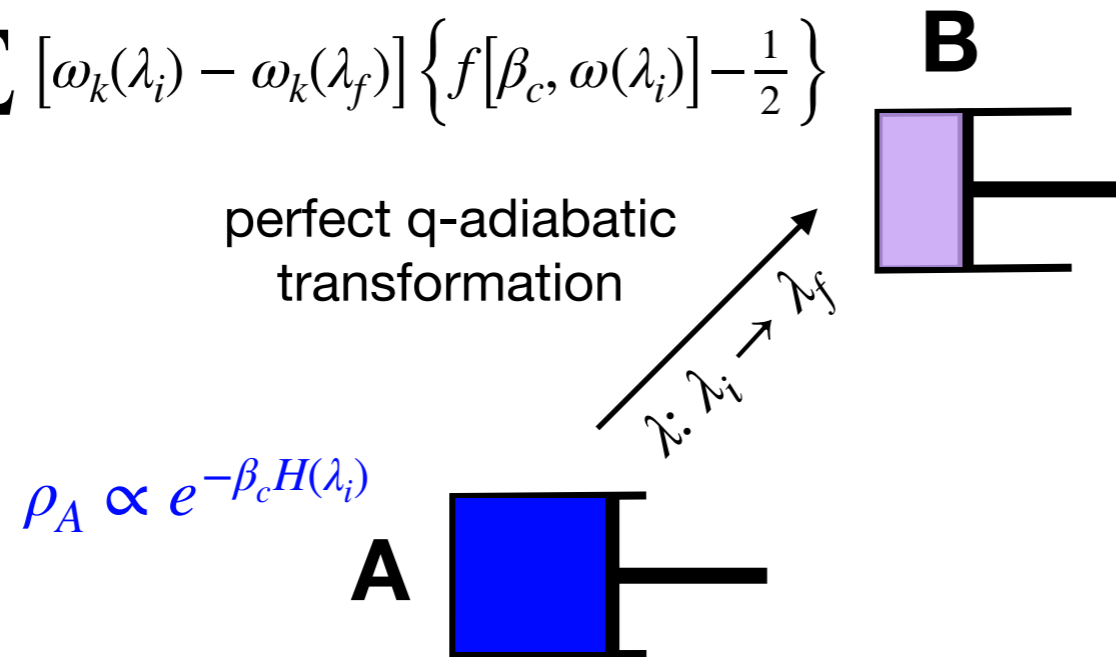
$$\rho_A \propto e^{-\beta_c H(\lambda_i)}$$

A



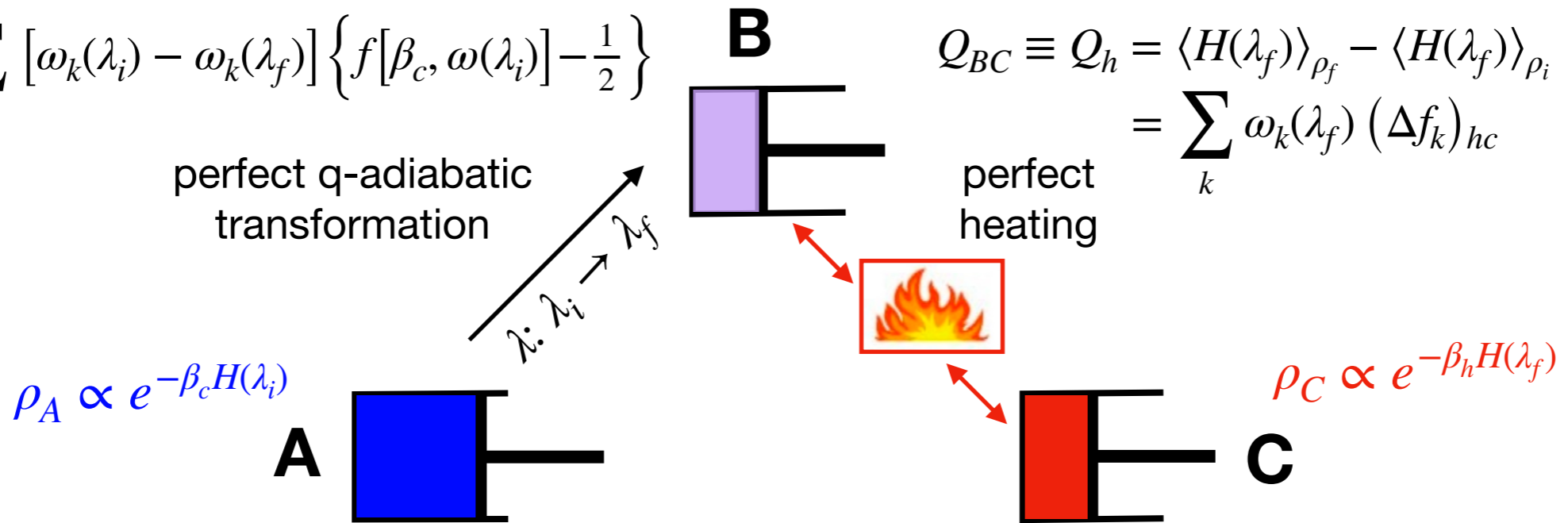
Ideal quantum Otto cycle

$$W_{AB} = \sum_k [\omega_k(\lambda_i) - \omega_k(\lambda_f)] \left\{ f[\beta_c, \omega(\lambda_i)] - \frac{1}{2} \right\}$$



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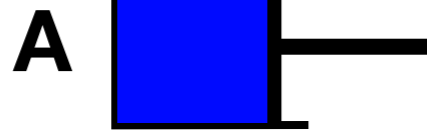
$$(\Delta f_k)_{hc} \equiv f[\beta_h, \omega_k(\lambda_f)] - f[\beta_c, \omega_k(\lambda_i)]$$

Ideal quantum Otto cycle

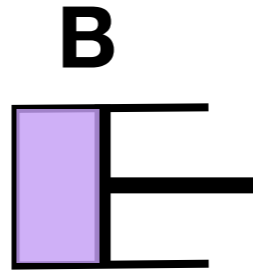
$$W_{AB} = \sum_k [\omega_k(\lambda_i) - \omega_k(\lambda_f)] \left\{ f[\beta_c, \omega_k(\lambda_i)] - \frac{1}{2} \right\}$$

perfect q-adiabatic transformation

$$\rho_A \propto e^{-\beta_c H(\lambda_i)}$$



$\lambda: \lambda_i \rightarrow \lambda_f$



$$Q_{BC} \equiv Q_h = \langle H(\lambda_f) \rangle_{\rho_f} - \langle H(\lambda_f) \rangle_{\rho_i} = \sum_k \omega_k(\lambda_f) (\Delta f_k)_{hc}$$

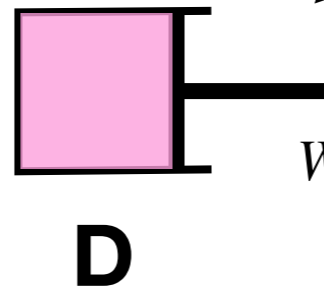
perfect heating



$$\rho_C \propto e^{-\beta_h H(\lambda_f)}$$

$\lambda: \lambda_f \rightarrow \lambda_i$

perfect q-adiabatic transformation



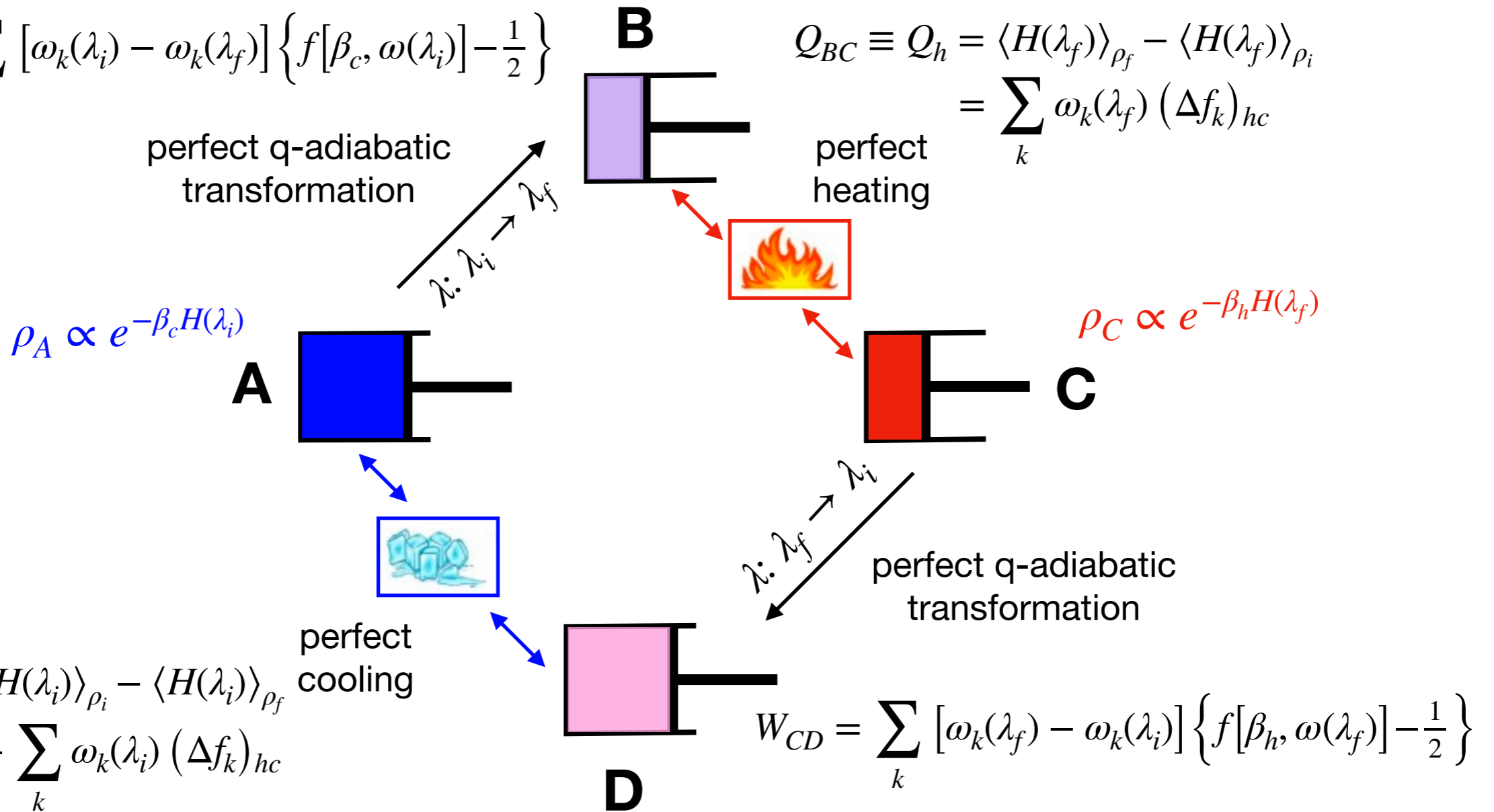
$$W_{CD} = \sum_k [\omega_k(\lambda_f) - \omega_k(\lambda_i)] \left\{ f[\beta_h, \omega_k(\lambda_f)] - \frac{1}{2} \right\}$$

$$(\Delta f_k)_{hc} \equiv f[\beta_h, \omega_k(\lambda_f)] - f[\beta_c, \omega_k(\lambda_i)]$$

Ideal quantum Otto cycle

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$$Q_{BC} \equiv Q_h = \langle H(\lambda_f) \rangle_{\rho_f} - \langle H(\lambda_f) \rangle_{\rho_i} = \sum_k \omega_k(\lambda_f) (\Delta f_k)_{hc}$$



$$W \equiv W_{AB} + W_{BC} = Q_h - Q_c$$

$$(\Delta f_k)_{hc} \equiv f[\beta_h, \omega_k(\lambda_f)] - f[\beta_c, \omega_k(\lambda_i)]$$

Realistic quantum Otto cycle

A) Non-perfect quantum adiabaticity (adiabatic strokes):

Excitations generated during the unitary dynamics of a finite duration T

-> full description of the dynamics $U(t) = \mathcal{T} \exp \left[e^{-i \int_0^t ds H(\lambda(s))} \right]$

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B) Non-perfect thermalization (isochoric strokes):

System in contact with the reservoirs for a finite time τ
-> microscopic modeling of the system-bath dynamics

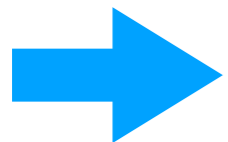
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Semi-analytic treatment for free-fermion systems

A) Non-perfect quantum adiabaticity

$H(\lambda_i) \rightarrow H(\lambda_f)$ in a **finite time T**

$$U(t) = \text{Texp} \left\{ e^{-i \int_0^t ds H[\lambda(s)]} \right\}$$

$$W = - \int_0^T \frac{\partial}{\partial t} \langle H[\lambda(t)] \rangle_{\rho(t)} dt = \langle H(\lambda_i) \rangle_{\rho(0)} - \langle H(\lambda_f) \rangle_{\rho(T)}$$

Initial state

time-evolved state:

$$\rho(T) = U(T)\rho(0)U(T)$$

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Bogoliubov formalism:

Heisenberg representation & Nambu spinors:

$$\Psi = (c_1, \dots, c_N, c_1^\dagger, \dots, c_N^\dagger)^T, \quad \Phi = (b_1, \dots, b_N, b_1^\dagger, \dots, b_N^\dagger)^T; \quad c_j^H(T) = U^\dagger(T) c_j U(T)$$

Equilibrium : $\Psi = \mathbb{U} \Phi$ where $H = \Psi^\dagger \mathbb{H} \Psi$, $\mathbb{H}^\mathbb{D} = \mathbb{U}^\dagger \mathbb{H} \mathbb{U}$

Dynamics: $\Psi^H(T) = \mathbb{U}(T) \Phi^H(0)$ with $\partial_t \mathbb{U}(t) = -2i \mathbb{H}[\lambda(t)] \mathbb{U}(t)$

A) Non-perfect quantum adiabaticity

$$W_{AB} = \sum_k \left\{ \omega_k(h_i) - \tilde{\omega}_k(h_f) \right\} \left\{ f[\beta_c, \omega_k(h_i)] - \frac{1}{2} \right\}$$

$$Q_{BC} \equiv Q_h = \sum_k \omega_k(\lambda_f) \left\{ f[\beta_h, \omega_k(\lambda_f)] - \frac{1}{2} \right\} - \tilde{\omega}_k(\lambda_f) \left\{ f[\beta_c, \omega_k(\lambda_i)] - \frac{1}{2} \right\}$$

$$W_{CD} = \sum_k \left\{ \omega_k(h_f) - \tilde{\omega}_k(h_i) \right\} \left\{ f[\beta_h, \omega_k(h_f)] - \frac{1}{2} \right\}$$

$$Q_{DA} \equiv Q_c = - \sum_k \tilde{\omega}_k(\lambda_i) \left\{ f[\beta_h, \omega_k(\lambda_f)] - \frac{1}{2} \right\} - \omega_k(\lambda_i) \left\{ f[\beta_c, \omega_k(\lambda_i)] - \frac{1}{2} \right\}$$

$$\tilde{\omega}_k(\lambda) = \left[\mathbb{U}^\dagger(T) \mathbb{H}(\lambda) \mathbb{U}(T) \right]_{kk}$$

B) Non-perfect thermalization

Microscopic treatment for free fermions in contact with thermal baths.
Quadratic coupling to n baths & Markov approximation:

$$d_t \rho(t) = -i[H, \rho(t)] + \mathcal{D}[\rho(t)] \quad \text{nonlocal Lindblad master eq.}$$

$$\mathcal{D}[\rho] = \sum_{n,k} \gamma_{n,k} \left[(1 - f(\beta_n, \omega_k)) (2b_k \rho b_k^\dagger - \{b_k^\dagger b_k, \rho\}) + f(\beta_n, \omega_k) (2b_k^\dagger \rho b_k - \{b_k b_k^\dagger, \rho\}) \right]$$

bath coupling constants Fermi-Dirac distribution

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↑
↑
↑

bath coupling constants Fermi-Dirac distribution

each site coupled to a distinct bath at temperature β ensures exponential convergence to a unique state $\rho_\beta \propto e^{-\beta H}$

$$\langle b_k^\dagger b_k \rangle(t) = f(\beta, \omega_k) (1 - e^{-2\mathcal{J}t}) + \langle b_k^\dagger b_k \rangle_{\rho_i} e^{-2\mathcal{J}t}$$

↑
↑

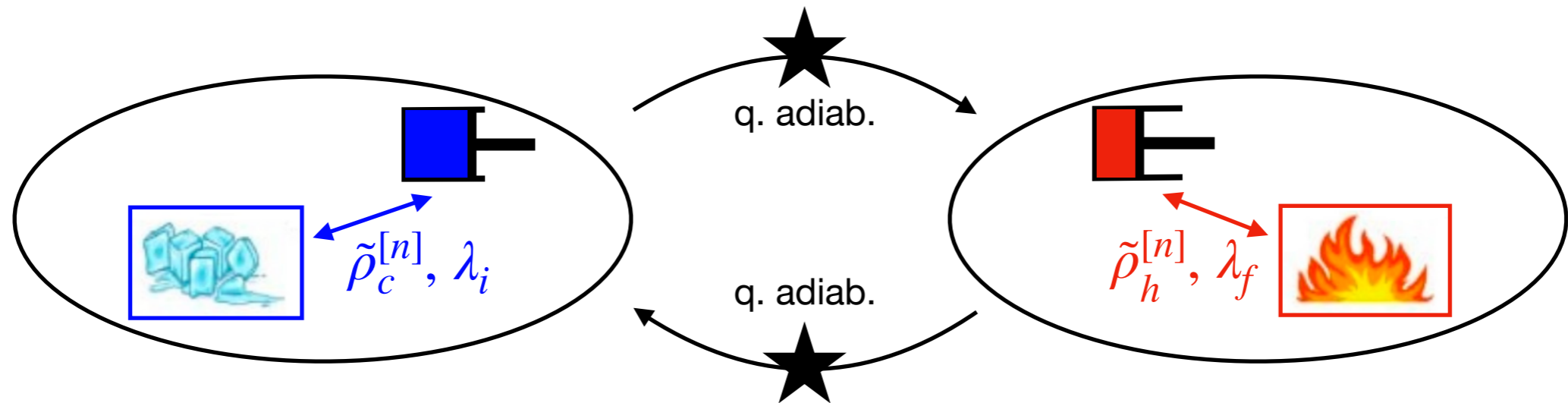
$\mathcal{J} \sim \sum_n \gamma_{n,k}, \forall k$

B) Non-perfect thermalization

System in contact with a thermal reservoir for a **finite time τ**

$$\Theta_{c/h} \equiv \text{diag} \left\{ f \left[\beta_{c/h}, \omega_k(\lambda_{i/f}) \right] \right\}$$

$$\Gamma_{c/h}^{[n]} \equiv \text{diag} \left\{ \text{Tr} \left[b_k^\dagger b_k \tilde{\rho}_{c/h}^{[n]} \right] \right\}; \quad \Gamma_c^{[0]} = \Theta_c$$



B) Non-perfect thermalization

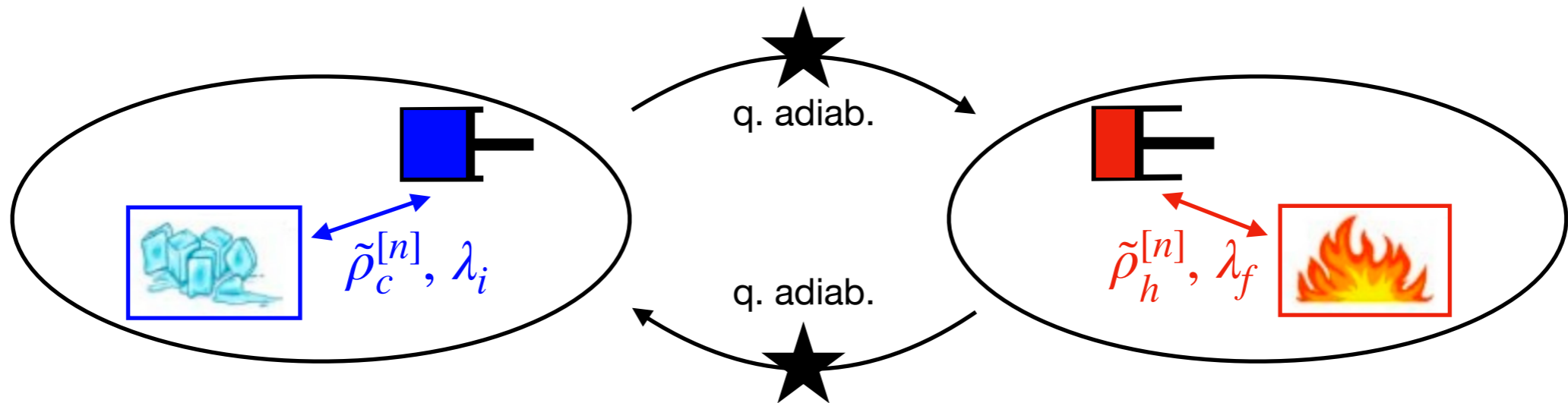
System in contact with a thermal reservoir for a **finite time** τ

$$\Theta_{c/h} \equiv \text{diag} \left\{ f \left[\beta_{c/h}, \omega_k(\lambda_{i/f}) \right] \right\}$$

$$[\mathcal{F} = 1]$$

$$\Gamma_{c/h}^{[n]} \equiv \text{diag} \left\{ \text{Tr} \left[b_k^\dagger b_k \tilde{\rho}_{c/h}^{[n]} \right] \right\}; \quad \Gamma_c^{[0]} = \Theta_c$$

$$h(x) \equiv (1 - e^{-x})^{-1}$$



$$\Gamma_h^{[n]} = \Theta_h(1 - e^{-2\tau}) + \Gamma_c^{[n-1]} e^{-2\tau}$$

$$\Gamma_c^{[n]} = \Theta_c(1 - e^{-2\tau}) + \Gamma_h^{[n]} e^{-2\tau}$$

B) Non-perfect thermalization

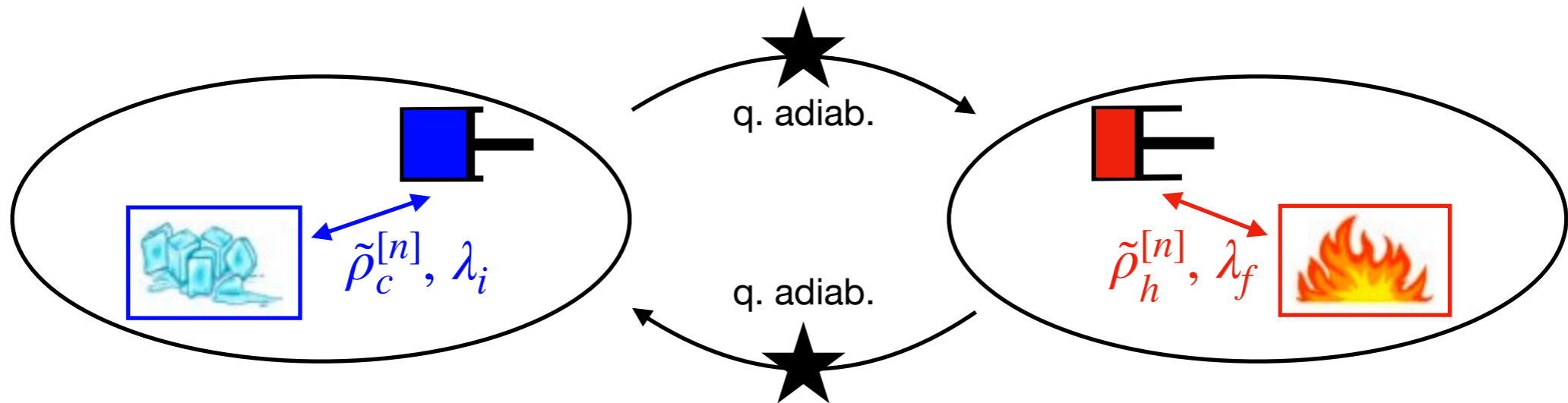
System in contact with a thermal reservoir for a **finite time τ**

$$\Theta_{c/h} \equiv \text{diag} \left\{ f \left[\beta_{c/h}, \omega_k(\lambda_{i/f}) \right] \right\}$$

$$[\mathcal{F} = 1]$$

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$$\Gamma_h^{[n]} = \Theta_h(1 - e^{-2\tau}) + \Gamma_c^{[n-1]} e^{-2\tau}$$

$$\Gamma_c^{[n]} = \Theta_c(1 - e^{-2\tau}) + \Gamma_h^{[n]} e^{-2\tau}$$

→
steady limit

$$\Gamma_h^{[+\infty]} = h(2\tau) (\Theta_h + e^{-2\tau} \Theta_c)$$

$$\Gamma_c^{[+\infty]} = h(2\tau) (\Theta_c + e^{-2\tau} \Theta_h)$$

Converges exponentially to:

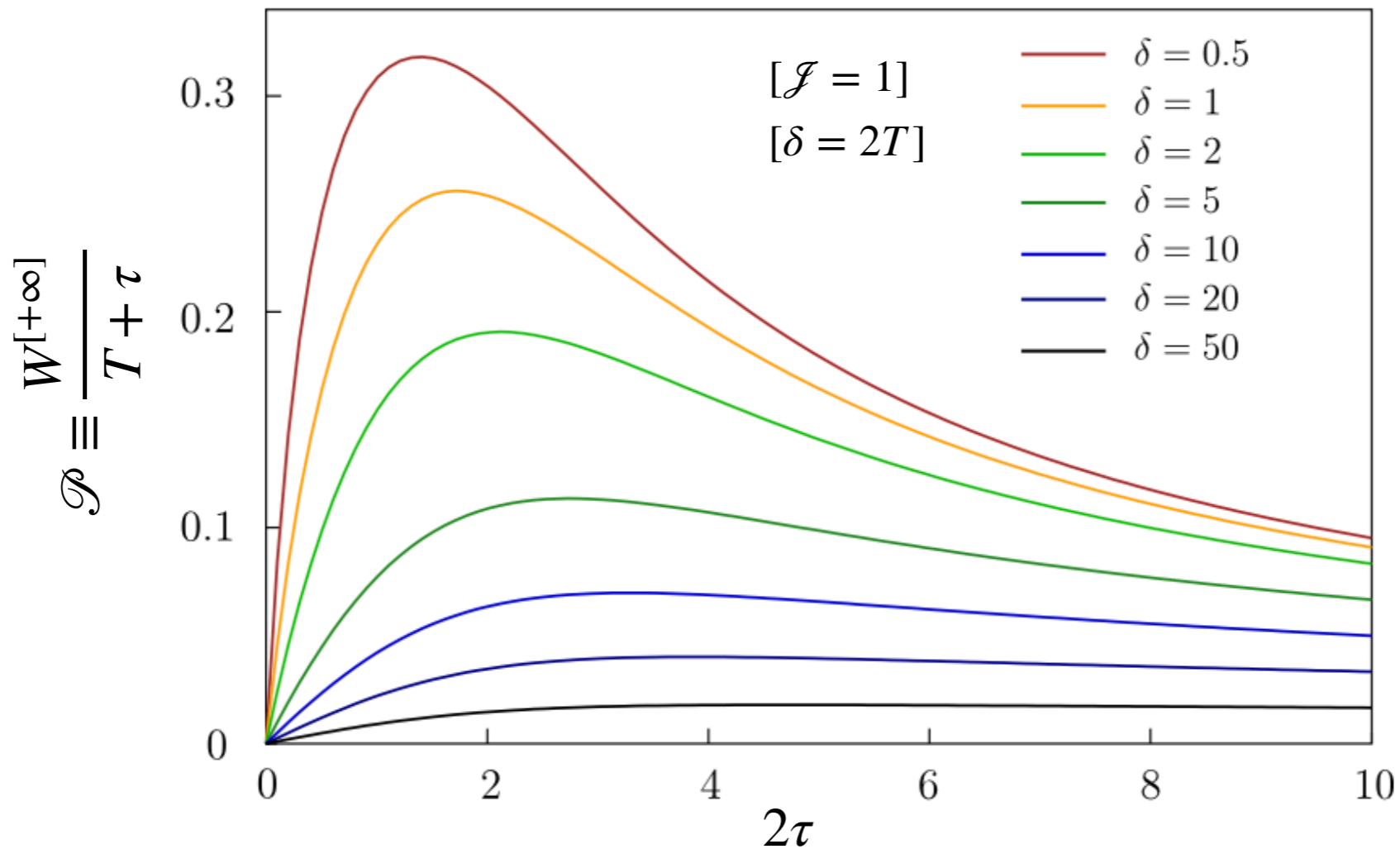
$$W^{[+\infty]} = g(\tau) W_{\text{ideal}}$$

$$Q_{c/h}^{[+\infty]} = g(\tau) Q_{c/h, \text{ideal}}$$

$$g(\tau) \equiv \tanh(\tau)$$

B) Non-perfect thermalization

System in contact with a thermal reservoir for a **finite time τ**



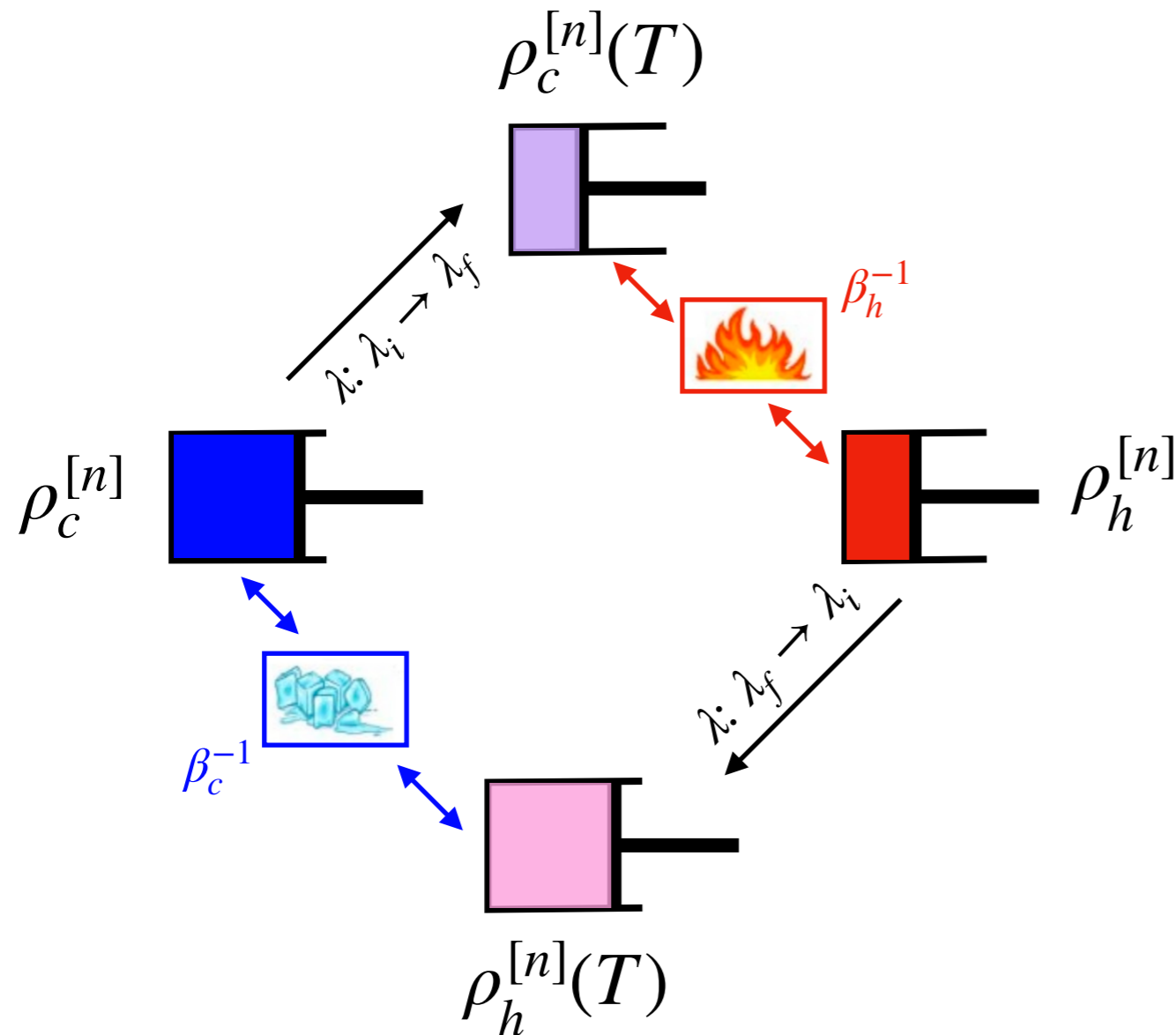
Converges exponentially to:

$$\begin{aligned}
 W^{[+\infty]} &= g(\tau) W_{\text{ideal}} \\
 Q_{c/h}^{[+\infty]} &= g(\tau) Q_{c/h, \text{ideal}}
 \end{aligned}$$

$$\underline{g(\tau) \equiv \tanh(\tau)}$$

AB) Non-perfect thermalization & quantum adiabaticity

Non-diagonal components of the Bogoliubov quasiparticles correlator become non vanishing:



$$(\Lambda_c^{[n]})_{jl} = \langle \Phi_j \Phi_l^\dagger \rangle_{\rho_c^{[n]}}$$

$$(\Lambda_{c,T}^{[n]})_{jl} = \langle \Phi_j \Phi_l^\dagger \rangle_{\rho_c^{[n]}(T)}$$

$$(\Lambda_h^{[n]})_{jl} = \langle \Phi_j \Phi_l^\dagger \rangle_{\rho_h^{[n]}}$$

$$(\Lambda_{h,T}^{[n]})_{jl} = \langle \Phi_j \Phi_l^\dagger \rangle_{\rho_h^{[n]}(T)}$$

Expressions in terms of series expansions, easy to be computed numerically in the limit-cycle $n \rightarrow \infty$.

Example:

**Otto engine based on a
quantum Ising-chain medium**

The quantum Ising chain

A free-fermion model, after [Jordan-Wigner](#) transforming fermions into qubits:

$$H_{\text{Ising}}(h) = - \sum_j \left(\sigma_j^x \sigma_{j+1}^x + h \sigma_j^z \right)$$

$$\sigma_j^- = e^{-i\pi \sum_{\ell=1}^{j-1} c_\ell^\dagger c_\ell} c_j$$

$$\omega_k(h) = 2\sqrt{1 + h^2 - 2h \cos k}, \quad k \in (0, \pi) \quad (\text{thermodynamic limit})$$

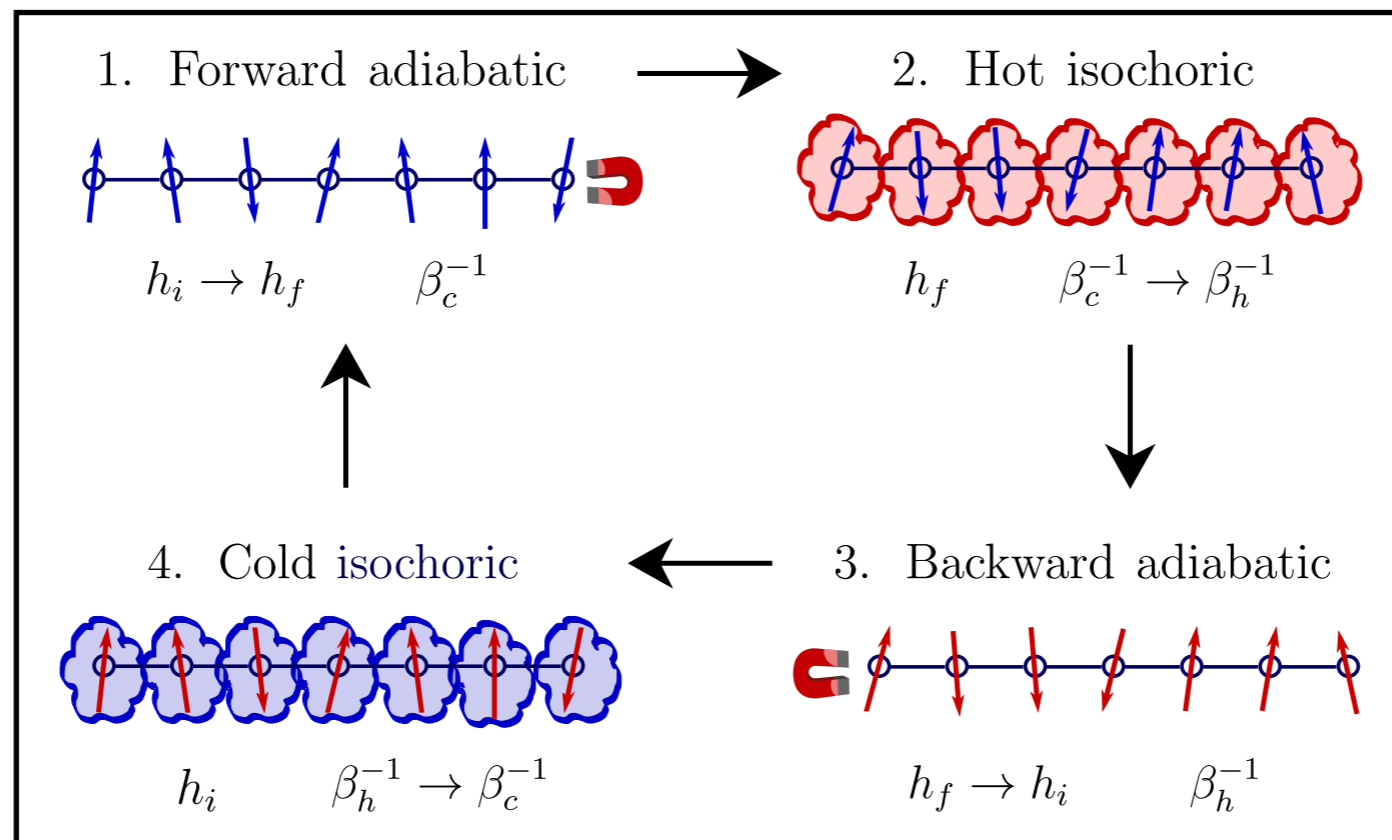
The quantum Ising chain

A free-fermion model, after **Jordan-Wigner** transforming fermions into qubits:

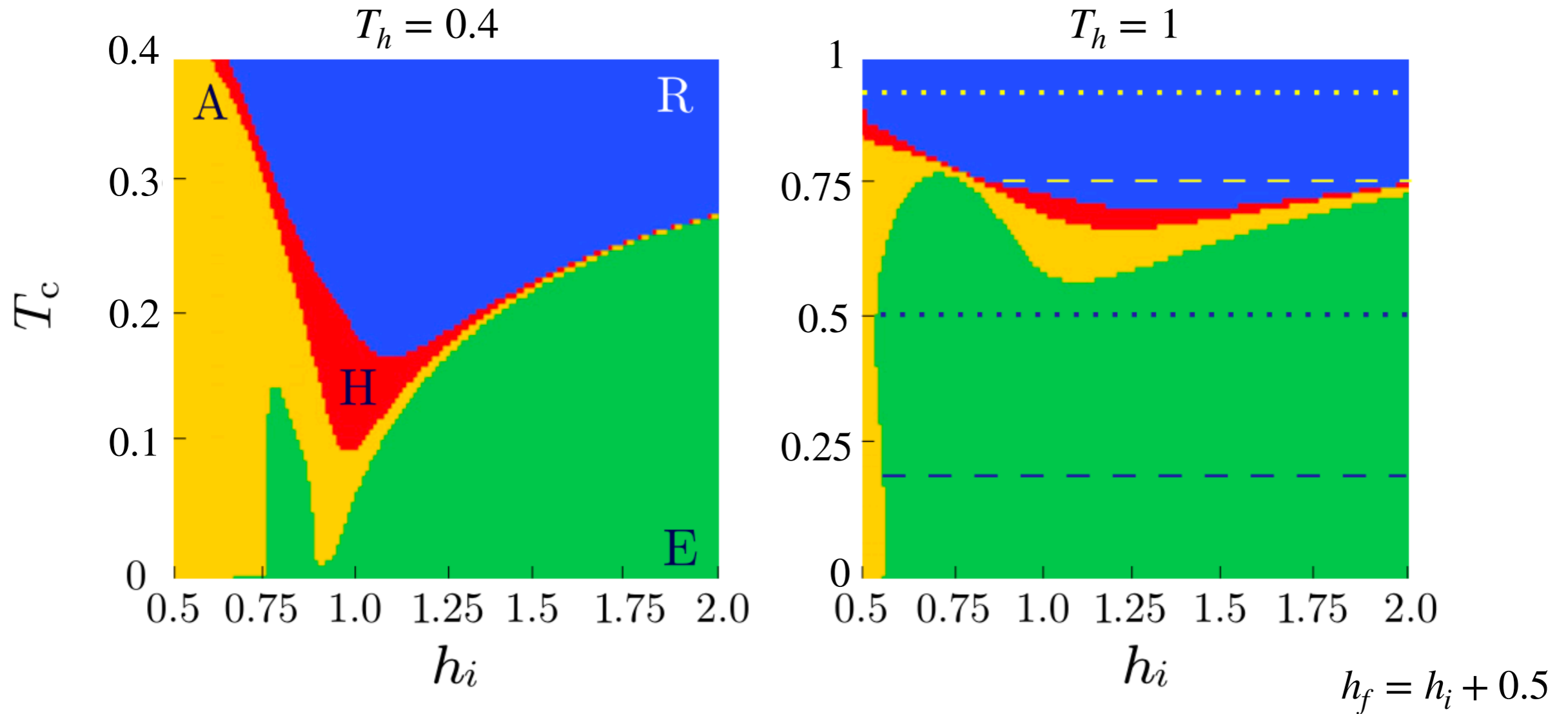
$$H_{\text{Ising}}(h) = - \sum_j \left(\sigma_j^x \sigma_{j+1}^x + h \sigma_j^z \right)$$

$$\sigma_j^- = e^{-i\pi \sum_{\ell=1}^{j-1} c_\ell^+ c_\ell} c_j$$

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Ideal Ising Otto cycle

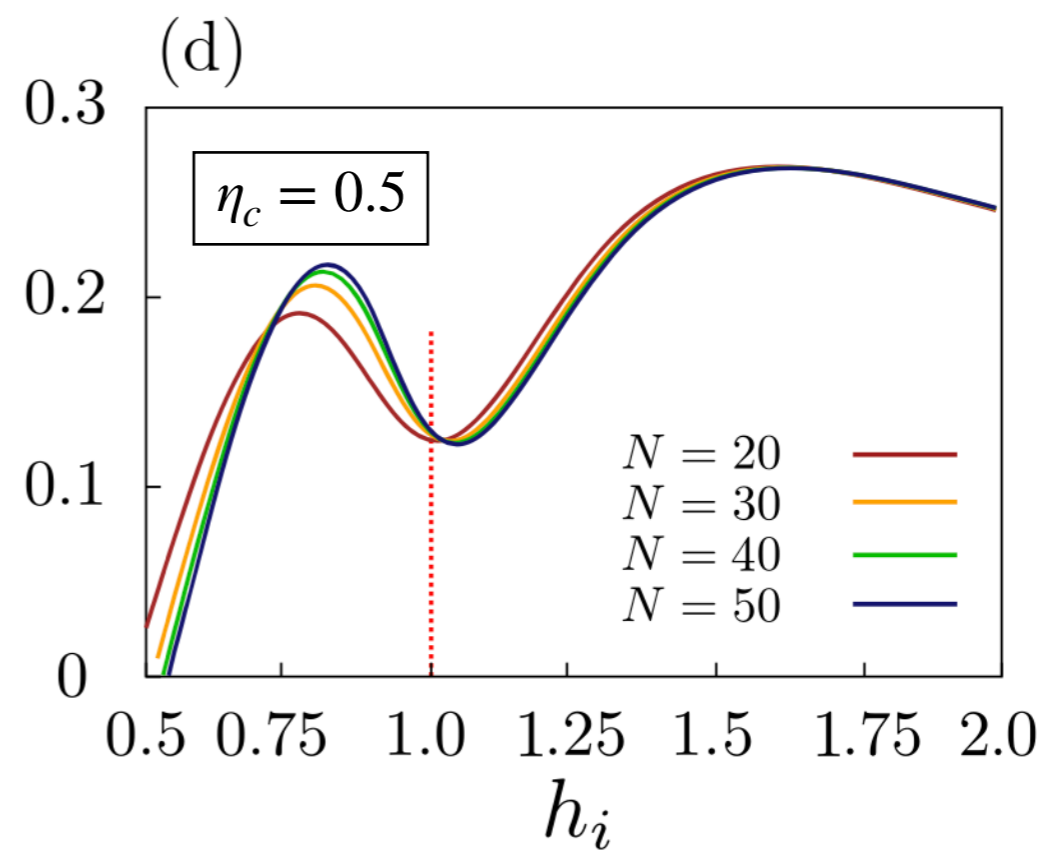
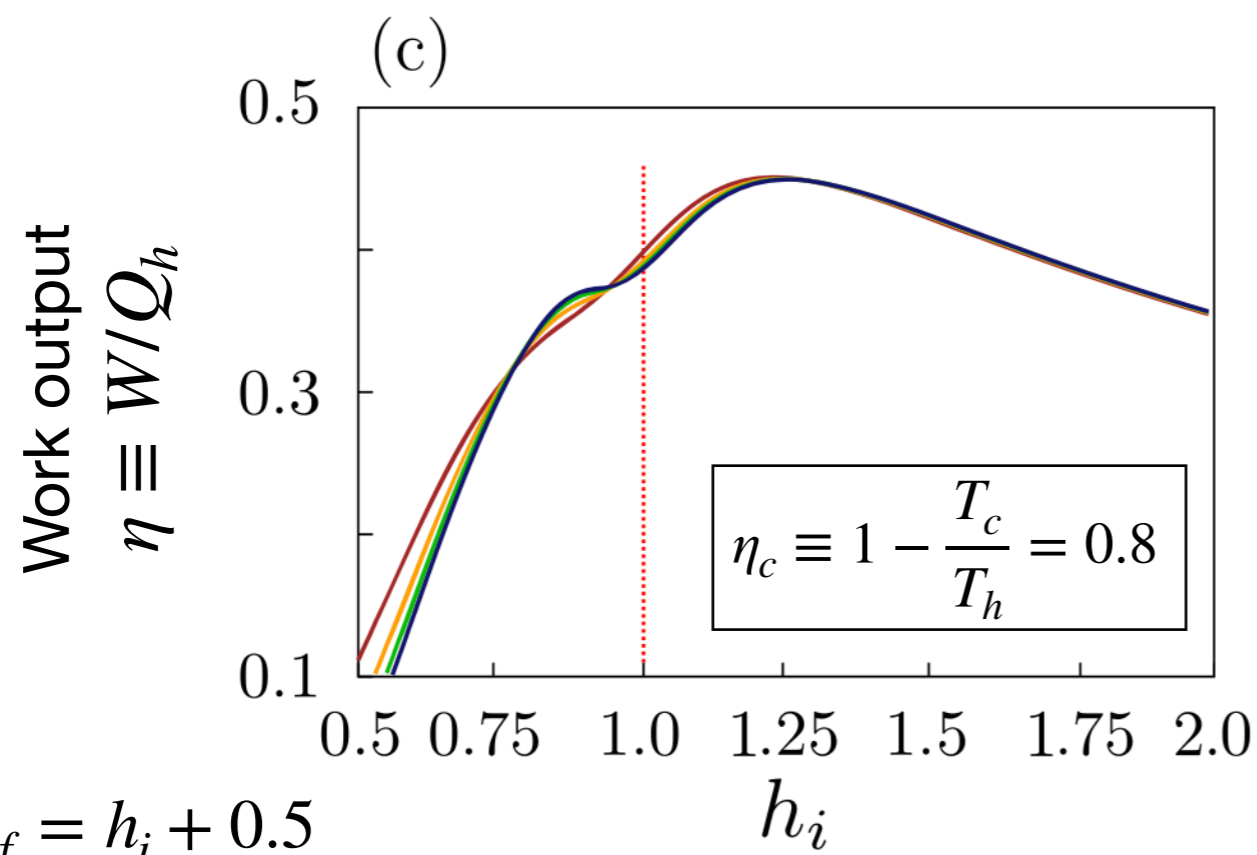
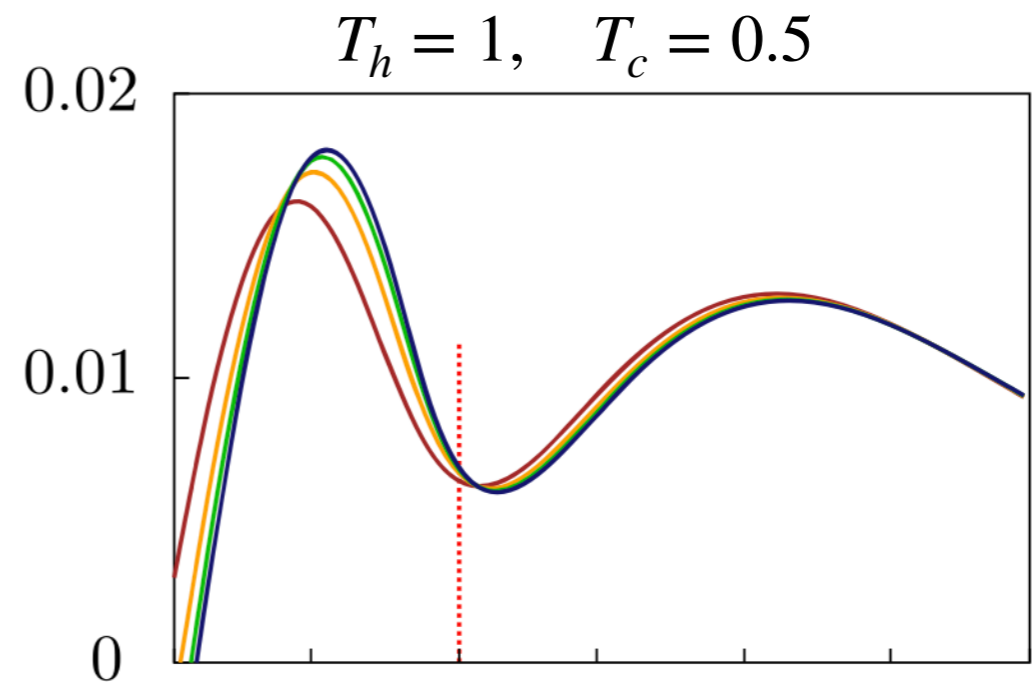
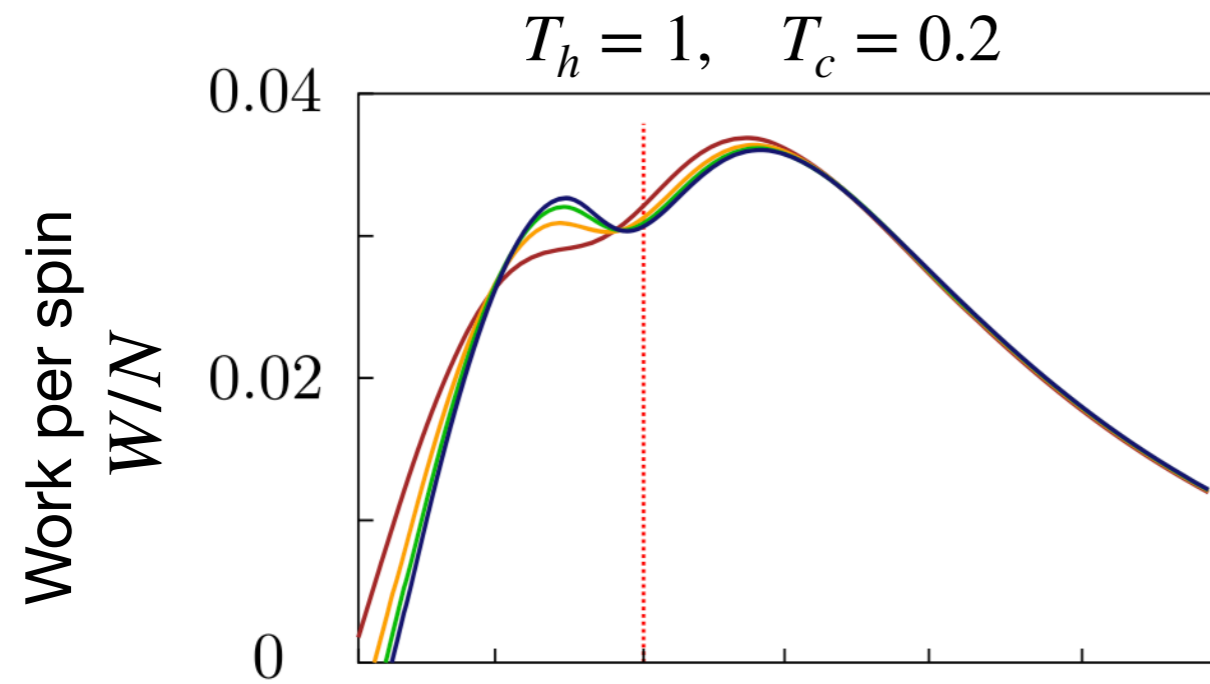


H: heater ($Q_h, Q_c, W < 0$)
A: accelerator ($Q_h > 0, Q_c, W < 0$)
E: heat engine ($Q_c < 0, Q_h, W > 0$)
R: refrigerator ($Q_c > 0, Q_h, W < 0$)

Quantum criticality ($h = 1$):

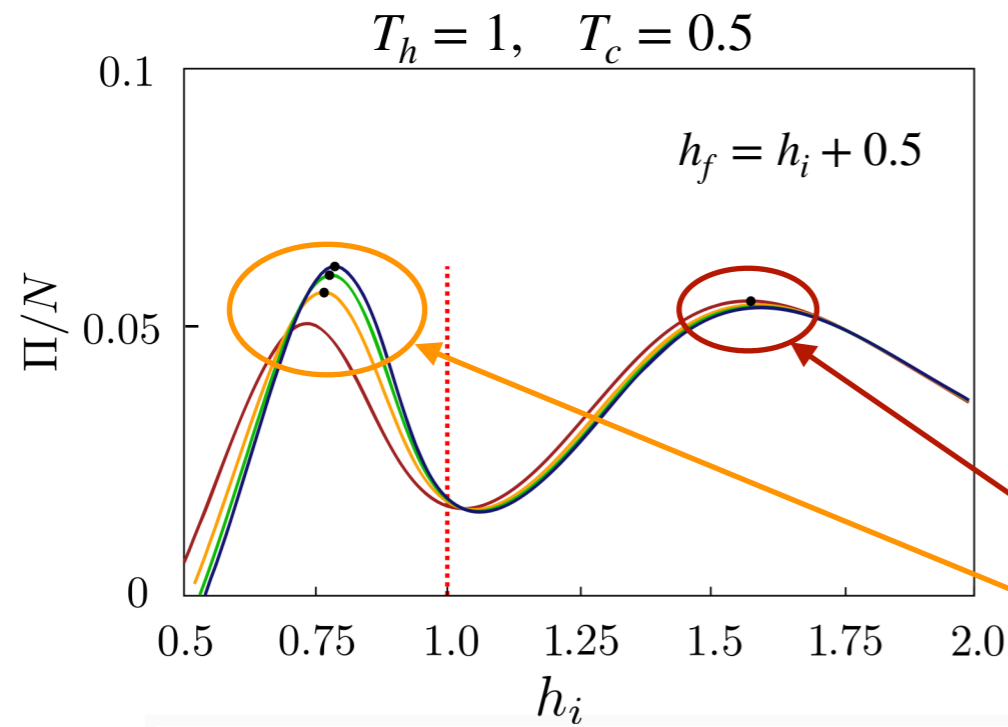
- closure of the energy gap
- divergence of the magnetic susceptibility
- divergence of the specific heat

Heat engine



$h_f = h_i + 0.5$

Heat engine



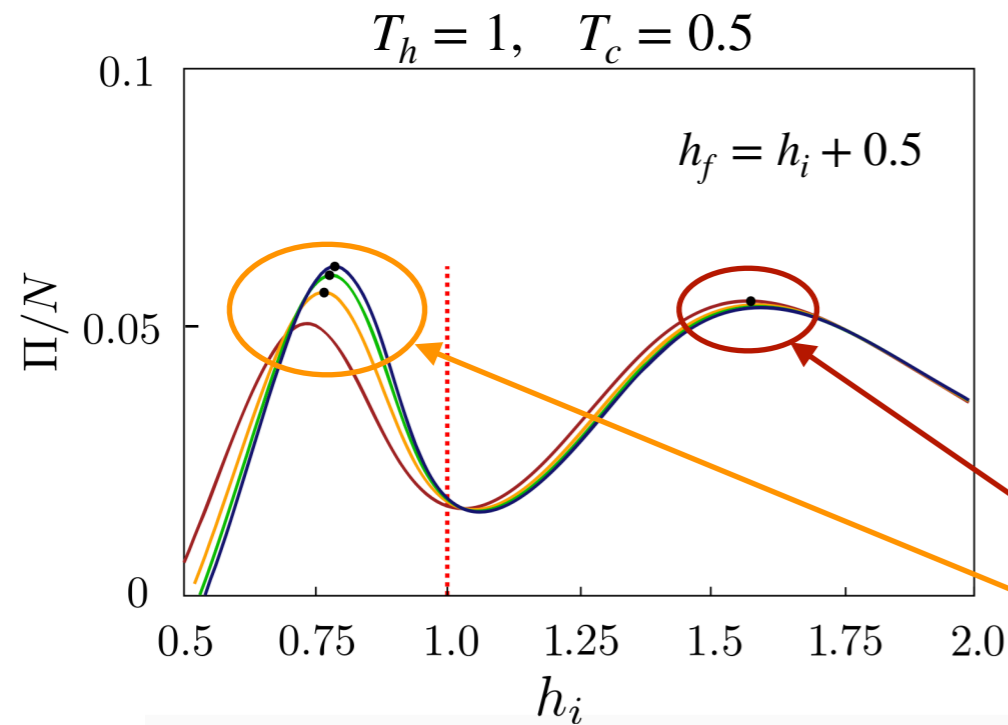
Thermodynamic performance: $\Pi = \frac{W}{\eta_C - \eta}$

Carnot efficiency: $\eta_C = 1 - \frac{T_c}{T_h}$

“*paramagnetic*” peak

“*critical*” peak

Heat engine

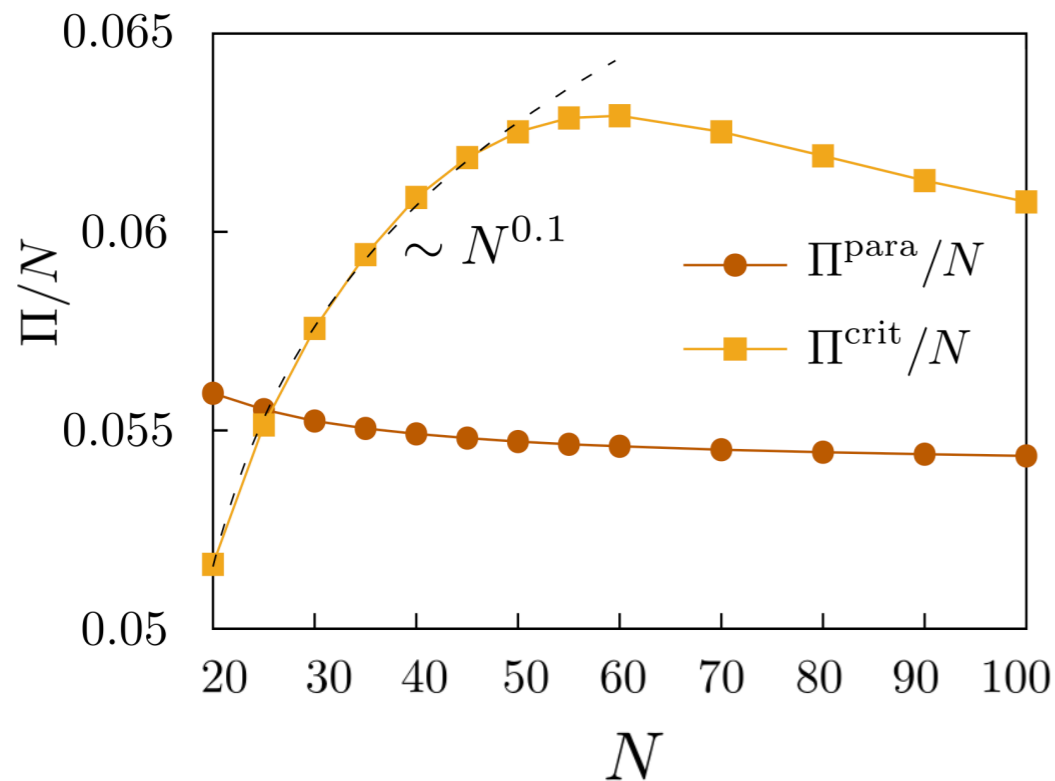


Thermodynamic performance: $\Pi = \frac{W}{\eta_C - \eta}$

Carnot efficiency: $\eta_C = 1 - \frac{T_c}{T_h}$

“*paramagnetic*” peak

“*critical*” peak



Paramagnetic peak: scales linearly with N

Critical peak: scales more than linearly with N

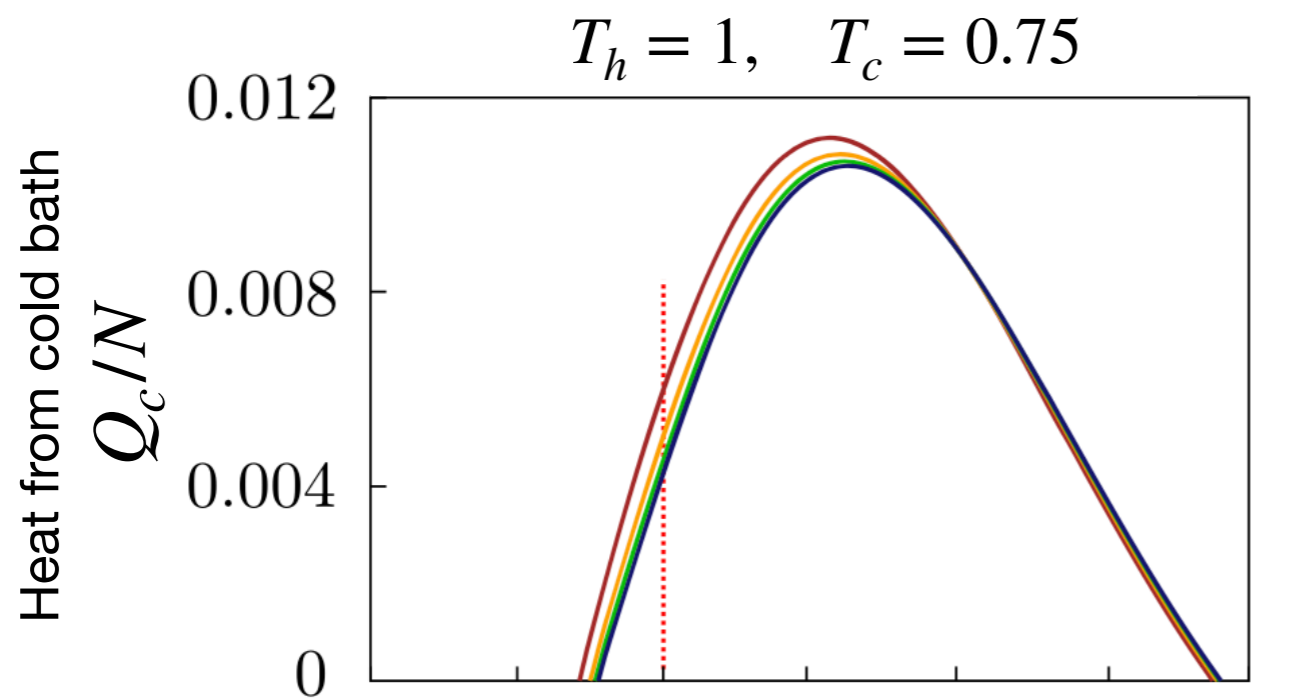
Performance enhancement by criticality?

$$\Pi^{\text{crit}}/N \sim N^\alpha, \alpha > 0$$

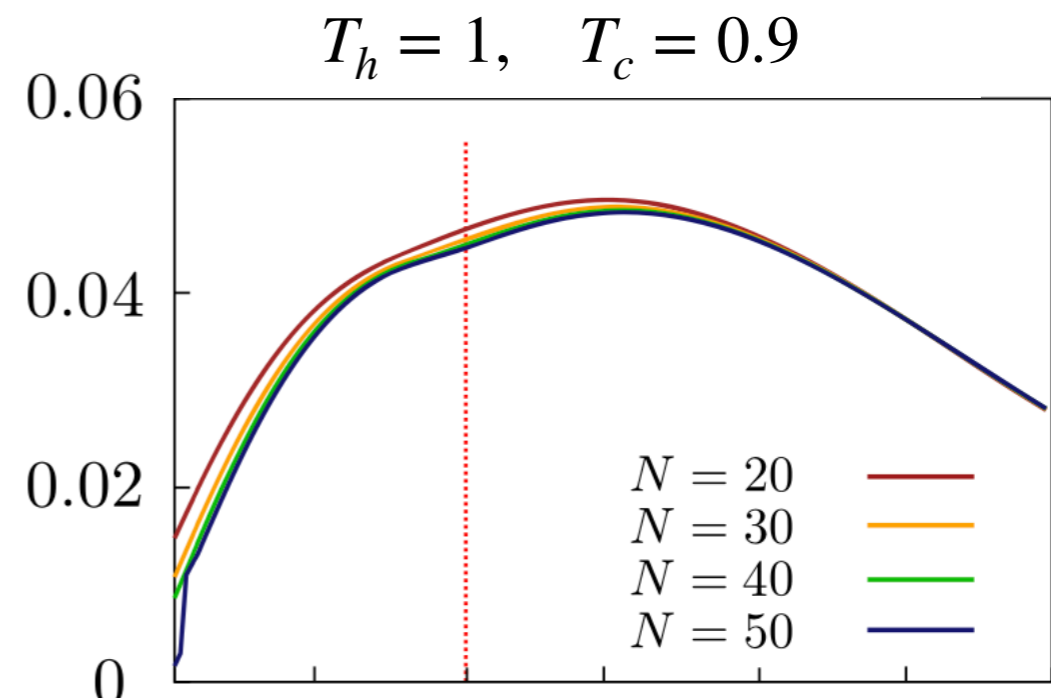
- α increases when cooling down the system
- crossover effect

M. Campisi, R. Fazio, Nat. Comm. **7**, 11895 (2016)

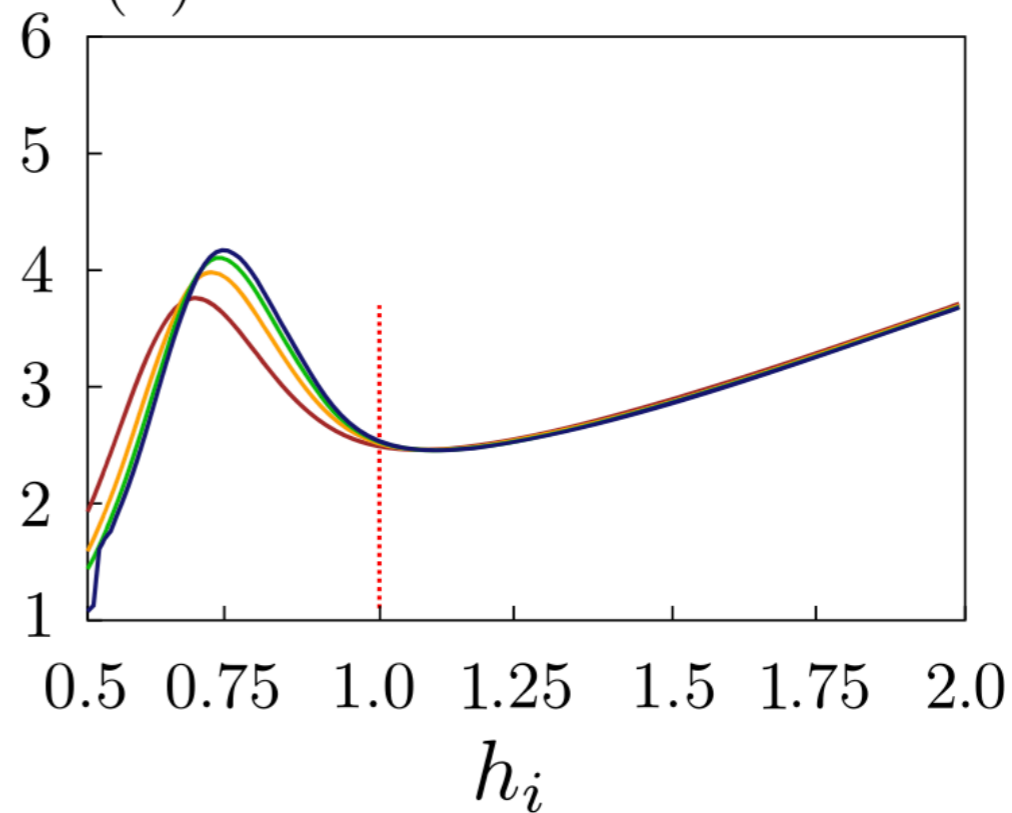
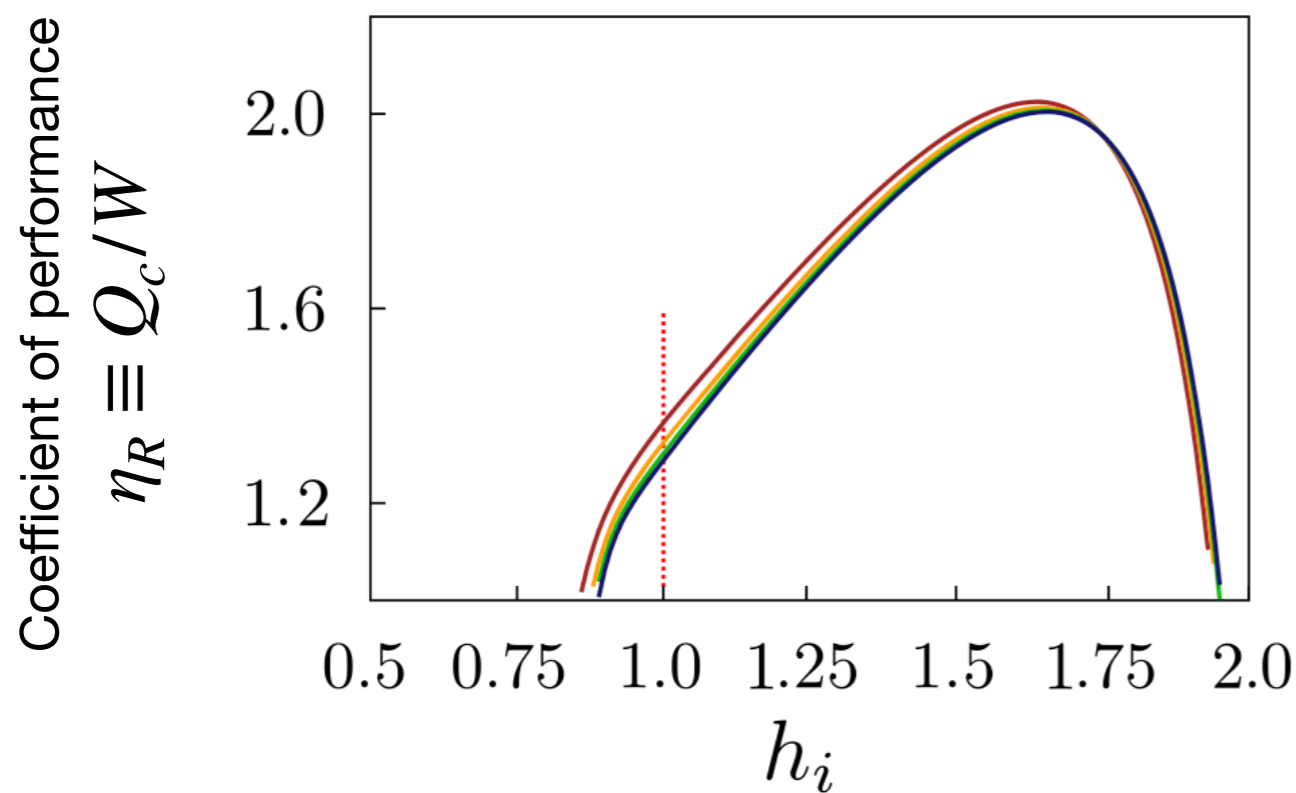
Refrigerator



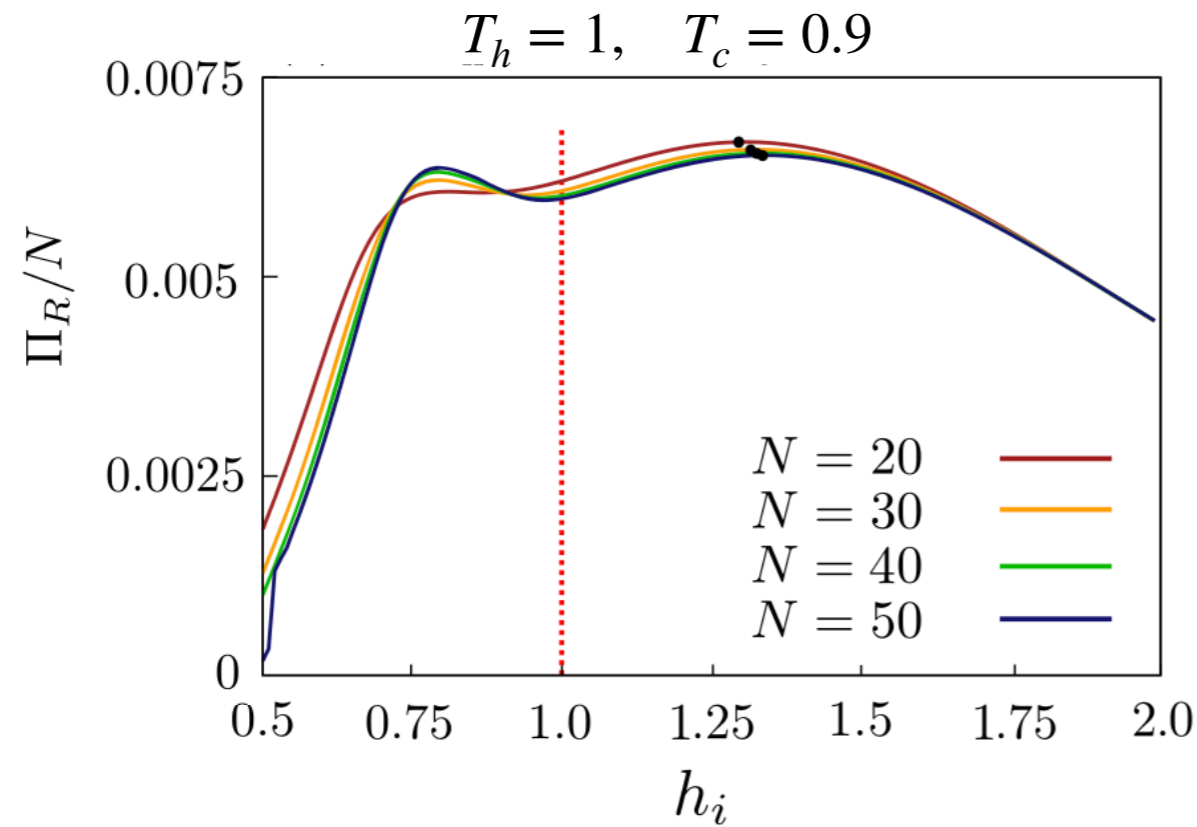
(c)



(d)

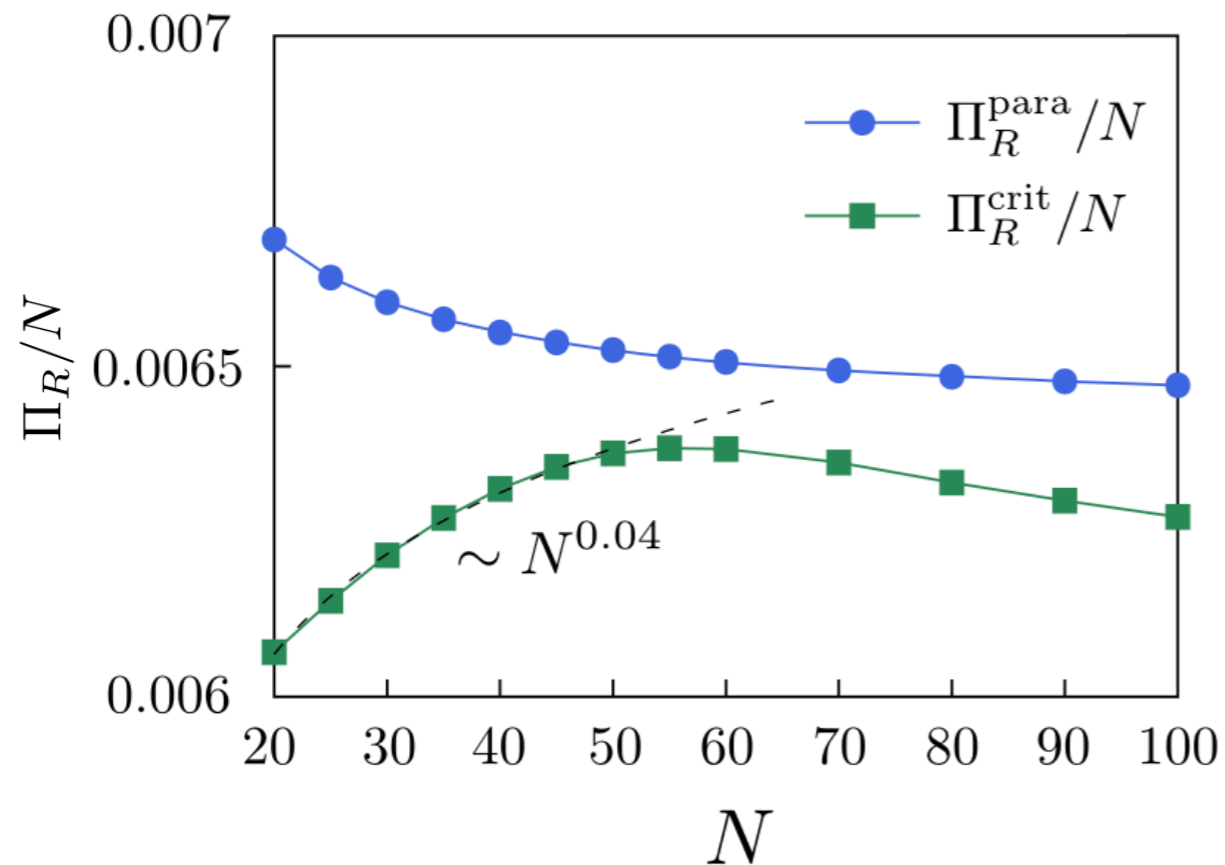


Refrigerator



As for the heat engine:

- double-peak structure
- **paramagnetic peak** weakly affected by the system size
- super-extensive scaling of the **critical peak** for moderate N values



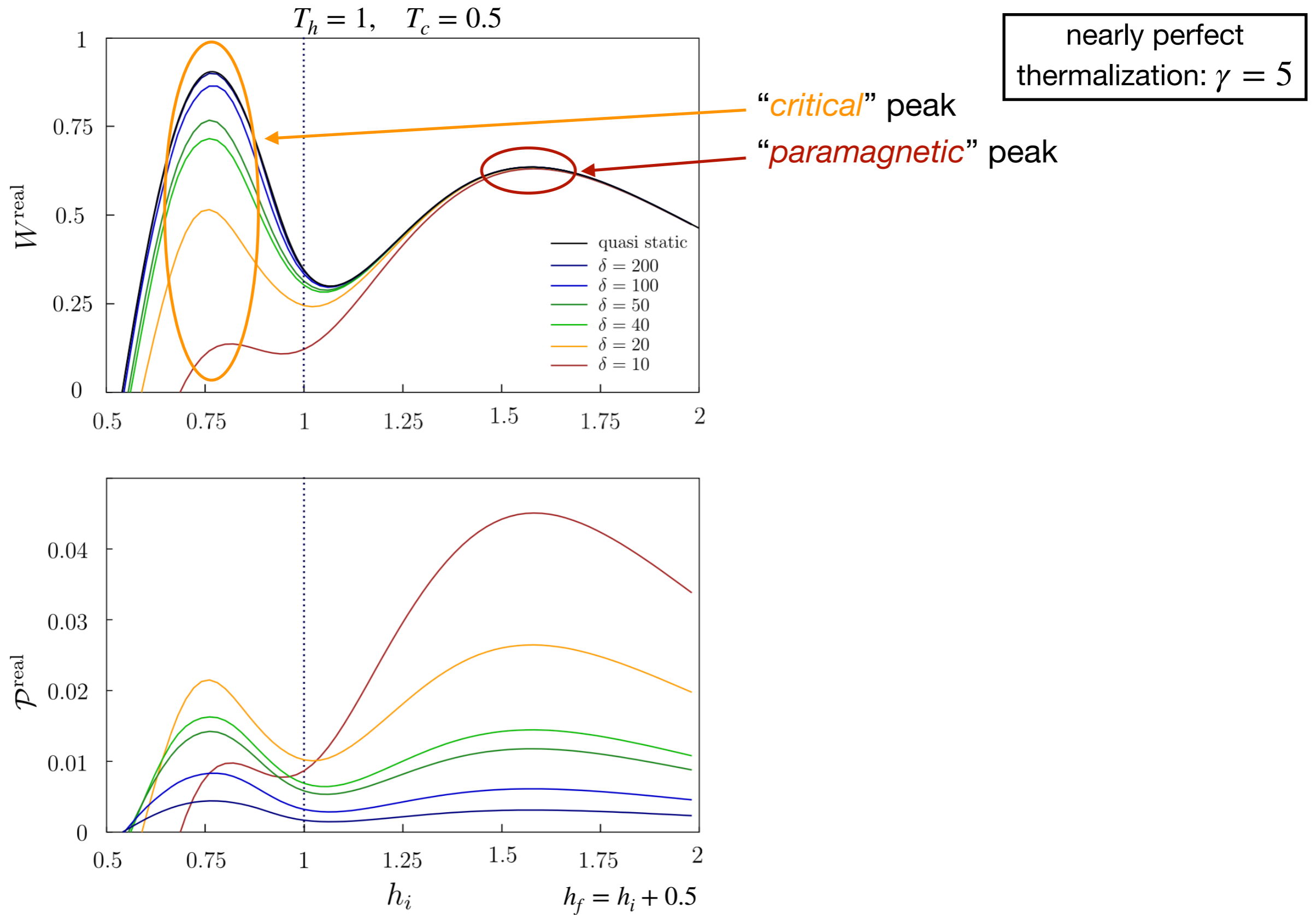
Less perceptible role of quantum criticality, than for the heat engine

Non-ideal Ising Otto cycle

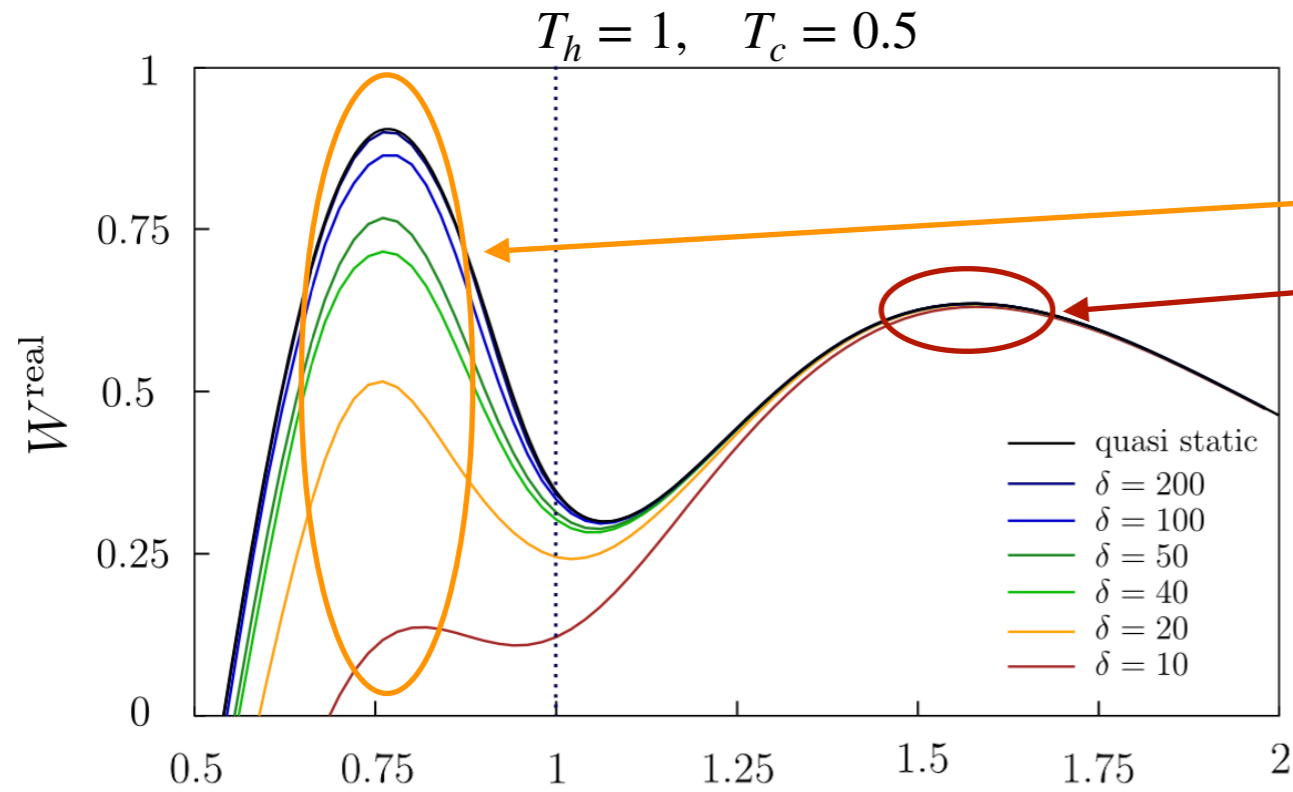
Finite adiabaticity time: $T \equiv \delta/2$

Finite thermalization time: $\tau \equiv \gamma/2, [\mathcal{J} = 1]$

Imperfect quantum adiabaticity



Imperfect quantum adiabaticity

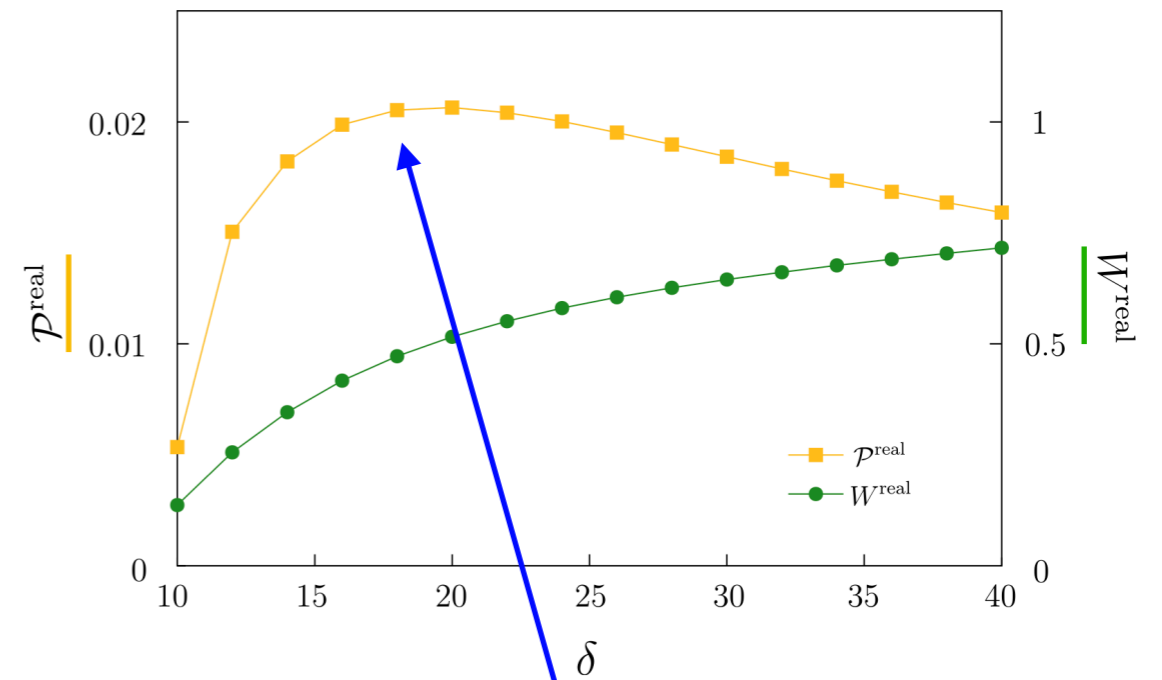


nearly perfect thermalization: $\eta = 5$

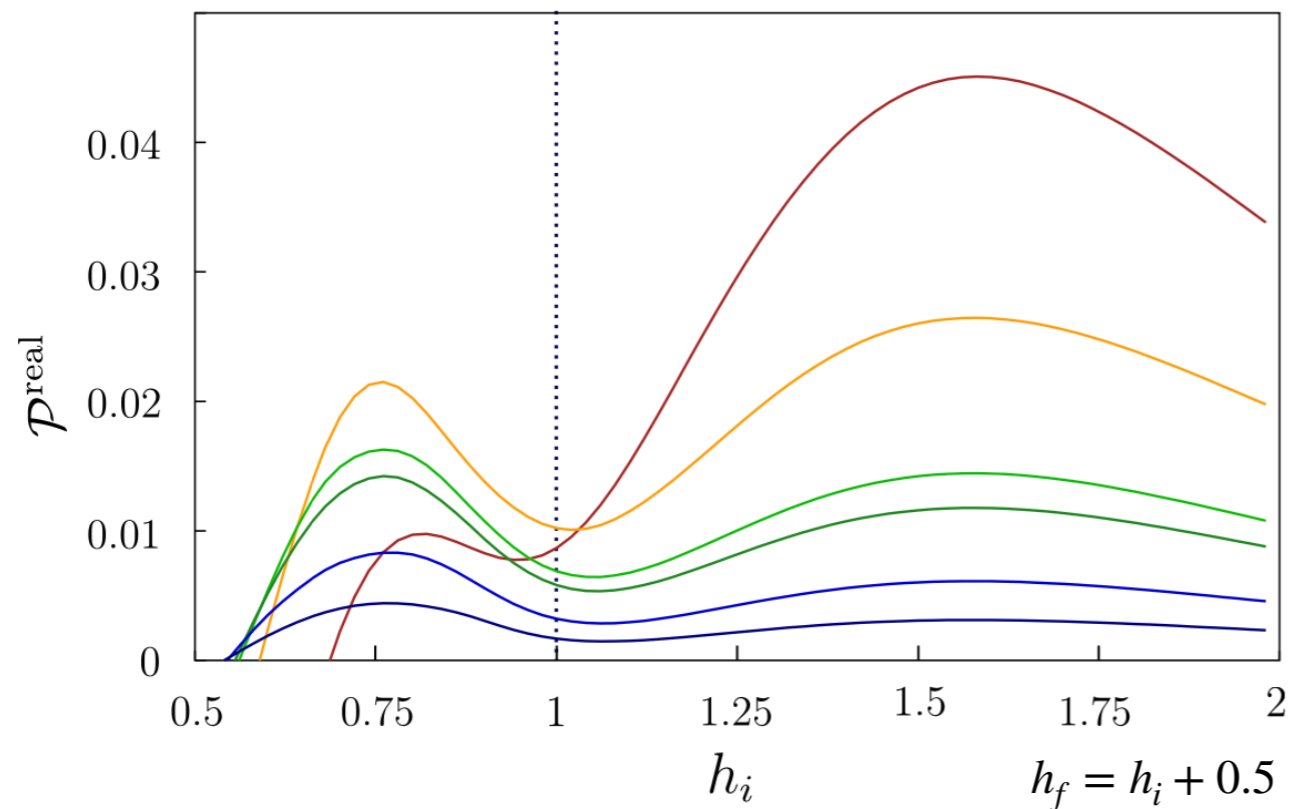
“critical” peak

“paramagnetic” peak

work and power @ critical peak

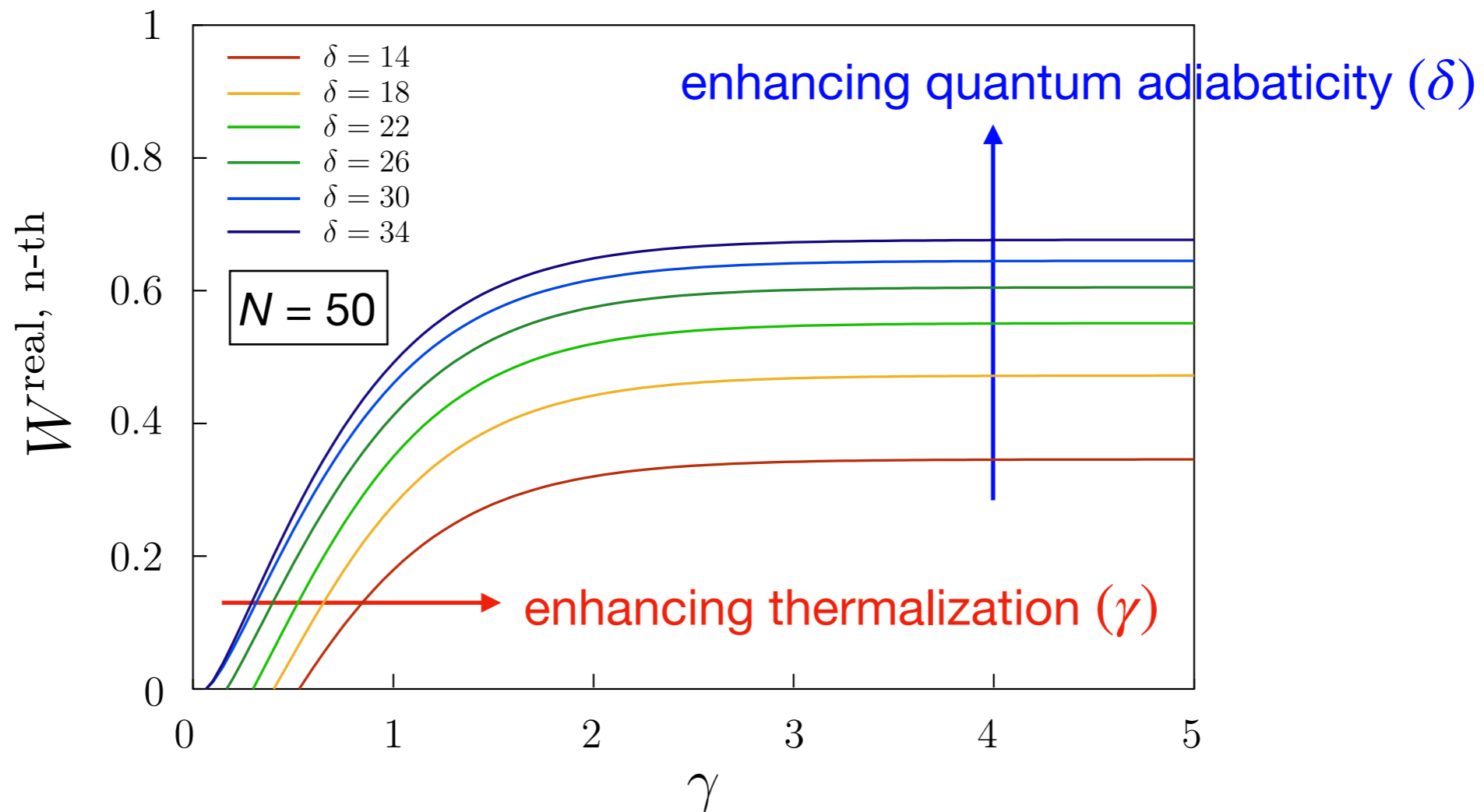


optimal working point:
power non monotonic in δ



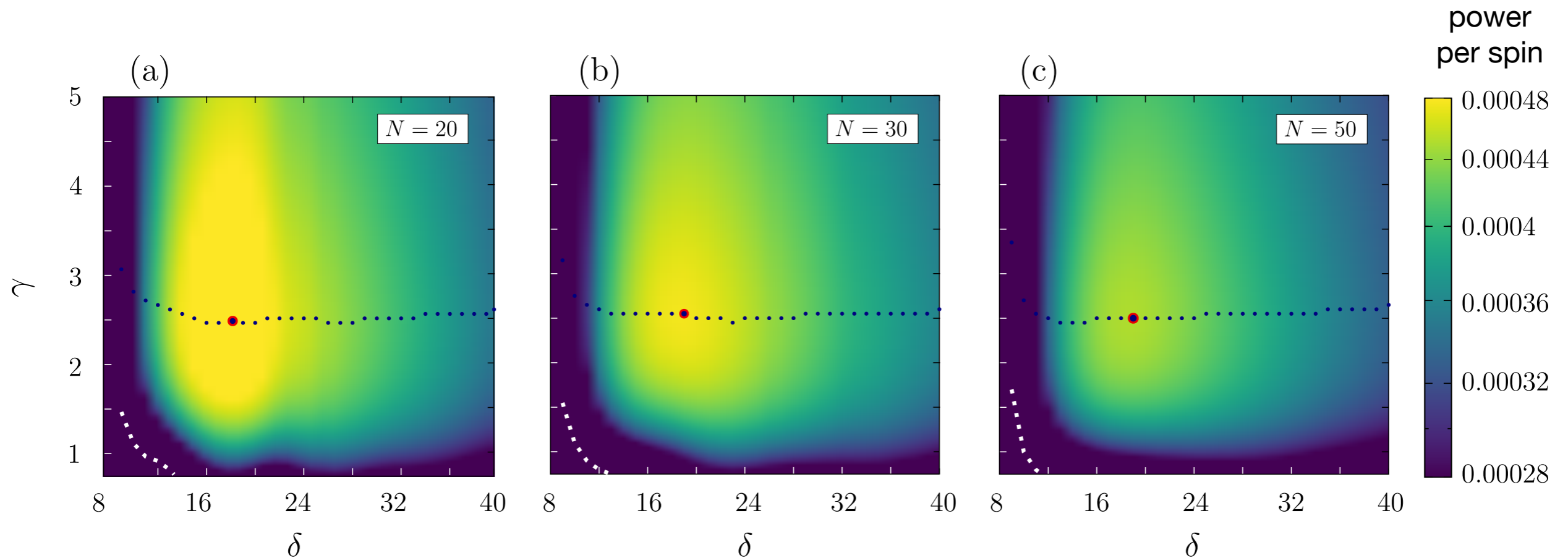
Non-ideal Ising Otto cycle

Work done by the engine @ the critical peak [$h_i = 0.76$, $h_f = h_i + 0.5$]



- Curves for the **work** are all **monotonic**, both in $T = \delta/2$ and in $\tau = \gamma/2$.
- Imperfect thermalization & quantum non-adiabaticity can modify the engine operation mode.

Non-ideal Ising Otto cycle



- The **power** is **nonmonotonic**, both in $T = \delta/2$ and in $\tau = \gamma/2$.
(time scales obviously depend on the Hamiltonian spin-spin coupling strength J , as well as on the system-bath coupling strength \mathcal{J}).
- Functional form & position of power output are weakly affected by the system size N .
- Power output is optimized @ small N .
(the gap closes with N , thus work extraction at large N is affected by fast quenches).

Summary

- ◆ Free-fermion Otto engines can operate in different modes
- ◆ Optimal working point
- ◆ Not obvious how criticality might enhance performances
- ◆ Variational optimization of (quasi)-adiabatic strokes?
- ◆ Role of fluctuations @ criticality?
- ◆ Different universality classes / role of interactions?