

HEAVY FLAVOR PHYSICS WITH $O(a)$ IMPROVED WILSON QUARKS

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FIRST LATTICENET WORKSHOP ON CHALLENGES IN LATTICE FIELD THEORY
SEPTEMBER 13, 2022



THE SEARCH FOR NEW PHYSICS

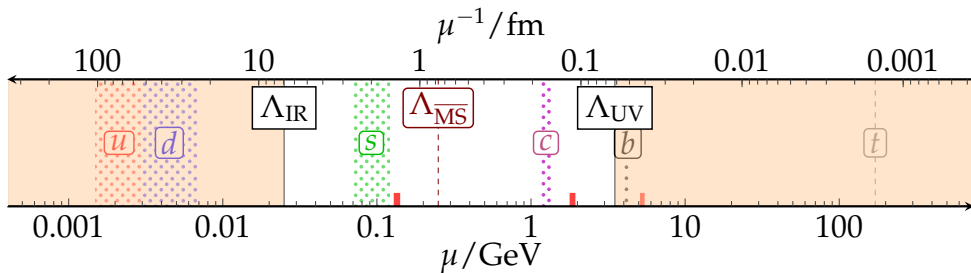
- Indirect search via precision physics in the flavor sector.
- CKM matrix elements can be overconstrained to test the SM.
- Discrepancy between exclusive and inclusive determination of $|V_{cb}|$:

$$\left|V_{cb}^{\text{excl}}\right| = (39.1 \pm 0.5) \times 10^{-3}, \quad \left|V_{cb}^{\text{incl}}\right| = (42.2 \pm 0.8) \times 10^{-3}$$

Lattice QCD provides input for both quantities

- Form factors of semi-leptonic B decays for $|V_{cb}^{\text{excl}}|$.
- Charm and bottom quark masses for $|V_{cb}^{\text{incl}}|$.

LATTICE QCD - SCALES



- **Red marks:** Pion, D- and B-meson masses
- $\Lambda_{\text{IR}} = L^{-1}$, inverse lattice extent. Typically $L \gtrsim 4/m_\pi \approx 6$ fm
- $\Lambda_{\text{UV}} = a^{-1}$, inverse lattice spacing. Typically $a \lesssim 1/(2m_D) \approx 0.05$ fm

Image taken from [\[1002.1807, Della Morte and Heitger\]](#)

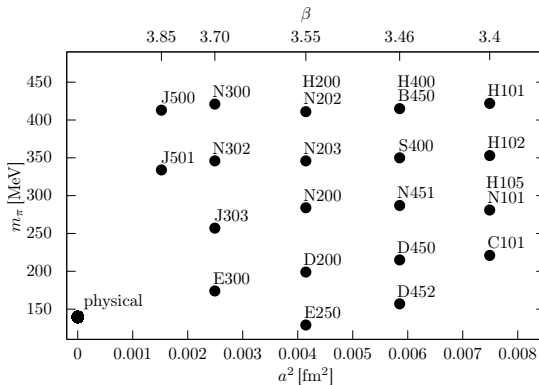
- We work with three flavors of $O(a)$ improved Wilson quarks in the sea.
- Renormalization and improvement pattern of flavor non-diagonal operators in a massless renormalization scheme [[hep-lat/0511014](#), [Bhattacharya et al.](#)]:

$$(O^{ij})_{\text{R}}^{\text{I}}(x) = Z_{\text{O}}(\tilde{g}_0^2, a\mu) [1 + ab_{\text{O}}(\tilde{g}_0^2)m_{\text{q},ij} + a\bar{b}_{\text{O}}(\tilde{g}_0^2)\text{Tr}[M_{\text{q}}]] (O^{ij}(x) + a\delta O^{ij}(x))$$

with the $O(a)$ improved bare coupling \tilde{g}_0^2 and a possible scale dependence $a\mu$.

- Valence $am_{\text{q},ij}$ and sea quark mass $a\text{Tr}[M_{\text{q}}]$ dependent cutoff effects.
- Heavy flavor physics on $N_{\text{f}} = 2 + 1$ ensembles: Non-perturbative determination of b_{O} to cancel valence quark mass dependent cutoff effects $O(am_{\text{q}})$.

2 + 1 FLAVOR CLS ENSEMBLES



- $O(a)$ improved Wilson-clover fermions, Lüscher-Weisz gauge action.
- Five values of $a \in [0.039, 0.087]$ fm, a factor of 5 in a^2 .
- Open boundary conditions in temporal direction.
- $m_\pi \in [129, 422]$ MeV

- Trajectory in this work: $\text{Tr}[M_q] = 2m_l + m_s = \text{const.}$ to keep improved coupling $\tilde{g}_0^2 = g_0^2 \left(1 + \frac{1}{N_f} b_g a \text{Tr}[M_q]\right)$ constant up to $\mathcal{O}(a^2)$.

CHARM PHYSICS

- Charm quark mass is a basic parameter of the Standard Model.
- Meson and quark masses from axial A_μ^{ij} and pseudoscalar P^{ij} quark bilinears.
- Bare quark masses from the PCAC relation:

$$\partial_0 \langle (A_I)_0^{ij} P^{ji} \rangle = 2m_{ij} \langle P^{ij} P^{ji} \rangle + O(a^2), \quad \text{where} \quad (A_I)_\mu^{ij} = A_\mu^{ij} + ac_A \partial_\mu P^{ij}$$

- Measure at two heavy masses around the charm.
- Set the charm quark hopping parameter κ_c from the flavor averaged D-meson mass $m_{\bar{D}} = \frac{2}{3}m_D + \frac{1}{3}m_{D_s}$ or the connected part of η_c .

*with J. Heitger and F. Joswig

RENORMALIZED QUARK MASS

- Compute the renormalized RGI charm quark mass from PCAC masses:

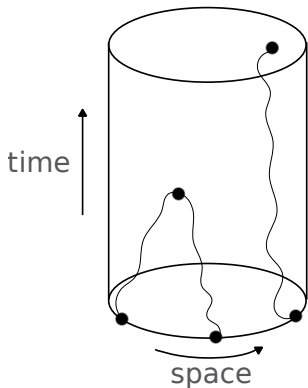
$$M_c^{\text{RGI}} = \frac{M}{\bar{m}(\mu_{\text{had}})} m_{cc',\text{R}}$$
$$\equiv Z_M m_{cc'} \left[1 + \frac{(b_A - b_P)}{Z} am_{cc'} + \left(\frac{(\bar{b}_A - \bar{b}_P)}{Z r_m} - \frac{(b_A - b_P)}{Z} \frac{(r_m - 1)}{r_m N_f} \right) aM_{\text{sum}} \right]$$

- ▶ $Z_M = M/\bar{m}(\mu_{\text{had}}) \cdot Z_A/Z_P(\mu_{\text{had}})$: [1802.05243, Campos et al.], including Z_A from [1808.09236, Dalla Brida et al.]
 - ▶ $(b_A - b_P)$ and $Z = Z_m Z_P/Z_A$: [1906.03445, de Divitiis et al.]
 - ▶ $(r_m - 1)$: [2101.10969, Heitger et al.]
 - ▶ $(\bar{b}_A - \bar{b}_P) \propto \mathcal{O}(g_0^4)$, not known non-perturbatively
 - ▶ $aM_{\text{sum}} = am_{12} + am_{23} + am_{31} = Z r_m \text{Tr} [M_q] + \mathcal{O}(a)$
- Alternative definitions via heavy-sea currents and the ratio-difference method [1011.2711, Dürr et al.]. Two definitions of discretized derivative $\tilde{\partial}_0$ in PCAC mass.

CHARM PHYSICS

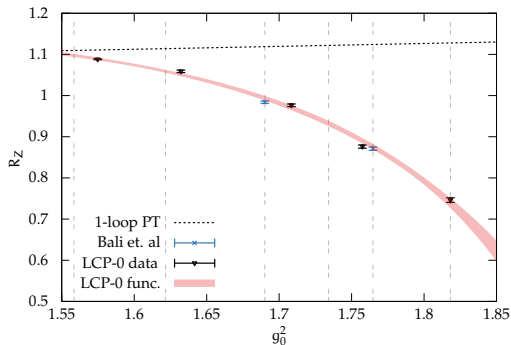
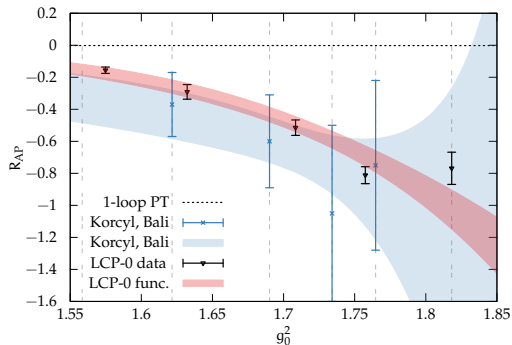
Intermission: Non-perturbative improvement and renormalization

THE SCHRÖDINGER FUNCTIONAL



- ALPHA's tool of choice for renormalization and $O(a)$ improvement [[hep-lat/9207009](#), [Lüscher et al.](#)].
- Dirichlet boundary conditions in time direction. Suited for Monte Carlo simulations and perturbative calculations.
- Boundary conditions and finite volume allow the simulation of **massless quarks** [[hep-lat/9312079](#), [Sint](#)].
- Finite volume \rightarrow consider short-ranged quantities.
- Renormalization and improvement from Ward identities.

IMPROVEMENT AND RENORMALIZATION FROM THE SF *



■ Non-perturbative determination of $b_A - b_P$ and Z [1906.03445, de Divitiis et al.].

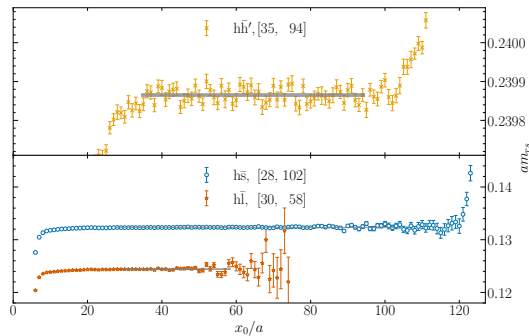
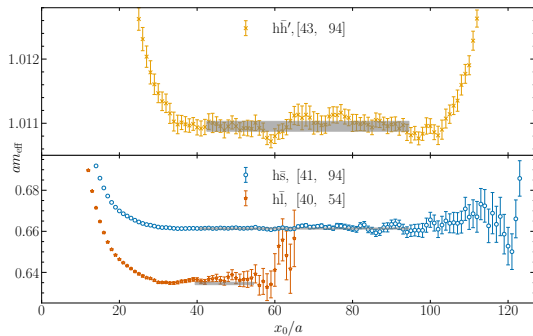
■ Cut-off effects of $O(am_{cc'})$ are canceled via $\frac{(b_A - b_P)}{Z} am_{cc'}$.

*with G. M. de Divitiis, P. Fritzsche, J. Heitger, C. C. Koester, A. Vladikas

CHARM PHYSICS

Back to the quark mass

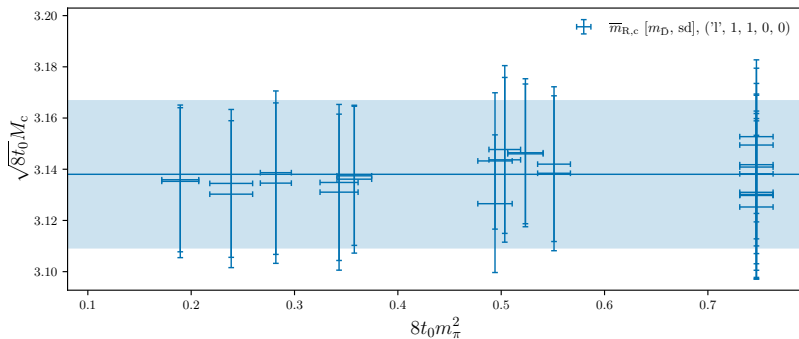
MESON AND QUARK MASSES ON THE LATTICE



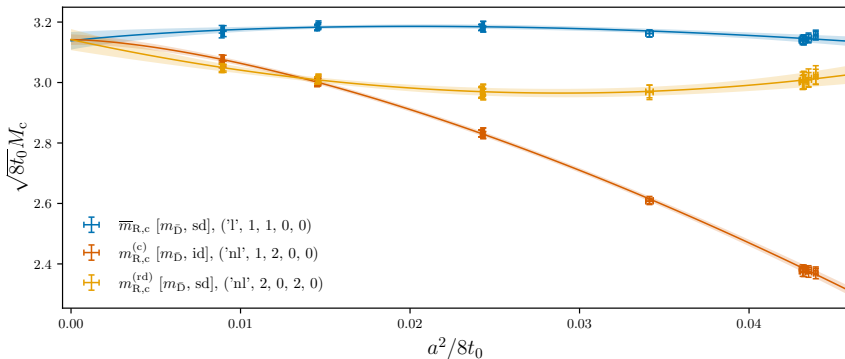
- Obtain bare quark masses with a relative error of $O(10^{-3})$ to $O(10^{-5})$.
- Use distance preconditioning [[1006.4028](#), de Divitiis et al.][[1701.05502](#), Collins et al.] to prevent loss of numerical precision at large source-sink separation.

CHIRAL-CONTINUUM EXTRAPOLATION

- Extrapolate to physical quark mass and vanishing lattice spacing.
- Measured on ensembles with $m_\pi \geq 200$ MeV. No dependence on pion mass resolved.

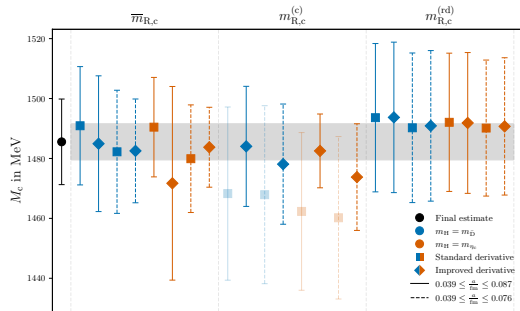
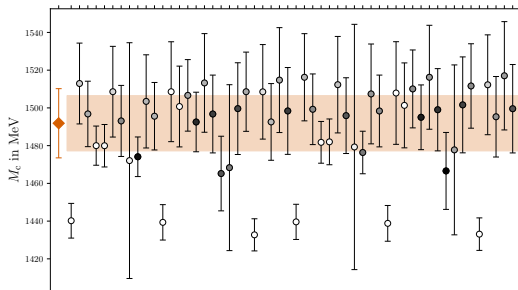


CONTINUUM EXTRAPOLATION



- We find significant $O(a^n), n > 2$ contributions for $a > 0.06$ fm.
- Different lattice definitions coincide in the continuum limit.

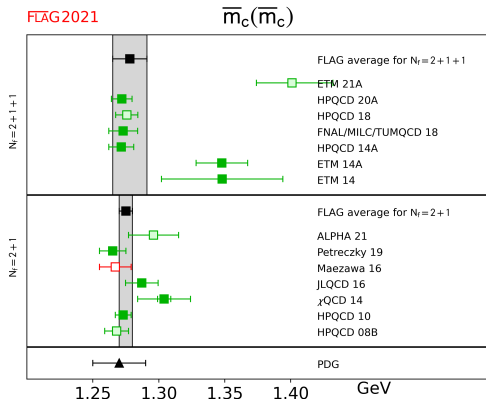
CONTINUUM EXTRAPOLATION



- Quantify systematic uncertainties in each data set via model averaging procedure [2008.01069 , Jay and Neil].
- Combine results from all data sets for final result.

RESULTS FOR m_c

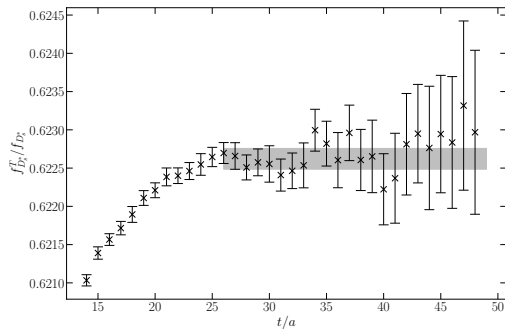
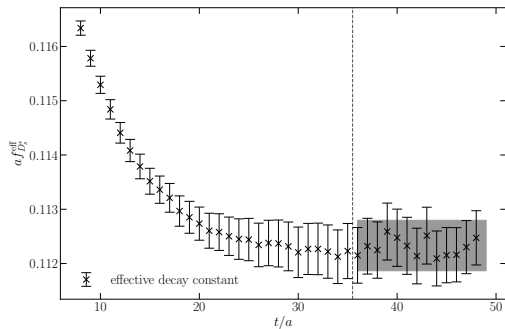
- Our final result is $M_c(N_f = 3) = 1486(14)_{\text{stat}}(14)_{\text{RGI}}(6)_{\text{sys}} \text{ MeV} = 1486(21) \text{ MeV}$.



- Error dominated by external input.
- Convert to $\bar{m}_c(\bar{m}_c)$ for comparison with other groups.
- Ongoing work on CLS ensembles:
 - ▶ RQCD with extended set of ensembles and different renormalization scheme [2206.04178, Bouma et al.].
 - ▶ Madrid group with twisted-mass on Wilson-clover \rightarrow automatic $O(a)$ improvement of the charm.

OUTLOOK: CHARMED DECAY CONSTANTS *

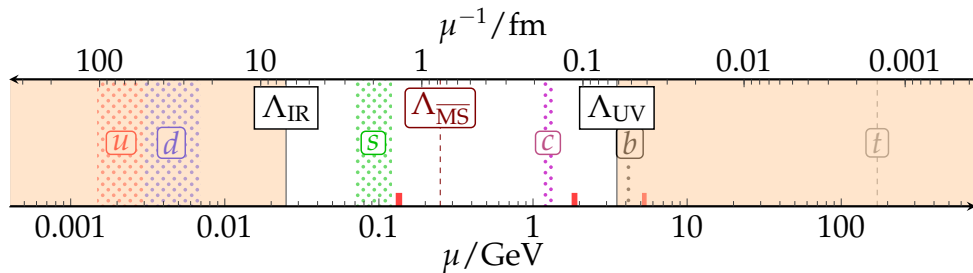
- Work with RQCD collaboration on the determination of $f_{D_{(s)}^*}$ and $f_{D_{(s)}^*}^T$.
- Bare vector decay constants at 0.5% precision.
- Bare ratios $f_{D_{(s)}^*}^T / f_{D_{(s)}^*}$ at sub permille precision, expect dominant contribution from $Z_T(\mu)$ [1910.06759, Chimirri et al.][2111.15325, de Divitiis et al.]



* with G. Bali, S. Collins, J. Heitger, F. Joswig, W. Söldner

BOTTOM PHYSICS

LATTICE QCD - SCALES



- B-observables cannot be evaluated in large volume at several resolutions:
$$L^{-1} \ll m_\pi \approx 140 \text{ MeV} \ll m_B \approx 5 \text{ GeV} \ll a^{-1}$$
- Bottom physics is a multi-scale problem!
- Employ non-perturbative Heavy Quark Effective Theory (HQET) to compute m_b , $f_{B(s)}$, form factors of semi-leptonic B-decays (everything is **preliminary!**).

Image taken from [\[1002.1807, Della Morte and Heitger\]](#)

HQET AT $O(1/m_h)$

Heavy Quark Effective Theory

- Integrate out heavy degrees of freedom of QCD Lagrangian for one heavy quark.
- Expand the Lagrangian in powers of $1/m_h$.
- Describe bottom physics at next-to-leading order in HQET.

$$\mathcal{L}_{\text{heavy}} = \bar{h}_v D_0 h_v - \omega_{\text{kin}} \mathcal{O}_{\text{kin}} - \omega_{\text{spin}} \mathcal{O}_{\text{spin}}, \quad \mathcal{O}_{\text{kin}} = \bar{h}_v \mathbf{D}^2 h_v, \quad \mathcal{O}_{\text{spin}} = \bar{h}_v \boldsymbol{\sigma} \cdot \mathbf{B} h_v$$

HQET on the lattice

- Matrix elements in the effective theory can be computed in large volume.
- Renormalize the effective theory via **non-perturbative** matching to QCD.

HQET AT $O(1/m_h)$ ON THE LATTICE

- Cannot define the path integral from the HQET action at order $1/m_h$ (contains dimension five operators \mathcal{O}_{kin} and $\mathcal{O}_{\text{spin}}$).
- Expand the Boltzmann factor in the path integral in powers of the inverse heavy quark mass $1/m_h$.
- Expectation value of an operator:

$$\begin{aligned}\langle \mathcal{O} \rangle &\approx \frac{1}{Z} \int \mathcal{D}\phi \mathcal{O} \left(1 + a^4 \sum_x [\omega_{\text{kin}} \mathcal{O}_{\text{kin}}(x) + \omega_{\text{spin}} \mathcal{O}_{\text{spin}}(x)] \right) e^{-(S_{\text{light}} + S_{\text{HQET}}^{\text{stat}})} \\ &= \langle \mathcal{O} \rangle_{\text{stat}} + \omega_{\text{kin}} a^4 \sum_x \langle \mathcal{O} \mathcal{O}_{\text{kin}} \rangle_{\text{stat}} + \omega_{\text{spin}} a^4 \sum_x \langle \mathcal{O} \mathcal{O}_{\text{spin}} \rangle_{\text{stat}} .\end{aligned}$$

- $O(1/m_h)$ and $O(a)$ mix on the lattice $\rightarrow O(a)$ improvement is vital!

NON-PERTURBATIVE FINITE-VOLUME MATCHING

- Perturbative matching at order g_0^{2l} leads to power divergences in the coefficients [Nucl.Phys.B 368 (1992) 281-292, Maiani et al.]

$$\Delta c_k \sim g_0^{2(l+1)} a^{-p} \sim a^{-p} [\ln(a\Lambda)]^{-(l+1)} \xrightarrow{a \rightarrow 0} \infty$$

due to mixing of operators differing in dimensions by p .

→ Non-perturbative matching makes HQET well defined on the lattice.

- Hadronic input: Would lose predictivity of HQET.
- Finite-volume matching:
 - ▶ Match with continuum limit of lattice QCD observables.
 - ▶ Computation with fine resolutions in finite volume.
 - ▶ Assumption: Finite-volume effects only due to light degrees of freedom.

NON-PERTURBATIVE FINITE-VOLUME MATCHING

- **Finite volume** $L_1 = 0.5$ fm: Simulation of QCD with relativistic b-quarks and $N_f = 3$ massless sea quarks.
- Solve matching equations [[hep-lat/0310035](#), Heitger and Sommer] [[1312.1566](#), Della Morte et al.]:

$$\lim_{a \rightarrow 0} \phi_i^{\text{QCD}}(L_1, M, a) = \phi_i^{\text{HQET}}(L_1, M, a) = \eta_i(L_1, a) + \varphi_i^j(L_1, a)\omega(M, a) + \mathcal{O}(1/m_h^2)$$

for matching parameters $\omega(M, a)$.

- 19 parameters \rightarrow 19 equations to define Lagrangian and heavy-light axial and vector currents at $\mathcal{O}(1/m_h)$.

- Valence line of constant physics defined from fixed RGI quark mass,

$$z \equiv L_1 M = L_1 \frac{M}{\bar{m}(1/L_0)} \frac{Z(g_0^2) Z_A(g_0^2)}{Z_P(g_0^2, L_0)} (1 + b_m(g_0^2) am_{q,h}) am_{q,h},$$

based on the subtracted quark mass $am_{q,h} = 1/2(1/\kappa_h - 1/\kappa_{cr})$ and $L_0 = L_1/2$.

- Running factor known, dedicated computation of Z_A , Z_P , Z and b_m^* .
- Measure in a range of quark masses $0.8z_c < z_{q,h} < 1.2z_b$.

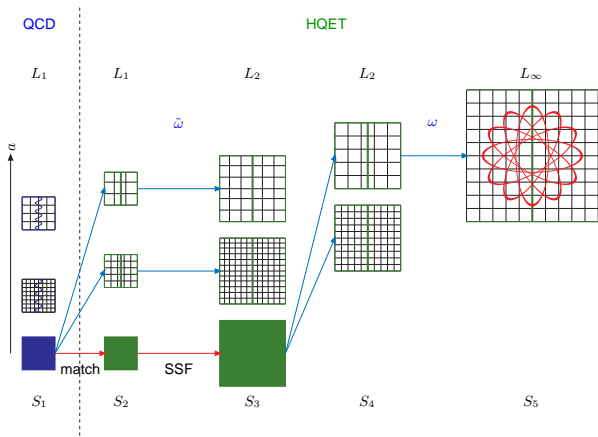
*with P. Fritzscht and J. Heitger

- Need to know matching parameters in large volume!
- Step-scaling [[Nucl. Phys. B359 \(1991\) 221, Lüscher et al.](#)] offers an approach to multi-scale problems:
 - ▶ Determination of Λ_{QCD} [[1706.03821, ALPHA](#)].
 - ▶ Running of quark masses up to RGI point [[1802.05243, ALPHA](#)].
 - ▶ **B-physics** [[hep-lat/0310035, ALPHA](#)].
- Introduce external scale via spatial lattice extent $\mu = 1/L$.
- Investigate scale evolution via step-scaling functions,

$$\sigma(O(L)) = O(sL),$$

where $s = 2$ is a conventional choice.

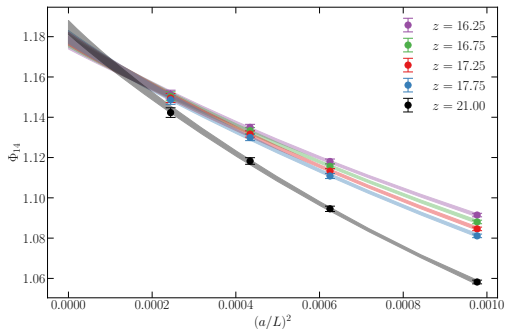
NON-PERTURBATIVE FINITE-VOLUME MATCHING *



- QCD observables with relativistic b quarks in finite volume at $L_1 = 0.5$ fm.
- Match with corresponding HQET observables.
- Evolve HQET parameters to large volumes via step-scaling $L_1 \rightarrow 2L_1 \rightarrow L_{\text{CLS}}$.
- Generated $O(25)$ SF ensembles with volumes up to $L^4 = 64^4$ and 100k MDU.

Image taken from [1001.4783, Blossier et al.]
* with P. Fritsch, J. Heitger, H. Simma, R. Sommer

FINITE-VOLUME MATCHING



- Look at matching observable

$$\phi_{V_0}^{\text{QCD}}(L_1) = \frac{F_{V_0}^{B \rightarrow \pi}(T/2)}{\sqrt{F_1^\pi(T)F_1^B(T)}},$$

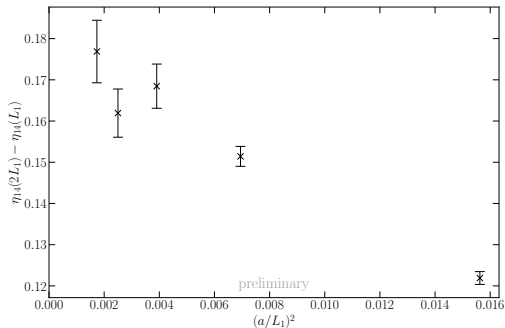
needed to determine $Z_{V_0}^{\text{HQET}}$.

← QCD results close to $z_b \sim 17$ at four lattice spacings $0.0078 \text{ fm} < a < 0.0156 \text{ fm}$ and mass-continuum fit.

- Solve matching equations for matching parameters $\omega(M, a)$:

$$\lim_{a \rightarrow 0} \phi_i^{\text{QCD}}(L_1, M, a) = \phi_i^{\text{HQET}}(L_1, M, a) = \eta_i(L_1, a) + \varphi_i^j(L_1, a)\omega(M, a) + \mathcal{O}(1/m_h^2)$$

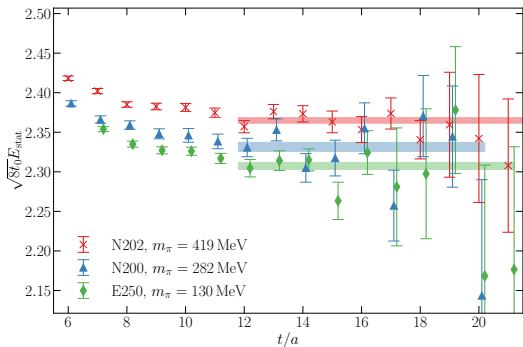
STEP-SCALING OF HQET



← Step-scaling function of HQET observable η_{V_0} from 0.5 fm to 1 fm at five lattice spacings $0.021 \text{ fm} < a < 0.063 \text{ fm}$

- Next step: contact with large-volume CLS ensembles.
- Measurements done, analysis ongoing.

LARGE-VOLUME HQET WITH $N_f = 3$ *

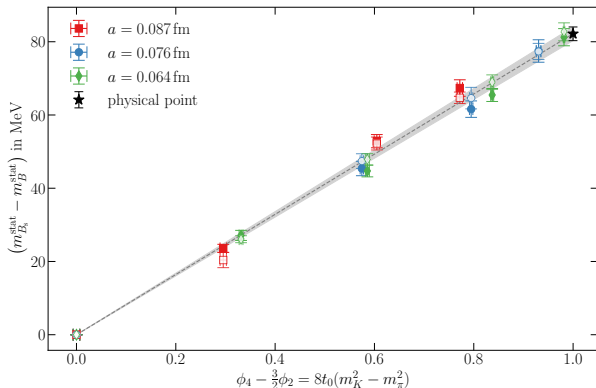


- Measurements at $O(1/m_h)$ on CLS ensembles ongoing.
- HYP smeared static quark lines for exponential noise reduction [[hep-lat/0307021](#), Della Morte et al.]
- Smeared quark fields and GEVP extraction of energies and matrix elements [[0902.1265](#), Blossier et al.]
- Extraction of static, as well as higher-order kinetic and spin contributions.
- B-meson mass from **large volume results** and **matching parameters**:

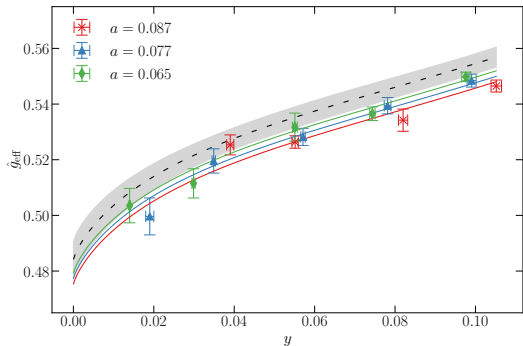
$$m_B = m_{\text{bare}} + E^{\text{stat}} + \omega_{\text{kin}} E^{\text{kin}} + \omega_{\text{spin}} E^{\text{spin}} + O(1/m_h^2)$$

* with A. Gérardin, J. Heitger, H. Simma, R. Sommer

LARGE-VOLUME HQET WITH $N_f = 3$



- Splitting $m_{B_s}^{\text{stat}} - m_B^{\text{stat}} = 82.2(1.9)_{\text{stat}}$ well defined without matching parameters.
- PDG value $m_{B_s}^{\text{phys}} - m_B^{\text{phys}} = 87.42(14)$ allows estimate of $O(1/m_h)$ effects.
- Three resolutions $a \geq 0.064$ fm so far: cutoff effects are small.



- Low energy constant \hat{g} of Heavy Meson χ PT related to the $B^*B\pi$ coupling with **static heavy** and **chiral light** quarks.
- Precision of lattice computations limited by chiral extrapolation (chiral log!).
- ← Chiral-continuum extrapolation in $y = \frac{m_\pi^2}{8\pi^2 f_\pi^2}$ and a^2 .
- Previous works at $m_\pi \geq 270$ MeV.

- Measurements are done, analysis to be finished. Aim at 2% precision.
- Will be of great use in (our) chiral extrapolations of lattice results and phenomenological calculations.

OUTLOOK: THE STEP-SCALING METHOD FOR B-PHYSICS ^{*}

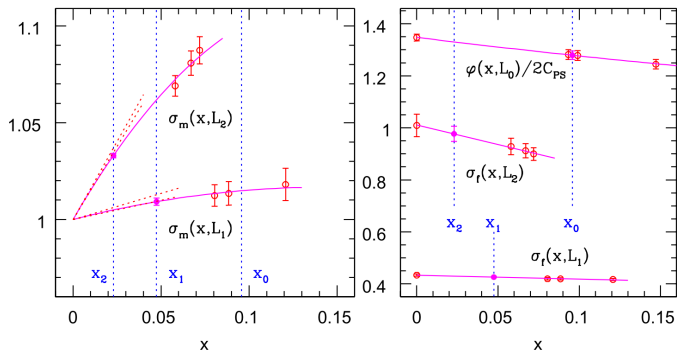
- There is an alternative route to employ step-scaling [[hep-lat/0305018](#), [de Divitiis et al.](#)]: Scale finite-volume relativistic heavy quark observables with $am_h \leq am_b$:

$$O(m_h, L_\infty) = O(m_h, L_1) \frac{O(m_h, L_2)}{O(m_h, L_1)} \cdots \frac{O(m_h, L_N)}{O(m_h, L_{N-1})}$$

- As the lattice size is doubled:
 - ▶ The affordable lattice spacings increase.
 - ▶ The simulated maximal heavy quark mass decreases.
 - ▶ Need to extrapolate to obtain $m_h \rightarrow m_b$ for $L_2 \rightarrow L_{CLS}$.
- Combination with non-perturbative static HQET turns extrapolations to interpolations with enhanced precision [[0710.2229](#), [Guazzini et al.](#)].

^{*}with A. Conigli, J. Frison, P. Fritzsche, A. Gérardin, J. Heitger, G. Herdoíza, C. Pena, H. Simma, R. Sommer

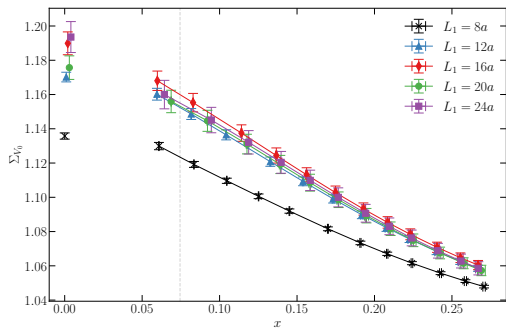
PROOF OF CONCEPT IN THE QUENCHED APPROXIMATION



- Quark mass dependence parameterized by $x = 1/(L_1 m_{PS}(m_h, L))$.
- *Left:* Step-scaling of the mass - static constraint of the form $1 + \sigma_m^{\text{stat}} x$.
- *Right:* Step-scaling of decay constant - static result at $x = 0$.

Image taken from [\[0710.2229, Guazzini et al.\]](#)

OUTLOOK: THE STEP-SCALING METHOD FOR B-PHYSICS



- Work in progress: Step-scaling and large-volume measurements ($L_2 = L_{CLS}$).

← Step scaling function

$$\Sigma_{V_0}(L_1/a) = \frac{\phi_{V_0}(2L_1/a)}{\phi_{V_0}(L_1/a)}$$

for the time-component of the vector current from 0.5 fm to 1 fm at five lattice spacings $0.021 \text{ fm} < a < 0.063 \text{ fm}$

- Good parametrization up to $1.2m_b$.

- Static HQET observables at $x = 0$ from the HQET project.
- CLS: Heavy quark measurements with $m_c \leq m_h \leq 2.5m_c$ are on track at the $SU(3)_f$ -symmetric point.

Charm physics

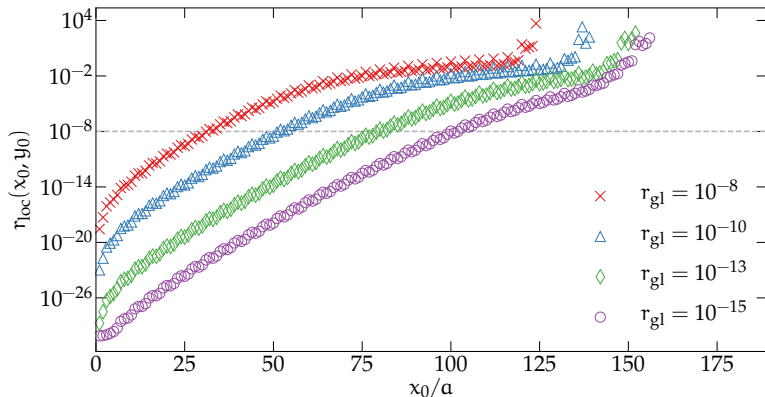
- Symanzik improvement allows reliable extraction of charm observables.
- Competitive determination of Standard Model parameters.
- $N_f = 2 + 1 + 1$ non-trivial for $O(a)$ improved Wilson [[2002.02866](#), Höllwieser et al.]

Bottom physics

- Non-perturbative HQET on the lattice removes systematic uncertainties from large cutoff effects in B-physics observables.
- High setup cost \rightarrow hope to have first results soon.
- Work on two approaches with different systematic effects.

BACKUP

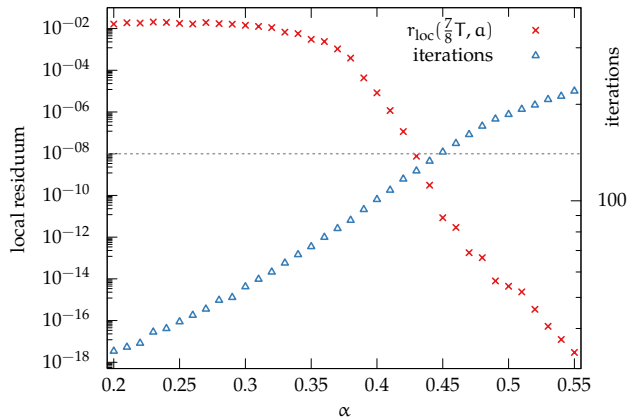
TECHNICAL CHALLENGES WITH HEAVY QUARKS



$$r_{\text{loc}}(x_0, y_0) = \frac{|D(x_0, y_0)S_h(y_0) - \eta(x_0)|}{|S_h(y_0)|}$$

- Quality of the solution deteriorates quickly for large source-sink separations (shown here: J501).
- Especially problematic if sources are placed close to the boundary.
- Charm-charm correlation functions: small but finite plateau regions.

DISTANCE PRECONDITIONING



Preconditioned system:

$$(PDP^{-1})(PS) = (P\eta), \quad P = \text{diag}(p_i), \quad p_i = \exp(\alpha |y_0 - x_0|)$$

- We employ **Distance Preconditioning** [1006.4028, de Divitiis et al.]
- SAP-preconditioned GCR solver [1701.05502, Collins et al.], [T. Korzec, mesons]
- Figure: tuning for H400 at $y_0/a = 84$