

# Capturing the Landscapes of RNA 2D Structures by Decomposition of Partition Functions

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This talk mainly depends on

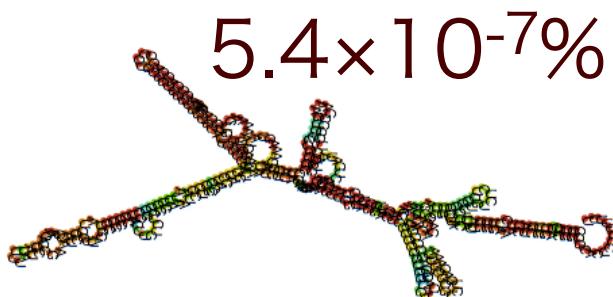
1. Ryota Mori et al., Efficient calculation of exact probability distributions of integer features on RNA secondary structures. *BMC genomics* 2014, 15 Suppl 10: 12.
2. Taichi Hagio et al., Capturing alternative secondary structures of RNA by decomposition of base-pairing probabilities. *BMC bioinformatics* 2018, 19(Suppl 1):38

# ANY 2D structure has very small probability

$$P(\sigma | x) = \frac{1}{Z(x)} \exp \frac{-E(\sigma, x)}{kT}$$

$x$ : sequence

$\sigma$ : 2D structure

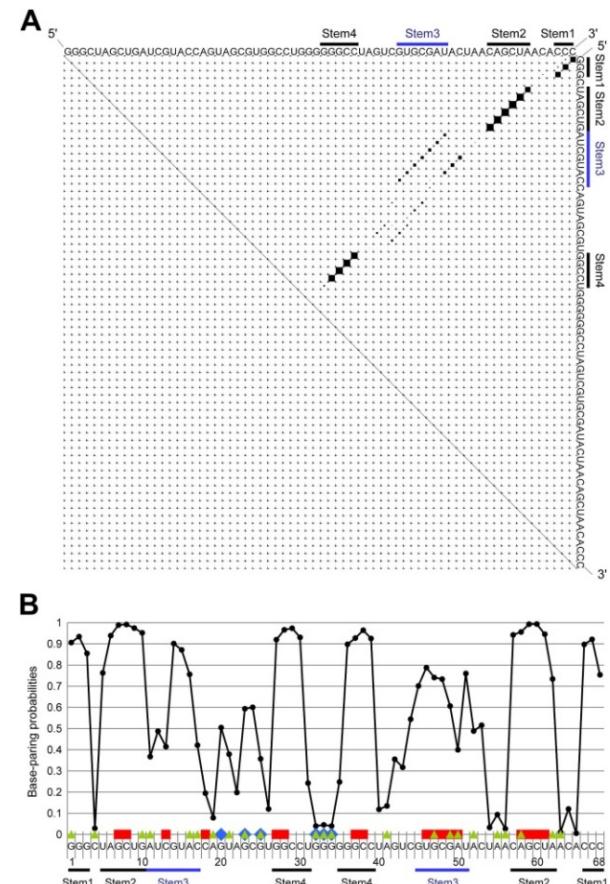
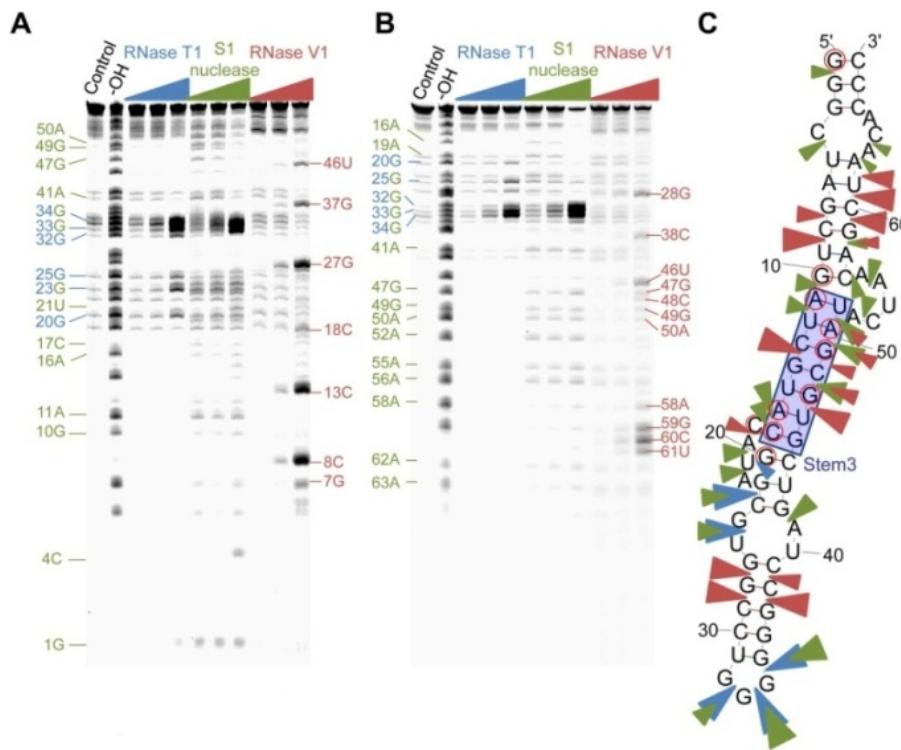


# of possible 2D. structures

$$\approx \frac{(2|x|)!}{(|x|+1)!|x|!}$$

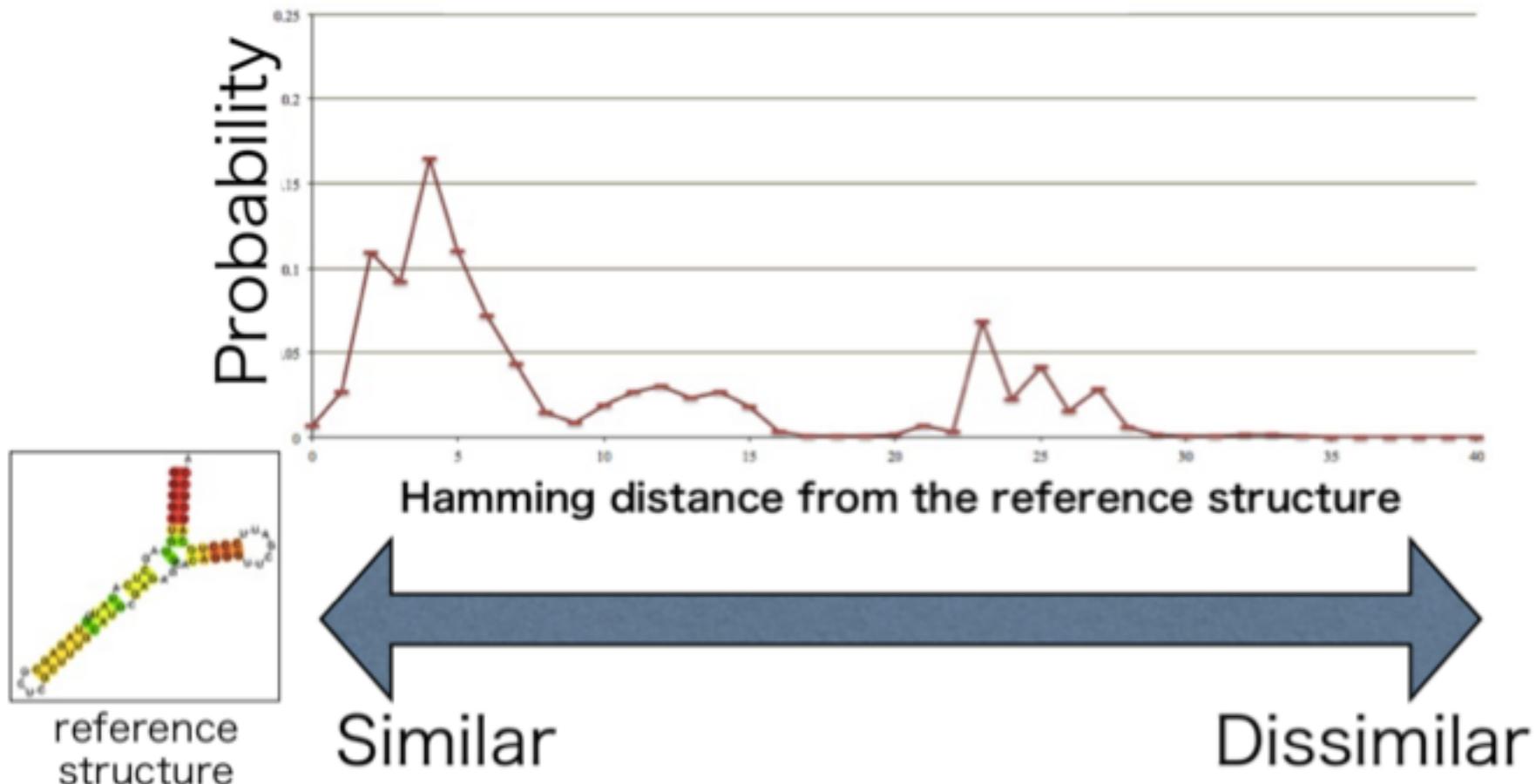
# Base Pairing Probabilities representing alternative structures

$$P^{(bp)}(i, j) \equiv P((i, j) \in \sigma^* \mid x) = \sum_{\sigma | (i, j) \in \sigma} P(\sigma \mid x)$$



1D  
landscape

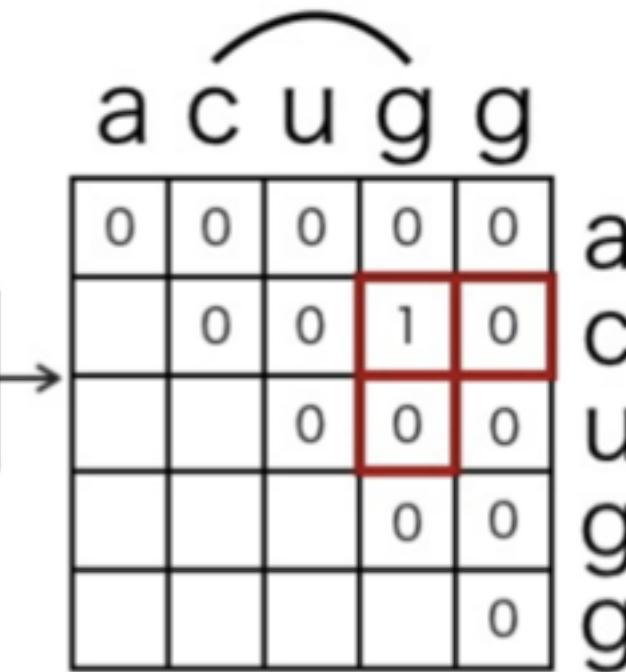
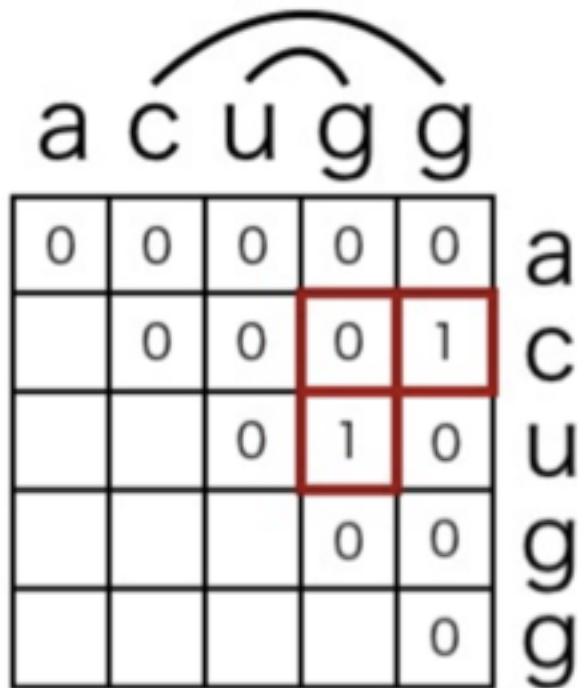
# Probability on Hamming distance from a reference structure



RNAbor: Freyhult E et al., Bioinformatics. 2007; 23(16):2054–62.

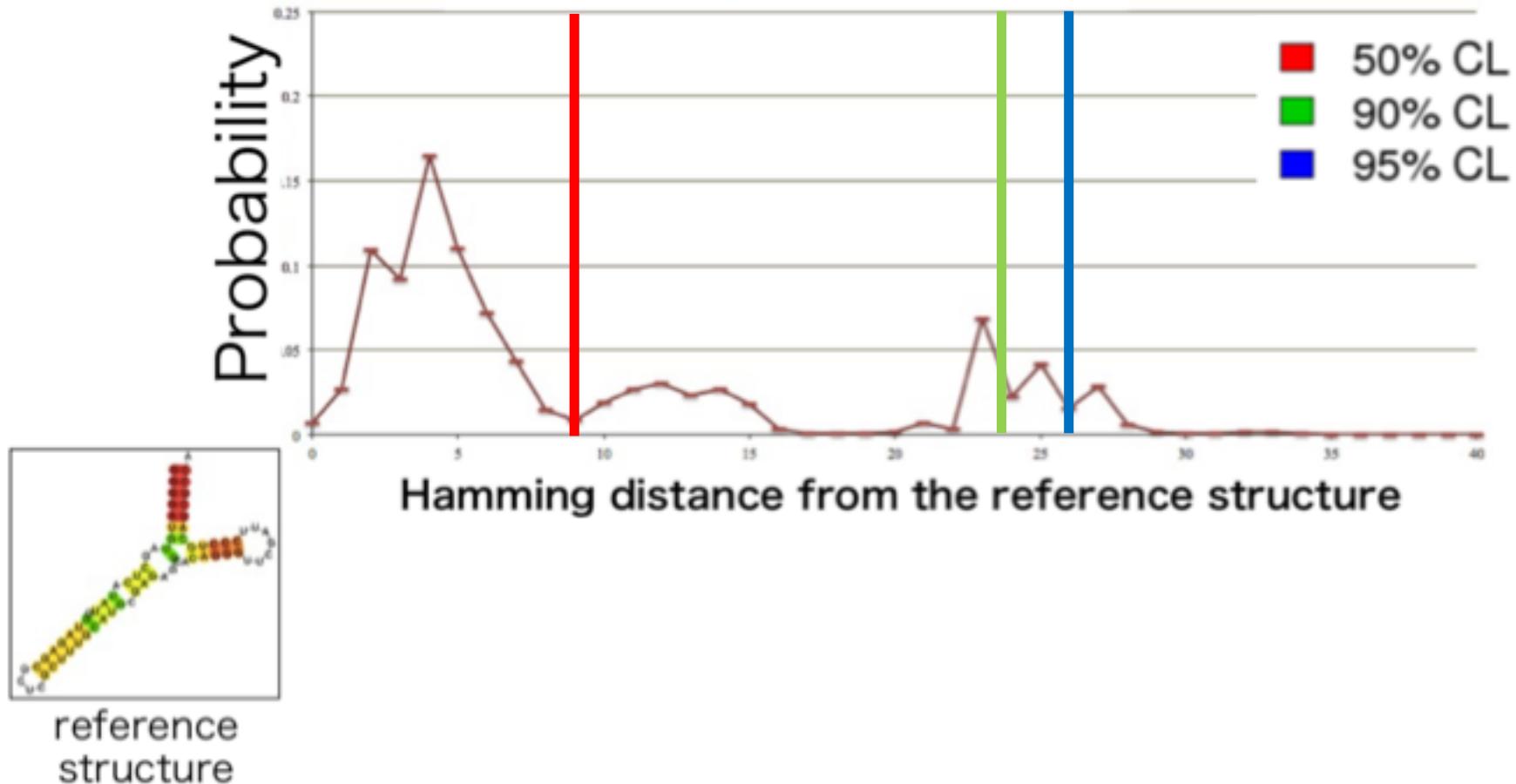
RintD: Mori R et al., BMC genomics 2014, 15 Suppl 10: 12

# Definition of Hamming distance of 2D structures



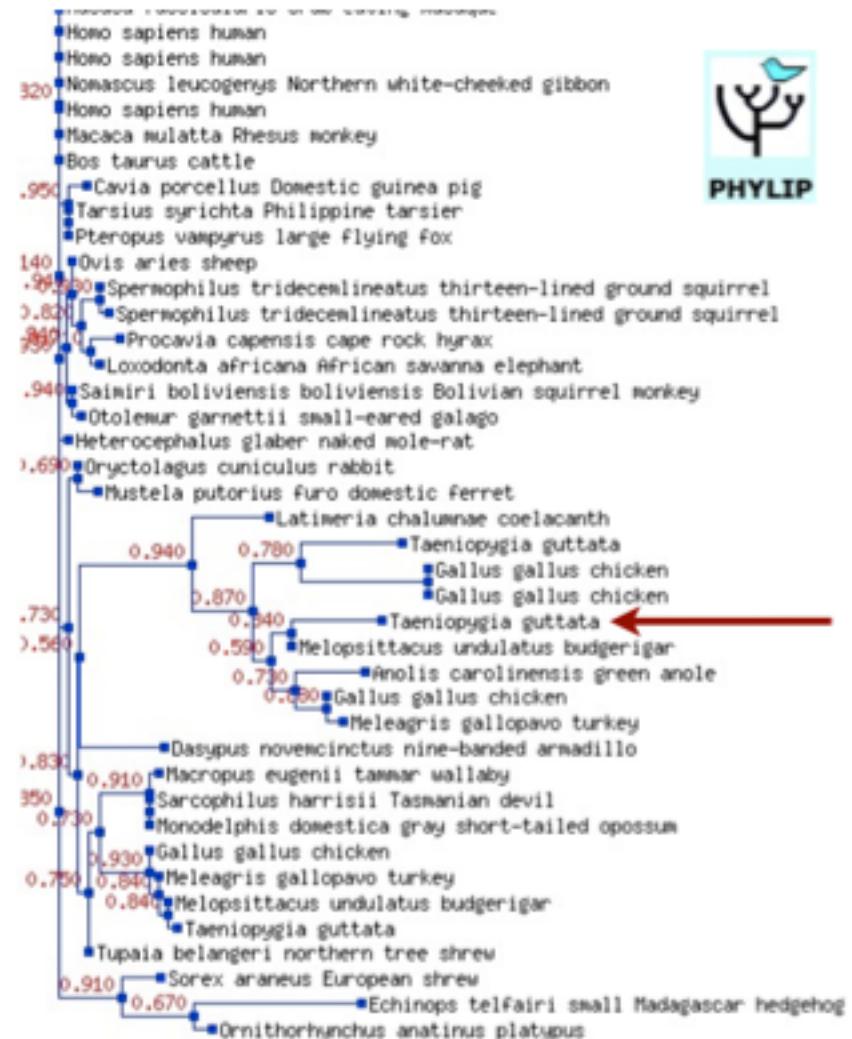
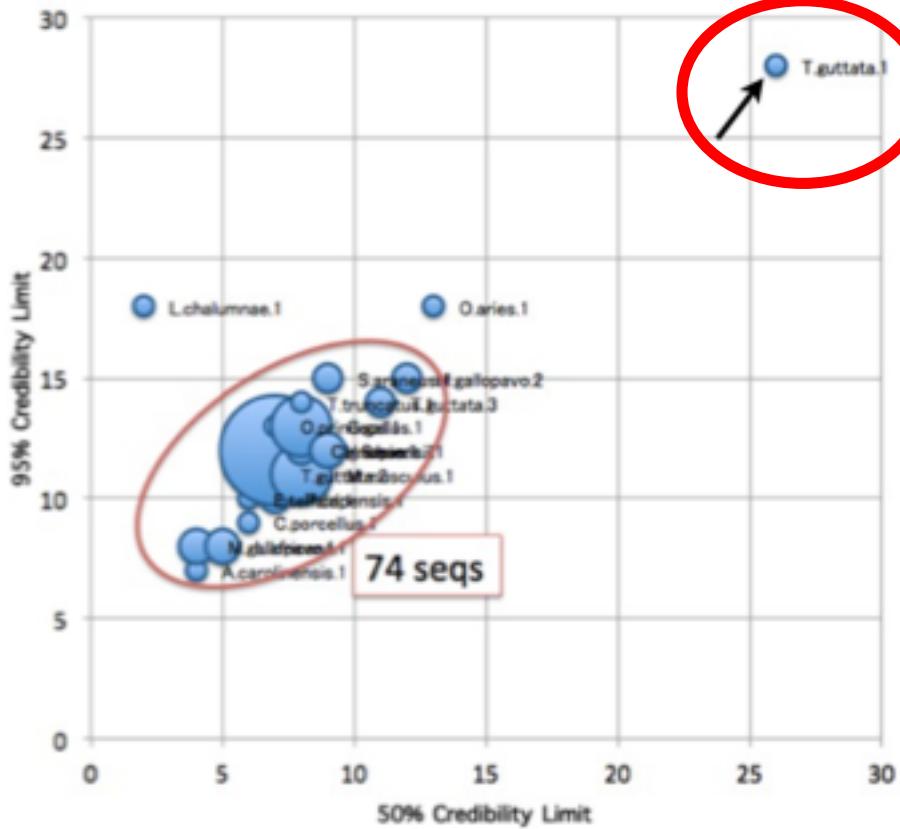
1D  
landscape

# Credibility limit of a 2D structure



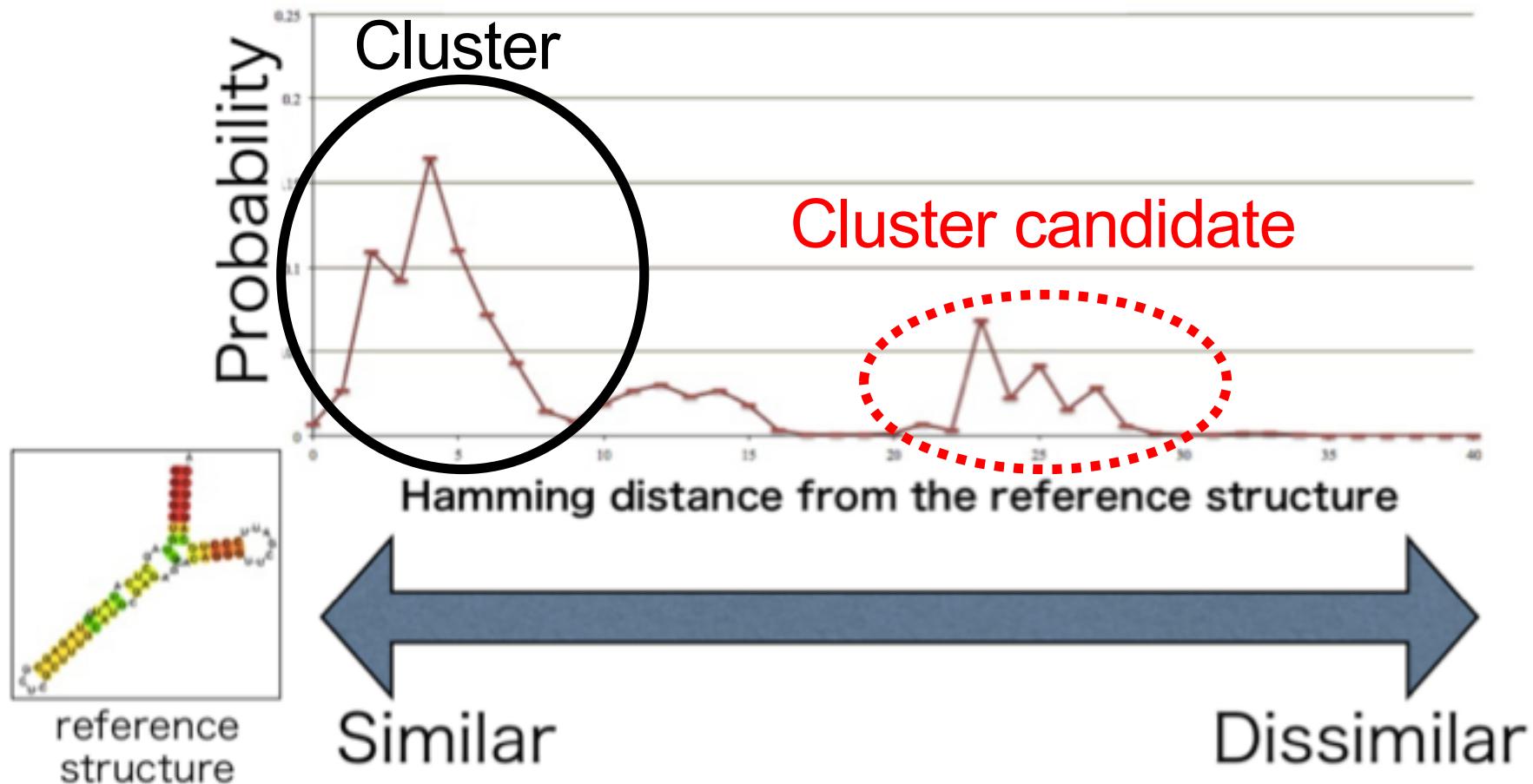
# Credibility limits found a wrong classification

Hammerhead ribozyme HH9



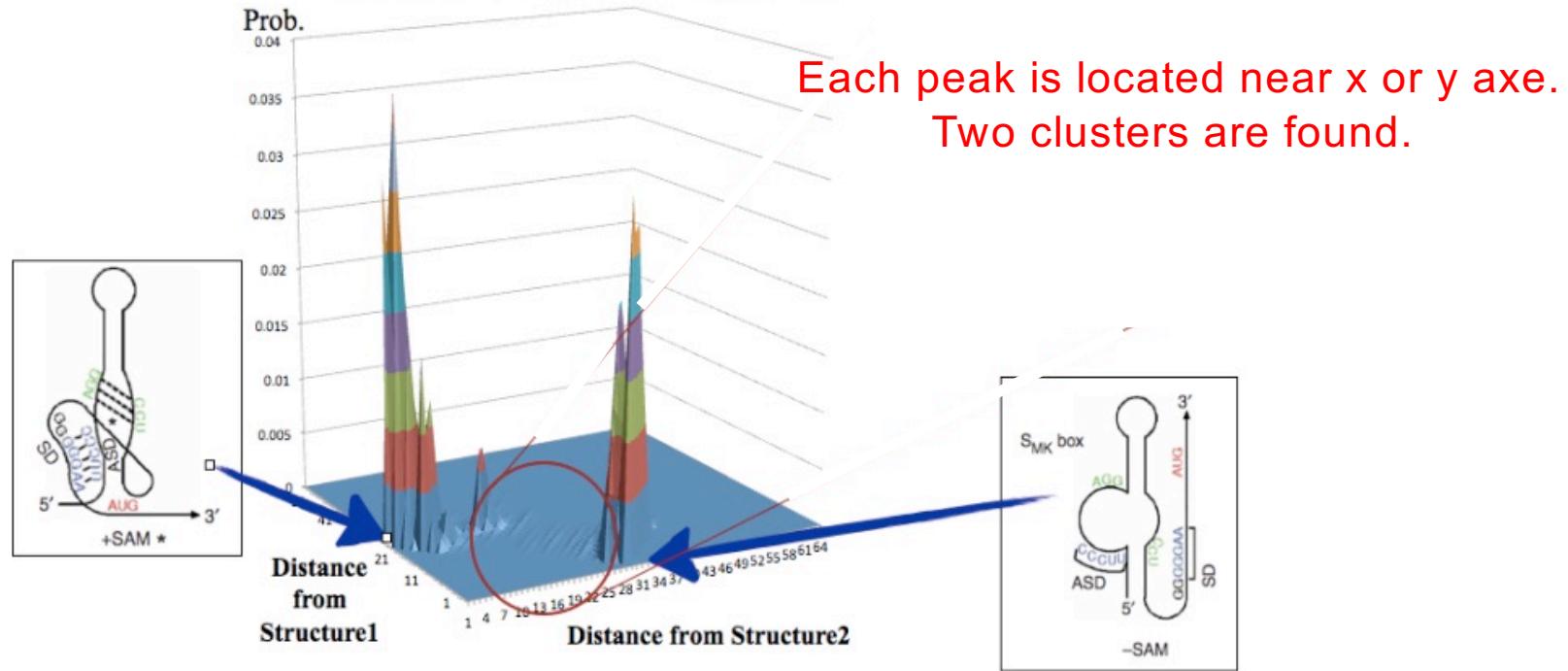
1D  
landscape

# Probability over Hamming distance from a reference structure



2D  
landscape

# Probability over Hamming distances from two reference structures



RNA2Dfold: Vienna RNA Package 2.0. Algorithms Mol Biol. 2011; 6:26

RintD: Mori R et al., BMC genomics 2014, 15 Suppl 10: 12

# Dynamic Programming for Partition Function

McCaskill (1990), Biopolymers. 1990, 29 (6-7): 1105-19

$$\begin{aligned} Z_{i,j} &= 1.0 + \sum_{k=i}^{j-1} Z_{i,k} Z_{k+1,j}^1 \\ Z_{i,j}^1 &= \sum_{k=i+1}^j Z_{i,k}^b \\ Z_{i,j}^b &= e^{-f_1(i,j)/k_B T} \\ &+ \sum_{k=i+1}^{j-2} \sum_{\ell=k+1}^{j-1} Z_{k,\ell}^b e^{-f_2(i,j,k,\ell)/k_B T} \\ &+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j-1}^{m1} e^{-f_3(i,j)/k_B T} \\ Z_{i,j}^m &= \sum_{k=i+1}^{j-1} \left[ e^{-f_4(i,k-1)/k_B T} + Z_{i,k-1}^m \right] Z_{k,j}^{m1} e^{-f_5/k_B T} \\ Z_{i,j}^{m1} &= \sum_{k=i+1}^j Z_{i,k}^b e^{-f_4(k+1,j)/k_B T} \end{aligned}$$

# Dynamic Programming for Partition Function

McCaskill (1990), Biopolymers. 1990, 29 (6-7): 1105-19

McCaskill Algorithm corresponds to **INSIDE Algorithm** of SCFG

|  |  |   |
|--|--|---|
| $Z_{i,j} = 1.0 + \sum_{k=1}^{j-1} Z_{i,k} Z_{k+1,j}^1$<br>$Z_{i,j}^1 = \sum_{k=i+1}^j Z_{i,k}^1$<br>$Z_{i,j}^2 = e^{-f_2(i,j)/k_BT}$<br>$+ \sum_{k=i+1}^{j-2} \sum_{l=k+1}^{j-1} Z_{i,k}^1 e^{-f_2(i,j,k,l)/k_BT}$<br>$+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j}^m e^{-f_2(i,j,k,l)/k_BT}$<br>$Z_{i,j}^m = \sum_{k=i+1}^{j-1} [e^{-f_2(i,j,k-1)/k_BT} + Z_{i,k-1}^m] Z_{i,k}^1 e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^{m1} = \sum_{k=i+1}^j Z_{i,k}^1 e^{-f_2(i,k+1,j)/k_BT}$  <p>General Case</p>  <p>Inside (w.r.t base pair) Partition function</p> | $Z_{i,j} = 1.0 + \sum_{k=1}^{j-1} Z_{i,k} Z_{k+1,j}^1$<br>$Z_{i,j}^1 = \sum_{k=i+1}^j Z_{i,k}^1$<br>$Z_{i,j}^2 = e^{-f_2(i,j)/k_BT}$<br>$+ \sum_{k=i+1}^{j-2} \sum_{l=k+1}^{j-1} Z_{i,k}^1 e^{-f_2(i,j,k,l)/k_BT}$<br>$+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j}^m e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^m = \sum_{k=i+1}^{j-1} [e^{-f_2(i,j,k-1)/k_BT} + Z_{i,k-1}^m] Z_{i,k}^1 e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^{m1} = \sum_{k=i+1}^j Z_{i,k}^1 e^{-f_2(i,k+1,j)/k_BT}$  <p>Diagram showing two base pairs: (i,j) and (k,l).</p> <p><math>Z_{i,k} Z_{k+1,j}^1</math></p>              | $Z_{i,j} = 1.0 + \sum_{k=1}^{j-1} Z_{i,k} Z_{k+1,j}^1$<br>$Z_{i,j}^1 = \sum_{k=i+1}^j Z_{i,k}^1$<br>$Z_{i,j}^2 = e^{-f_2(i,j)/k_BT}$<br>$+ \sum_{k=i+1}^{j-2} \sum_{l=k+1}^{j-1} Z_{i,k}^1 e^{-f_2(i,j,k,l)/k_BT}$<br>$+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j-1}^m e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^m = \sum_{k=i+1}^{j-1} [e^{-f_2(i,j,k-1)/k_BT} + Z_{i,k-1}^m] Z_{i,k}^1 e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^{m1} = \sum_{k=i+1}^j Z_{i,k}^1 e^{-f_2(i,k+1,j)/k_BT}$  <p>Diagram showing two base pairs: (i,j) and (k,l).</p> <p><math>Z_{i,k} Z_{k+1,j}^1</math></p> |
| $Z_{i,j} = 1.0 + \sum_{k=1}^{j-1} Z_{i,k} Z_{k+1,j}^1$<br>$Z_{i,j}^1 = \sum_{k=i+1}^j Z_{i,k}^1$<br>$Z_{i,j}^2 = e^{-f_2(i,j)/k_BT}$<br>$+ \sum_{k=i+1}^{j-2} \sum_{l=k+1}^{j-1} Z_{i,k}^1 e^{-f_2(i,j,k,l)/k_BT}$<br>$+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j-1}^m e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^m = \sum_{k=i+1}^{j-1} [e^{-f_2(i,j,k-1)/k_BT} + Z_{i,k-1}^m] Z_{i,k}^1 e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^{m1} = \sum_{k=i+1}^j Z_{i,k}^1 e^{-f_2(i,k+1,j)/k_BT}$  <p>Diagram showing three base pairs: (i,j), (k,l), and (m,n).</p> <p><math>Z_{i,k} Z_{k+1,j}^1</math></p>  | $Z_{i,j} = 1.0 + \sum_{k=1}^{j-1} Z_{i,k} Z_{k+1,j}^1$<br>$Z_{i,j}^1 = \sum_{k=i+1}^j Z_{i,k}^1$<br>$Z_{i,j}^2 = e^{-f_2(i,j)/k_BT}$<br>$+ \sum_{k=i+1}^{j-2} \sum_{l=k+1}^{j-1} Z_{i,k}^1 e^{-f_2(i,j,k,l)/k_BT}$<br>$+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j-1}^m e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^m = \sum_{k=i+1}^{j-1} [e^{-f_2(i,j,k-1)/k_BT} + Z_{i,k-1}^m] Z_{i,k}^1 e^{-f_2(i,j)/k_BT}$<br>$Z_{i,j}^{m1} = \sum_{k=i+1}^j Z_{i,k}^1 e^{-f_2(i,k+1,j)/k_BT}$  <p>Diagram showing three base pairs: (i,j), (k,l), and (m,n).</p> <p><math>Z_{i,k} Z_{k+1,j}^1</math></p> |   |

# DP on polynomials for partition function over Hamming distance

$$Z_{i,j} = x^{g_1^Z(i,j)} + \sum_{h=i}^{j-1} Z_{i,h} Z_{h+1,j}^1 x^{g_2^Z(i,j,h)}$$

$$Z_{i,j}^1 = \sum_{h=i+1}^j Z_{i,h}^b x^{g_3^Z(i,j,h)}$$

$$Z_{i,j}^b = e^{-f_1(i,j)/kT} x^{g_4^Z(i,j)}$$

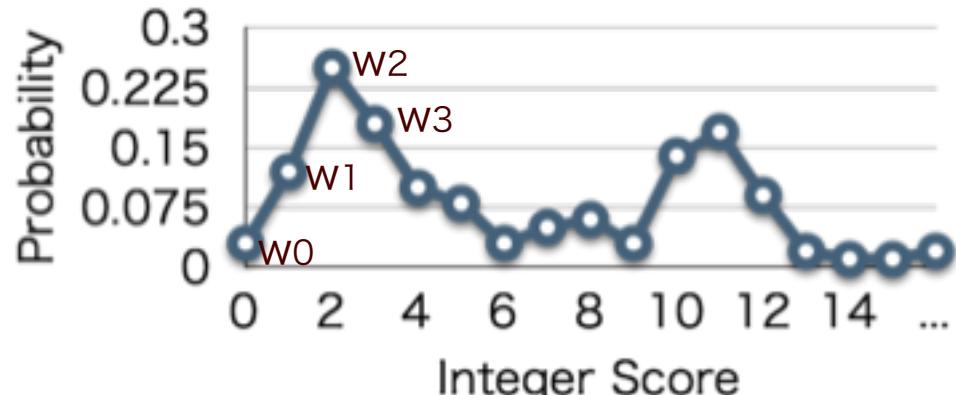
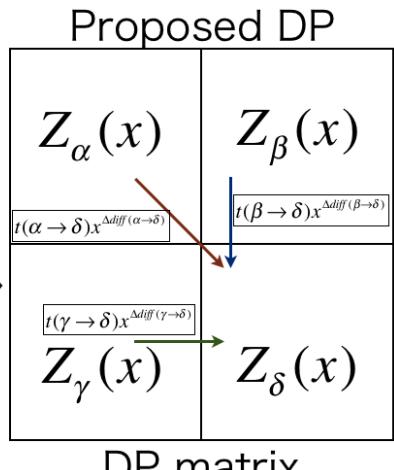
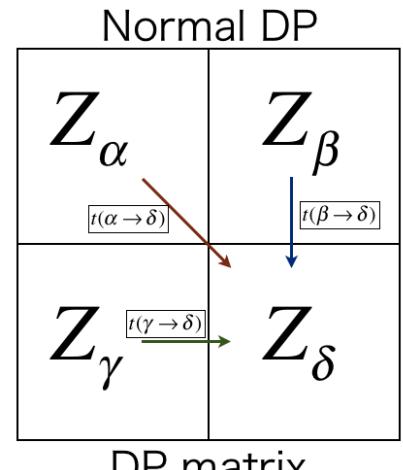
$$+ \sum_{h=i+1}^{j-2} \sum_{\ell=h}^{j-1} Z_{h,\ell}^b e^{-f_2(i,h,\ell,j)/kT} x^{g_5^Z(i,h,\ell,j)}$$

$$+ \sum_{h=i+2}^{j-1} Z_{i+1,h-1}^m Z_{h,j-1}^{m1} e^{-f_3(i,j)/kT} x^{g_6^Z(i,j,h)}$$

$$Z_{i,j}^m = \sum_{h=i}^{j-1} \left[ e^{-f_4(i,h-1)/kT} x^{g_7^Z(i,j,h)} + Z_{i,h-1}^m x^{g_8^Z(i,j,h)} \right] Z_{h,j}^{m1} e^{-f_5/kT}$$

$$Z_{i,j}^{m1} = \sum_{h=i+1}^j Z_{i,h}^b e^{-f_4(h+1,j)/kT} x^{g_3^Z(i,j,h)}$$

# Calculating partition function over Hamming distance



$$Z_n = w_0 + w_1 x + w_2 x^2 + w_3 x^3 + \dots$$

# Calculating partition function over Hamming distance

$$s(\alpha \rightarrow \delta) = \boxed{1}$$

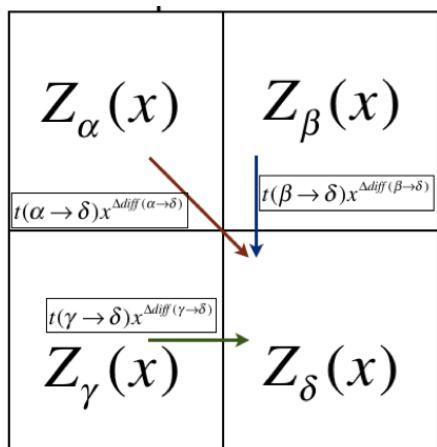
↗  $Z_\alpha(x) = w_{\alpha 0} + w_{\alpha 1}x + w_{\alpha 2}x^2 + w_{\alpha 3}x^3 + \dots$

$$s(\beta \rightarrow \delta) = \boxed{0}$$

↙  $Z_\beta(x) = w_{\beta 0} + w_{\beta 1}x + w_{\beta 2}x^2 + w_{\beta 3}x^3 + \dots$

$$s(\gamma \rightarrow \delta) = \boxed{2}$$

↘  $Z_\gamma(x) = w_{\gamma 0} + w_{\gamma 1}x + w_{\gamma 2}x^2 + w_{\gamma 3}x^3 + \dots$



$$Z_\delta(x) = Z_\alpha(x)t(\alpha \rightarrow \delta)x^1 + Z_\beta(x)t(\beta \rightarrow \delta)x^0 + Z_\gamma(x)t(\gamma \rightarrow \delta)x^2$$

$$w_{\alpha 0}t(\alpha \rightarrow \delta)x + w_{\alpha 1}t(\alpha \rightarrow \delta)x^2 + w_{\alpha 2}t(\alpha \rightarrow \delta)x^3 + w_{\alpha 3}t(\alpha \rightarrow \delta)x^4 + \dots$$

$$w_{\beta 0}t(\beta \rightarrow \delta) + w_{\beta 1}t(\beta \rightarrow \delta)x + w_{\beta 2}t(\beta \rightarrow \delta)x^2 + w_{\beta 3}t(\beta \rightarrow \delta)x^3 + \dots$$

$$+ \quad \quad \quad w_{\gamma 0}t(\gamma \rightarrow \delta)x^2 + w_{\gamma 1}t(\gamma \rightarrow \delta)x^3 + w_{\gamma 2}t(\gamma \rightarrow \delta)x^4 + \dots$$

$$Z_\delta(x) = w_{\beta 0}t(\beta \rightarrow \delta) + \left( \begin{array}{c} w_{\alpha 0}t(\alpha \rightarrow \delta) \\ + \\ w_{\beta 1}t(\beta \rightarrow \delta) \end{array} \right) x + \boxed{\begin{array}{c} w_{\alpha 1}t(\alpha \rightarrow \delta) \\ + \\ w_{\beta 2}t(\beta \rightarrow \delta) \\ + \\ w_{\gamma 0}t(\gamma \rightarrow \delta) \end{array}} x^2 + \dots$$

# DP on polynomials for partition function over Hamming distance

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$$Z_{i,j}^1 = \sum_{h=i+1}^j Z_{i,h}^b x^{g_3^Z(i,j,h)}$$

$$Z_{i,j}^b = e^{-f_1(i,j)/kT} x^{g_4^Z(i,j)}$$

$$+ \sum_{h=i+1}^{j-2} \sum_{\ell=h}^{j-1} Z_{h,\ell}^b e^{-f_2(i,h,\ell,j)/kT} x^{g_5^Z(i,h,\ell,j)}$$

$$+ \sum_{h=i+2}^{j-1} Z_{i+1,h-1}^m Z_{h,j-1}^{m1} e^{-f_3(i,j)/kT} x^{g_6^Z(i,j,h)}$$

$$Z_{i,j}^m = \sum_{h=i}^{j-1} \left[ e^{-f_4(i,h-1)/kT} x^{g_7^Z(i,j,h)} + Z_{i,h-1}^m x^{g_8^Z(i,j,h)} \right] Z_{h,j}^{m1} e^{-f_5/kT}$$

$$Z_{i,j}^{m1} = \sum_{h=i+1}^j Z_{i,h}^b e^{-f_4(h+1,j)/kT} x^{g_3^Z(i,j,h)}$$

$$g_0(i,j,h) = \sum_{p=i}^h \sum_{q=h+1}^j \sigma_{p,q},$$

$$g_0^Z(i,j) = \sum_{p=i}^{j-1} \sum_{q=p+1}^j \sigma_{p,q},$$

the gain functions are described as follows:

$$\begin{aligned} g_1^Z(i,j) &= g_0^Z(i,j), \\ g_2^Z(i,j,h) &= g_0(i,j,h), \\ g_3^Z(i,j,h) &= g_0(i,j,h+1) + g_0^Z(h+1,j), \\ g_4^Z(i,j) &= g_0^Z(i,j) + 1 - 2\sigma_{p,q}, \\ g_5^Z(i,j,h,\ell) &= g_0^Z(i,j) - g_0^Z(h,\ell) + 1 - 2\sigma_{p,q}, \\ g_6^Z(i,j,h) &= g_0^Z(i,j) - g_0^Z(i+1,h-1) \\ &\quad - g_0^Z(h,j-1) + 1 - 2\sigma_{p,q}, \\ g_7^Z(i,j,h) &= g_0^Z(i,h-1) + g_0(i,j,h), \\ g_8^Z(i,j,h) &= g_0(i,j,h-1). \end{aligned}$$

# DP on polynomials for partition function over Hamming distance

$$Z_{i,j} = x^{g_1^Z(i,j)} + \sum_{h=i}^{j-1} Z_{i,h} Z_{h+1,j}^1 x^{g_2^Z(i,j,h)}$$

$$Z_{i,j}^1 = \sum_{h=i+1}^j Z_{i,h}^b x^{g_3^Z(i,j,h)}$$

$$Z_{i,j}^b = e^{-f_1(i,j)/kT} x^{g_4^Z(i,j)}$$

Product of 2-dim DP matrices  
 $O(L^4)$

$$+ \sum_{h=i+1}^{j-2} \sum_{\ell=h}^{j-1} Z_{h,\ell}^b e^{-f_2(i,h,\ell,j)/kT} x^{g_5^Z(i,h,\ell,j)}$$

$$+ \sum_{h=i+2}^{j-1} \boxed{Z_{i+1,h-1}^m Z_{h,j-1}^{m1}} e^{-f_3(i,j)/kT} x^{g_6^Z(i,j,h)}$$

$$Z_{i,j}^m = \sum_{h=i}^{j-1} \left[ e^{-f_4(i,h-1)/kT} x^{g_7^Z(i,j,h)} + Z_{i,h-1}^m x^{g_8^Z(i,j,h)} \right] Z_{h,j}^{m1} e^{-f_5/kT}$$

$$Z_{i,j}^{m1} = \sum_{h=i+1}^j Z_{i,h}^b e^{-f_4(h+1,j)/kT} x^{g_3^Z(i,j,h)}$$

# Calculating partition function over Hamming distance

$$Z(d, \sigma) = \sum_{s=d_{\min}}^{d_{\max}} Z(s, \sigma) \delta_{sd}$$

$$\Delta = d_{\max} - d_{\min} + 1$$

$$= \sum_{s=d_{\min}}^{d_{\max}} Z(s, \sigma) \sum_{r=d_{\min}}^{d_{\max}} \frac{\exp\left[2\pi i \frac{r(s-d)}{\Delta}\right]}{\Delta}$$

$$= \frac{1}{\Delta} \sum_{r=d_{\min}}^{d_{\max}} \exp\left[2\pi i \frac{-rd}{\Delta}\right] \boxed{\sum_{s=d_{\min}}^{d_{\max}} Z(s, \sigma) \exp\left[2\pi i \frac{rs}{\Delta}\right]}$$

$$= \frac{1}{\Delta} \sum_{r=d_{\min}}^{d_{\max}} \exp\left[2\pi i \frac{-rd}{\Delta}\right] \boxed{\tilde{Z}_{1,L}(\sigma)}$$

DP on complex numbers

$$x = \exp\left[2\pi i \frac{r}{\Delta}\right]$$

# Calculating partition function over Hamming distance

$$\begin{aligned} Z(d, \sigma) &= \sum_{s=d_{\min}}^{d_{\max}} Z(s, \sigma) \delta_{sd} \\ &= \sum_{s=d_{\min}}^{d_{\max}} Z(s, \sigma) \sum_{r=d_{\min}}^{d_{\max}} \frac{\exp\left[2\pi i \frac{r(s-d)}{\Delta}\right]}{\Delta} \\ &= \frac{1}{\Delta} \sum_{r=d_{\min}}^{d_{\max}} \exp\left[2\pi i \frac{-rd}{\Delta}\right] \sum_{s=d_{\min}}^{d_{\max}} Z(s, \sigma) \exp\left[2\pi i \frac{rs}{\Delta}\right] \\ &= \frac{1}{\Delta} \sum_{r=d_{\min}}^{d_{\max}} \exp\left[2\pi i \frac{-rd}{\Delta}\right] \tilde{Z}_{1,L}(\sigma). \end{aligned}$$

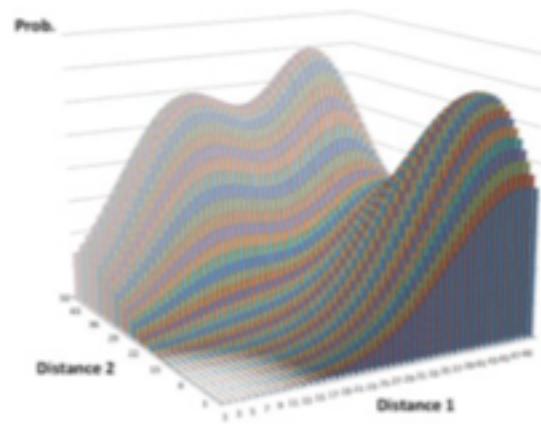
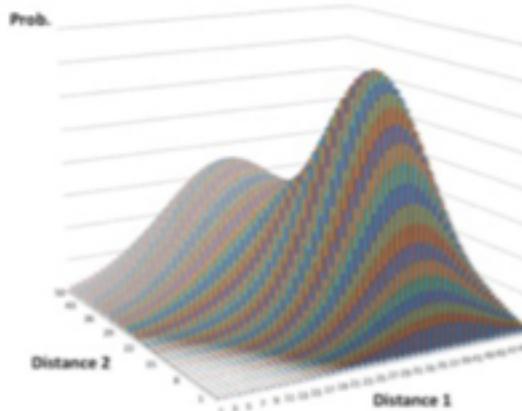
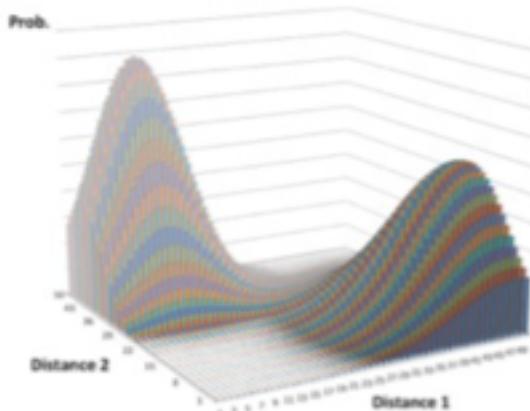
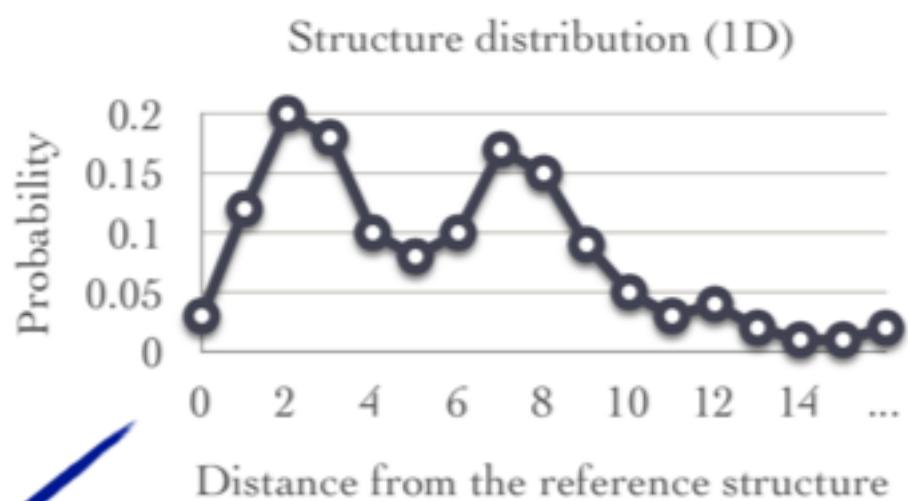
DFT

# Hamming distances from two ref. structures

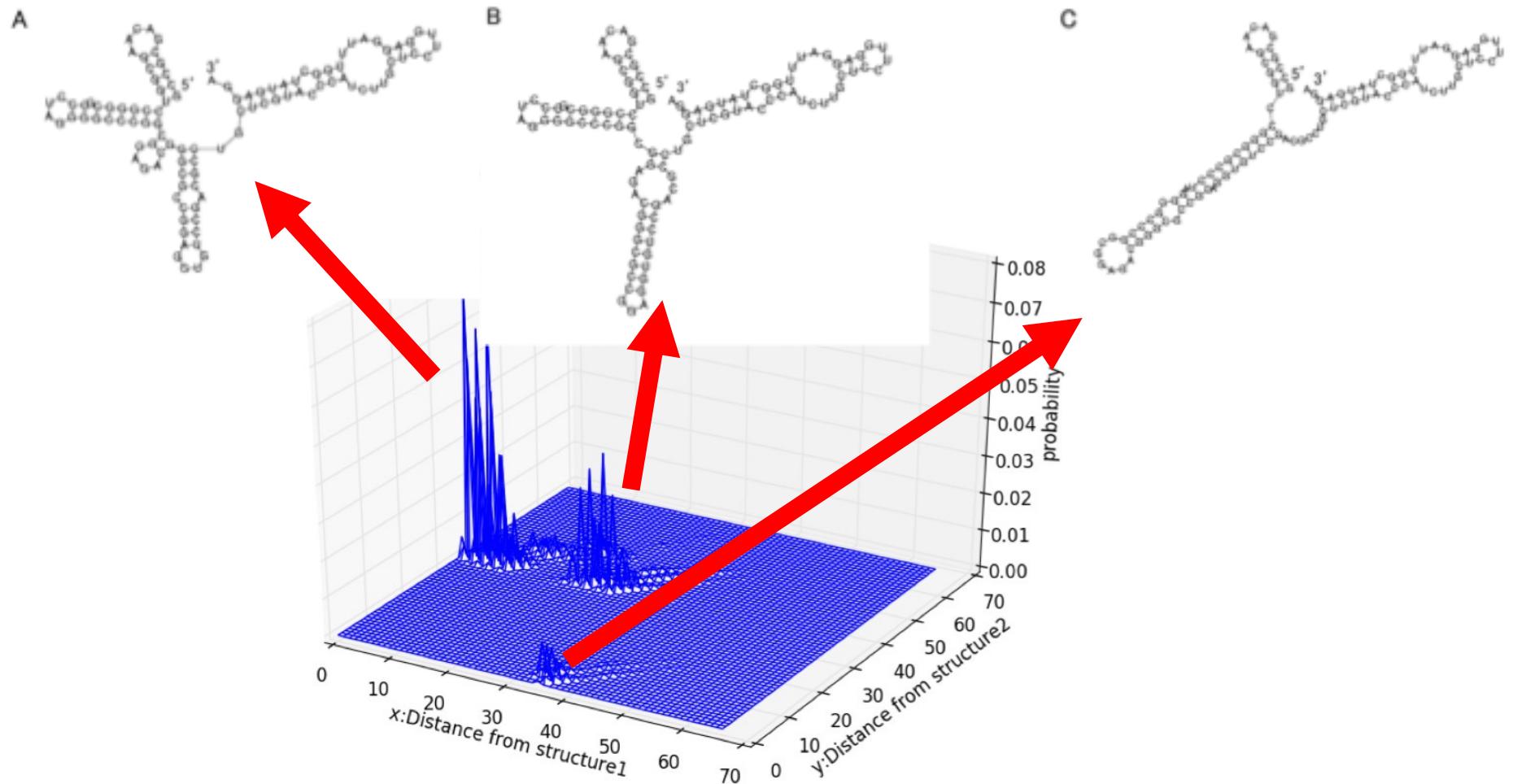
$$p_l = \exp\left(2\pi i \frac{l}{d_{p\max} + 1}\right), q_m = \exp\left(2\pi i \frac{m}{d_{q\max} + 1}\right)$$

$$Z_{l,m}(p_l, q_m) = \sum_{d_p=0}^{d_{p\max}} \sum_{d_q=0}^{d_{q\max}} w_{d_p, d_q} p_l^{d_p} q_m^{d_q}$$

$$Z_{l,m} \xrightarrow{\text{DFT}} \sum_{d_q=0}^{d_{q\max}} w_{d_p, d_q} q_m^{d_q} \xrightarrow{\text{DFT}} w_{d_p, d_q}$$

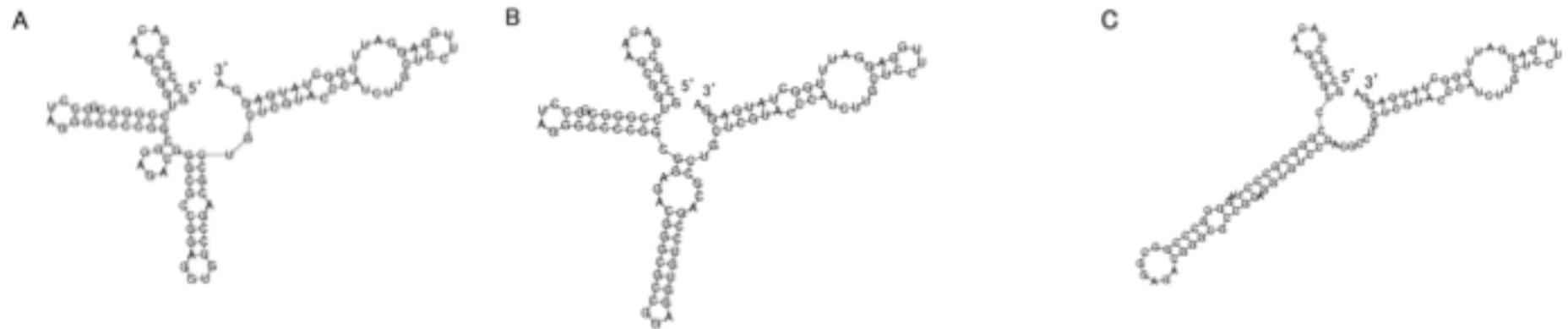


# Representative 2D structures of the clusters



[RNAborMEA](#): Clote P et al., BMC Bioinformatics. 2012; 13 Suppl 5:6.

# Representative 2D structures of the clusters



## Exact calculation

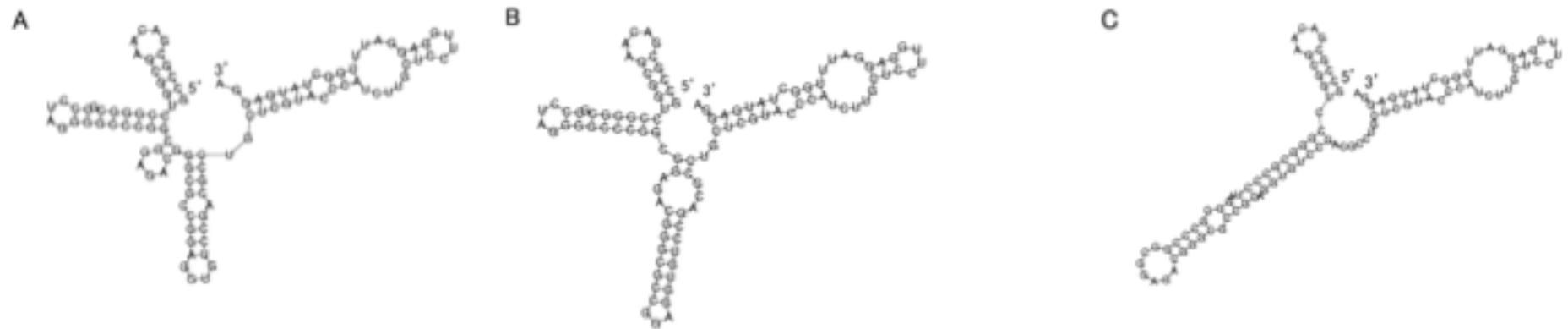
It looked easy by using Integer Programming.  
Exact DP should be possible, suggested  
by Risa Kawaguchi in private discussion during  
Benasque RNA 2015

Based on sampling

[RNAborMEA](#): Clote P et al., BMC Bioinformatics. 2012; 13 Suppl 5:6.

[RintW](#): Taichi Hagio et al., BMC bioinformatics 2018, 19(Suppl 1):38

# Representative 2D structures of the clusters



Based on Exact DP calculation of base-pairing probabilities



Based on sampling

RNAborMEA: Clote P et al., BMC Bioinformatics. 2012; 13 Suppl 5:6.

RintW: Taichi Hagio et al., BMC bioinformatics 2018, 19(Suppl 1):38

# Posterior decoding for Maximum Expected Accuracy

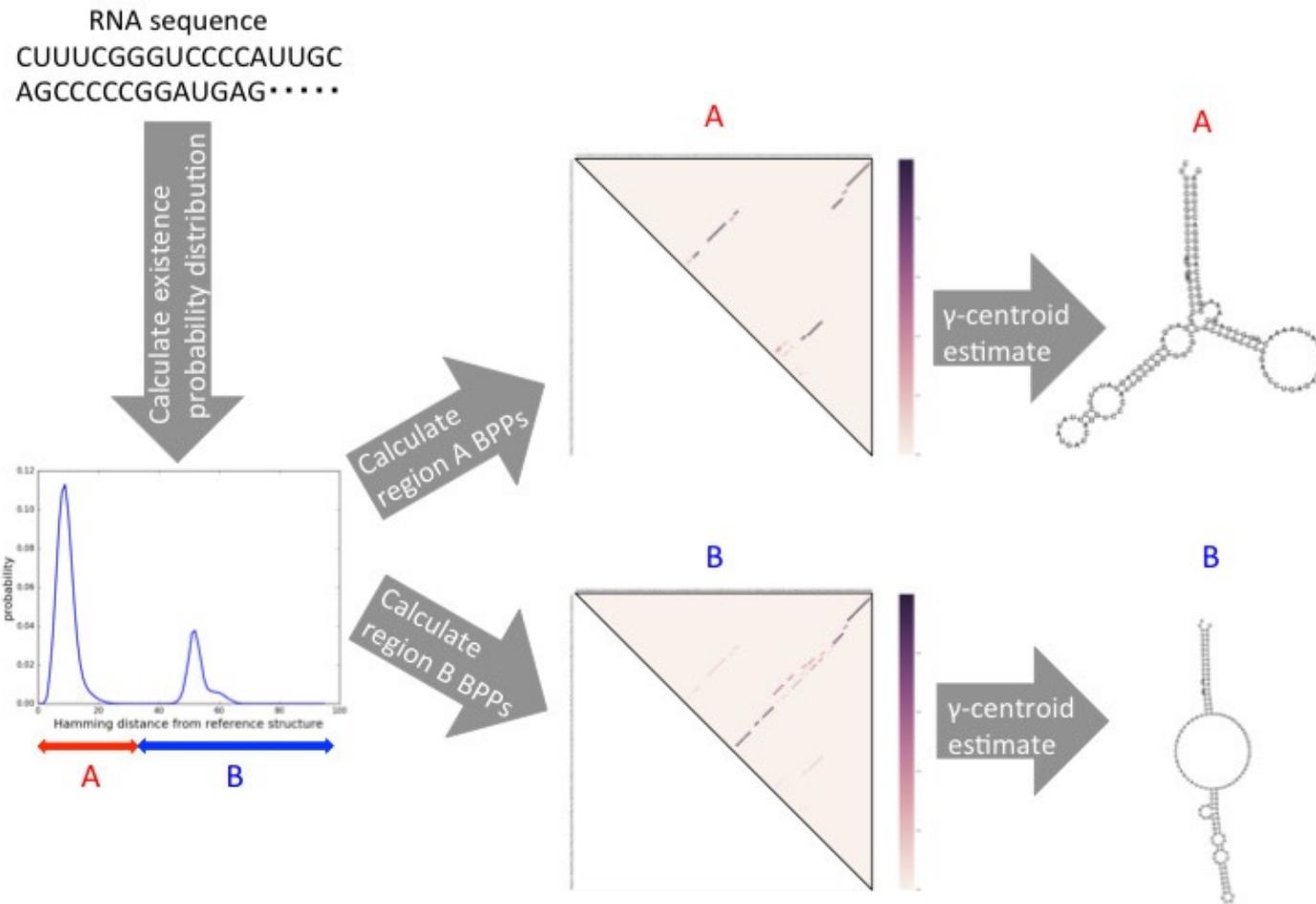
$\gamma$ -centroid estimator

$$M_{i,j} = \max \begin{cases} M_{i+1,j-1} + (\gamma + 1)P_{i,j}^{(bp)} - 1 \\ M_{i-1,k} \\ M_{i,k-1} \\ \max_k [M_{i,k} + M_{k+1,j}] \end{cases}$$

CentroidFold

Hamada et al. *Bioinformatics* 25(4), 2009

# Overview of our method



# McCaskill algorithm of BPPs

$$P_{hl} = \frac{Q_{1, h-1} Q_{hl}^b Q_{l+1, N}}{Q_{1N}}$$
$$+ \sum_{\substack{i, j \\ i < h < l < j}} P_{ij} \frac{Q_{hl}^b}{Q_{ij}^b} e^{-[F_2(i, j, h, l)/kT]}$$
$$+ \sum_{\substack{i, j \\ i < h < l < j}} P_{ij} \frac{Q_{hl}^b}{Q_{ij}^b} e^{-[(a+b)/kT]}$$
$$\times (e^{-[(h-i-1)c/kT]} Q_{l+1, j-1}^m + Q_{i+1, h-1}^m$$
$$\times e^{-[(j-l-1)c/kT]} + Q_{i+1, h-1}^m Q_{l+1, j-1}^m)$$

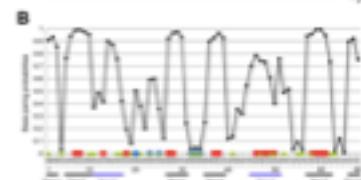
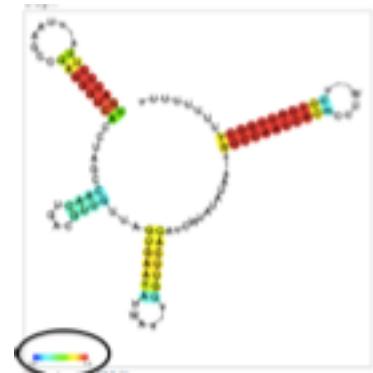
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McCaskill (1990), Biopolymers. 1990, 29 (6-7): 1105-19

# Base Pairing Probability (BPP)

$$P^{(bp)}(i, j) \equiv P((i, j) \in \sigma^* \mid x) = \sum_{\sigma \ni (i, j) \in \sigma} P(\sigma \mid x)$$

$$= \frac{Z_{ij}^b W_{ij}^b}{Z_{1,L}}$$

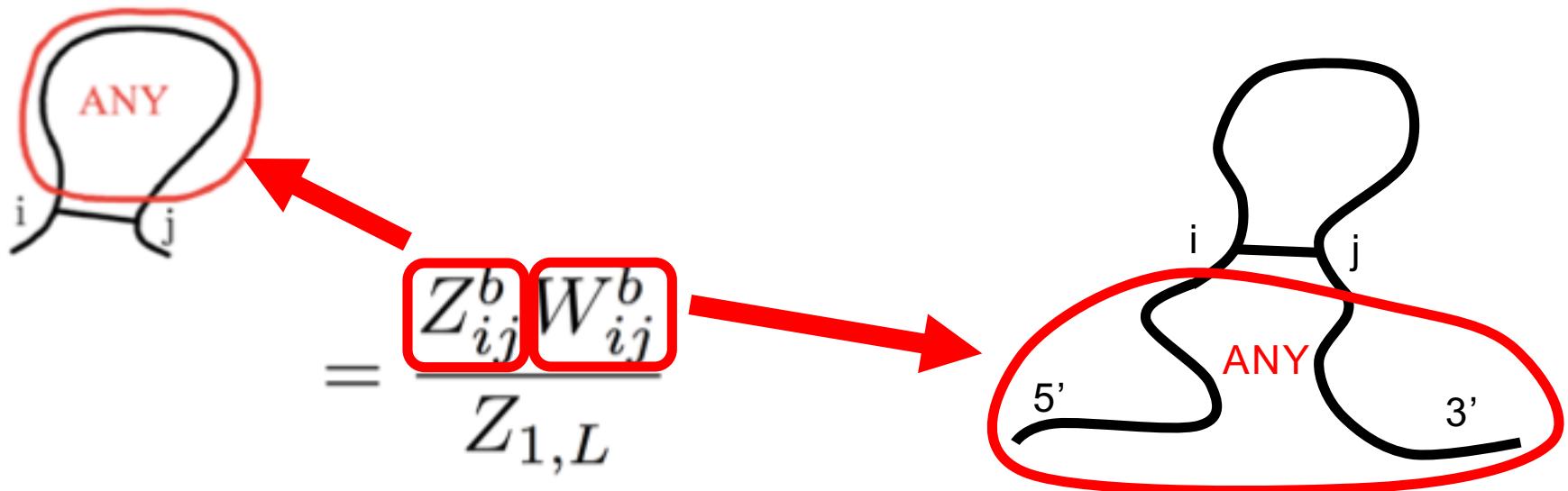


$Z_{1,L}$  : Partition function of the whole sequence

$Z_{ij}^b$  : Inside partition function (w.r.t.  $(i, j)$  base-pair)

$W_{ij}^b$  : Outside partition function (w.r.t.  $(i, j)$  base-pair)

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# Dynamic Programming for Partition Function

McCaskill (1990), Biopolymers. 1990, 29 (6-7)

$$Z_{i,j} = 1.0 + \sum_{k=i}^{j-1} Z_{i,k} Z_{k+1,j}^1$$

$$Z_{i,j}^1 = \sum_{k=i+1}^j Z_{i,k}^b$$

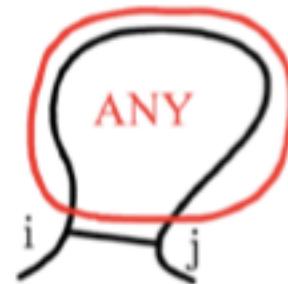
$$\begin{aligned} Z_{i,j}^b &= e^{-f_1(i,j)/k_B T} \\ &+ \sum_{k=i+1}^{j-2} \sum_{\ell=k+1}^{j-1} Z_{k,\ell}^b e^{-f_2(i,j,k,\ell)/k_B T} \\ &+ \sum_{k=i+2}^{j-1} Z_{i+1,k-1}^m Z_{k,j-1}^{m1} e^{-f_3(i,j)/k_B T} \end{aligned}$$

$$Z_{i,j}^m = \sum_{k=i+1}^{j-1} \left[ e^{-f_4(i,k-1)/k_B T} + Z_{i,k-1}^m \right] Z_{k,j}^{m1} e^{-f_5/k_B T}$$

$$Z_{i,j}^{m1} = \sum_{k=i+1}^j Z_{i,k}^b e^{-f_4(k+1,j)/k_B T}$$



General Case

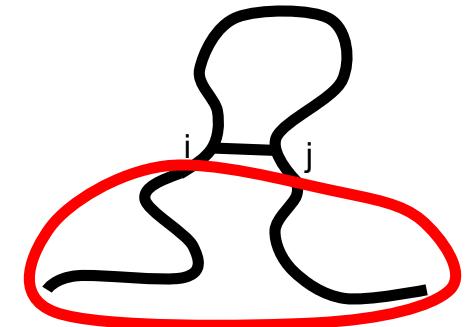


Inside (w.r.t base pair)  
Partition function

McCaskill Algorithm corresponds  
to INSIDE Algorithm of SCFG

# DP algorithm of outside partition function

$$W_{i,j}^b = Z_{1,i-1} Z_{j+1,L} x^{g_1^W(i,j)} + \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_2(k,i,j,\ell)/k_B T} x^{g_2^W(k,i,j,\ell)}$$



$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ \begin{array}{l} Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \\ + Z_{j+1,\ell-1}^m e^{-f_4(k,i)/k_B T} x^{g_4^W(k,i,j,\ell)} \\ + Z_{k+1,j-1}^m Z_{j+1,\ell-1}^m x^{g_5^W(k,i,j,\ell)} \end{array} \right]$$

This algorithm corresponds to **outside algorithm** of SCFG.  
The DP includes inside partition function in the formulae.

Direct DP for BPP is presented in McCaskill's original paper,  
but here we present explicit formula for outside partition function.

# DP on polynomials for outside partition function over Hamming distance

$$W_{i,j}^b = Z_{1,i-1} Z_{j+1,L} x^{g_1^W(i,j)}$$

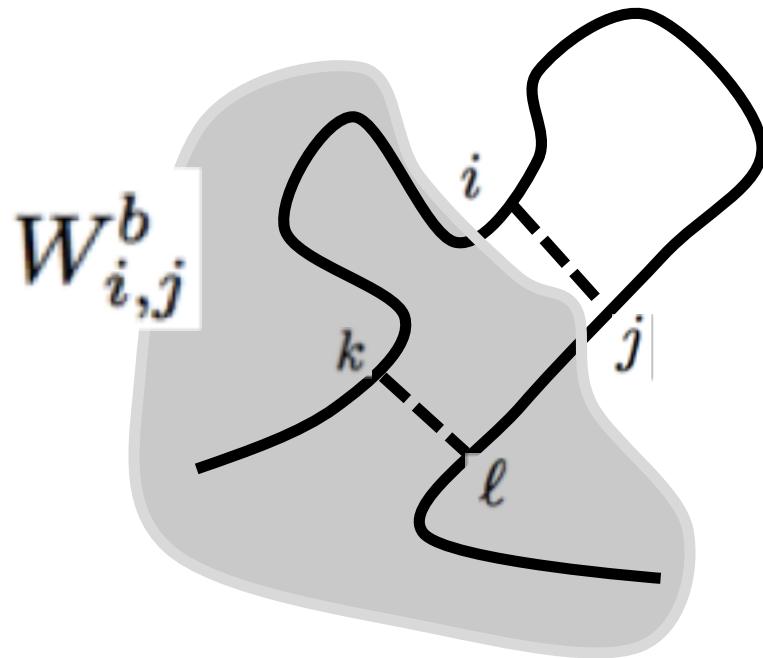
$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_2(k,i,j,\ell)/k_B T} x^{g_2^W(k,i,j,\ell)}$$

$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ \begin{array}{l} Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \\ + Z_{j+1,\ell-1}^m e^{-f_4(k,i)/k_B T} x^{g_4^W(k,i,j,\ell)} \\ + Z_{k+1,j-1}^m Z_{j+1,\ell-1}^m x^{g_5^W(k,i,j,\ell)} \end{array} \right]$$

# DP on polynomials for outside partition function over Hamming distance

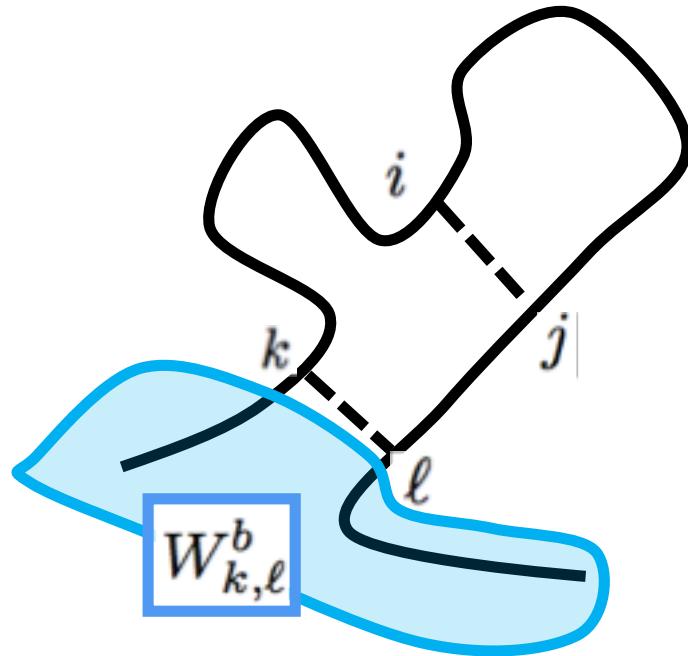
$$W_{i,j}^b = Z_{1,i-1} Z_{j+1,L} x^{g_1^W(i,j)}$$
$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_2(k,i,j,\ell)/k_B T} x^{g_2^W(k,i,j,\ell)}$$
$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ \begin{array}{l} Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \\ + Z_{j+1,\ell-1}^m e^{-f_4(k,i)/k_B T} x^{g_4^W(k,i,j,\ell)} \\ + Z_{k+1,j-1}^m Z_{j+1,\ell-1}^m x^{g_5^W(k,i,j,\ell)} \end{array} \right]$$

# DP on polynomials for outside partition function over Hamming distance



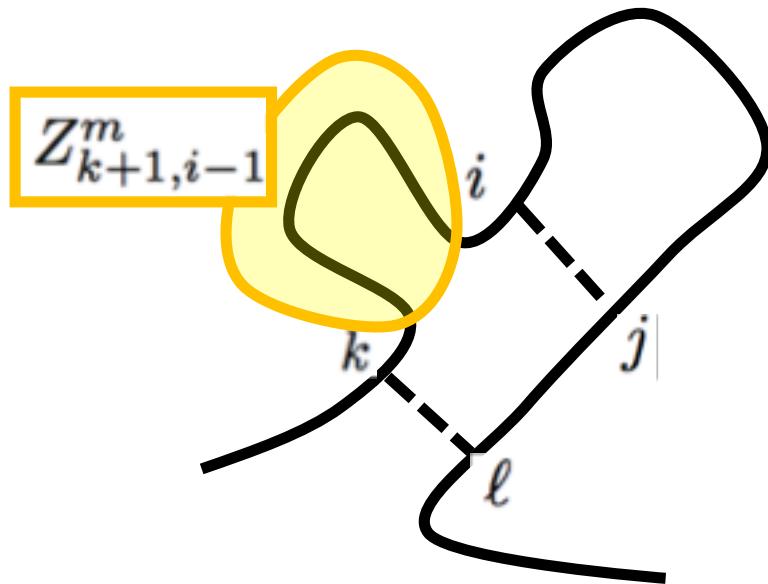
$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \right]$$

# DP on polynomials for outside partition function over Hamming distance



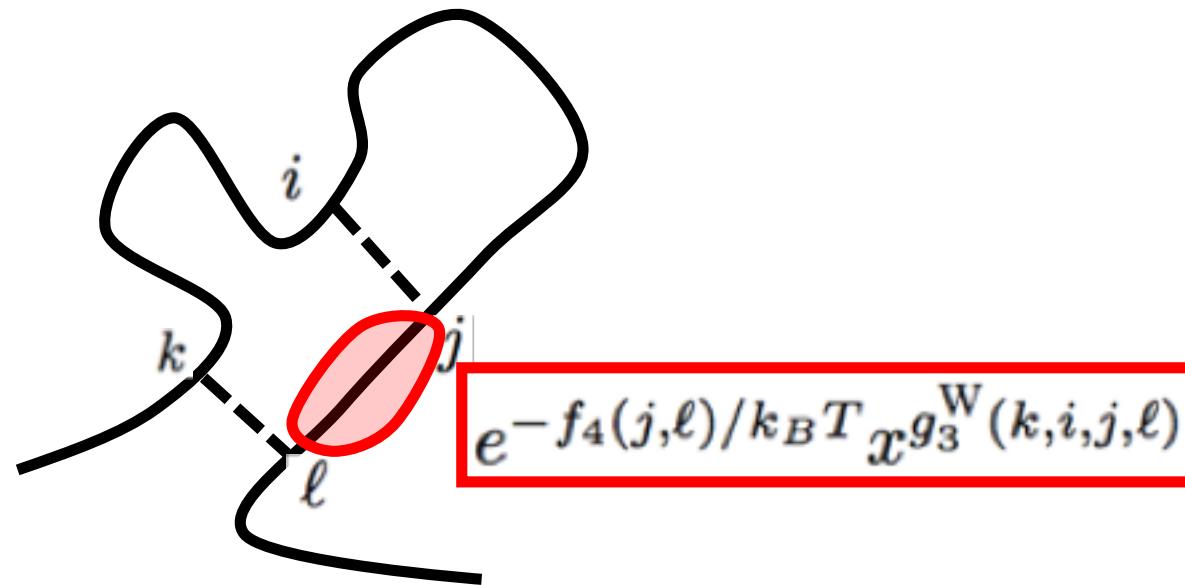
$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \right]$$

# DP on polynomials for outside partition function over Hamming distance



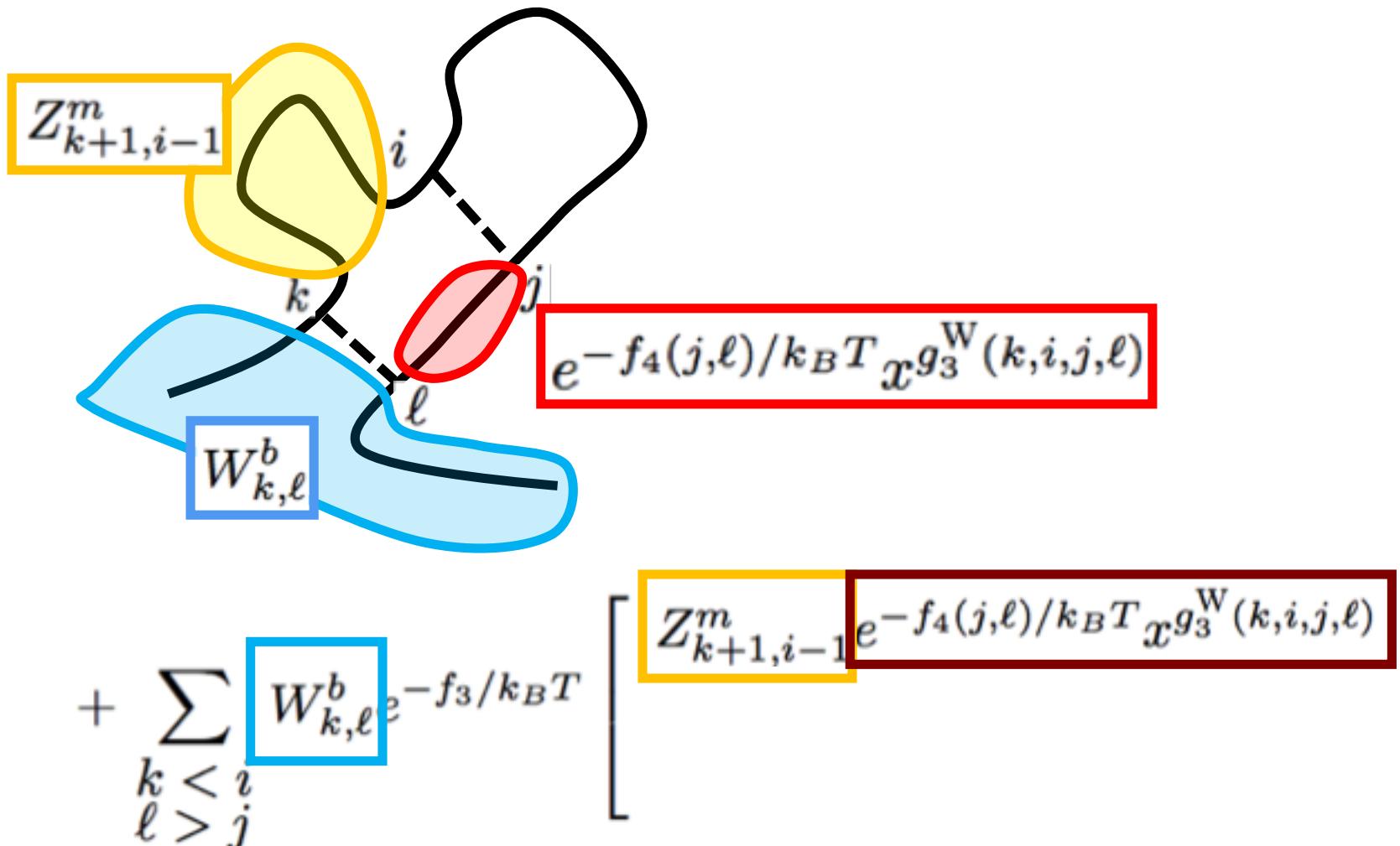
$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \right]$$

# DP on polynomials for outside partition function over Hamming distance

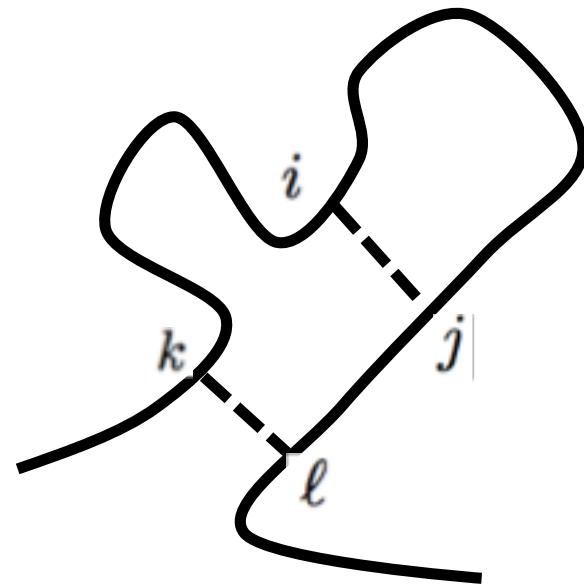


$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x g_3^W(k,i,j,\ell) \right]$$

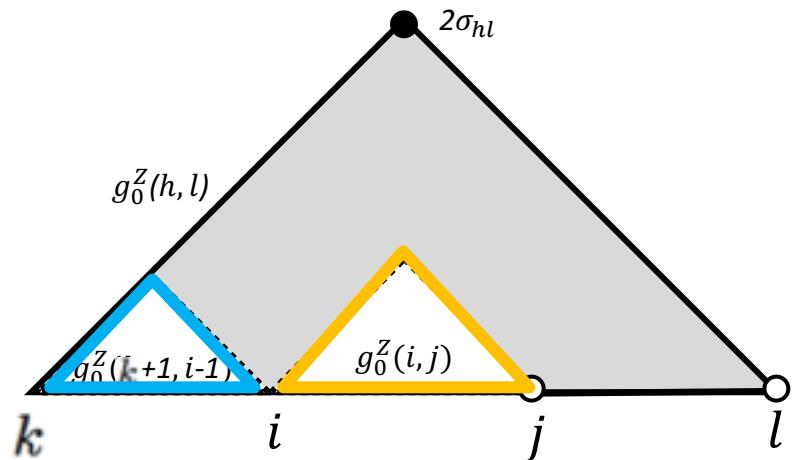
# DP on polynomials for outside partition function over Hamming distance



# DP on polynomials for outside partition function over Hamming distance



$$g_3^W(k, i, j, l)$$



$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} g_3^W(k, i, j, \ell) \right]$$

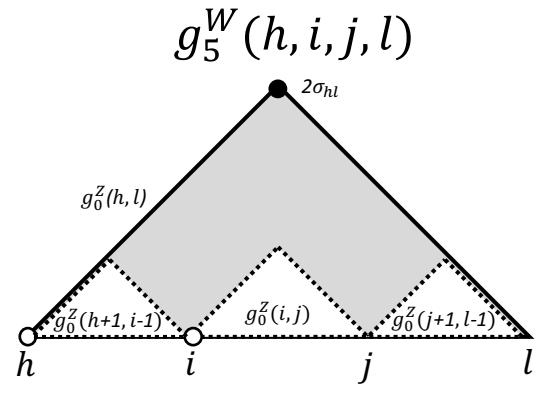
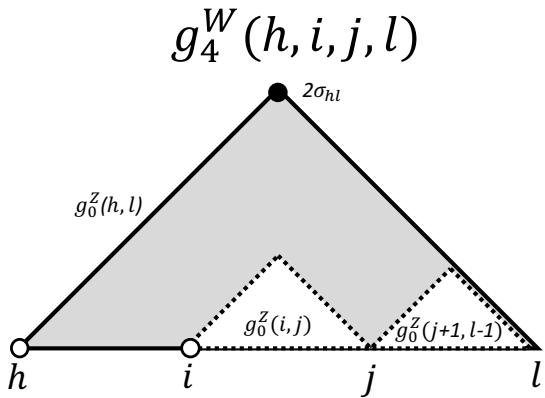
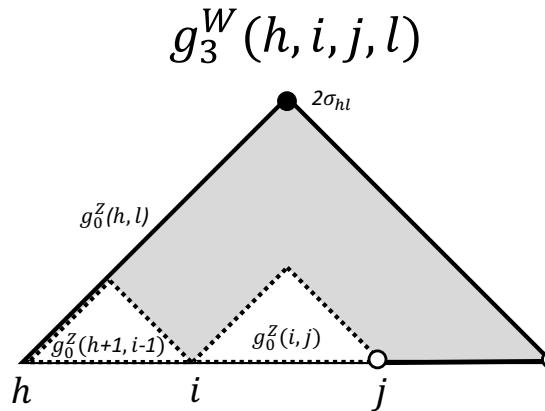
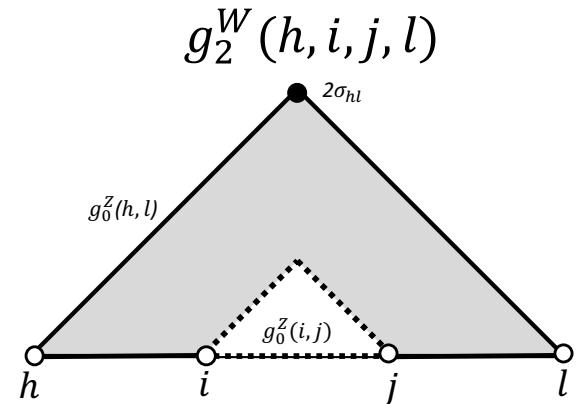
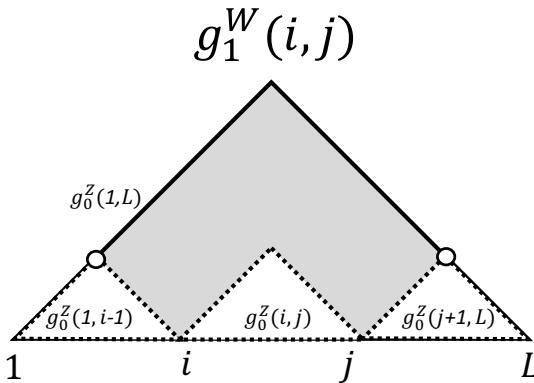
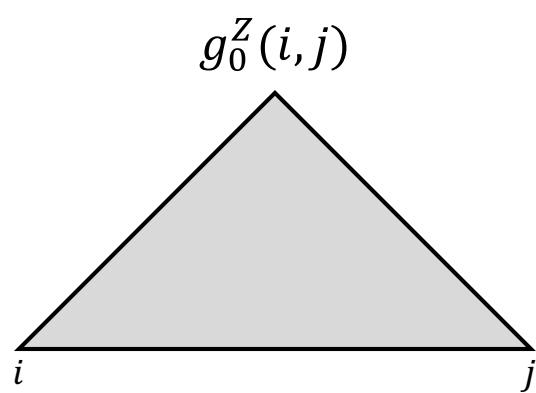
# DP on polynomials for outside partition function over Hamming distance

$$W_{i,j}^b = Z_{1,i-1} Z_{j+1,L} x^{g_1^W(i,j)}$$

$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_2(k,i,j,\ell)/k_B T} x^{g_2^W(k,i,j,\ell)}$$

$$+ \sum_{\substack{k < i \\ \ell > j}} W_{k,\ell}^b e^{-f_3/k_B T} \left[ \begin{array}{l} Z_{k+1,i-1}^m e^{-f_4(j,\ell)/k_B T} x^{g_3^W(k,i,j,\ell)} \\ + Z_{j+1,\ell-1}^m e^{-f_4(k,i)/k_B T} x^{g_4^W(k,i,j,\ell)} \\ + Z_{k+1,j-1}^m Z_{j+1,\ell-1}^m x^{g_5^W(k,i,j,\ell)} \end{array} \right]$$

# Gain functions



# Base-pairing probability of specified region of Hamming distance

$$P_{ij}^b[r_{\min}, r_{\max}] = \frac{\sum_{d \in [r_{\min}, r_{\max}]} \sum_t Z_{ij}^b(d - t) W_{ij}^b(t)}{\sum_{d \in [r_{\min}, r_{\max}]} Z(d, \sigma)}$$

# Calculating partition function over Hamming distance

$$W(d, \sigma) = \sum_{s=d_{\min}}^{d_{\max}} W(s, \sigma) \delta_{sd}$$

$$\Delta = d_{\max} - d_{\min} + 1$$

$$= \sum_{s=d_{\min}}^{d_{\max}} W(s, \sigma) \sum_{r=d_{\min}}^{d_{\max}} \frac{\exp\left[2\pi i \frac{r(s-d)}{\Delta}\right]}{\Delta}$$

DP on complex numbers

$$= \frac{1}{\Delta} \sum_{r=d_{\min}}^{d_{\max}} \exp\left[2\pi i \frac{-rd}{\Delta}\right] \boxed{\sum_{s=d_{\min}}^{d_{\max}} W(s, \sigma) \exp\left[2\pi i \frac{rs}{\Delta}\right]}$$

$$= \frac{1}{\Delta} \boxed{\sum_{r=d_{\min}}^{d_{\max}} \exp\left[2\pi i \frac{-rd}{\Delta}\right]} \cdot W_{1,L}(\sigma)$$

DFT

# Computational complexity

|                     | Polynomial | DFT      |
|---------------------|------------|----------|
| ~ 1D analysis       |            |          |
| ~ Time : $O(n^4)$   | ->         | $O(n^3)$ |
| ~ Memory : $O(n^2)$ | ->         | $O(n^3)$ |
| ~ 2D analysis       |            |          |
| ~ Time : $O(n^5)$   | ->         | $O(n^3)$ |
| ~ Memory : $O(n^2)$ | ->         | $O(n^4)$ |

# Concluding remarks

- Algorithm to calculate RNA base-pairing probabilities over Hamming distance has been shown.
- Implemented software, RintW, utilizes DP on polynomials and DFT for efficient calculation.
  - $O(L^4 H_{\max})$  in time,  $O(L^3)$  in memory
- Using RintW, alternative 2D structures of RNA are represented for RNA thermometer and Lysin Riboswitch.
- The web server is available in  
<http://rtoos.cbrc.jp/>

# Acknowledgments

## Contributors

|                 |   |
|-----------------|---|
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| Shun Sakuraba   | Nat. Inst. of Quantum & Radiological Sci. & Tech. |
| Junichi Iwakiri | Asai Lab.   |

Vienna RNA package (<https://www.tbi.univie.ac.at/RNA/>)

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| Yukiteru Ono    | IMSBIO Inc.  |
| Martin Frith    | AIST         |
| Osamu Gotoh     | AIST         |
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| Zeng Chao       | AIST         |

## Collaborators experimental

|                |                 |
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| Tetsuro Hirose | Hokkaido Univ.  |
| Hiroshi Abe    | Hokkaido Univ.. |