# Plan

Part I: Functional Formulation of QFT, renormalization, Wilson RG

#### Part II: Lattice Formulation of scalar, fermion and gauge QFT

Part III: Lattice QCD: numerical methods and applications



#### Introduction to Lattice Field Theory P. Hernández (IFIC, UVEG-CSIC)

# Plan

Part I: Functional Formulation of QFT, renormalization, Wilson RG Part II: Lattice Formulation of scalar, fermion and gauge QFT Part III: Lattice QCD: numerical methods and applications Bibliography

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The Standard Model of particle physics has been tested sub % to be the theory describing microscopic particles and their interactions



Parity Violation

The Standard Model of particle physics has been tested sub % to be the theory describing microscopic particles and their interactions

$$\mathcal{L}_{SM} = \mathcal{L}_{gauge} + \mathcal{L}_{matter} + \mathcal{L}_{SSB}$$

Gauge principle

 $SU(3) \times SU(2) \times U(1)_Y$ 



The Standard Model of particle physics has been tested sub % to be the theory describing microscopic particles and their interactions

$$\mathcal{L}_{SM} = \mathcal{L}_{gauge} + \mathcal{L}_{matter} + \mathcal{L}_{SSB}$$

$$\mathcal{L}_{gauge} = -\frac{1}{4g_{U(1)}^2} B_{\mu\nu} B_{\mu\nu} - \frac{1}{4g_{SU(2)}^2} W_{\mu\nu} W_{\mu\nu} - \frac{1}{4g_{SU(3)}^2} G_{\mu\nu} G_{\mu\nu}$$

$$\mathcal{L}_{matter} = \sum_{a} \bar{\Psi}^a i \ \mathcal{D}\Psi^a$$

$$\mathcal{L}_{SSB} = \sum_{ab} \bar{\Psi}^a Y_{ab} \Phi \Psi^b + h.c. + \mathcal{L}(\Phi)$$

$$\underbrace{\left\{ \begin{array}{c} \zeta = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\ + \zeta F \partial \varphi + h.c. \\ + \beta \varphi f^2 - V(\phi) \end{array}\right\}}_{q \neq \mu}$$

The Standard Model interactions imply a *#* of free parameters and accidental symmetries:

Sector	Free Param.	Discrete Sym.	Flavour Sym.
Gauge Gauge+matter <mark>Gauge+matter+SSB</mark>	3 3 22-24	$\begin{array}{c} C, P, T \\ T, Q', P \\ Q', P', T \end{array}$	$ \prod_{\substack{\text{multiplet}\\ U(1)_{B-L}} U(N_f) $

+ A non-accidental "symmetry": strong CP

$$\mathcal{L}_{\rm SM} \supset \overline{\theta} \frac{\alpha_s}{8\pi} G \tilde{G} \qquad \overline{\theta} \leq 10^{-10}$$

Most of what we know is derived from perturbation theory and is not enough!

### The need to go beyond perturbation theory

SU(3) interactions weak at large energies become strong at low energies



Growth of the coupling at low energies: Confinement Generation of a mass gap Chiral symmetry breaking

#### Confinement

We do not observe asymptotic states with net color charge, only hadrons which are color singlets

Static potential (potential between infinitely heavy quarks) grows with r:



#### Mass gap

Light hadron masses (except pions) are dominated by the strong binding energy

$$\frac{m_{\rm proton}}{2m_u + m_d} \sim 100$$

The mass of ordinary matter is mostly color binding energy!

One of the 6 Millennium Prize Problems still to be solved (1M\$ prize!)

Yang–Mills Existence and Mass Gap. Prove that for any compact simple gauge group G, a non-trivial quantum Yang–Mills theory exists on  $R_4$  and has a mass gap  $\Delta > 0$ . Existence includes establishing axiomatic properties at least as strong as those cited in [45, 35].

#### Spontaneous Chiral Symmetry Breaking

The lightest pseudoscalar mesons are significantly lighter than the mass gap because they are Nambu-Goldstone bosons of chiral symmetry breaking

In the limit  $m_u = m_d = 0$ , there is a chiral global symmetry in QCD

$$\left( \begin{array}{c} u \\ d \end{array} \right)_L \to U_L \left( \begin{array}{c} u \\ d \end{array} \right)_L \qquad \qquad \left( \begin{array}{c} u \\ d \end{array} \right)_R \to U_R \left( \begin{array}{c} u \\ d \end{array} \right)_R$$

Due to the strong interactions a quark condensate forms:

$$\langle \bar{u}u \rangle = \langle \bar{d}d \rangle = \Sigma \ \delta_{ij}$$

Spontaneous symmetry breaking:

$$SU(2)_L \times SU(2)_R \to SU(2)_V$$

Three goldstone bosons:

$$\pi^{\pm},\pi^{0}$$

#### **Chiral Symmetry Breaking**

Goldstone theorem:

$$\langle 0|A^a_\mu(x)|\pi^a(p)\rangle = ip_\mu F_\pi e^{-ipx}, \quad A^a_\mu = \bar{Q}\gamma_\mu\gamma_5 T^a Q$$

 $\langle \partial_{\mu} A^{a}_{\mu}(x) P^{a}(y) \rangle = \langle \bar{Q}(x) \{ M, T^{a} \} \gamma_{5} Q(x) P^{a}(y) \rangle - \delta(x-y) \langle \delta^{a} P^{a}(y) \rangle$ 

$$M_{\pi}^{2} = (m_{u} + m_{d}) |\langle 0| (\bar{u}u + \bar{d}d) |0\rangle| \frac{1}{F_{\pi}^{2}}$$

[Gell-Mann, Oakes, Renner]

Light pseudoscalar mesons are very sensitive to light quark masses, the latter can be extracted from the former

#### Anomalous Chiral Symmetry Breaking

For  $m_u = m_d = o$  the symmetry group at the classical level also contains

$$U(1)_L \times U(1)_R \to U(1)_V$$

However: there is no fourth goldstone boson:

 $m_{\eta'} \sim m_{\rm proton}$ 

 $\eta'$ 

 $U(1)_A$  broken by the anomaly:

[t'Hooft; Witten; Veneziano]

$$\partial_{\mu}A^{\mu} = \frac{g^2}{32\pi^2}G^a_{\mu\nu}\tilde{G}^a_{\mu\nu}$$

The term on the right-hand side has no effect in perturbation theory, but configurations exist that make this non vanishing beyond perturbation theory

Asymptotic freedom versus Landau Poles/Triviality

$$\beta(\bar{q}) = \frac{\partial \bar{g}(q)}{\partial \ln q} = \beta_0 \bar{g}^3 + \mathcal{O}(\bar{g}^5)$$

 $\beta_0$ <0 Asymptotic Freedom

 $\beta_0$ >0 Triviality and Landau Pole

$$[\alpha(q)]_{\text{QCD}} \equiv \frac{\bar{g}(q)^2}{4\pi} \sim_{q \to \infty} \frac{c}{\ln(\frac{q}{\Lambda})}$$

$$(Q_{\text{Landau Pole}})_{QED} = m_e \exp\left(\frac{1}{\beta_0 \bar{g}(m_e)^2}\right)$$



#### The need to go beyond perturbation theory

The Standard Model at arbitrary high energy ?

$$V(\phi) = -\mu^2 \phi^{\dagger} \phi + \lambda (\phi^{\dagger} \phi)^2 \qquad U_Y(1)$$

1. Landau poles (perturbative) <-> triviality (non-perturbative)

$$\Lambda \to \infty : \lambda_R(\mu) = 0, g_{1R}(\mu) = 0$$

**2.** Stability of the higgs potential <->  $\lambda_R(\mu) > 0$ 

#### Intriguing correlation between SM pararameters: $m_t$ , $m_h$ , $\alpha_s$ !



The Standard Model is borderline OK up to the Planck scale but not beyond

### The (B)SM puzzles

• If there is new physics, there is a hierarchy problem



 $\theta F^a_{\mu\nu} \tilde{F}^{a\mu\nu}$ 

Solutions involve strong interactions: SUSY breaking, Technicolor, etc

• Flavoured new physics (e.g. FCNC) more strongly constrained than unflavoured ones:

$$\frac{\Lambda}{\sqrt{c}} \ge [10^2 - 10^5] \text{ TeV}$$

Flavoured new physics in the quark sector involve strong interactions

• Strong CP problem...if CP is broken why not by QCD ? Term irrelevant in the perturbation theory

### Beyond PTh: Lattice Quantum Field Theory



Basic idea due to K. Wilson: convert the path-integral formulation of a QFT into a statistical system by discretizing space-time

QCD and asymptotically free renormalizable theories are benchmarks

Lehman-Symanzik-Zimmerman Reduction Formula

Cross sections, decay widths <-> Field Correlation functions



From Minkowski to Euclidean via a Wick rotation

$$W_n(t_1, \mathbf{x_1}; ..., t_n, \mathbf{x_n}) = \langle 0 | \hat{\phi}(t_1, \mathbf{x_1}) ... \hat{\phi}(t_n, \mathbf{x_n}) | 0 \rangle, \quad t_1 \ge t_2 ... \ge t_n$$

$$S_n(x_1, ..., x_n) = W_n(-ix_1^0, \mathbf{x}_1; ... - ix_n^0, \mathbf{x}_n),$$

From quantum to classical variables: path integral representation

$$S_n = \frac{\int_{PBC} \mathcal{D}\phi \ e^{-S[\phi]}\phi(\mathbf{x}_1, t_1)....\phi(\mathbf{x}_n, t_n)}{\int_{PBC} \mathcal{D}\phi \ e^{-S[\phi]}} \equiv \langle \phi(x_1)....\phi(x_n) \rangle$$

Characterization of asymptotic states (Z, m):

KL Spectral decomposition of the propagator in energy and momentum eigenstates  $|\alpha\rangle$ 

$$\langle \phi(x)\phi(0)\rangle = \sum_{\alpha} \int \frac{d^3p}{(2\pi)^3 2E_{\mathbf{p}}(\alpha)} |Z_{\alpha}|^2 e^{-E_{\mathbf{p}}(\alpha)x_0} e^{i\mathbf{p}\cdot\mathbf{x}}$$

$$Z_{\alpha} \equiv \langle 0|\phi(0)|\alpha(\mathbf{0})\rangle \qquad \quad E_{\alpha}^{2}(\mathbf{p}) = m_{\alpha}^{2} + \mathbf{p}^{2}$$

Dominated by the lowest energy states: one particle states

$$\lim_{x_0 \to \infty} \int d^3x \, \left\langle \phi(x)\phi(0) \right\rangle \propto e^{-m_\alpha x_0}$$

From functional integrals to multidimensional ordinary integrals via discretization of space-time



From functional integrals to multidimensional ordinary integrals via discretization of space-time



Any lattice derivative involves high dimension operators

$$\hat{\partial}_{\mu}\phi = \partial_{\mu}\phi + \frac{1}{2}a\partial_{\mu}\partial_{\mu}\phi + \mathcal{O}(a^{2}) \qquad \frac{1}{2}\left(\hat{\partial}_{\mu} + \hat{\partial}_{\mu}^{*}\right)\phi = \partial_{\mu}\phi + \mathcal{O}(a^{2})$$

Perturbative renormalizability: generic 1PI diagram in scalar  $\lambda \phi^4$ 

$$\Gamma^{(N)}(p_1, ..., p_N) \sim \int \prod_{l=1}^{\Lambda} d^4 q_l \prod_{i=1}^{I} \frac{1}{k_i (q_l, p_j)^2 + m^2} \propto \Lambda^{\omega}$$

 $\omega$  = superficial degree of divergence = 4L - 2I

Using: 4V = N + 2IL = I - V + 1  $\omega = 4 - N$ 

Only N=2, 4 can be divergent  $\Gamma^{(2)} = A \ \partial_{\mu}\phi\partial_{\mu}\phi + B\phi^{2}$  $\Gamma^{(4)} = C\phi^{4}$ 

Divergences in A, B, C can be reabsorbed in Z, m,  $\lambda$  (proof to all others difficult!)

d>4 interactions

$$V^{(1)}[\phi] = g_V(\partial)^{N_\partial}(\phi)^{N_\phi} \qquad [g_V] = 4 - N_\phi - N_\partial$$

$$\omega = 4 - N - [g_V]V_{\rm s}$$

As more vertices of this type are included in a diagram higher N is necessary to absorb the divergence

Г

Perturbative renormalizability:

$$[g_V] > 0$$
  
 $[g_V] = 0$   
 $[g_V] < 0$ 

Superrenormalizable Renormalizable Non renormalizable

The lattice formulation is not renormalizable in this sense...

Consider a QFT with a fundamental cutoff



$$S_{\Lambda}[\phi] = \int_{x} \frac{1}{2} \partial_{\mu} \phi \partial_{\mu} \phi + \frac{m^{2}}{2} \phi^{2} + \frac{\lambda}{4!} \phi^{4} + \frac{\lambda'}{\Lambda^{2}} \phi^{6} + \frac{c_{2}}{\Lambda^{2}} \phi \partial^{4} \phi + \dots$$

 $\omega$  counts the powers of  $\Lambda$ 

*Exercise:* show that

$$\omega = 4 - N$$

There is nothing special about a theory that is perturbatively renormalizable!

Renormalizability is an emergent phenomenon in a theory with a fundamental cutoff (such as a lattice QFT  $\Lambda = a^{-1}$ )

Renormalizability <-> existence of a continuum limit (criticality)



$$\langle \phi(x)\phi(0) \rangle \propto e^{-x/\xi}$$
  
 $\xi = m^{-1} \gg a$ 

Continuum limit: a > 0, keeping  $\xi$  fixed

$$\frac{\xi}{a} \to \infty \iff ma \to 0$$

Empirical fact: many systems near critical points behave similarly (universality class)

$$a \ge a_1 \ge a_2 \dots \ge a_n = (1 - \epsilon)^n a, \quad \epsilon \ll 1$$

At each step we integrate the modes

$$[a_{n-1}^{-1}, a_n^{-1}]$$



$$S^{(n)}(a) = \sum_{i,x} g_i^{(n)} O_i(x)$$

$$S^{(n+1)}(a) = \sum_{i,x} g_i^{(n+1)} O_i(x)$$

 $g_i^{(n+1)} = R_i^{(n)}(g^{(n)})$ 

Renormalization group transformation

At each step we integrate the modes  $[a_{n-1}^{-1}, a_n^{-1}]$  to match to a theory with the same cutoff

$$S(a_1) \rightarrow S^{(1)}(a) = \sum_{\alpha} g^{(1)}_{\alpha}(a) \sum_{x} O_{\alpha}(\phi(x), a)$$
$$S(a_2) \rightarrow S^{(1)}(a_1) \rightarrow S^{(2)}(a) = \sum_{\alpha} g^{(2)}_{\alpha}(a) \sum_{x} O_{\alpha}(\phi(x), a)$$
$$\dots$$

$$S(a_n) \rightarrow \dots \sum_{\alpha} g_{\alpha}^{(n)}(a) \sum_{x} O_{\alpha}(\phi(x), a)$$

Fixed Point of RG  $g_i^* = R_i(g^*)$ 

$$m_{\alpha}(g^*) = \text{fixed} \to m_{\alpha}(g^*)a \to 0$$

Renormalizability <-> Universality  $\implies$  Fixed Point of RG

$$g_i^{(n+1)} = R_i^{(n)}(g^{(n)})$$

Near a FP: 
$$g_{\alpha}^{(n+1)} - g_{\alpha}^{*} = \frac{\partial R_{\alpha}}{\partial g_{\beta}} \Big|_{g^{*}} (g_{\beta}^{(n)} - g_{\beta}^{*}),$$
$$\Delta g_{\alpha}^{(n+1)} = M_{\alpha\beta} \Delta g_{\beta}^{(n)}, \quad M_{\alpha\beta} \equiv \frac{\partial R_{\alpha}}{\partial g_{\beta}} \Big|_{g^{*}}$$

Different situations depending on eigenvalues of M

$$\begin{array}{ll} \lambda > 1 & \Delta g_{\alpha}^{(n)} \text{ increases as } n \to \infty & \alpha \text{ is a relevant direction} \\ \lambda = 1 & \Delta g_{\alpha}^{(n)} \text{ stays the same as } n \to \infty & \alpha \text{ is a marginal direction} \\ \lambda < 1 & \Delta g_{\alpha}^{(n)} \text{ decreases as } n \to \infty & \alpha \text{ is an irrelevant direction} \end{array}$$

*#* of relevant directions is usually small: universality & renormalizability

### *Exercise:* convince yourself that a free massless scalar is a fixed point (gaussian fixed point)

Start with a generic lattice action quadratic in the fields but otherwise arbitrary

$$S(a) = \int_{BZ(a)} \frac{d^4p}{(2\pi)^4} \frac{1}{2} \phi(-p) \left( p^2 + m_0^2 \frac{1}{a^2} + g_1 a^2 p^4 + \dots \right) \phi(p)$$

(i) Construct the effective action  $S^{(1)}(a)$   $a_1 = (1 - \epsilon)a$ 

(ii) Construct the matrix M from this one step and find the relevant, irrelevant and marginal directions

Critical Regions ↔ Effective QFT Fixed Points ↔ Renormalizable QFT: continuum limit

Universality  $\leftrightarrow$  small number of relevant directions

Any local action with the same degrees of freedom and symmetries lead to the same continuum limit (via the tuning of a small set of relevant parameters)

Asymptotic freedom ensures the existence of FP in perturbation theory

QCD has the (marginally) relevant couplings: g<sub>o</sub>, m<sub>u</sub>, m<sub>d</sub>, m<sub>s</sub>, m<sub>c</sub>, ...

$$g_{0}$$
 ->0 ,  $m_{q}$  a -> 0

**Caveat:** a discretization that breaks any of the symmetries in general requires more relevant couplings

#### Lattice Scalar Fields

From functional integrals to multidimensional ordinary integrals via discretization of space-time



#### Lattice Scalar Fields

As in the continuum, the limit  $\lambda = 0$  is solvable

$$S^{(0)}[\phi] = a^4 \sum_{x} \left\{ \frac{1}{2} \hat{\partial}_{\mu} \phi \hat{\partial}_{\mu} \phi + \frac{m_0^2}{2} \phi^2 \right\} = \frac{a^4}{2} \sum_{x,y} \phi(x) K_{xy} \phi(y),$$

$$K_{xy} \equiv -\frac{1}{a^2} \sum_{\hat{\mu}=0}^{3} \left( \delta_{x+a\hat{\mu}y} + \delta_{x-a\hat{\mu}y} - 2\delta_{xy} \right) + m_0^2 \delta_{xy}$$

$$Z^{(0)}[J] = e^{\frac{a^4}{2}\sum_{x,y}J_x(K^{-1})_{xy}J_y} \det\left(a^4K\right)^{-1}$$

$$\langle \phi(x)\phi(y) \rangle = a^{-4}K_{xy}^{-1} = \int \frac{d^4p}{(2\pi)^4} \frac{e^{ip \cdot (x-y)}}{\hat{p}^2 + m_0^2}$$
$$\hat{p}_{\mu} \equiv \frac{2}{a} \sin\left(\frac{p_{\mu}a}{2}\right) \quad \hat{p}^2 \equiv \sum_{\mu} \hat{p}_{\mu}^2.$$
#### Lattice Scalar Fields

Particle interpretation ? Continuum limit ?

*Exercise:* 
$$\langle \phi(x)\phi(0)\rangle = \int_A (...) = \int \frac{d^3p}{(2\pi)^3} \frac{1}{2\bar{\omega}(\mathbf{p})} e^{-\omega(\mathbf{p})x_0} e^{i\mathbf{p}\cdot\mathbf{x}}$$



$$Z = \sqrt{\frac{\omega(\mathbf{p})}{\bar{\omega}(\mathbf{p})}} \to 1 + \mathcal{O}(a^2)$$

Euclidean fermions :

$$S_{\text{cont}} = \int \mathrm{d}^4 x \, \bar{\psi}(x) \left[ \gamma_\mu \partial_\mu + m \right] \psi(x) \, ; \qquad \{ \gamma_\mu, \gamma_\nu \} = 2\delta_{\mu\nu} \, , \quad \gamma^\dagger_\mu = \gamma_\mu$$

One particle states (KL representation):

$$\langle 0|\psi(x)\bar{\psi}(0)|0\rangle_F\big|_{x_0>0} = \sum_{\alpha} \int \frac{d^3p}{(2\pi)^3} |Z_{\alpha}|^2 \left. \frac{i\gamma_{\mu}p_{\mu} - m_{\alpha}}{2ip_0} \right|_{p_0 = iE_{\mathbf{p}}(\alpha)} e^{-E_{\mathbf{p}}(\alpha)x_0} e^{i\mathbf{p}\mathbf{x}}$$

Chiral symmetry m -> 0:  $\psi(x) \rightarrow e^{i\alpha\gamma_5}\psi(x)$ 

Naïve discretization:

$$S_{\text{latt}} = a^4 \sum_x \bar{\psi}(x) \left\{ \frac{1}{2} \left[ \gamma_\mu (\partial^*_\mu + \partial_\mu) \right] + m \right\} \psi(x)$$



KL representation: one particle states ?



$$\langle \psi_{\alpha}(x)\bar{\psi}_{\beta}(0)\rangle_{F} = \int \frac{d^{3}p}{(2\pi)^{3}} \frac{e^{i\mathbf{p}\mathbf{x}}e^{-\omega_{\mathbf{p}}x_{0}}}{\sinh(2\omega_{\mathbf{p}}a)} \left[ \left( \gamma_{0}\sinh\omega_{\mathbf{p}}a - i\sum_{k}\gamma_{k}\sin p_{k}a + ma \right) \right] + (-1)^{x_{0}/a} \left( -\gamma_{0}\sinh\omega_{\mathbf{p}}a - i\sum_{k}\gamma_{k}\sin p_{k}a + ma \right) \right].$$

- Two poles with same energy  $\omega_{\mathbf{p}}$  with different residues
- Minimum of the energy @  $p_k = \bar{p}_k \equiv n_k \frac{\pi}{a}$   $n_k = 0, 1$

$$\lim_{a \to 0} \left. \omega_{\mathbf{p}} \right|_{p_k = n_k \pi/a} = m$$

$$p_j = \bar{p}_j^{(i)} + k_j, \quad k_j a \ll 1 \quad j = 1, ..., 2^3$$
$$\bar{p}_\mu^{(\alpha)} = (n_0^{(\alpha)}, n_1^{(\alpha)}, n_2^{(\alpha)}, n_3^{(\alpha)}) \frac{\pi}{a}, \quad n_\mu^{(\alpha)} = 0, 1$$

$$\langle \psi_{\alpha}(x)\bar{\psi}_{\beta}(0)\rangle_{F} = \sum_{\alpha=1}^{16} e^{i\bar{p}^{(\alpha)}x} \int \frac{d^{3}k}{(2\pi)^{3}} \frac{e^{i\mathbf{k}\mathbf{x}}e^{-\omega_{\mathbf{p}}t}}{2k_{0}} S_{\alpha} \left[ \left(\gamma_{0}k_{0} - i\sum_{k}\gamma_{k}k_{k} + m\right) \right] S_{\alpha}^{-1} S_{\alpha} = \prod_{\mu} (i\gamma_{\mu}\gamma_{5})^{n_{\mu}^{(\alpha)}}$$

Doubling Problem: 2<sup>d</sup> massive fields in the continuum limit and not one !

Deep connection between doubling problem and chirality Naive chiral fermion:

$$(1 - \gamma_5) \sum_{\alpha=1}^{16} e^{i\bar{p}^{(\alpha)}x} \int \frac{d^3k}{(2\pi)^3} \frac{e^{i\mathbf{k}\mathbf{x}} e^{-\omega_{\mathbf{p}}t}}{2k_0} S_{\alpha} \left[ \left( \gamma_0 k_0 - i\sum_k \gamma_k k_k + m \right) \right] S_{\alpha}^{-1} (1 + \gamma_5) \left( (1 - \gamma_5) S_{\alpha} = S_{\alpha} (1 - (-1)^{\sum_{\mu} n_{\mu}^{(\alpha)}} \gamma_5) \right) \right]$$

8 right-movers + 8 left-movers: vector-like theory !

Deep connection between doubling problem and chirality

Nielsen-Ninomiya no-go theorem: doubling problema is common to any fermion action that satisfies

- Invariant under space-time translations
- Quadratic in fermions & hermitian
- Local (smooth kernel in Fourier space)
- Chirally symmetric

Wilson discretization:

$$S_{\text{latt}} = a^4 \sum_x \bar{\psi}(x) \left\{ \frac{1}{2} \left[ \gamma_\mu (\partial^*_\mu + \partial_\mu) - a \partial^*_\mu \partial_\mu + m \right\} \psi(x) \right\}$$

Vanishes in the naïve continuum limit, but breaks chiral symmetry!

$$\langle \psi_{\alpha}(x)\bar{\psi}_{\beta}(y)\rangle_{F} = \int_{BZ} \frac{d^{4}p}{(2\pi)^{4}} \frac{e^{ip(x-y)}}{\sum_{\mu} i\gamma_{\mu}\frac{\sin(p_{\mu}a)}{a} + m + \frac{r}{a}\sum_{\mu} (1 - \cos p_{\mu}a)}$$

- Only one pole in the p<sub>o</sub>
- Minimum energy unique

$$\omega_{\mathbf{p}}^{(\alpha)} = \frac{1}{a} \log \left( 1 + ma + 2\sum_{k} n_{k}^{(\alpha)} \right)$$



The breaking of chiral symmetry brings many complications:

- bare quark masses become relevant (instead of marginally relevant)
- cutoff effects are O(a) (instead of O(a^2))
- operator mixing and renormalization much more complicated
- in the context of chiral gauge theories: breaking of gauge symmetry

Alternatively discretizations to tame chiral symmetry breaking

- Staggered fermions (Kogut-Susskind)
- Twisted mass Wilson
- Ginsparg-Wilson (overlap fermions, domain-wall,...)

SU(N) gauge theory in the continuum: e.g. a charged fermion + gauge connection

$$\psi^{i}(x)$$
  $i = 1, ..., N$   $A_{\mu}(x) = A^{a}_{\mu}(x)T^{a}$   $a = 1, ..., N^{2} - 1$ 

Gauge Symmetry:

$$\psi(x) \to \psi'(x) = \Omega(x)\psi(x), \quad \Omega(x) \in SU(N)$$
  
 $A_{\mu}(x) \to A'_{\mu}(x) = \Omega(x)A_{\mu}(x)\Omega(x)^{\dagger} + i\Omega(x)\partial_{\mu}\Omega(x)^{\dagger}$ 

Action: start with the free fermion action ( $A_{\mu}=0$ ) and do a gauge transformation

$$\bar{\psi}\gamma_{\mu}\partial_{\mu}\psi \to \bar{\psi}'\gamma_{\mu}D_{\mu}\psi'$$

$$D_{\mu}\psi' \equiv \left(\partial_{\mu} + \Omega \ \partial_{\mu}\Omega^{+}\right)\psi' = \left(\partial_{\mu} - iA'_{\mu}\right)\psi'$$

SU(N) gauge theory in the continuum: e.g. a charged fermion + gauge connection

$$\psi^{i}(x)$$
  $i = 1, ..., N$   $A_{\mu}(x) = A^{a}_{\mu}(x)T^{a}$   $a = 1, ..., N^{2} - 1$ 

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Action: start with the free fermion action ( $A_{\mu}=0$ ) and do a gauge transformation

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Gauge action in terms of the field strength

$$F_{\mu\nu}(x) = \partial_{\mu}A_{\nu}(x) - \partial_{\nu}A_{\mu}(x) - i[A_{\mu}(x), A_{\nu}(x)]$$

$$S_{\rm cont}[\phi, A_{\mu}] = -\frac{1}{2g^2} \operatorname{Tr}\left[F_{\mu\nu}F^{\mu\nu}\right] + \bar{\psi}\gamma_{\mu}D_{\mu}\psi$$

How do we discretize this, maintaining gauge symmetry ?

Free fermion in two different gauges to get the lattice covariant derivative:

$$\bar{\psi}\gamma_{\mu}\hat{\partial}_{\mu}\psi \to \bar{\psi}'\gamma_{\mu}\hat{\nabla}_{\mu}\psi'$$

 $\hat{\nabla}_{\mu}\psi(x) = \Omega(x)^{\dagger}\Omega(x+a\hat{\mu})\psi(x+a\hat{\mu}) - \psi(x) \equiv U_{\mu}(x)\psi(x+a\hat{\mu}) - \psi(x)$ 

The basic variable on the lattice is the link variable

$$U_{\mu}(x) \in SU(N)$$

It is a parallel transporter



$$U_{\mu}(x) \to \Omega(x)U_{\mu}(x)\Omega(x+a\hat{\mu})^{\dagger}$$

# Lattice Gauge Fields

We still need the pure gauge action...

Wilson lines: path ordered product of links (y-> x)

 $P(x, y; \text{path}) \to \Omega(x) P(x, y; \text{path}) \Omega(y)^{\dagger}$ 

Wilson loops: products of link variables forming a closed loop

W = Tr[P(x,x;path)] Gauge invariant!

Plaquette: smallest Wilson loop

$$U_{\mu\nu}(x) \equiv U_{\mu}(x)U_{\nu}(x+a\hat{\mu})U_{\mu}(x+a\hat{\nu})^{\dagger}U_{\nu}(x)^{\dagger}$$



# Lattice Gauge Fields

Discretized Gauge Action ? Local, real, lattice rotation and gauge invariant



Continuum Limit?

$$U_{\mu}(x) = \operatorname{P} \exp\left\{ia \int_{0}^{1} \mathrm{d}t \, A_{\mu}(x + (1 - t)a\hat{\mu})\right\}$$
$$= \mathbf{1} + ia A_{\mu}(x) + \mathcal{O}(a^{2})$$

$$\lim_{a \to 0} S_W[U] = \frac{1}{2g_0^2} a^4 \sum_x \operatorname{Tr}[F_{\mu\nu}(x)F^{\mu\nu}(x)] + \mathcal{O}(a^6)$$

#### Strong Coupling Expansion: mass gap

An Taylor expansion in  $\beta \equiv \frac{2N}{g_0^2}$ 

- each power of  $\beta$  brings down a plaquette

• 
$$\int dU \ U_{\alpha\beta} = 0$$
 each link must appear more than once

Glueball spectrum: the large time behaviour of any local operator with the right quantum numbers

Mass gap, but no continuum limit...

#### Strong Coupling Expansion: confinement

Static potential: potential energy between two infinitely heavy quark/antiquark separated a distance R



 $C_{qq}(T) \propto \langle$ 

$$\mathcal{O}(t) = \phi^{\dagger}(\mathbf{y}, t) U(\mathbf{y}, t; \mathbf{x}, t) \phi(\mathbf{x}, t)$$
$$C_{q\bar{q}}(T) \equiv \langle \mathcal{O}^{\dagger}(T) \mathcal{O}(0) \rangle_{\phi, U}$$
$$C_{q\bar{q}}(T) \sim \exp(-E(R)T), \quad E(R) = E_0 + V(R)$$

$$\lim_{\beta \to 0} V(R) = \frac{R}{a^2} \log\left(\frac{2N^2}{\beta}\right) + \dots = \sigma R + \dots$$

String tension but no continuum limit!

$$\lim_{\beta \to 0} \sigma = \frac{1}{a^2} \log \left( \frac{2N^2}{\beta} \right)$$

## Lattice QCD

$$\begin{aligned} \mathcal{Z} &= \int D[U]e^{-S_W[U]} \int D[\psi]D[\bar{\psi}] \quad e^{-S_{WF}[U,\psi,\bar{\psi}]} \\ S_W[U] &= \frac{2}{g_0^2} \sum_x \sum_{\mu < \nu} \operatorname{Tr} \left[ 1 - \frac{1}{2} (U_{\mu\nu}(x) + U_{\mu\nu}^{\dagger}(x)) \right] \\ S_{WF}[U,\psi,\bar{\psi}] &= a^4 \sum_{x,a} \bar{\psi}_a(x) (D_W + M_a) \psi_a \\ D_W &= \frac{1}{2} \left[ (\nabla_\mu + \nabla_\mu^*) \gamma_\mu - a \nabla_\mu \nabla_\mu^* \right] \\ D[U] &= \prod_{x,\mu} dU_\mu(x), \quad D[\psi] = \prod_x d\psi(x) \\ \text{Haar measure} \end{aligned}$$

Integration over fermion variables can be done analytically:

$$\mathcal{Z}_F \equiv \int D[\psi] D[\bar{\psi}] \ e^{-S_{WF}[U,\psi,\bar{\psi}]} = \prod_a \det(D_W + M_a)$$

# Lattice QCD

$$\mathcal{Z} = \int D[U] \quad \prod_{q} \det(D_W + m_q) \ e^{-S_W[U]}$$

- Integrals over link variables are compact: no need to fix the gauge
- Integrand is positive definite: Monte Carlo methods can be used

$$\langle \phi_1(x_1) \cdots \phi_n(x_n) \rangle = \frac{1}{\mathcal{Z}} \int D[U] \langle \phi_1(x_1) \cdots \phi_n(x_n) \rangle_{\mathrm{F}} \times \prod_{q=1}^{N_f} \det[D_{\mathrm{w}}(U) + m_q] e^{-S_{\mathrm{G}}[U]}$$

 $\langle \psi_1(x_1)...\psi_n(x_n)\bar{\psi}_1(y_1)...\bar{\psi}_n(y_n)\rangle_F = \text{Tr}[\text{ Product of quark propagators }]$  $\langle \psi(x)\bar{\psi}(y)\rangle_F = S(x,y;U) \qquad (D_w+M)\,S(x,y;U) = a^{-4}\delta_{xy}$ 

# Lattice QCD

*Example:* pion propagator

$$\langle (\bar{u}\gamma_5 d)(x)(\bar{d}\gamma_5 u)(y)\rangle_{\mathrm{F}} = -\mathrm{tr}\left\{\gamma_5 \,S(x,y;U)_d\,\gamma_5 \,S(y,x;U)_u\right\} \quad x \bullet y$$

$$\langle \pi(x)\pi(y)\rangle = -\mathcal{Z}^{-1}\int D[U] \ tr[\gamma_5 S_d(x,y;U)\gamma_5 S_u(y,x;U)] \prod_q \det(D_W + m_q) \ e^{-S_W[U]}$$

$$\lim_{x_0 \to \infty} a^3 \sum_{\mathbf{x}} \langle \pi(x) \pi(0) \rangle \propto e^{-m_{\pi} x_0}$$

## Lattice QCD: continuum limit ?

Is there a fixed point:  $m_{
m phys}a 
ightarrow 0$ 

How to approach this continuum limit: how many couplings do we have to tune ?

Asymptotic freedom ensures the existence of FP in perturbation theory

$$\beta(g_0) \equiv -a \left. \frac{\partial g_0}{\partial a} \right|_{g_R \text{ fixed}} = -\beta_0 g_0^3 - \beta_1 g_0^5 + \dots \quad \beta_0 = \frac{N_c}{16\pi^2} \frac{11}{3} > 0$$
$$\Rightarrow \quad g_0^2 \sim \frac{1}{a \to 0} \frac{1}{b_0 \ln(a\mu)} + \dots$$

 $g_0 = 0$  UV fixed point

QCD has the relevant couplings:  $g_0, m_u, m_d, m_s, m_c, ...$ 

# **Continuum Limit**

 $N_f=2+1$  three parameters:  $g_0$ ,  $m_u=m_d$ ,  $m_s$ 

e.g. we measure three quantities and predict everything else

$$a^{\rm phys} \to M_p a / M_p^{\rm phys}, \ m_u a = m_d a \to M_\pi a / a^{\rm phys} = M_\pi^{\rm phys},$$
  
 $m_s a \to M_K a / a^{\rm phys} = M_K^{\rm phys}$ 

 $a \to a' < a$  (L/a, increase) increasing  $\beta \propto \frac{1}{g_0^2}$  so that

so that physics remains constant

$$M_p a' = M_p a\left(\frac{a'}{a}\right) = M_p a\left(\frac{L}{a}\frac{a'}{L}\right)$$

# Plan

Part I: Functional Formulation of QFT, renormalization, Wilson RG Part II: Lattice Formulation of scalar, fermion and gauge QFT

#### Part III: Lattice QCD: numerical methods and applications

Well defined problem for finite a and volume but:

$$N_f = 2 + 1 + 1$$
,  $(L/a)^3 \times (T/a) = 64^3 \times 128$   
 $\Rightarrow D_w = (1.6 \times 10^9)^2$  complex matrix



Monte Carlo integration mandatory

$$I = \int_0^1 dx_0 \int_0^1 dx_1 \cdots \int_0^1 dx_{K-1} P(\mathbf{x}) f(\mathbf{x})$$

Generate N random K-vectors  $\{\mathbf{x}^{[i]}\}$  distributed according to P(x) (normalized)

$$I(N) = \frac{1}{N} \sum_{i=1,..N} f[\mathbf{x}^{[i]}]$$

Convergence guarantied by central limit theorem:

$$\lim_{N \to \infty} I(N) = I + \mathcal{O}(1/\sqrt{N})$$

Numerical Aspects of Lattice QCD  

$$\mathbf{x} \to U_{\mu}(x), \qquad P(\mathbf{x}) \to \frac{e^{-S[U]}}{\mathcal{Z}}$$

Markov Chains: a procedure to get the required samples  $\{\mathbf{x}^{[i]}\}$ 

Stocastic process to get one configuration from the previous one via a

Transition Probability 
$$T(\mathbf{x} 
ightarrow \mathbf{x'})$$

With the following properties guarantied to get the right distribution (asymptotically):

1) 
$$T(\mathbf{x} \to \mathbf{x}') \ge 0$$
  $\sum_{\mathbf{x}'} T(\mathbf{x} \to \mathbf{x}') = 1$  2)  $\sum_{\mathbf{x}} P(\mathbf{x})T(\mathbf{x} \to \mathbf{x}') = P(\mathbf{x}')$ 

3) ergodicity

# Numerical Aspects of Lattice QCD What $T(\mathbf{x} \rightarrow \mathbf{x}')$ ?

Metropolis-Hastings algorithm

$$T(\mathbf{x} \to \mathbf{x}') = \begin{cases} \min(1, P(\mathbf{x}')/P(\mathbf{x})) & \mathbf{x}' \neq \mathbf{x} \\ 1 - \sum_{\mathbf{x}'} \min(1, P(\mathbf{x}')/P(\mathbf{x})) & \mathbf{x}' = \mathbf{x} \end{cases}$$

Not very efficient when the domain is much larger than the region where P(x) is significant: small acceptance rate...



1) Starting with some gaussian random momenta, Hamilton equation is solved (approximately) with Hamiltonian and new state in the chain is the solution

$$\begin{array}{c} H(x,p) = S(x) + \Delta S(p) \\ \mathbf{x}(0) = \mathbf{x}, \mathbf{p}(0) = \mathbf{p}, \end{array} \right\} \quad \mathbf{x'} = \mathbf{x}(\tau)$$

2) Ergodicity is achieved by the change in positions from random gaussian momentum updates

3) A MH accept-reject step because the solution to Hamilton eqs. is not exact

Systematic errors:

- Continuum limit:  $Ma \ll 1$   $a \rightarrow 0$
- Infinite volume limit:  $ML \gg 1$

Challenge: multiscale problem



Need for HPC and smart algorithms!



Slowly getting there...



[G. Herdoiza]

#### Confinement

 $C_{q\bar{q}}(T) \sim \exp(-E(R)T), \quad E(R) = E_0 + V(R)$ 2 N<sub>f</sub>=0  $_{-}$  3-loop RG  $\alpha_{qq}$  $_{-}$  2-loop RG  $\alpha_{qq}$  $_{-}$  3-loop RG  $\alpha_{\overline{v}}$ 1  $\sigma \approx (0.4 \text{ GeV})^2$  $\left[ V(r) \!-\! V(r_{\rm c}) \right] \!\cdot\! r_0$ 0 • continuum limit  $_{\Box}\beta = 6.92$ -1 $\beta = 6.4$ R -2 0.5 1.5 0 1  $r/r_0$ [Necco, Sommer 2001]

 $\mathcal{O}(t) = \phi^{\dagger}(\mathbf{y}, t) U(\mathbf{y}, t; \mathbf{x}, t) \phi(\mathbf{x}, t) \qquad C_{q\bar{q}}(T) \equiv \langle \mathcal{O}^{\dagger}(T) \mathcal{O}(0) \rangle_{\phi, U}$ 

# Running coupling

Define a coupling at finite box size: g(L)



 $[\alpha_{s}(M_{z})]_{PDG18} = 0.1174(16) \longrightarrow [\alpha_{s}(M_{z})]_{MS} = 0.11852(84)$ 

## Hadron spectrum

Choose an operator with the right quantum numbers O(x):

 $M^{a}(x) \equiv \bar{\psi}_{\alpha ic}(x)\Gamma_{\alpha\beta}T^{a}_{ij}\psi_{\beta jc}(x), \qquad B^{abc}_{\alpha\beta\gamma} = \psi(x)_{\alpha} \equiv \epsilon_{c_{1}c_{2}c_{3}}\psi_{\alpha ac_{1}}\psi_{\beta bc_{2}}\psi_{\gamma cc_{3}}$  $\lim_{x_0 \to \infty} \int d^3x \, \langle O(x)O(0) \rangle \propto e^{-M_{\text{lightest}}x_0}$ ► m = 0.02 → m = 0.05 10-10 m = 0.10m = 0.20 $C(n_t)$  $10^{\circ}$  $10^{-4}$  $10^{-6}$ Pion propagator 10 15 20 25 30 5 n

## Hadron spectrum

#### Choose an operator with the right quantum numbers O(x):

 $M^{a}(x) \equiv \bar{\psi}_{\alpha i c}(x) \Gamma_{\alpha \beta} T^{a}_{ij} \psi_{\beta j c}(x), \qquad B^{abc}_{\alpha \beta \gamma} = \psi(x)_{\alpha} \equiv \epsilon_{c_{1}c_{2}c_{3}} \psi_{\alpha ac_{1}} \psi_{\beta bc_{2}} \psi_{\gamma cc_{3}}$ 

$$\lim_{x_0 \to \infty} \int d^3x \, \langle O(x)O(0) \rangle \propto e^{-M_{\text{lightest}}x_0}$$



[BMW Collaboration 2008]



Averages of quanities of phenomenological interest:

Quark masses
Vud and Vus
Low-energy constants
Kaon mixing
D-meson decay constants and form factors
B-meson decay constants, mixing parameters, and form factors
The strong coupling αs
Nucleon matrix elements

# **Chiral Symmetry Breaking**

Spontaneous Chiral Symmetry Breaking takes place in QCD via a quark condensate:


## Fundamental Parameters in the SM

#### Light quark masses from pion and kaon masses





#### Heavy quark masses from D mesons and B mesons





## Fundamental Parameters in the SM

CKM mixing matrix from leptonic and semileptonic decays

Rate =  $|V_{CKM}|^2 x$  Wilson coefficients x Form factors



4-fermion operators

## Fundamental Parameters in the SM

#### Leptonic and semileptonic form factors:



$$K_{\ell 3} \Rightarrow |V_{us}|f_{+}(0) = 0.2165(4) \Rightarrow |V_{us}| = 0.2231(7)$$

$$K_{\mu2}/\pi_{\mu2} \implies \left| \frac{V_{us}}{V_{ud}} \right| \frac{f_{K^{\pm}}}{f_{\pi^{\pm}}} = 0.2760(4) \implies \left| \frac{V_{us}}{V_{ud}} \right| = 0.2313(7)$$

### Precision tests of the SM

### CKM unitarity:



$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9998(5)$$

### Precision tests of the SM

Still very uncertain quantities...hard for lattice QCD

Ex: K ->  $\pi\pi$ 

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The  $\Delta = 1/2$  rule: one of the most misterious hierarchies in QCD:

$$\frac{\Gamma(K_S^0 \to \pi\pi)}{\Gamma(K^+ \to \pi\pi)} \approx 330 \qquad T\left(K^0 \to \pi\pi|_{I=\alpha}\right) = A_\alpha e^{i\delta_\alpha} \qquad \frac{A_0}{A_2} = 22.1$$

# QCD @ finite T and density

Asymptotic freedom predicts that the theory should approach a perturbative regime as T ->  $\infty$  relevant for the Early Universe, heavy ion collisions

Quark-Gluon plasma: deconfined phase, chiral symmetry breaking restored



Perturbation theory has proved not good enough for the regimes accesible to Experiment. Finite T straightforward on the lattice, finite  $\rho$  has sign problem

 $(g-2)_{\mu}$  anomaly  $a_{\mu}^{\rm SM} = a_{\mu}^{\rm QED} + a_{\mu}^{\rm EW} + a_{\mu}^{\rm had},$  $\gamma$  $\gamma$ 



$$a_{\mu}^{\text{E821}} - a_{\mu}^{\text{SM}} = 27.4 \underbrace{(2.7)}_{\text{HVP}} \underbrace{(2.6)}_{\text{HLbL}} \underbrace{(0.1)}_{\text{other}} \underbrace{(6.3)}_{\text{E821}} \times 10^{-10}$$

# Beyond SM: Alternative to SM Higgs ?

Old Technicolor paradigm: condensate of techniquarks plays the role of the Higgs

$$\langle \bar{Q}Q \rangle \neq 0: SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$$
  
Three GB: W<sup>+-</sup>,Z

Generically FCNC ( $\Lambda_{TC}$ > 5TeV): but now there is a light Higgs!

# Beyond SM: Alternative to SM Higgs ?

Modern Technicolor paradigms

▶ Dilatonic Higgs: TC with approximate conformal symmetry: N<sub>f</sub> large enough

Higgs -> Pseudo-Goldstone boson of this symmetry

Examples: SU(2) N<sub>f</sub>=8 fund; SU(2) N<sub>f</sub>=1,2 adj; SU(3) N<sub>f</sub>=2 sextet

➤ Composite Higgs: TC breaking pattern leads to (W<sup>+-</sup>, Z, H) goldstone bosons

Higgs potential from EW corrections

Whether these models are viable alternatives to the SM will rely ultimately on lattice methods...

## Conclusions

- Lattice QFT is a first-principles non-perturbative method to solve asymptotically free QFTs such as QCD
- Lattice QCD has demonstrated quark confinement, a mass gap, spontaneous chiral symmetry breaking
- It has provided precise determination of hadron masses and form factors needed to infer quark masses and mixings from experiment
- Present and future precision tests of the flavour sector of the SM rely on lattice input
- Still more progress is needed: heavy quarks, multi-hadron states, finite density, chiral gauge theories...
- Open problems in particle physics might require non-perturbative physics BSM (eg. composite higgs models)

## **Chiral Symmetry Breaking**

Chiral symmetry dictates the dynamics of pions and kaons



Low-energy couplings can be obtained from lattice QCD

## **Chiral Symmetry Breaking**



0.04

[BMW col.]

am<sup>PCAC</sup>

0.01

0.005