

# Control of incomplete data problems. Application to an ecology problem

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(joint work with L. LOUISON)

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- 1 Around the plant absorption of nutrients
- 2 Analysis and optimal control
  - Description of the domain of study
  - The Nutrient uptake model (Nye-Tinker-Barber)
  - Associated cultures (cropping)
  - The low-regret . . . control
- 3 Conclusion and Remarks
- 4 References



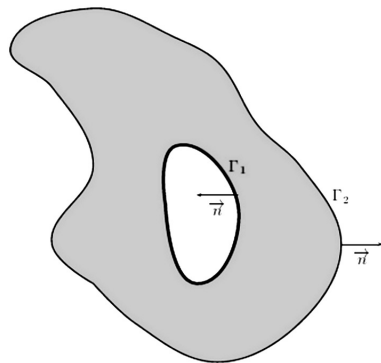
- **Photosynthesis :**
  - carbon ( $C$ )  
from carbon dioxide ( $CO_2$ ).
- **Root absorption :**
  - magnesium ( $Mg^{2+}$ ),
  - calcium ( $Ca^{2+}$ ),
  - potassium ( $K^+$ ),
  - nitrogen ( $NO_3^-$ ),
  - phosphorus ( $P$ ),
  - water ( $H_2O$ ).
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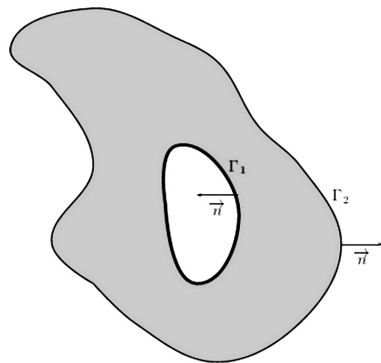


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- $\Gamma_1$  : the root surface,
  - $\Gamma_2$  : the boundary between a piece of observed soil and the rest of soil,
- where  $\Gamma := \Gamma_1 \cup \Gamma_2$  et  $\Gamma_1 \cap \Gamma_2 = \emptyset$ .

The figure, above, shows the domain of study  $\Omega$ , an open bounded set of  $\mathbb{R}^2$  of boundary  $\Gamma$ .

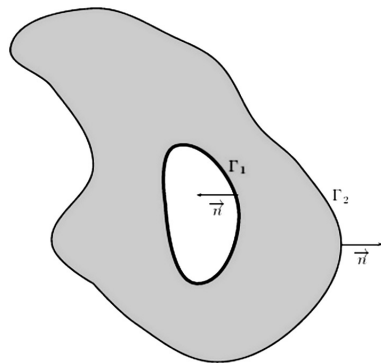


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- $Q := ]0, T[ \times \Omega$ ,
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## Absorption mechanisms

- root interception of nutrients, mass flow .. **< 5%**
- diffusion : **93%** of phosphorus, **80%** of potassium.



## The Nye-Tinker-Barber (NTB) system (1980's) :

Let the function  $c = c(t, x)$  represents the concentration of nutrient,

$$\left\{ \begin{array}{lll} \alpha \frac{\partial c}{\partial t} + \mathbf{q} \nabla c - D \Delta c & = & 0 \quad \text{in } Q, \\ (D \nabla c - \mathbf{q} c) \cdot \vec{n} & = & h(c) \quad \text{on } \Sigma_1, \\ (D \nabla c - \mathbf{q} c) \cdot \vec{n} & = & 0 \quad \text{on } \Sigma_2, \\ c(0, x) & = & c_0(x) \quad \text{in } \Omega. \end{array} \right. \quad (1)$$

## Description of the NTB System :

- $\alpha = b + \theta$  with  $b$  : the buffer power and  $\theta$  : the liquid saturation.
- $\mathbf{q} \nabla$  represents the spatial convection with  $\mathbf{q}$  : the Darcy flux, with  $\text{div } \mathbf{q} = 0$ .
- $D \Delta$  the spatial diffusion with  $D$  the diffusion coefficient.
- $h(c) = \frac{Ic}{K+c}$  the Michaelis-Menten function : nutrient absorption function at the root surface. The linear version of  $h$  is  $h(c) = \frac{Ic}{K}$  when  $K \gg c$ .
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# The existence of a unique solution for the NTB system

We introduce the Hilbert space :

$$V = \left\{ \psi \in H^1(\Omega), \psi|_{\Gamma_2} = 0 \right\}, \quad \text{with} \quad \|\psi\|_V^2 = \|\psi\|_{L^2(\Omega)}^2 + \|\nabla\psi\|_{L^2(\Omega)}^2.$$

## Proposition

Suppose that the vector field  $q$  satisfies  $|q| \in L^\infty((0, T) \times \Omega)$ . Then there is a **unique** solution  $c \in V$  (here  $c \in L^2(0, T; V)$ ) such that :

$$a(t; c, \psi) = L(t; \psi) \quad \forall \psi \in V, \quad (2)$$

where

$$a(t; c, \psi) = \frac{1}{2} \int_{\Omega} q \cdot (\psi \nabla c - c \nabla \psi) \, dx + D \int_{\Omega} \nabla c \cdot \nabla \psi \, dx \quad \psi \in V, \quad (3)$$

and

$$L(t; \psi) = \int_{\Gamma_1} h(c) \psi(x) \cdot \mathbf{n} \, d\gamma \quad \psi \in V. \quad (4)$$

# Associated cultures (cropping)



- Absorption of nutrients in polluted soils.
  - Banana needs important amount of :
    - Water, Nitrogen.
    - Use of chemicals : Nitrogen fertilizers!
  - Solution : Associated plants
- Optimal control
  - Control of the nutrient concentration, addition of nutrient (associated plant).
  - Control of systems of incomplete data (pollution).



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The nutrient uptake model with pollution is given by the following system :

$$\left\{ \begin{array}{lcl} \alpha \frac{\partial c}{\partial t} + q \nabla c - D \Delta c & = & g \quad \text{in } Q, \\ (D \nabla c - qc) \cdot \vec{n} & = & \frac{Ic}{K} \quad \text{on } \Sigma_1, \\ (D \nabla c - qc) \cdot \vec{n} & = & -v \quad \text{on } \Sigma_2, \\ c(0, x) & = & 0 \quad \text{in } \Omega, \end{array} \right. \quad (5)$$

with  $g \in G \subset L^2(Q)$  : unknown pollution function, and  $v \in L^2(\Sigma_2)$  : control function.

$$\text{Minimize : } \quad J(v, g) = \|c(v, g) - \tilde{c}\|_{L^2(\Sigma_1)}^2 + N \|v\|_{L^2(\Sigma_2)}^2 \quad \forall g \in G. \quad (6)$$

- A natural idea :  $\inf_{v \in L^2(\Sigma_2)} \left( \sup_{g \in L^2(Q)} J(v, g) \right)$ . But  $\sup_{g \in L^2(Q)} J(v, g) = +\infty!$

- Indeed, we have :

$$c(v, g) = c(v, 0) + c(0, g), \quad \text{and} \quad c(0, g) = \mathcal{A}^* g$$

→ No-regret control :  $J(v, g) \leq J(0, g), \forall g \in G \subset L^2(Q)$ .



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## Definition

We say that the function  $u \in L^2(\Sigma_2)$  is a **no-regret control**, if it is a solution of the following new **MinMax** problem :

$$\inf_{v \in L^2(\Sigma_2)} \left( \sup_{g \in G} [J(v, g) - J(0, g)] \right). \quad (7)$$

$$J(v, g) - J(0, g) = J(v, 0) - J(0, 0) + 2 \langle c(v, 0), c(0, g) \rangle$$

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$$\inf_{v \in L^2(\Sigma_2)} \left( \sup_{g \in G} (J(v, g) - J(0, g) - \gamma \|g\|_G^2) \right)$$

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## Proposition

The optimal problem  $\inf_{v \in L^2(\Sigma_2)} \mathcal{J}^\gamma(v)$  admits a unique solution  $u_\gamma$  called **low-regret control** for the NTB system with pollution.

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**Proof** - We recall that  $\mathcal{J}^\gamma(v) = J(v, 0) - J(0, 0) + \frac{1}{\gamma} \|\xi(v)\|_{L^2(Q)}^2$  and that  
 $J(v, 0) = \|c(v, 0) - \tilde{c}\|_{L^2(\Sigma_1)}^2 + N\|v\|_{L^2(\Sigma_2)}^2$  and  $J(0, 0) = \|\tilde{c}\|_{L^2(\Sigma_1)}^2$ .

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The cost function  $\mathcal{J}^\gamma(v)$  satisfies  $\mathcal{J}^\gamma(v) \geq -J(0, 0)$ , for any  $v \in L^2(\Sigma_2)$ . Therefore, it exists  $k_\gamma = \inf_{v \in L^2(\Sigma_2)} \mathcal{J}^\gamma(v)$ . Consider a minimizing sequence  $\{v_n(\gamma)\} = \{v_n\}$ , then :

$$\|c(v_n, 0) - \tilde{c}\|_{L^2(\Sigma_1)}^2 + N\|v_n\|_{L^2(\Sigma_2)}^2 + \frac{1}{\gamma} \|\xi(v_n)\|_{L^2(Q)}^2 \leq k_\gamma + 1 + \|\tilde{c}\|_{L^2(\Sigma_1)}^2.$$



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→ Notations :  $\mathcal{A} := \alpha \frac{\partial}{\partial t} + q\nabla - D\Delta$  in  $Q$ , and  $\mathcal{B} := D\nabla - q$  on  $\Sigma$ .

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The low-regret control  $u_\gamma$  satisfies to :

$$\langle c(u_\gamma, 0) - \tilde{c}, c(w, 0) \rangle_{L^2(\Sigma_1)} + N \langle u_\gamma, w \rangle_{L^2(\Sigma_2)} + \langle \frac{1}{\gamma} \xi(u_\gamma), \xi(w) \rangle_{L^2(Q)} = 0, \quad \forall w \in L^2(\Sigma_2).$$

**Proof** - We use the Euler-Lagrange formula :  $\lim_{\lambda \rightarrow 0} \left( \frac{\mathcal{J}^\gamma(u_\gamma + \lambda w) - \mathcal{J}^\gamma(u_\gamma)}{\lambda} \right) = 0.$

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## Theorem

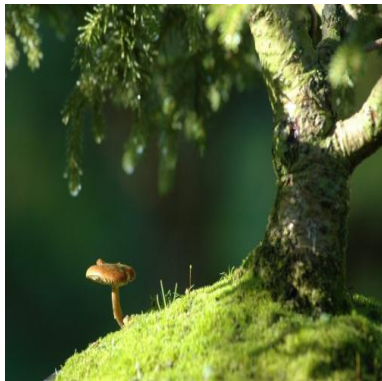
The low-regret control is characterized by the unique quadruplet  $\{c_\gamma, \rho_\gamma, \xi_\gamma, p_\gamma\}$  s.t. :

$$\begin{cases} \mathcal{A}c_\gamma = 0, & \mathcal{A}^* \xi_\gamma = 0, & \mathcal{A}\rho_\gamma = \frac{1}{\gamma} \xi_\gamma, & \mathcal{A}^* p_\gamma = 0 & \text{in } Q, \\ (\mathcal{B}c_\gamma) \cdot \mathbf{n} = h(c_\gamma), & (\mathcal{B}^* \xi_\gamma) \cdot \mathbf{n} = r_\gamma, & (\mathcal{B}\rho_\gamma) \cdot \mathbf{n} = h(\rho_\gamma), & -(\mathcal{B}^* p_\gamma) \cdot \mathbf{n} = k_\gamma & \text{on } \Sigma_1, \\ (\mathcal{B}c_\gamma) \cdot \mathbf{n} = -u_\gamma, & (\mathcal{B}^* \xi_\gamma) \cdot \mathbf{n} = 0, & (\mathcal{B}\rho_\gamma) \cdot \mathbf{n} = 0, & (\mathcal{B}^* p_\gamma) \cdot \mathbf{n} = 0 & \text{on } \Sigma_2, \\ c_\gamma(0) = 0, & \xi_\gamma(T) = 0, & \rho_\gamma(0) = 0, & p_\gamma(T) = 0 & \text{in } \Omega, \end{cases}$$

$$r_\gamma = c(u_\gamma, 0) + \frac{1}{K} \xi(u_\gamma), \quad m_\gamma = c_\gamma + \tilde{c} + \rho_\gamma, \quad \text{and } k_\gamma = -m_\gamma + \frac{1}{K} p_\gamma,$$

with the adjoint equation :

$$p_\gamma + Nu_\gamma = 0 \quad \text{in } L^2(Q).$$

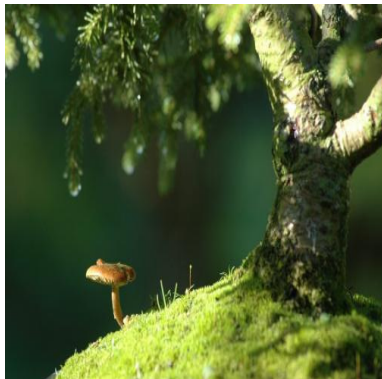


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- Numerical simulation
- Comparison : Numerical curves to the measurements ?

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**Thank you for your attention !**