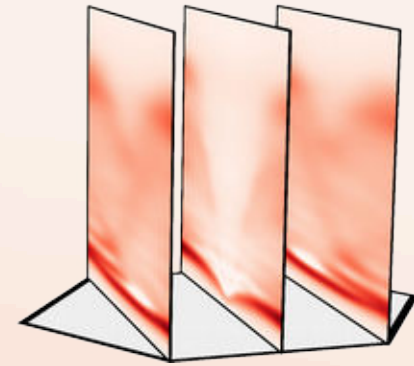


Dynamics of the Kitaev-Heisenberg Model



Ruben Verresen^(1,2)

With **Matthias Gohlke**⁽¹⁾, **Roderich Moessner**⁽¹⁾ and **Frank Pollmann**⁽²⁾

⁽¹⁾ Max-Planck-Institute for the Physics of Complex Systems (Dresden)

⁽²⁾ Technical University of Munich

@ Benasque 2017

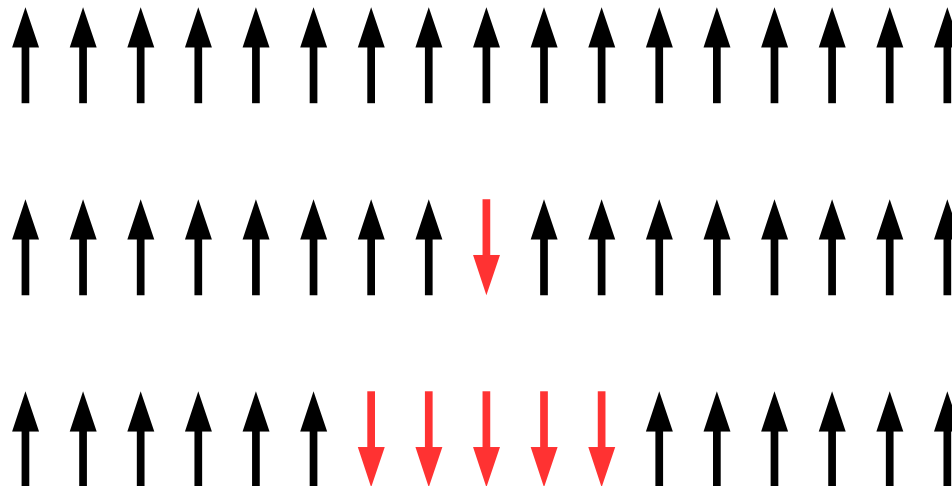
Excited about Excitations

Quantum phases of matter → interesting **excitations**

E.g. **fractionalization**

= quasi-particle cannot be created locally

$$H = - \sum \sigma_n^z \sigma_{n+1}^z$$



Excited about Excitations

Quantum phases of matter → interesting **excitations**

E.g. **fractionalization**

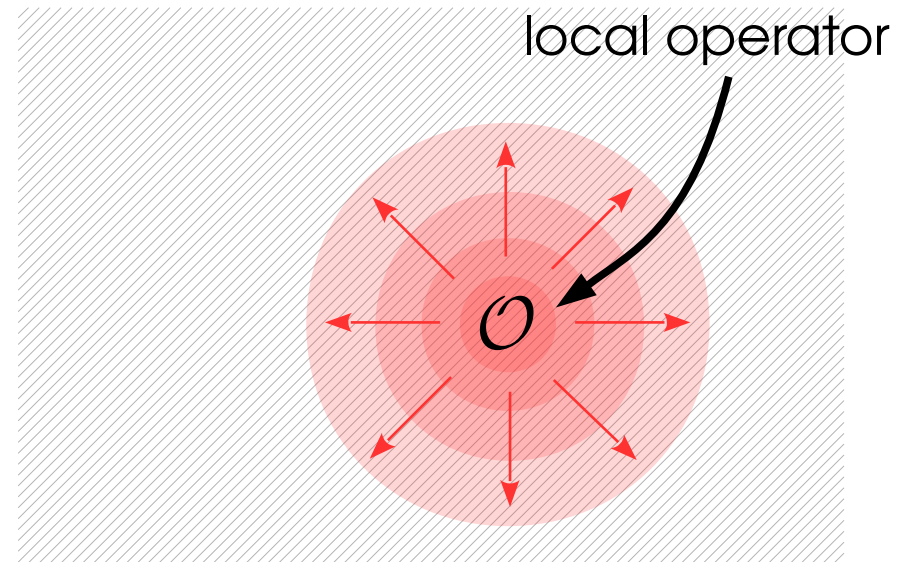
= quasi-particle cannot be created locally



Spectral Function

Question:

“How many eigenstates with *energy* ω and *momentum* $\mathbf{k}$ are in $\mathcal{O} |0\rangle$? ”



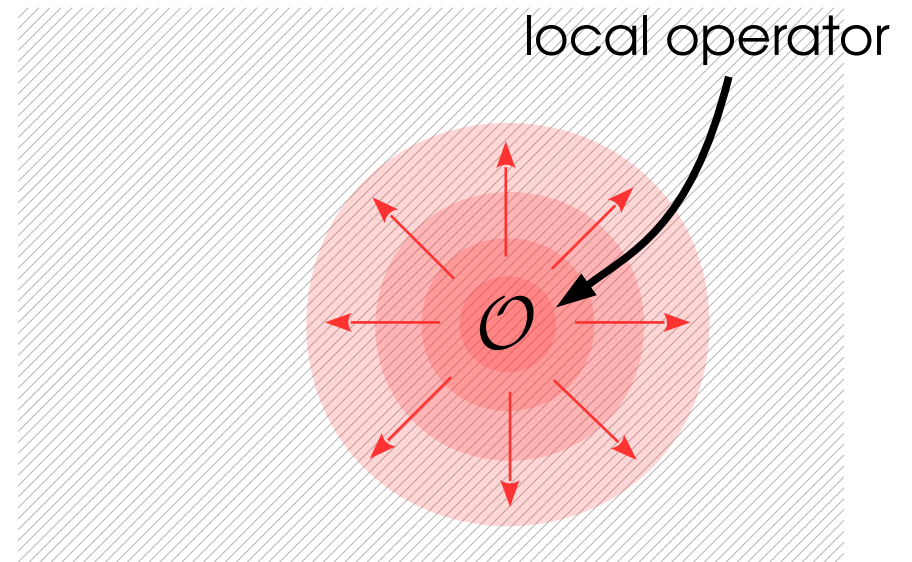
Answer:

$$\begin{aligned} \mathcal{S}(\mathbf{k}, \omega) &= \sum_n \delta(\omega - \omega_n) \delta(\mathbf{k} - \mathbf{k}_n) |\langle n | \mathcal{O} | 0 \rangle|^2 \\ &= \text{Fourier transform of } \langle \mathcal{O}(\mathbf{r}, t) \mathcal{O}(\mathbf{0}, 0) \rangle \end{aligned}$$

Spectral Function

Question:

“How many eigenstates with *energy* ω and *momentum* $\mathbf{k}$ are in $\mathcal{O} |0\rangle$? ”



Answer:

$$\mathcal{S}(\mathbf{k}, \omega) = \sum_n \delta(\omega - \omega_n) \delta(\mathbf{k} - \mathbf{k}_n) |\langle n | \mathcal{O} | 0 \rangle|^2$$

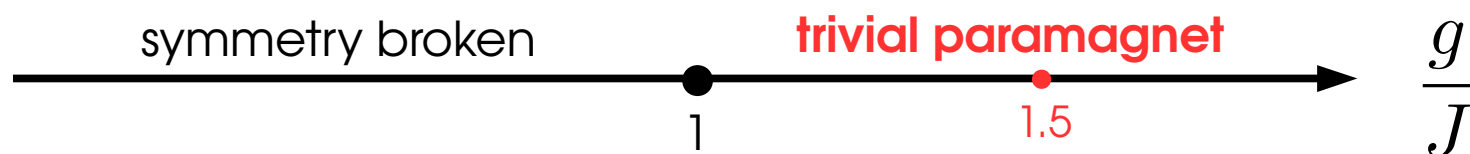
Physical meaning

$$= \text{Fourier transform of } \langle \mathcal{O}(\mathbf{r}, t) \mathcal{O}(\mathbf{0}, 0) \rangle$$

How to obtain it

Spectral Function: Examples ($\mathcal{O} = \sigma^y$)

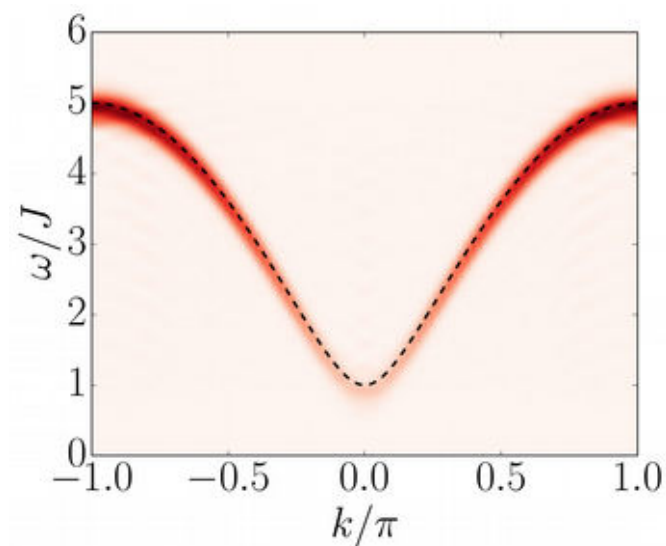
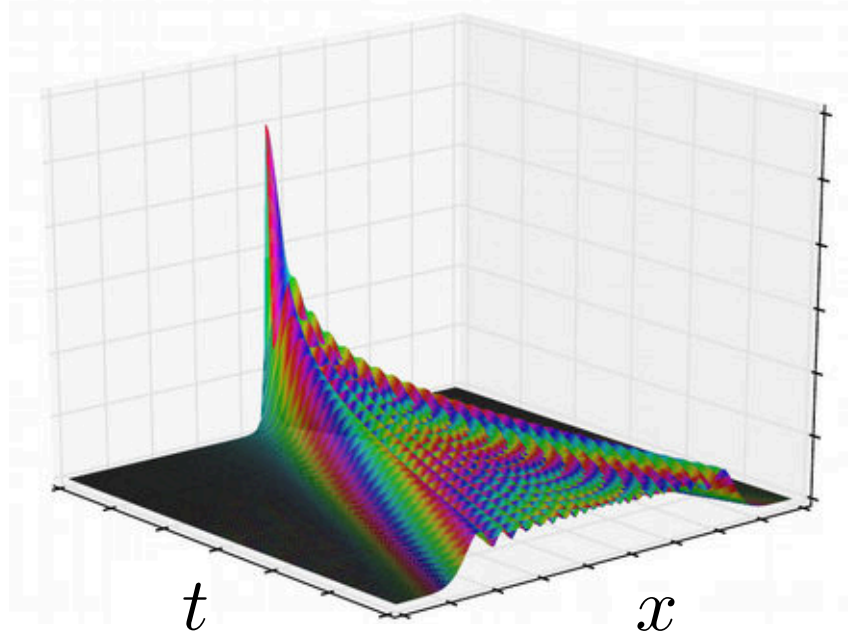
$$H = \sum \left(-J\sigma_n^z\sigma_{n+1}^z + g\sigma_n^x \right)$$



$C(x, t)$

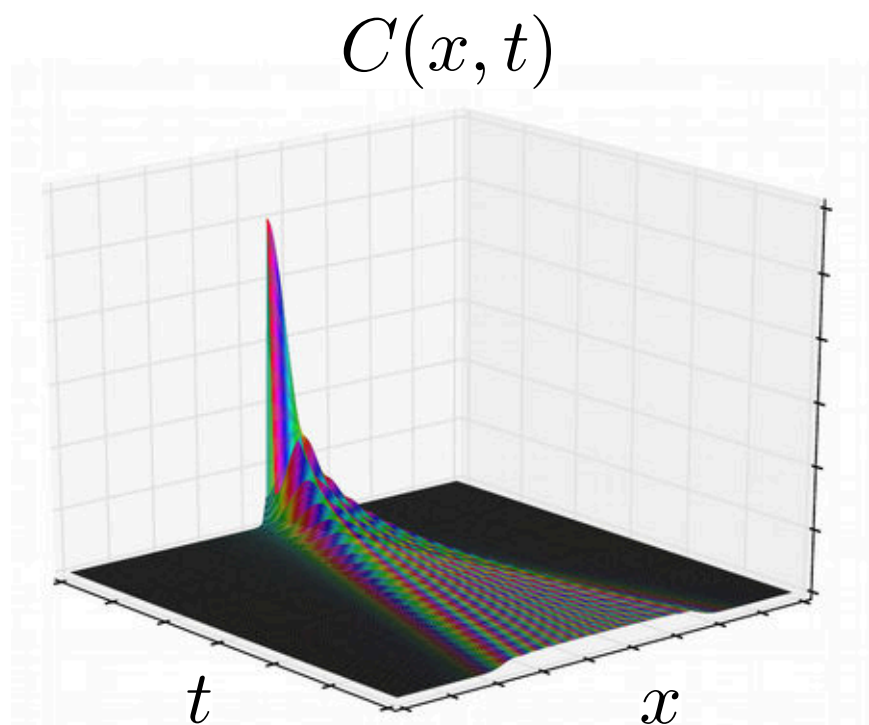
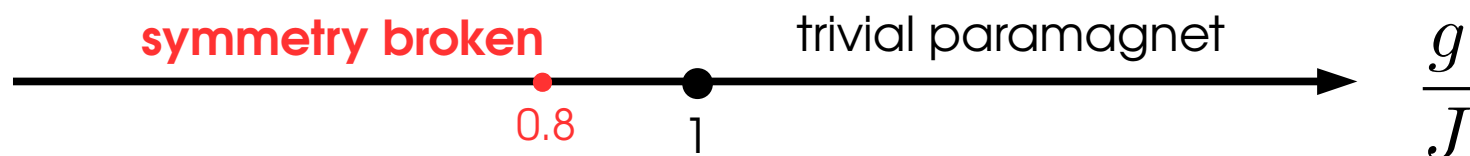
Fourier

$\mathcal{S}(k, \omega)$

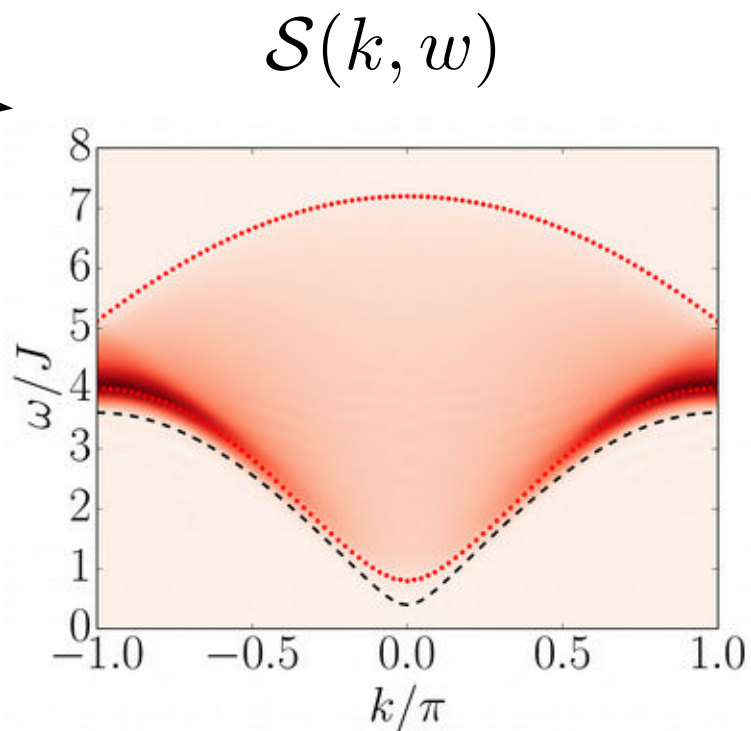


Spectral Function: Examples ($\mathcal{O} = \sigma^y$)

$$H = \sum \left(-J\sigma_n^z\sigma_{n+1}^z + g\sigma_n^x \right)$$



Fourier



Spectral Function: Summary

$$\mathcal{S}(\mathbf{k}, \omega) = \frac{1}{2\pi} \sum_{\mathbf{r}} \int_{-\infty}^{\infty} e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} \langle \mathbf{S}_{\mathbf{r}}(t) \cdot \mathbf{S}_{\mathbf{0}}(0) \rangle dt$$

Information about

→ spectrum of the Hamiltonian (Lehmann repres.)

$$\mathcal{S}(\mathbf{k}, \omega) = \sum_n \delta(\omega - \omega_n) \delta(\mathbf{k} - \mathbf{k}_n) |\langle n | \mathbf{S}_{\mathbf{0}} | 0 \rangle|^2$$


→ are quasi-particles local or not (fractionalization)

→ quasi-particle lifetime, etc...

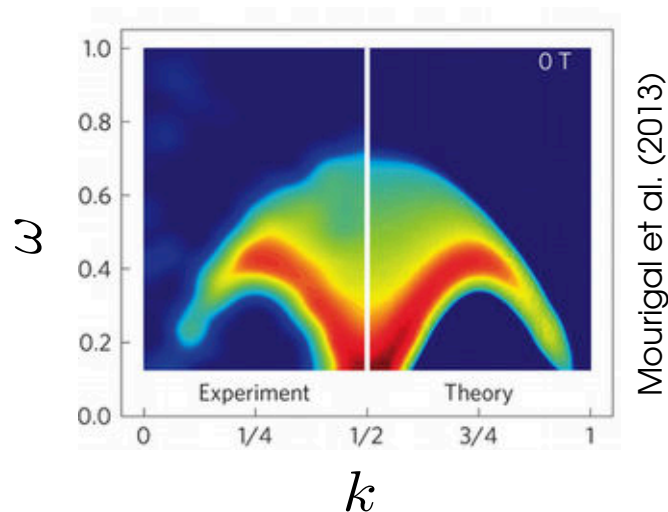
Spectral Function: Experiment

$$\mathcal{S}(\mathbf{k}, \omega) = \frac{1}{2\pi} \sum_{\mathbf{r}} \int_{-\infty}^{\infty} e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} \langle \mathbf{S}_{\mathbf{r}}(t) \cdot \mathbf{S}_{\mathbf{0}}(0) \rangle dt$$

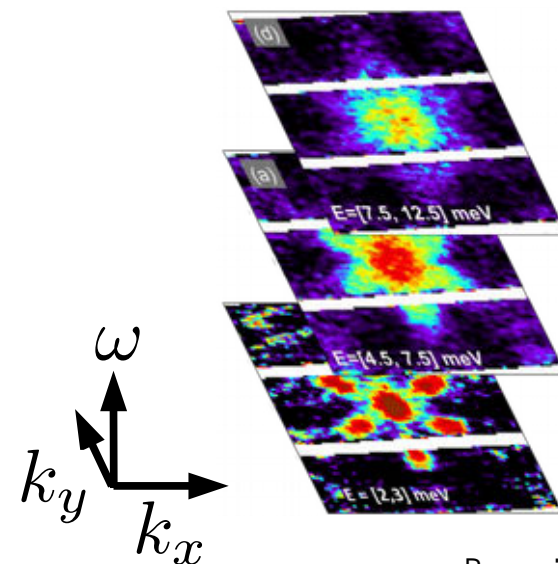
Measurable through inelastic neutron scattering !

$$H = - \sum_n \mathbf{S}_n \cdot \mathbf{S}_{n+1}$$

1D 2D



$\alpha - \text{RuCl}_3$



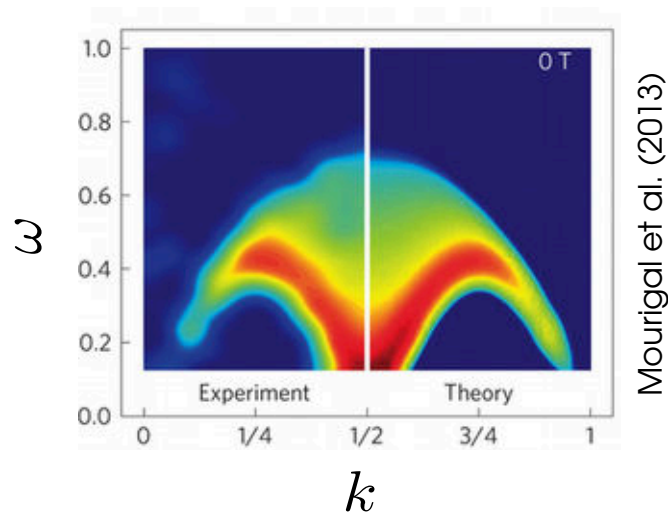
Banerjee et al. (2016)

Spectral Function: Experiment

$$\mathcal{S}(\mathbf{k}, \omega) = \frac{1}{2\pi} \sum_{\mathbf{r}} \int_{-\infty}^{\infty} e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} \langle \mathbf{S}_{\mathbf{r}}(t) \cdot \mathbf{S}_{\mathbf{0}}(0) \rangle dt$$

Measurable through inelastic neutron scattering !

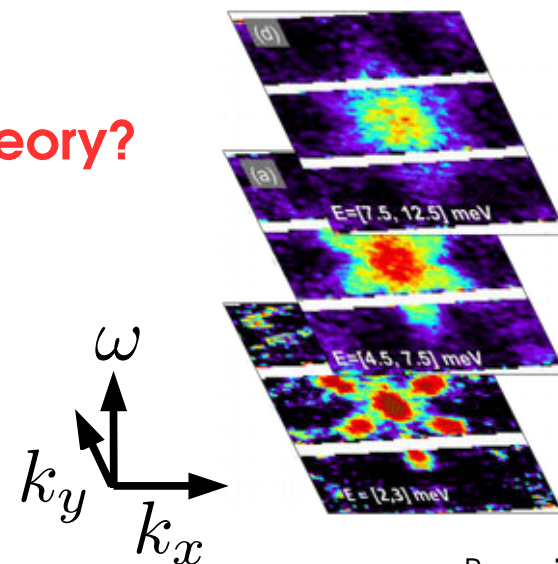
$$H = - \sum_n \mathbf{S}_n \cdot \mathbf{S}_{n+1}$$



1D 2D

Theory?

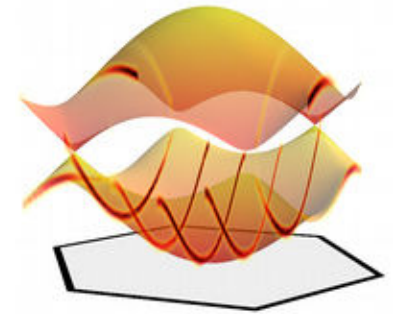
$\alpha - \text{RuCl}_3$



Banerjee et al. (2016)

A Very Short Outline

- Numerical method for calculating **dynamic** correlations for **two**-dimensional systems



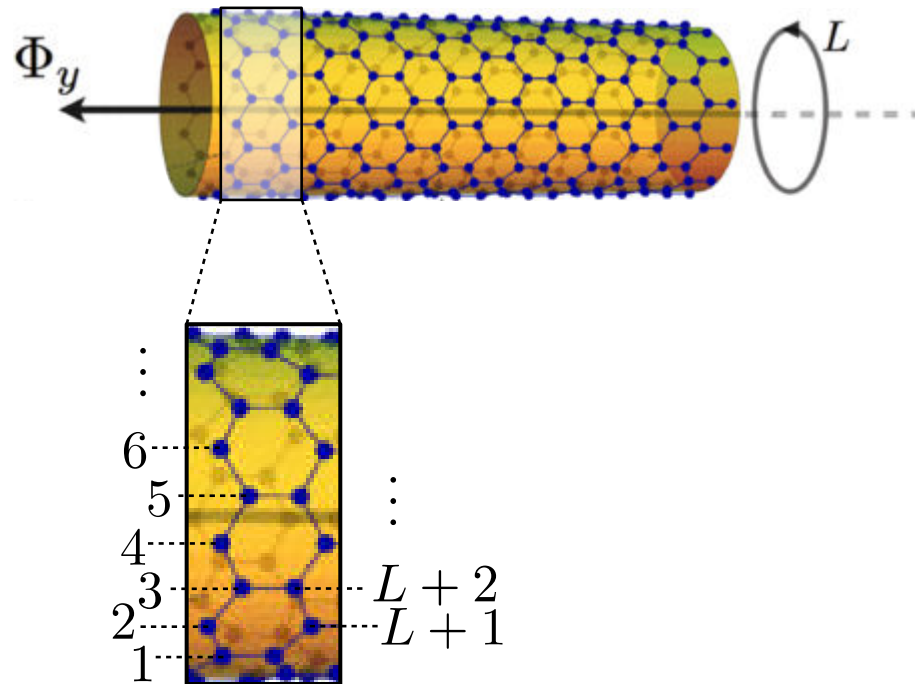
- Application to the **Kitaev-Heisenberg** model



“proximate spin liquids”?

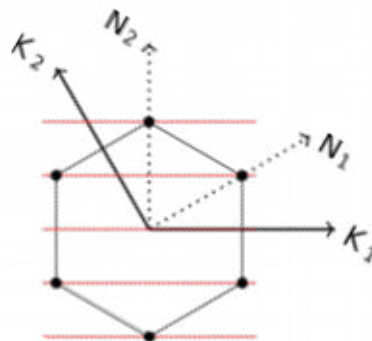
2D in a 1D jacket

Real space:

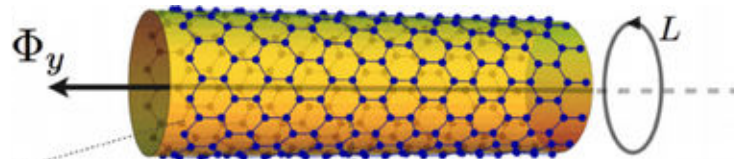


$$(L \leq 12)$$

Momentum space:



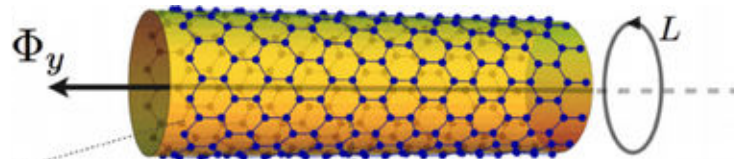
Cylinder: 1D or 2D?



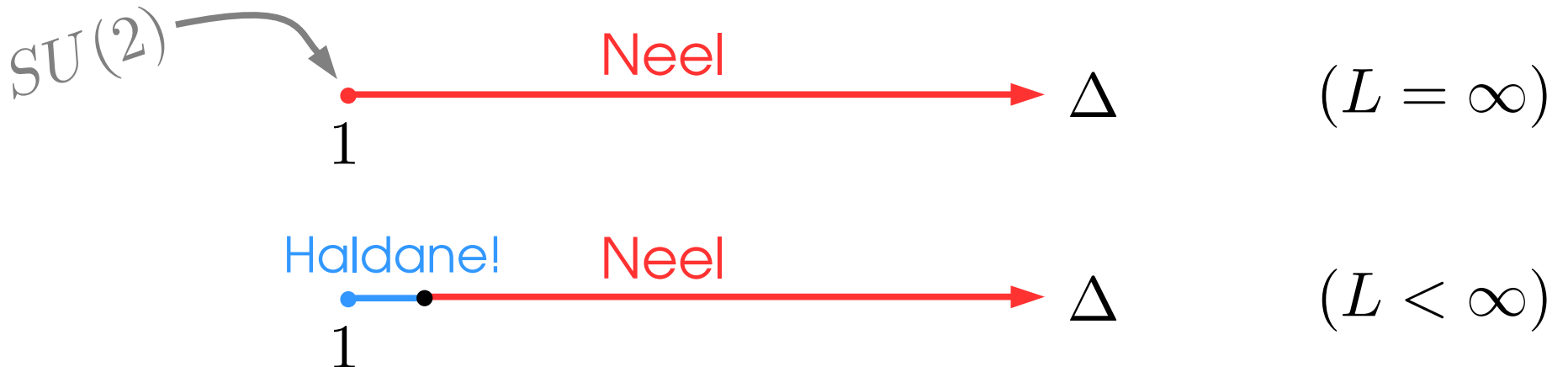
$$H = \sum_{\langle i,j \rangle} S_i^x S_j^x + S_i^y S_j^y + \Delta S_i^z S_j^z$$



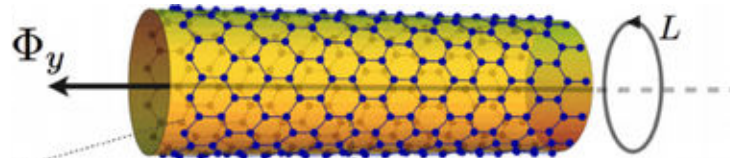
Cylinder: 1D or 2D?



$$H = \sum_{\langle i,j \rangle} S_i^x S_j^x + S_i^y S_j^y + \Delta S_i^z S_j^z$$



Cylinder: 1D or 2D?



Compared to **exact diagonalization**:

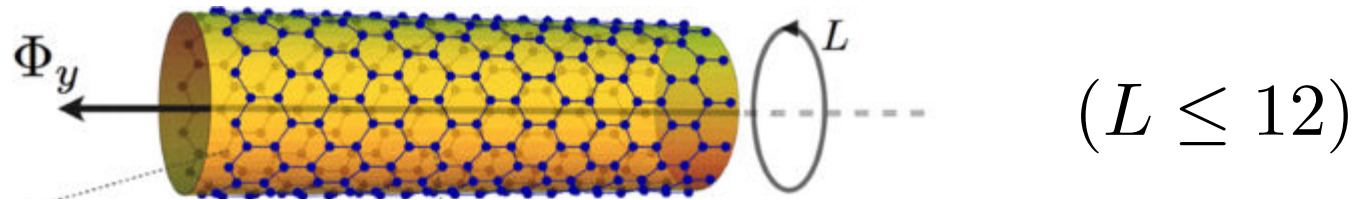
Similar care needed when **extrapolating**

Advantages:

- larger system sizes
- continuous momentum
- (much) longer times

Method to Obtain $\mathcal{S}(\mathbf{k}, \omega)$ in 2D

Physics:



Numerical ingredients:

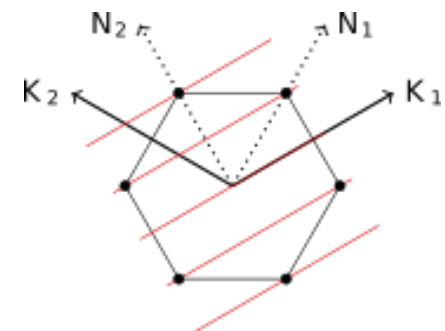
→ For ground state:

Density Matrix Renormalization Group (**DMRG**) (*)

→ For time evolution:

Matrix Product Operator (**MPO**) method (**)

We obtain: $C(\mathbf{r}, t) \xrightarrow{\text{Fourier}} \mathcal{S}(\mathbf{k}, \omega)$

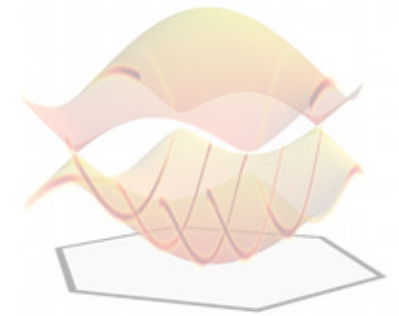


(*) White, PRL 69, 2863 (1992)

(**) Zaletel, Mong, Karrasch, Moore, Pollmann; PRB 91, 165112 (2015)

A Very Short Outline

- Numerical method for calculating **dynamic** correlations for **two**-dimensional systems



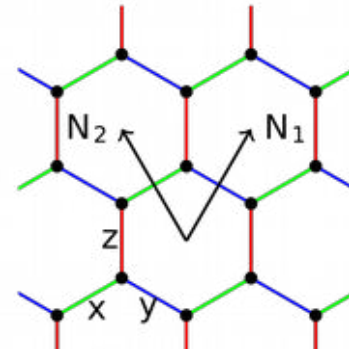
- Application to the **Kitaev-Heisenberg** model



“proximate spin liquids”?

Kitaev (Honeycomb) Model

$$H = \sum_{\langle i,j \rangle_x} S_i^x S_j^x + \sum_{\langle i,j \rangle_y} S_i^y S_j^y + \sum_{\langle i,j \rangle_z} S_i^z S_j^z$$



Exactly soluble model (2006):

$$S^\gamma = c_a^\dagger \sigma_{ab}^\gamma c_b \quad \dashrightarrow \quad c_a = \gamma_a + i\tilde{\gamma}_a$$

cf. Yasir Iqbal's talk

half

half

gauge field

majoranas

→ spin liquid ground state

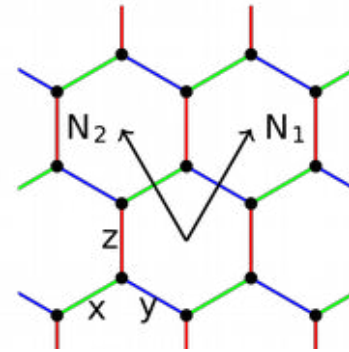
→ fractionalization:

one spin = two gapped fluxes and gapless majorana

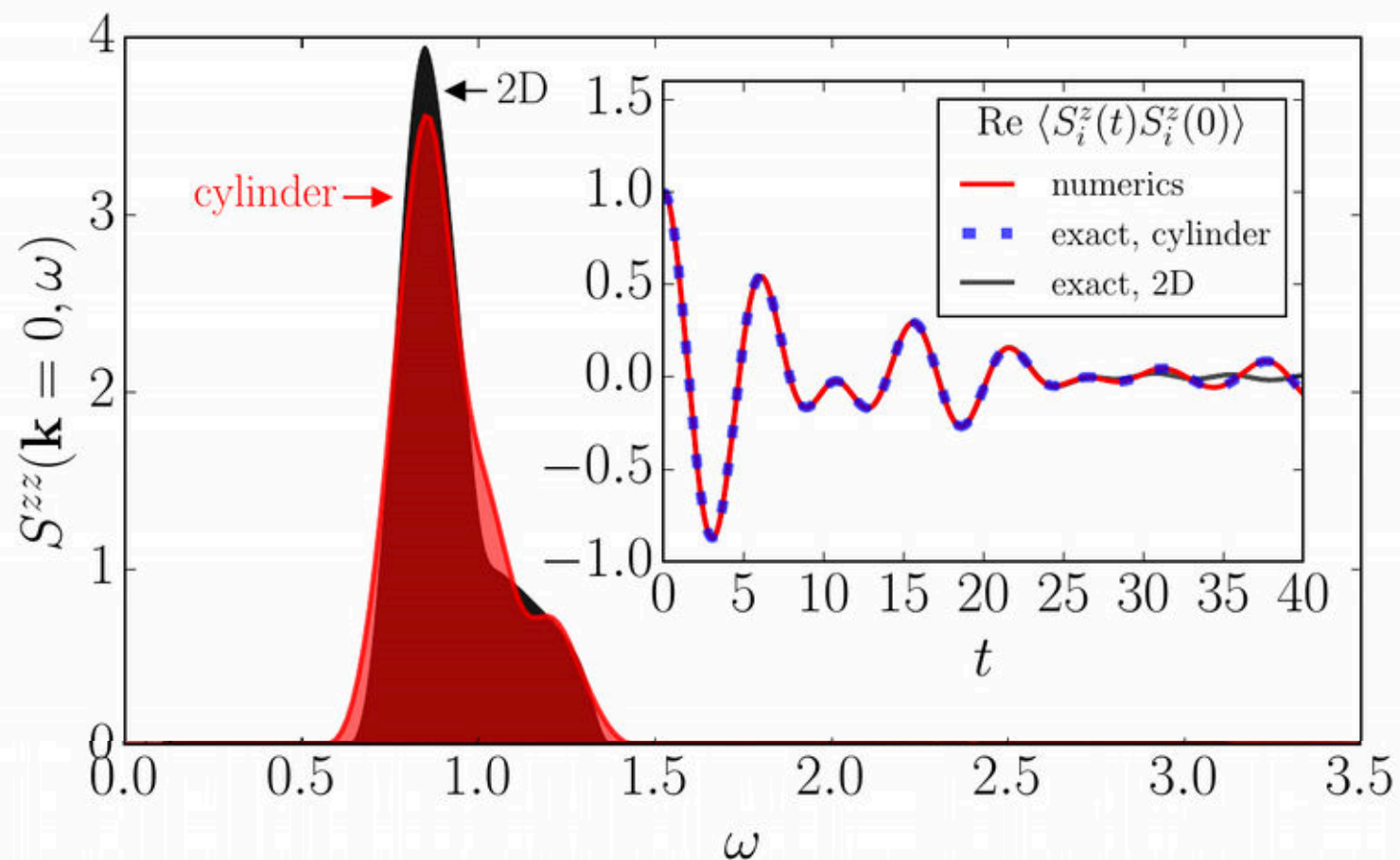
→ gapped $\mathcal{S}(\mathbf{k}, w)$ (Knolle et al., '13)

Dynamics of the gapped Kitaev Model

$$H = - \sum_{\langle i,j \rangle_\gamma} J_\gamma S_i^\gamma S_j^\gamma \quad (J_x = 6J_y = 6J_z)$$

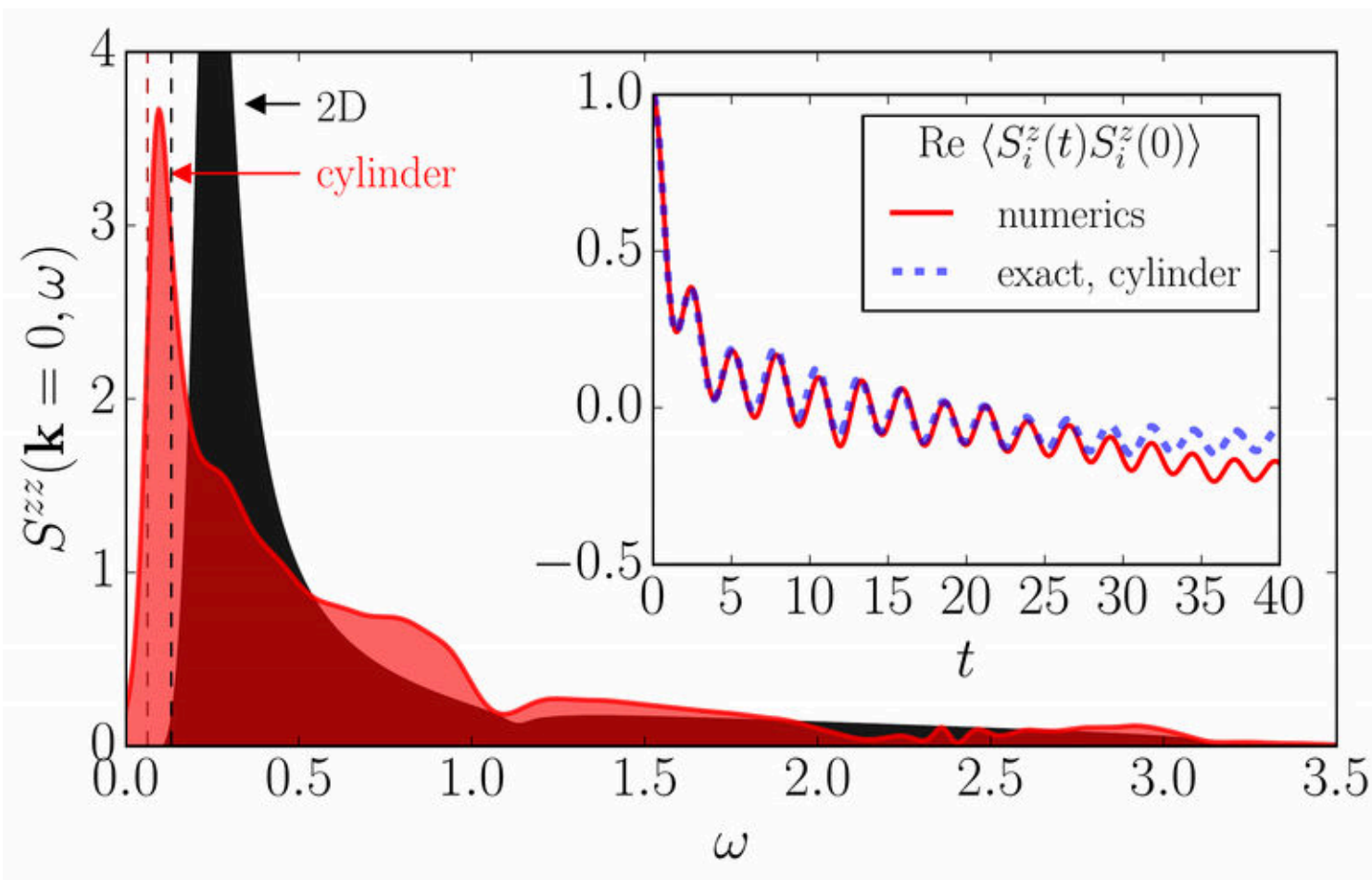
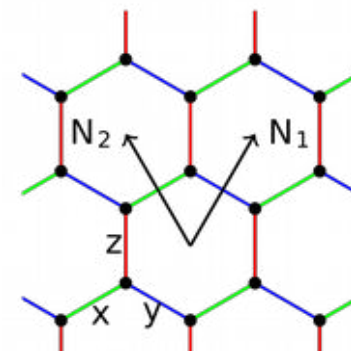


Benchmark: **gapped Kitaev** model ($L_{\text{circ}} = 10$)



Dynamics of the Kitaev-Heisenberg Model

Benchmark: **gapless Kitaev** model ($L_{\text{circ}} = 6$)



Kitaev-Heisenberg Model

$$H = 2 \sin \alpha \sum_{\langle i,j \rangle_\gamma} S_i^\gamma S_j^\gamma + \cos \alpha \sum_{i,j} S_i \cdot S_j$$

→ relevance for A_2IrO_3 and $\alpha\text{-RuCl}_3$

(Chaloupka, Jackeli, Khaliullin, '10)

→ various works on ground state phase diagram

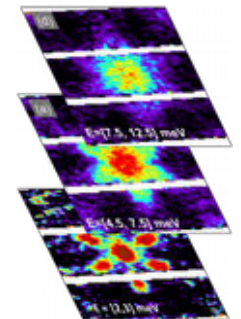
(Chaloupka et al., Iregui et al., Jiang et al., Sela et al., Shinjo et al.)

→ $\mathcal{S}(\mathbf{k}, \omega)$? Perturbative results, low energies

(Song, You, Balents, '16; also recent E.D.: Gotfryd et al, '16)

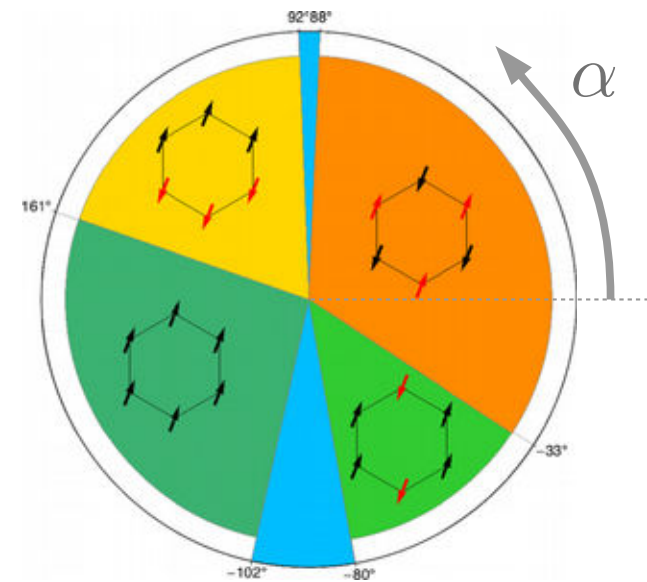
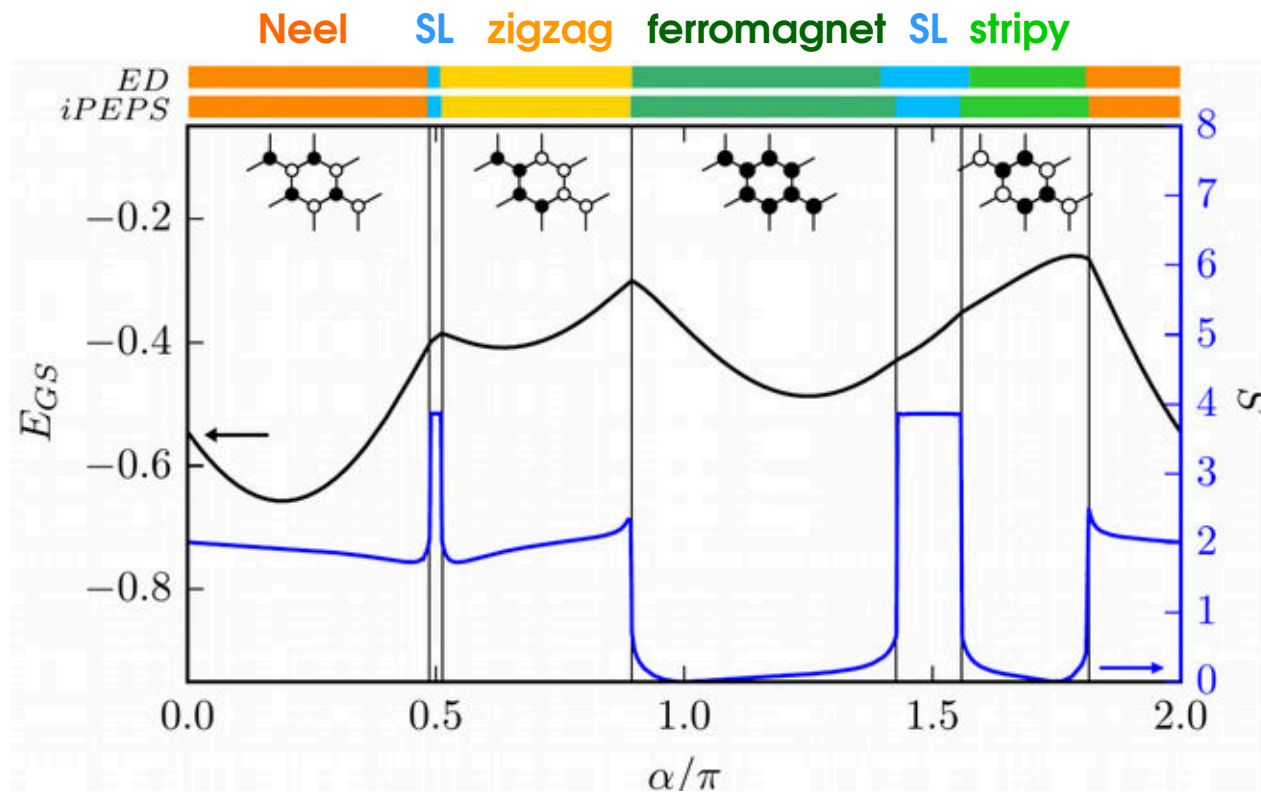
→ experiments: “proximate spin liquids”

(Banerjee et al., '16; Suzuki et al., '15)



Kitaev-Heisenberg Model

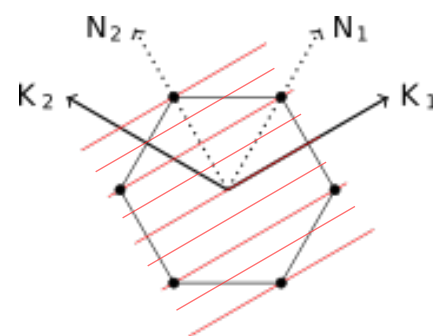
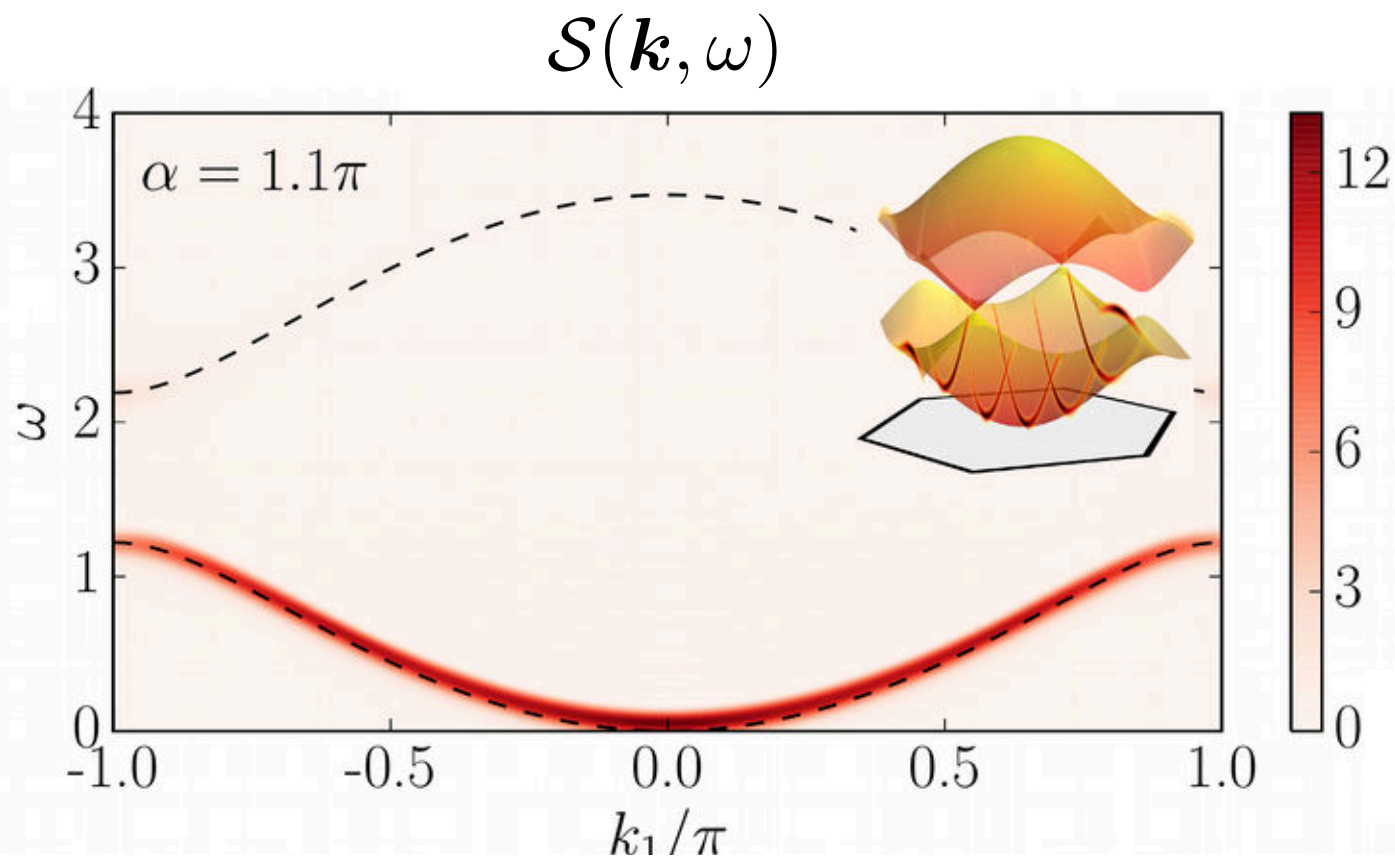
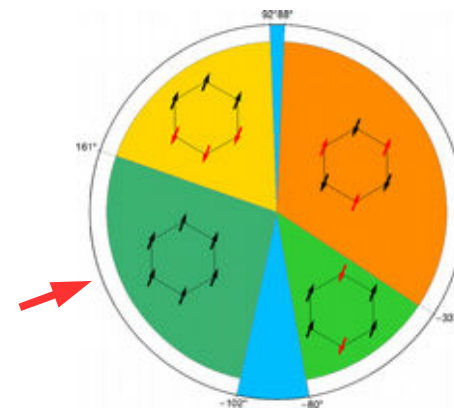
$$H = 2 \sin \alpha \sum_{\langle i,j \rangle_\gamma} S_i^\gamma S_j^\gamma + \cos \alpha \sum_{i,j} S_i \cdot S_j$$



(Image from Iregui, Corboz, Troyer '14)

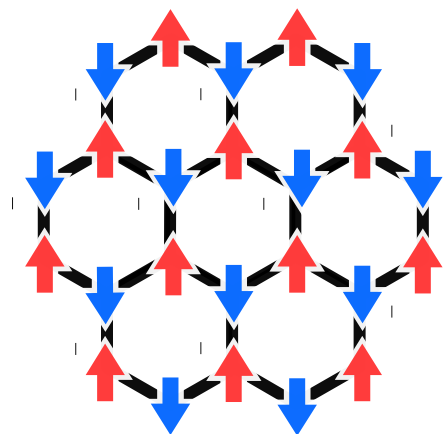
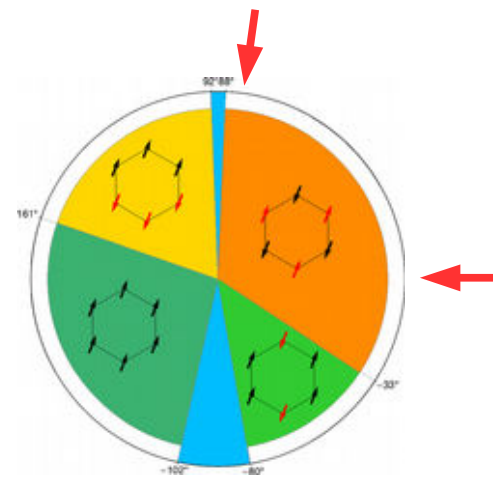
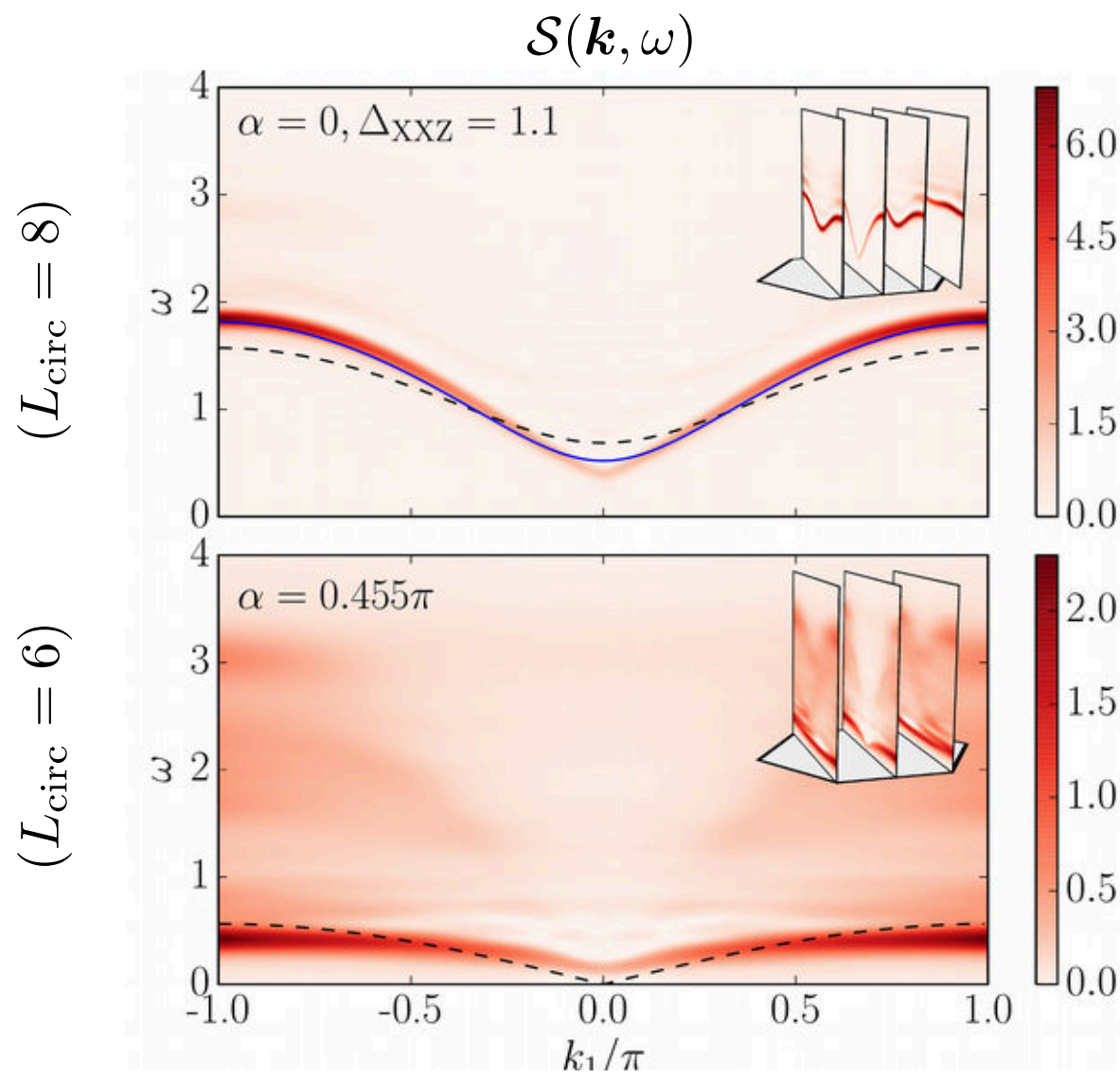
Dynamics of the Kitaev-Heisenberg Model

Ferromagnet with $J_{\text{Kitaev}} = 0.65 J_{\text{Heis}}$
 ($L_{\text{circ}} = 12$)



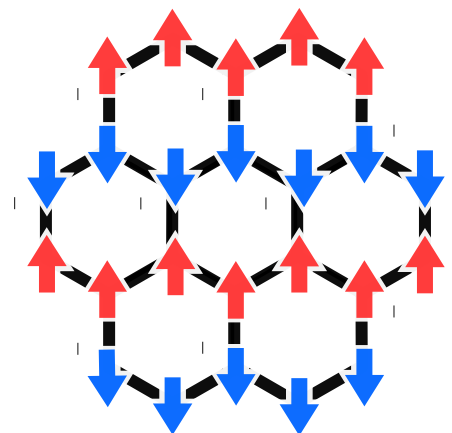
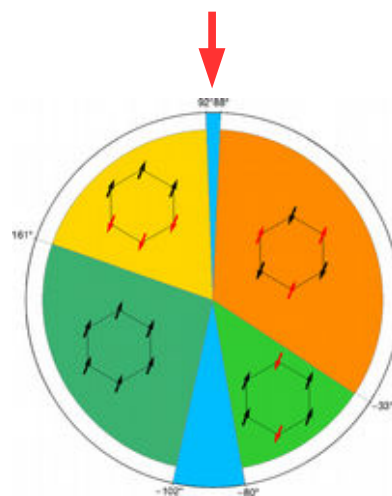
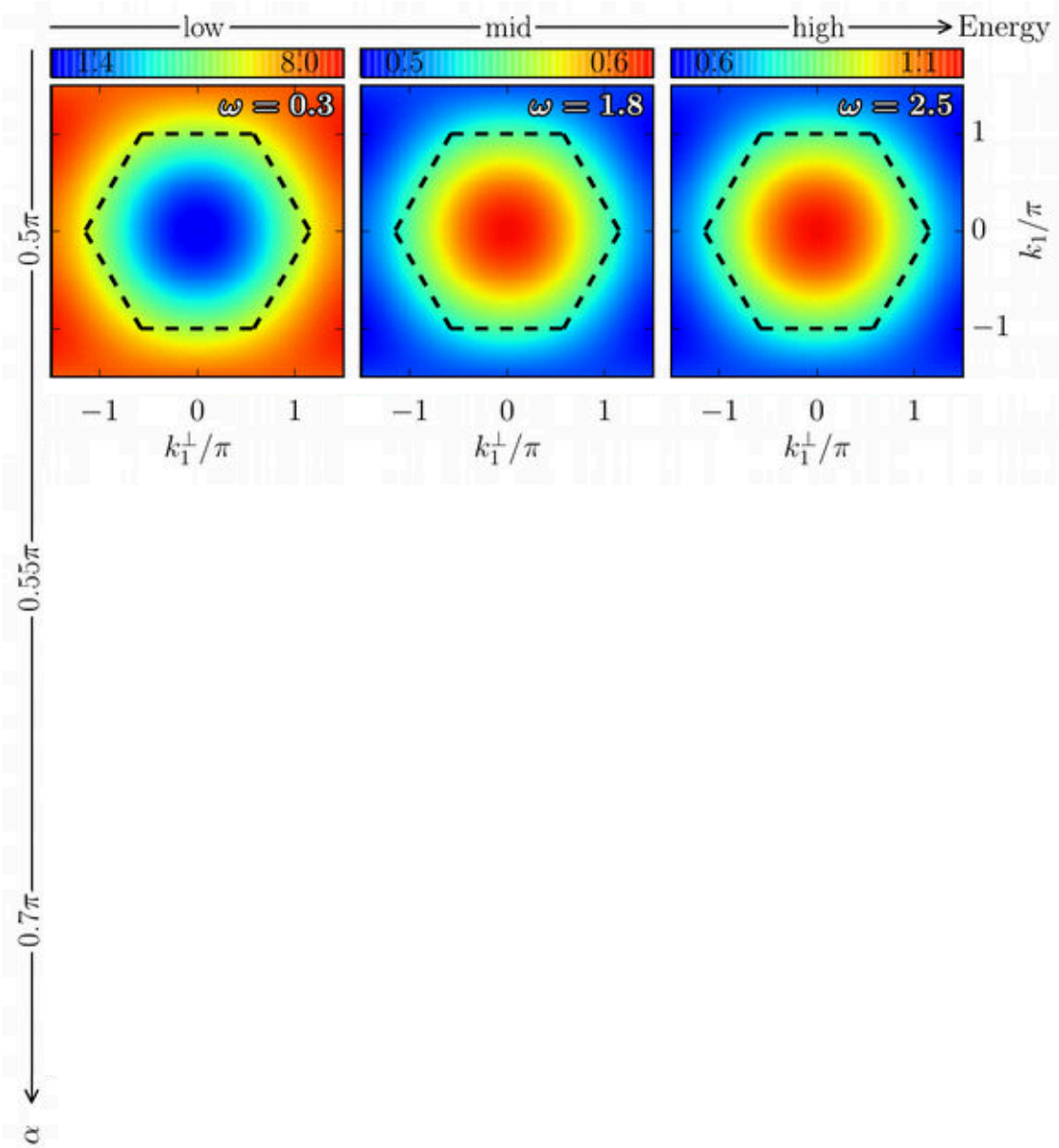
Dynamics of the Kitaev-Heisenberg Model

Neel states: approaching the spin liquid



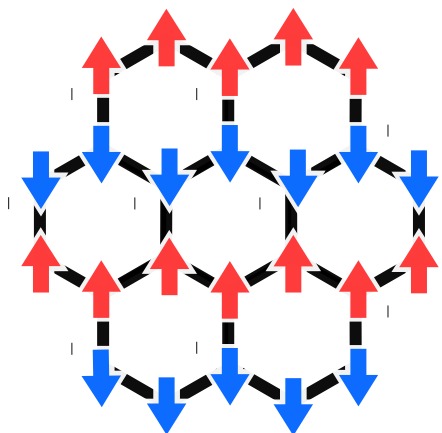
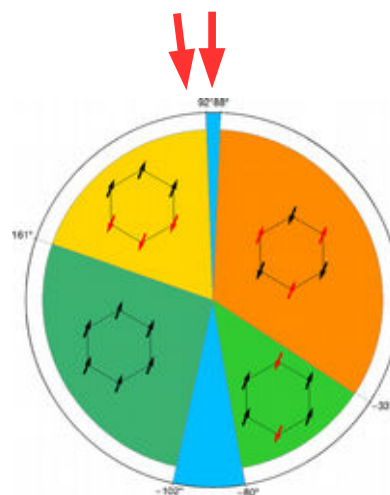
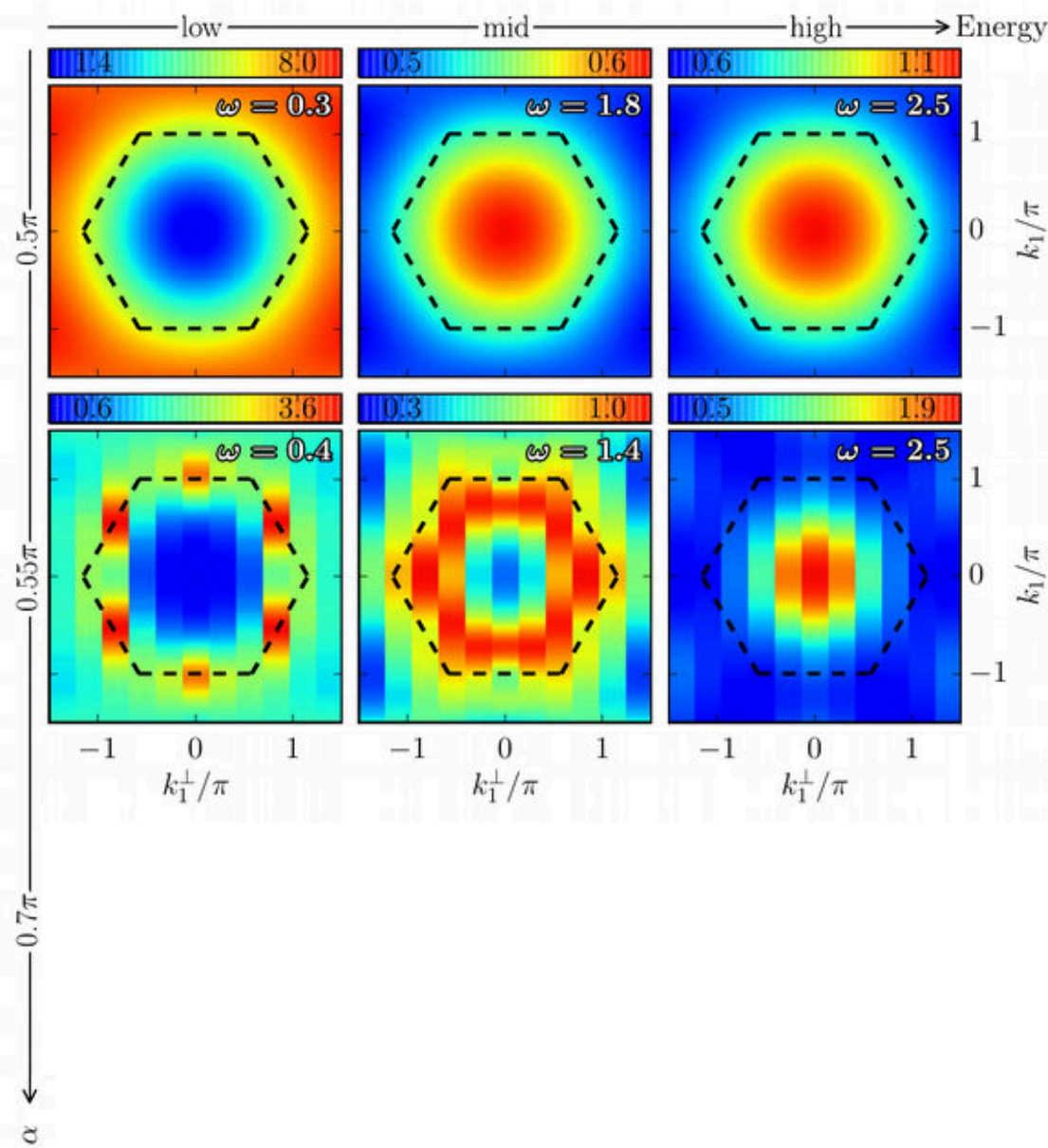
Dynamics of the Kitaev-Heisenberg Model

Zigzag phase: proximate **spin liquid**? ($L_{\text{circ}} = 12$)



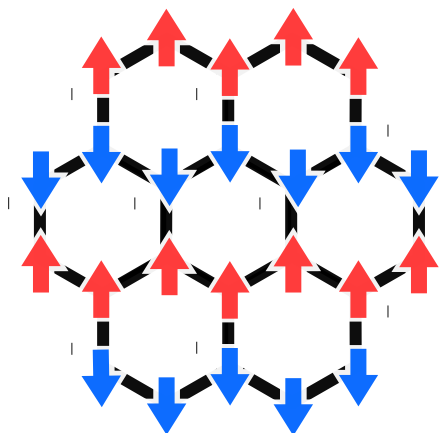
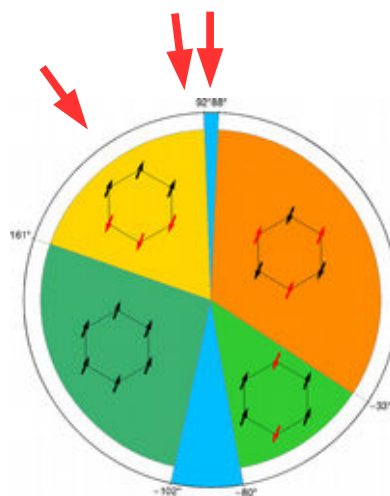
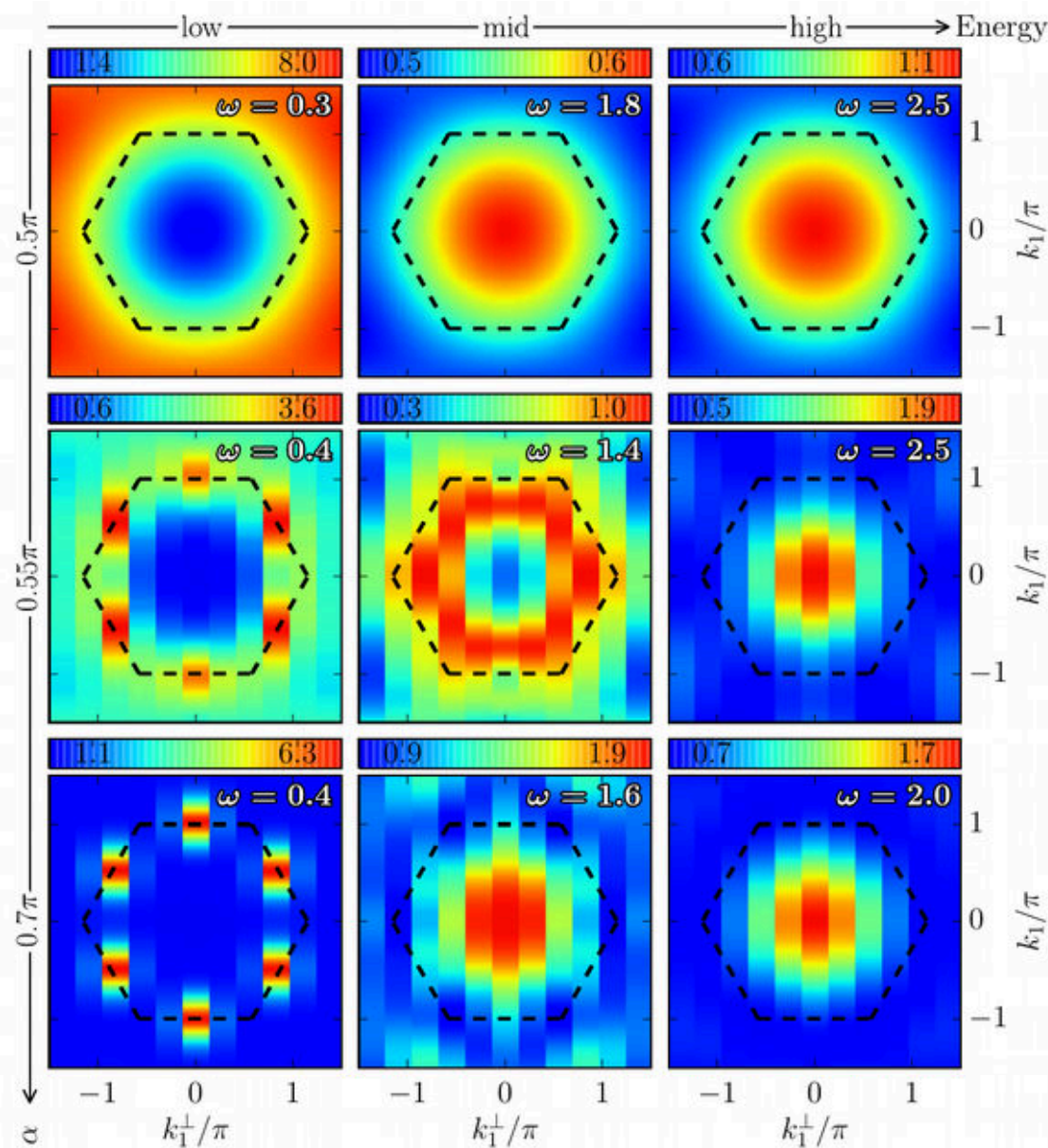
Dynamics of the Kitaev-Heisenberg Model

Zigzag phase: proximate spin liquid? ($L_{\text{circ}} = 12$)



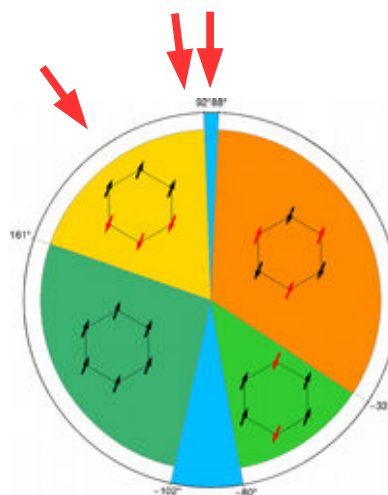
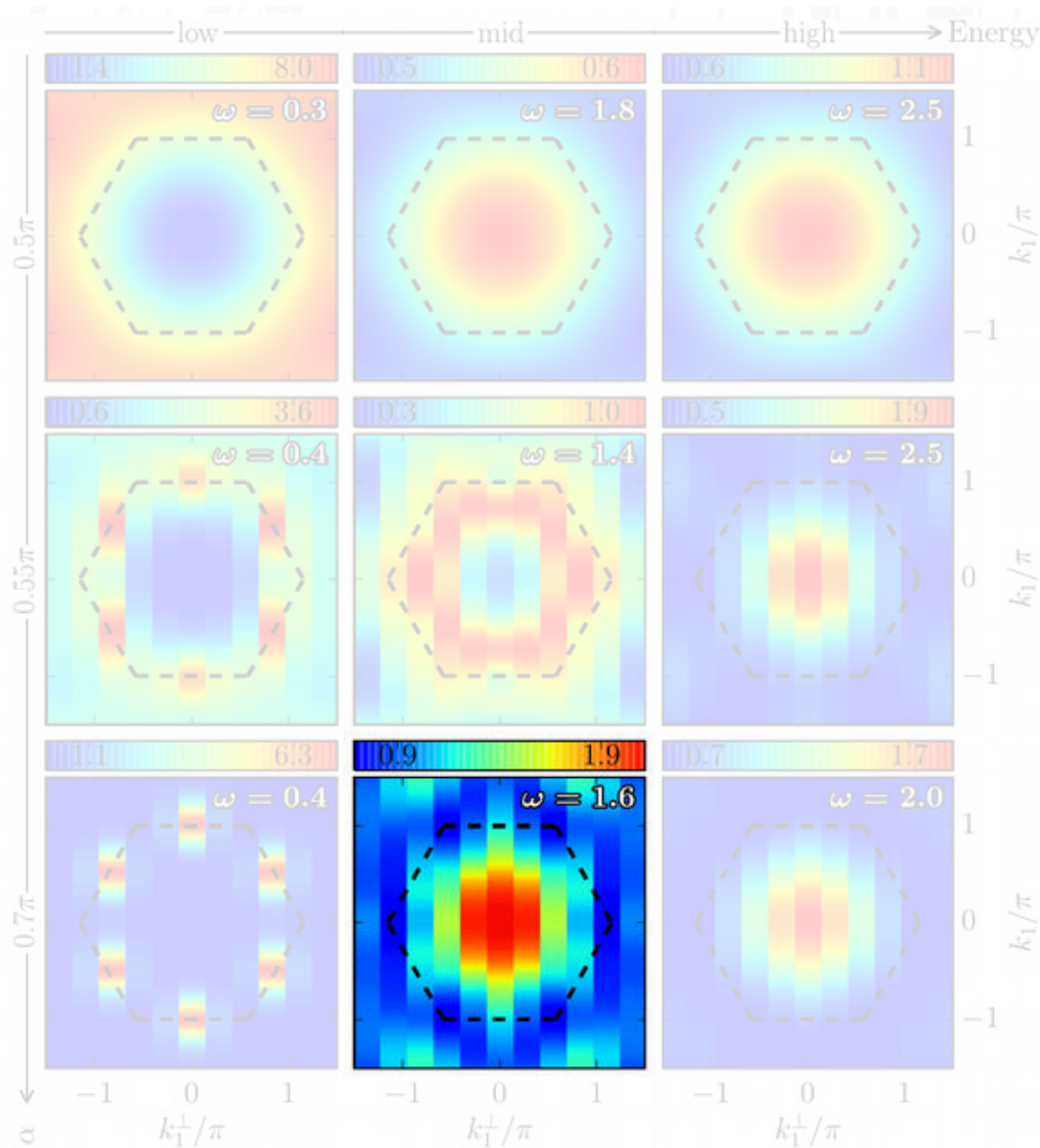
Dynamics of the Kitaev-Heisenberg Model

Zigzag phase: proximate spin liquid? ($L_{\text{circ}} = 12$)

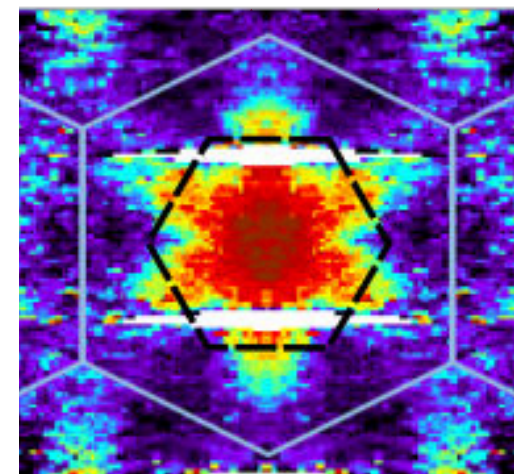


Dynamics of the Kitaev-Heisenberg Model

Zigzag phase: proximate **spin liquid**? ($L_{\text{circ}} = 12$)



$\alpha\text{-RuCl}_3$



(Banerjee et al., '16)

Summary

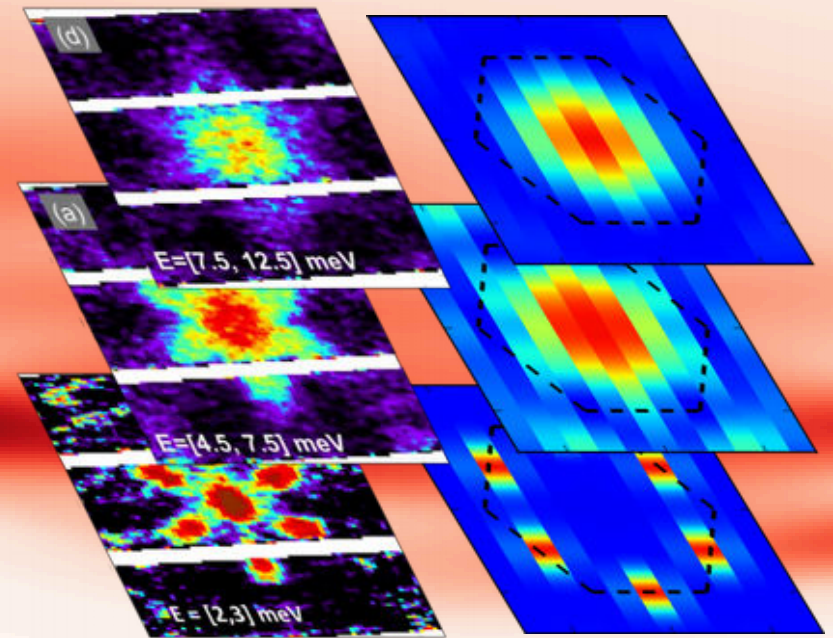
Obtaining $S(\mathbf{k}, \omega)$ for two-dimensional systems

Application to Kitaev-Heisenberg model
→ anomalous features of $\alpha\text{-RuCl}_3$

Exciting questions
waiting to be attacked...

How can a spin wave fractionalize?
Spin wave anomalies on other lattices?
Signatures of non-abelian anyons?
How does 1D become 2D?

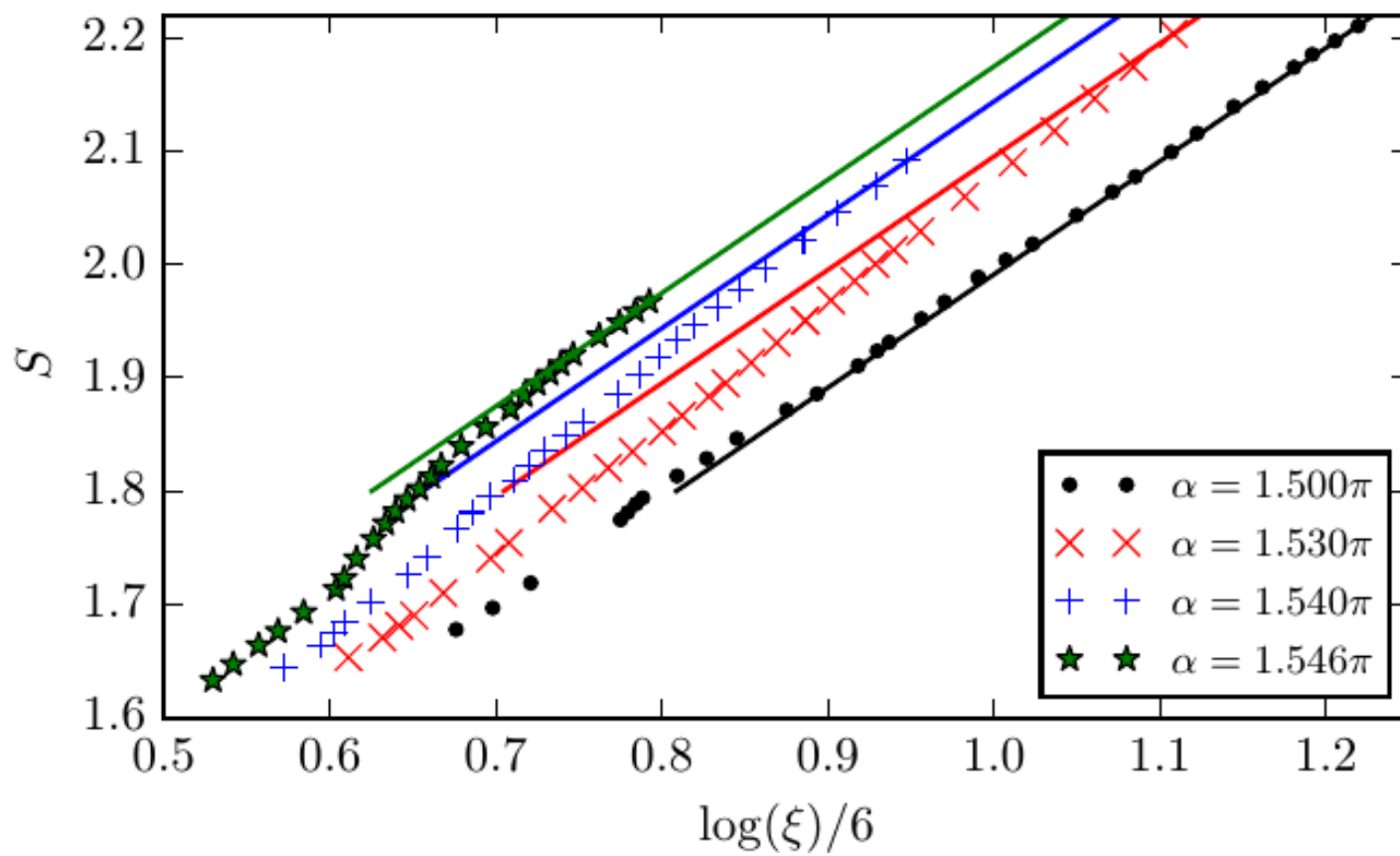
...



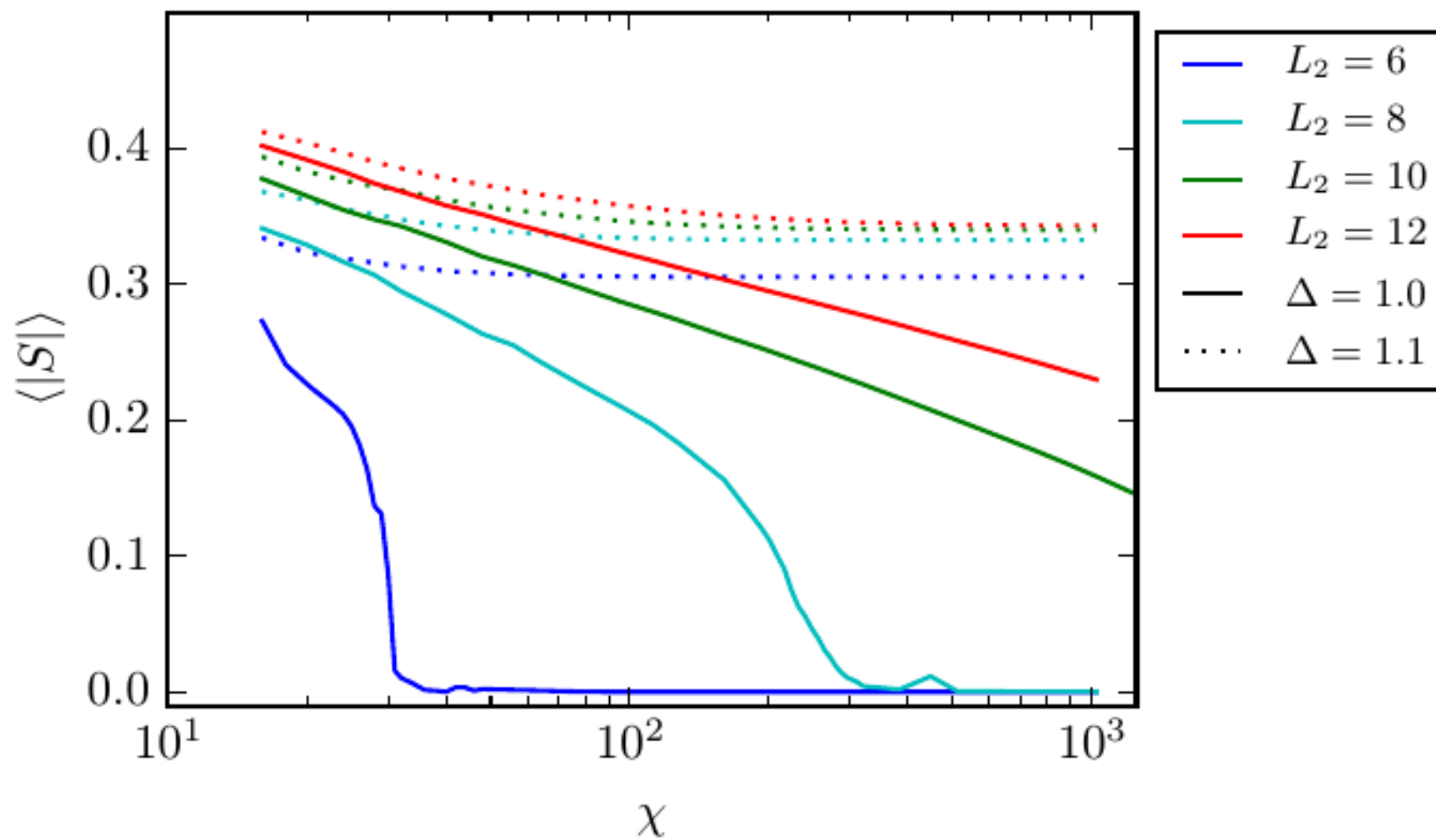
Ruben Verresen

With Matthias Gohlke, Roderich Moessner and Frank Pollmann

Gapless Cylinder



Coleman and 1D vs. 2D



Broadness in Zig-Zag Phase

