

Controllability of the beam equation

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- Bilinear control problems



- Bilinear control problems
- Model



- Bilinear control problems
- Model
- Previous results



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- Previous results
- Controllability of the beam equation



Bilinear control problems, introduction

Dynamical system:



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Dynamical system:

$$\dot{y} = f(y, u)$$



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Dynamical system:

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- $y \in Y$ state of the system
- $u \in \mathcal{U}$ control

$$\dot{y} = Ay + uBy$$



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- Problem: local controllability along a trajectory

Let (\bar{y}, \bar{u}) be a trajectory of the control system $\dot{y} = f(y, u)$.

The control system is *locally controllable along the trajectory* (\bar{y}, \bar{u}) if, for every $\varepsilon > 0$, there exists $\nu > 0$ such that, for every $(a, b) \in Y \times Y$ with $|a - \bar{y}(0)| < \nu$ and $|b - \bar{y}(T)| < \nu$, there exists a trajectory (y, u) such that

$$y(0) = a, \quad y(T) = b,$$

$$|u(t) - \bar{u}(t)| \leq \varepsilon, \quad t \in [0, T]$$



Non linear equations



Non linear equations

- trajectory \rightarrow linearized system



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 - controllability of linearized system \rightarrow inversion theorem

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- linearized system \rightarrow moment theory (Ingham inequality)



Beam bending, model

Euler-Bernoulli model, 1750.



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Assumptions:



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Assumptions:

- linear elasticity of the material, Hooke's law



Beam bending, model

Euler-Bernoulli model, 1750.

Assumptions:

- linear elasticity of the material, Hooke's law
- plane sections remain plane and perpendicular to the neutral axis (transverse vibrations).

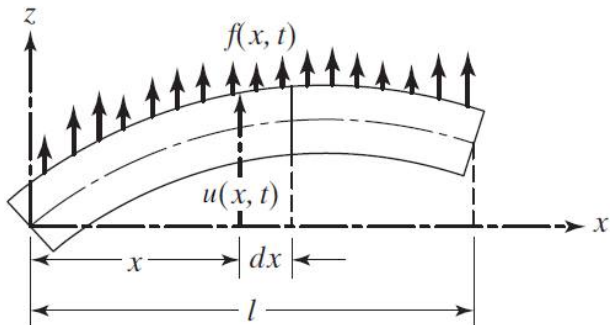


Figure: A beam in bending



Beam bending, equation

If $u(t, x)$ is the beam deflection, the equation for the bending is

$$\rho A(x) \frac{\partial^2 u(t, x)}{\partial t^2} + \frac{\partial^2}{\partial x^2} \left[EI(x) \frac{\partial^2 u(t, x)}{\partial x^2} \right] = f(t, x)$$

where ρ density per unit length, $A(x)$ cross-sectional area, E Young elastic modulus, $I(x)$ cross-sectional area moment of inertia (about “ z axis”), $f(t, x)$ total external force.



- J. M. Ball, J. E. Marsdent, M, Slemrod, “*Controllability for distributed bilinear systems*”, 1982 → the beam equation is not controllable in $H_0^2((0, 1), \mathbb{R}) \times L^2((0, 1), \mathbb{R})$, with control p in $L_{loc}^2([0, +\infty), \mathbb{R})$



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- K. Beauchard, “*Local controllability of one-dimensional beam equation*”, 2008 → local controllability in $H_{(0)}^{5+\varepsilon}((0, 1), \mathbb{R}) \times H_{(0)}^{3+\varepsilon}((0, 1), \mathbb{R})$, with $\varepsilon > 0$ and control p in $H_{loc}^1(\mathbb{R}_+, \mathbb{R})$.



Beam equation with pinned ends, controllability

Control system

$$\begin{cases} u_{tt} + u_{xxxx} + p(t)\mu(x)u_{xx} = 0, \\ u(t, 0) = u(t, 1) = u_{xx}(t, 0) = u_{xx}(t, 1) = 0. \end{cases} \quad (t, x) \in \mathbb{R}_+ \times (0, 1),$$

(1)

$\mu(x)$ is the effect of an axial force.



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$$D(A) := H^4 \cap H_0^2((0, 1), \mathbb{R}), \quad Av := \frac{d^4v}{dx^4}.$$



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$\psi_k(t, x) = \varphi_k(x)e^{-i\lambda_k t}$ are solutions of (1) with control $p \equiv 0$.



The system

$$\begin{cases} u_{tt} + u_{xxxx} + p(t)\mu(x)u_{xx} + f(t) = 0, & (t, x) \in \mathbb{R}_+ \times (0, 1), \\ u(t, 0) = u(t, 1) = u_{xx}(t, 0) = u_{xx}(t, 1) = 0, \\ u(0, x) = u_0(x), u_t(0, x) = u_1(x) \end{cases} \quad (2)$$

can be transformed into the Cauchy problem

$$\begin{cases} \frac{d\psi}{dt} = -\mathcal{A}\psi - p(t)\mu(x)\mathcal{B}\psi + F(t), \\ \psi(0) = \psi_0 \end{cases} \quad (3)$$



Beam equation with pinned ends, controllability

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where the linear operators \mathcal{A} e \mathcal{B} are defined as follows

$$D(\mathcal{A}) := H_{(0)}^4 \times H_0^2((0, 1), \mathbb{R}), \quad D(\mathcal{B}) := H_0^2 \times L^2((0, 1), \mathbb{R})$$

$$\mathcal{A} \begin{pmatrix} \psi^1 \\ \psi^2 \end{pmatrix} := \begin{pmatrix} -\psi^2 \\ \psi_{xxxx}^1 \end{pmatrix}, \quad \mathcal{B} \begin{pmatrix} \psi^1 \\ \psi^2 \end{pmatrix} := \begin{pmatrix} 0 \\ \psi_{xx}^1 \end{pmatrix}$$

and $F : (0, T) \rightarrow H_0^2 \times L^2((0, 1), \mathbb{R})$.

Beam equation with pinned ends, controllability

By choosing $\psi = (u, u_t)$, $\psi_0 = (u_0, u_1)$, and $F = (0, -f)$ the problems (2) e (3) are equivalent.



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Let us consider as solution of the homogeneous problem

$$\hat{\psi}_1(t, x) = (\psi_1(t, x), (\psi_1(t, x))_t)$$

and initial datum

$$\psi(0, x) = \hat{\varphi}_1(x) = (\varphi_1(x), \lambda_1 \varphi_1(x))$$



Theorem

Let $T > 0$ and $\mu \in H^3((0, 1), \mathbb{R})$ such that

$$\exists c > 0 \text{ such that } |\langle \mu(\varphi_1)_{xx}, \varphi_k \rangle| \geq \frac{c}{k^3}, \quad \forall k \in \mathbb{N}^*. \quad (4)$$

There exists $\delta > 0$ and a C^1 map

$$\Gamma : \mathcal{V}_T \rightarrow L^2((0, T), \mathbb{R}),$$

$$\mathcal{V}_T := \{ \Psi_f \in \mathcal{S}^2 \cap H_{(0)}^5 \times H_{(0)}^3((0, 1), \mathbb{C}); \|\Psi_f - \hat{\psi}_1(T)\|_{H_{(0)}^5 \times H_{(0)}^3} < \delta \}$$

such that, $\Gamma(\hat{\psi}_1(T)) = 0$ and for all $\Psi_f \in \mathcal{V}_T$ the solution of (3), with $\psi = (u, u_t)$, $\psi_0 = (u_0, u_1)$, initial condition

$$\psi_0 = \hat{\varphi}_1 \quad (5)$$

and control $p = \Gamma(\Psi_f)$, satisfies $\psi(T) = \Psi_f$.

Sketch of the proof:

- proof of existence, uniqueness and $C^0([0, T], H_0^2 \times L^2((0, 1), \mathbb{R}))$ regularity of the weak solution of

$$\begin{cases} \frac{d\psi}{dt} = -\mathcal{A}\psi - p(t)\mu(x)\mathcal{B}\psi + F(t), \\ \psi(0) = \psi_0, \end{cases} \quad (6)$$



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- definition of

$$V_T := \{ \xi = (\xi^1, \xi^2) \in L^2 \times L^2(0, 1); \Im \langle i\lambda_1 \xi^1 + \xi^2, \psi_1(T) \rangle = 0 \}$$

and the orthogonal projection onto V_T

$$P_T : L^2 \times L^2((0, T), \mathbb{R}) \rightarrow V_T.$$



- definition of the end point map

$$\begin{aligned}\Theta_T : L^2((0, T), \mathbb{R}) &\rightarrow V_T \cap H_0^2 \times L^2(0, 1) \\ u &\mapsto P_T[\psi(T)],\end{aligned}$$



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- proof of C^1 regularity of Θ_T ,
 $d\Theta_T(p) \cdot q = P_T(\Psi(T))$ where Ψ is solution of the linearized system,

$$\begin{cases} \Psi_t = -\mathcal{A}\Psi - p(t)\mu(x)\mathcal{B}\Psi - q(t)\mu(x)\mathcal{B}\psi, \\ \Psi(t, 0) = \Psi(t, 1) = 0, \Psi(0, x) = 0 \end{cases}$$



Beam equation with pinned ends, controllability

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$$d\Theta_T(0)^{-1} : V_T \cap H_0^2 \times L^2(0, 1) \rightarrow L^2((0, T), \mathbb{R})$$

and its C^0 regularity (controllability of the linearized system)



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- moment problem \rightarrow (Corollary of) Ingham inequality



Moment problem to solve

$$\begin{aligned} & - \int_0^T q(s) e^{-i(\lambda_k - \lambda_1)s} ds = d_{k-1}(\Psi_f) := \\ & = \left(i \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{\langle \mu(x)(\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \lambda_k + \frac{\langle \Psi_f^2(x), \varphi_k(x) \rangle}{\langle \mu(x)(\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \right) e^{-i\lambda_k T}. \end{aligned}$$



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We need to show that given

$$\Psi_f = (\Psi_f^1, \Psi_f^2) \in V_T \cap H_0^2 \times L^2(0, 1),$$

there exists

$$q \in L^2((0, T), \mathbb{R})$$

that satisfies the moment problem.



Corollary (of Ingham Theorem)

Let $T > 0$ and $(\omega_k)_{k \in \mathbb{N}}$ an increasing sequence in $[0, +\infty)$ such that $\omega_0 = 0$, and

$$\omega_{k+1} - \omega_k \rightarrow +\infty \quad \text{when } k \rightarrow +\infty.$$

There exist a linear and continuous map

$$\begin{aligned} L : l_r^2(\mathbb{N}, \mathbb{C}) &\rightarrow L^2((0, T), \mathbb{R}), \\ d &\mapsto L(d) \end{aligned}$$

such that, for all $d = (d_k)_{k \in \mathbb{N}} \in l_r^2(\mathbb{N}, \mathbb{C})$, the function $v := L(d)$ solves

$$\int_0^T v(t) e^{i\omega_k t} dt = d_k, \quad \forall k \in \mathbb{N}.$$

Beam equation with pinned ends, controllability

We should ensure that

- $d_0 \in \mathbb{R}$:

$$d_0 = \frac{\langle i\lambda_1 \Psi_f^1 + \Psi_f^2, \varphi_1 \rangle}{\langle \mu(x)(\varphi_1)_{xx}, \varphi_1 \rangle} e^{-i\lambda_1 T} \in \mathbb{R}$$



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- $(d_k)_{k \in \mathbb{N}} \in l^2(\mathbb{N}, \mathbb{C})$:

$$\begin{aligned} \sum_{k=1}^{\infty} |d_k(\Psi_f)|^2 &= \\ &= \sum_{k=1}^{\infty} \left| \left(i \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \lambda_k + \frac{\langle \Psi_f^2(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \right) e^{-i\lambda_k T} \right|^2 \\ &\leq 2 \sum_{k=1}^{\infty} \left| \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} k^2 \pi^2 \right|^2 + \left| \frac{\langle \Psi_f^2(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \right|^2 \\ &\leq 2 \sum_{k=1}^{\infty} \left| \frac{|\langle \Psi_f^1(x), \varphi_k(x) \rangle|}{c} k^5 \pi^2 \right|^2 + \left| \frac{|\langle \Psi_f^2(x), \varphi_k(x) \rangle|}{c} k^3 \right|^2 \\ &= 2 \sum_{k=1}^{\infty} \frac{|\langle k^5 \Psi_f^1(x), \varphi_k(x) \rangle|^2}{c} \pi^4 + \frac{|\langle k^3 \Psi_f^2(x), \varphi_k(x) \rangle|^2}{c} \end{aligned}$$



Beam equation with pinned ends, controllability

Recall: the space

$$H_{(0)}^s(I, \mathbb{C})$$

is equipped with the norm

$$\|\phi\|_{H_{(0)}^s} = \sum_{k=1}^{\infty} |k^s \langle \phi, \varphi_k \rangle|^2.$$



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We can conclude the proof defining

$$\Gamma(\Psi_f) =: \Theta_T^{-1}[P_T \Psi_f].$$



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Therefore the solution of the control system with $p = \Gamma(\Psi_f)$ satisfies

$$\begin{aligned} \psi(T) &= P_T(\psi(T)) + \sqrt{1 - \|P_T \psi(T)\|_{L^2 \times L^2}^2} \tilde{\psi}(T) = \\ &= P_T(\Psi_f) + \sqrt{1 - \|P_T \Psi_f\|_{L^2 \times L^2}^2} \tilde{\psi}(T) = \Psi_f \end{aligned}$$



Beam equation with sliding ends, controllability

Let us consider the system

$$\begin{cases} u_{tt} + u_{xxxx} + p(t)\mu(x)u_{xx} = 0, \\ u_x(t, 0) = u_x(t, 1) = u_{xxx}(t, 0) = u_{xxx}(t, 1) = 0. \end{cases} \quad (t, x) \in \mathbb{R}_+ \times (0, 1),$$

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Again the system can be transformed into

$$\begin{cases} \frac{d\psi}{dt} = -\mathcal{A}\psi - p(t)\mu(x)\mathcal{B}\psi + F(t), \\ \psi(0) = \psi_0 \end{cases}$$



Theorem

Let $T > 0$ and $\mu \in H^3((0, 1), \mathbb{R})$ such that

$$\exists c > 0 \quad \text{tale che} \quad |\langle \mu(\varphi_1)_{xx}, \varphi_k \rangle| \geq \frac{c}{k^2}, \quad \forall k \in \mathbb{N}^*.$$

There exists $\Gamma > 0$ and a C^1 map

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such that, $\Gamma(\hat{\psi}_1(T)) = 0$ and for every $\Psi_f \in \mathcal{V}_T$ the solution of (7), with $\psi = (u, u_t)$, $\psi_0 = (u_0, u_1)$, initial condition

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and control $p = \Gamma(\Psi_f)$, satisfies $\psi(T) = \Psi_f$.

Beam equation with sliding ends, controllability

If we compute explicitly

$$\begin{aligned} \langle \mu(x)(\varphi_1)_{xx}, \varphi_k \rangle &= \mu'(1) \left[\frac{(-1)^k 2(k^2 + 1)}{(k^2 - 1)^2} \right] + \mu'(0) \left[\frac{2(k^2 + 1)}{(k^2 - 1)^2} \right] + \\ &\quad - \int_0^1 \mu'''(x) \left(\frac{\sin((k+1)\pi x)}{(k+1)^3 \pi} + \frac{\sin((k-1)\pi x)}{(k-1)^3 \pi} \right) dx \end{aligned}$$



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$$\langle \mu(x)(\varphi_1)_{xx}, \varphi_k \rangle = \mu'(1) \left[\frac{(-1)^k 2(k^2 + 1)}{(k^2 - 1)^2} \right] + \mu'(0) \left[\frac{2(k^2 + 1)}{(k^2 - 1)^2} \right] + \\ - \int_0^1 \mu'''(x) \left(\frac{\sin((k+1)\pi x)}{(k+1)^3 \pi} + \frac{\sin((k-1)\pi x)}{(k-1)^3 \pi} \right) dx$$

we have

$$\begin{aligned} \sum_{k=1}^{\infty} |d_k(\Psi_f)|^2 &= \sum_{k=1}^{\infty} \left| \left(i \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \lambda_k + \frac{\langle \Psi_f^2(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \right) e^{-i\lambda_k T} \right|^2 \\ &\leq 2 \sum_{k=1}^{\infty} \left| \frac{|\langle \Psi_f^1(x), \varphi_k(x) \rangle|}{|\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle|} k^2 \pi^2 \right|^2 + \left| \frac{|\langle \Psi_f^2(x), \varphi_k(x) \rangle|}{|\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle|} \right|^2 \\ &\leq 2 \sum_{k=1}^{\infty} \left| \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{c} k^4 \pi^2 \right|^2 + \left| \frac{|\langle \Psi_f^2(x), \varphi_k(x) \rangle|}{c} k^2 \right|^2 \\ &= 2 \sum_{k=1}^{\infty} \frac{|\langle k^4 \Psi_f^1(x), \varphi_k(x) \rangle|^2}{c} \pi^4 + \frac{|\langle k^2 \Psi_f^2(x), \varphi_k(x) \rangle|^2}{c} \end{aligned}$$



Beam equation with sliding ends, controllability

If we compute explicitly

$$\langle \mu(x)(\varphi_1)_{xx}, \varphi_k \rangle = \mu'(1) \left[\frac{(-1)^k 2(k^2 + 1)}{(k^2 - 1)^2} \right] + \mu'(0) \left[\frac{2(k^2 + 1)}{(k^2 - 1)^2} \right] + \\ - \int_0^1 \mu'''(x) \left(\frac{\sin((k+1)\pi x)}{(k+1)^3 \pi} + \frac{\sin((k-1)\pi x)}{(k-1)^3 \pi} \right) dx$$

we have

$$\sum_{k=1}^{\infty} |d_k(\Psi_f)|^2 = \sum_{k=1}^{\infty} \left| \left(i \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \lambda_k + \frac{\langle \Psi_f^2(x), \varphi_k(x) \rangle}{\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle} \right) e^{-i\lambda_k T} \right|^2 \\ \leq 2 \sum_{k=1}^{\infty} \left| \frac{|\langle \Psi_f^1(x), \varphi_k(x) \rangle|}{|\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle|} k^2 \pi^2 \right|^2 + \left| \frac{|\langle \Psi_f^2(x), \varphi_k(x) \rangle|}{|\langle (\mu(x)\varphi_1(x))_{xx}, \varphi_k(x) \rangle|} \right|^2 \\ \leq 2 \sum_{k=1}^{\infty} \left| \frac{\langle \Psi_f^1(x), \varphi_k(x) \rangle}{c} k^4 \pi^2 \right|^2 + \left| \frac{|\langle \Psi_f^2(x), \varphi_k(x) \rangle|}{c} k^2 \right|^2 \\ = 2 \sum_{k=1}^{\infty} \frac{|\langle k^4 \Psi_f^1(x), \varphi_k(x) \rangle|^2}{c} \pi^4 + \frac{|\langle k^2 \Psi_f^2(x), \varphi_k(x) \rangle|^2}{c}$$

Thanks for your attention!

