#### Single Top Production

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#### Single Top Production

Three main production mechanisms:







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• t-channel:  $\sigma$  (8TeV) = 87.7<sup>+3.4</sup><sub>-1.9</sub> pb  $\sigma$  (13TeV) = 217.0<sup>+9.1</sup><sub>-7.7</sub> pb Golden channel

tW-channel: s-channel:
 σ (8TeV) = 22.4±1.5 pb σ (8TeV) = 5.6±0.2 pb
 σ (13TeV) = 71.7±3.8 pb σ (13TeV) = 10.3±0.4 pb
 Observed at LHC Challenging at LHC

### Why Studying it?

Direct probe of EKW production via charged current:

- $\circ\,$  Probe of Wtb vertex and measurement of CKM matrix element  $V_{tb}$  from the measured cross section
- Sensitive to beyond standard model (BSM) physics:
  - Wtb anomalous couplings and FCNC
- Allows for refinement and tests of different physics aspects of top quark modeling in MC simulations:
  - Constrain PDFs and tune MC generators
- Background for many physics searches in Higgs and SUSY analyses

#### In This Talk

- Single top production in the t-channel:
  - Inclusive and differential cross sections
  - Wtb vertex: polarization and anomalous couplings
- Other production mechanisms:
  - Associated production with a W boson
  - Single top production in the s-channel
  - Associated production with a Z boson and FCNC

Summary

(For more results see <u>ATLAS</u> and <u>CMS</u> public pages)

## Single Top Production in the t-Channel

- Inclusive and differential cross sections
- Wtb vertex: polarization and anomalous couplings

#### t-Channel Signature

Experimental signature of single top t-channel production:



1 high- $p_T$  and forward jet

- $\frown$  1 isolated and high-p<sub>T</sub> lepton
- A Missing  $E_{T}$  (MET) from the neutrino

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- L 1 high-p<sub>T</sub> and central b-jet
- 1 additional soft b-jet with high  $\mid \eta \mid$  (not always detected)

- Main backgrounds:
  - top pair production
  - W+jets production

#### Inclusive Cross Section (13 TeV)

- Signal region definition:
  - $_{\odot}$  One isolated muon with p\_T>22 GeV
  - 2 jets with  $p_T$ >40 GeV and |  $\eta$  |<4.7, one of which must be b-tagged</li>
  - ∘ m<sub>T</sub><sup>w</sup>>50 GeV
- Analysis strategy:
  - Neural network to discriminate signal to background
  - Simultaneous fit in signal and control regions to constrain dominant W+jets and ttbar backgrounds
  - QCD background extrapolated from events with m<sub>T</sub><sup>W</sup><50 GeV</li>



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m<sup>w</sup><sub>T</sub> (GeV)

20 40 60 80 100 120 140 160 180 200

#### Inclusive Cross Section (13 TeV)

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#### arXiv:1610.00678v1

Neural network trained in the signal region (2 jets, 1 tag) by using 11 input variables:

Rank	Variable	N	6000	2.3 fb (13 lev)
1	Light quark $ n $	. 0		♦ Data
		Its	5000 2-iets-1-tag	t channel –
2	Top quark mass	ven		tt, tW
2	Dijot mass	. Ш	4000	W/Z+jets –
		-		
4	Transverse W boson mass	_	2000	Beat fit upo
5	Jet $p_{\rm T}$ sum			
6	$\cos  heta^*$	-	2000	
7	Hardest jet mass	-		
8	$\Delta R$ (light quark, b quark)		- - - -	
9	Light quark $p_{\rm T}$	-	-1 $-0.8$ $-0.6$ $-0.4$ $-0.2$	0 0.2 0.4 0.6 0.8
10	Light quark mass			MVA output
11	W boson $ \eta $	Data		• • • •

## t/f Cross Section Ratio (13 TeV)

#### arXiv:1610.00678v1

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- Measured single top quark production cross section and top quark to antitop quark cross section ratio are:
  - $\sigma_{t,t-channel} = 150 \pm 8 \text{ (stat)} \pm 9 \text{ (exp)} \pm 18 \text{ (theo)} \pm 4 \text{ (lumi) pb}$ = 150 ± 22 pb
  - $R_{t-channel} = 1.81 \pm 0.18 \text{ (stat)} \pm 0.15 \text{ (syst)}$
- The cross section ratio is sensitive to PDFs:
  - With future data, it could used to put constraint on them



#### Inclusive Cross Section (13 TeV)

arXiv:1610.00678v1

Measured production cross section:

 $\sigma_{t-channel}^{measured}$  = 232 ± 13 (stat) ± 12 (exp) ± 26 (theo) ± 6 (lumi) pb

= 232 ± 31 pb

- Dominant systematics from signal and ttbar modeling 0  $(\sim 9\%)$ , and factorization and renormalization scales  $(\sim 6\%)$
- Good agreement with theoretical predictions:
- Good agreement with theoretical predictions:  $\sigma_{t-channel}^{NLO} = 217.0^{+6.6}_{-4.6}$  (scale) ± 6.2 (PDF+ $\alpha_s$ ) pb Assuming  $|V_{td}|, |V_{ts}| << |V_{tb}|$ : upper large statement of the statement of t o  $\sigma_{t-channel}^{NLO} = 217.0^{+6.6}_{-4.6}$  (scale)
- Assuming  $|V_{td}|$ ,  $|V_{ts}| \ll |V_{tb}|$ :

  - Where f<sub>IV</sub> accounts for a possible anomalous Wtb coupling



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#### CMS-PAS-TOP-16-004

- Aim to measure the signal yields as a function of top quark p<sub>T</sub> and rapidity y
- Same signal and control regions as in the inclusive cross section measurement
- BDT discriminant build from five observable chosen to small correlation with top quark p<sub>T</sub> and rapidity
- Simultaneous fit in signal and control regions to a likelihood combining:
  - m<sub>T</sub><sup>W</sup> for events with m<sub>T</sub><sup>W</sup><50 GeV, to constrain QCD background
  - BTD discriminant otherwise, sensitive to signal and other backgrounds



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CMS-PAS-TOP-16-004

Post-fit distributions in a signal-depleted region:

- o m<sub>T</sub><sup>w</sup>>50 GeV
- BDT<0.6
- Good agreement between data and MC



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CMS-PAS-TOP-16-004

Post-fit distributions in a signal-enhanced region:

- o m<sub>T</sub><sup>w</sup>>50 GeV
- BDT>0.6
- $\circ$  p<sub>T</sub> spectrum in data somewhat harder than in MC



CMS-PAS-TOP-16-004

Observed distributions are unfolded to parton level:

 Differential cross sections normalized to the in-situ measured inclusive cross section of the t-channel single top production

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 Data are described by theoretical prediction within the current uncertainties



#### Wtb Vertex

- Probing the W<sub>tb</sub> vertex is a powerful test for new physics
- Most general form of the W<sub>tb</sub> Lagrangian in the effective operator formalism:

$$\mathcal{L}_{tWb}^{\text{anom.}} = -\frac{g}{\sqrt{2}}\bar{b}\gamma^{\mu}(V_{L}P_{L} + V_{R}P_{R})tW^{-}{}_{\mu} - \frac{g}{\sqrt{2}}\bar{b}\frac{i\sigma^{\mu\nu}q_{\nu}}{m_{W}}(g_{L}P_{L} + g_{R}P_{R})tW^{-}{}_{\mu} + \text{h.c.},$$

Within the SM,  $V_L = V_{tb} \approx 1$ , while  $V_R$ ,  $g_L$  and  $g_R$  vanish at tree level

- Anomalous couplings can be investigated in single top quark events through two main strategies:
  - Measurement of top quark polarization and W boson helicity
  - Direct searches through comparison on sensible observables in data to simulated samples generated with anomalous couplings

In t-channel production, the SM predicts the top quark to be highly polarized alone the direction of the spectator quark q', which recoils against the top, as a consequence of the V-A structure of the W<sub>tb</sub> coupling



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Measuring top quark spin asymmetry:

$$A_X \equiv \frac{1}{2} P_t \alpha_X = \frac{N(\uparrow) - N(\downarrow)}{N(\uparrow) + N(\downarrow)}$$

- $\circ$  P<sub>t</sub>: top quark polarization in production
- $\alpha_{\rm X}$ : degree of angular correlation of one of the top quark decay product with respect to the top quark spin (spin-analyzing power)
- N(1) (N(1)): number of times in which the top decay product is aligned (antialigned) with the momentum of the spectator quark

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#### Event selection:

- One isolated muon with pT>26 GeV
- 2 jets with p<sub>T</sub>>40 GeV, one of which must be b-tagged ("2jets 1tag" region)
- "3jets 2tags" and "2jets 0tags" used as control regions
- Use two BDTs to reject multijet and W/ttbar backgrounds:



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• Top quark spin asymmetry  $A_x$  extracted by the observed distribution of the angle  $\theta_x$  between a top decay product and the spectator quark:

$$\frac{1}{\sigma}\frac{\mathrm{d}\sigma}{\mathrm{d}\cos\theta_X^*} = \frac{1}{2}(1+P_{\mathrm{t}}^{(\vec{s})}\alpha_X\cos\theta_X^*) = \left(\frac{1}{2}+A_X\cos\theta_X^*\right)$$

Choosing the muon as decay product, in the signal region:

 Signal and background components extracted by fitting the BDT<sub>W/tt</sub> distribution in the "2jets 1tag" and "3jets 2tags" regions



After background subtraction, the observed distribution for cos θ<sub>x</sub> is unfolded to parton level to correct for detector effects



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Fitting for the top quark spin asymmetry:

 $\begin{array}{l} \mathsf{A}_{\mu}\left(^{\dagger}\right) &= 0.29 \pm 0.03 \; (\text{stat}) \pm 0.10 \; (\text{syst}) = 0.29 \pm 0.11 \\ \mathsf{A}_{\mu}\left(^{\dagger}\right) &= 0.21 \pm 0.05 \; (\text{stat}) \pm 0.13 \; (\text{syst}) = 0.21 \pm 0.14 \\ \mathsf{A}_{\mu}\left(^{\dagger+\dagger}\right) &= 0.26 \pm 0.03 \; (\text{stat}) \pm 0.10 \; (\text{syst}) = 0.26 \pm 0.11 \end{array}$ 

Compatible with a *p*-value of 4.6% (2.0  $\sigma$ ) with the SM prediction of 0.44 (NLO)

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#### V-A character of top decay probed by W boson helicity



- The helicity angle θ<sup>\*</sup><sub>1</sub> is defined as the angle between the W boson momentum in the top quark rest frame and the momentum of the down-type decay fermion in the W boson rest frame
- Components of the probability distribution function of  $\cos(\theta_{I}^{*})$ :
  - Left-handed  $\sim 3/8(1 \cos\theta^*)^2$
  - Longitudinal ~  $3/4(1 \cos^2\theta^*)$
  - Right-handed  $\sim 3/8(1+\cos\theta^*)^2$



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- Looking inclusively to events with a single reconstructed top
   Signal from both single top and ttbar production
- Event selection:
  - One isolated muon (electron) with p<sub>T</sub>>267 (30) GeV
  - $\circ$  Two jets with p<sub>T</sub>>40 GeV, of which one b-tagged
  - $\circ$  Large missing E<sub>T</sub> and m<sub>T</sub><sup>W</sup>>50 GeV
- Reconstructed  $\cos(\theta_{l}^{*})$  in simulations  $\frac{1}{2}$  Reconstructed cos( $\theta_{l}^{*}$ ) in simulations  $\frac{1}{2}$  Reconstructed cos( $\theta_{l}^{*}$ ) in simulations
  - Helicity fractions and W+jets normalization left as free parameters
  - MC events weighted by a factor relating generator level variable to detector level ones

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- Measured W helicities are consistent with the SM expectations:
  - $F_L = 0.298 \pm 0.028$  (stat)  $\pm 0.032$  (syst)
  - $F_0 = 0.720 \pm 0.039$  (stat)  $\pm 0.037$  (syst)
  - $F_R = -0.018 \pm 0.019$  (stat)  $\pm 0.011$  (syst)





- Results are used as input to TopFit to compute exclusion limits on the tensor couplings g<sub>L</sub> and g<sub>R</sub> of the W<sub>tb</sub> vertex
  - Assuming  $V_L = 1$  and  $V_R = 0$

## Anomalous Couplings (7 & 8 TeV)

• Event selection:

- One isolated muon with  $p_T > 20$  (26) GeV in 7 (8) TeV analysis
- Two or three jetes with  $p_T > 30 \text{ GeV}(40 \text{ GeV} \text{ for the leading one})$

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- At least one jet passing and one failing the b-tag requirement
- To suppressed multijet background, a Bayesian Neural Network (BNN) discriminator is built



#### Anomalous Couplings (7 & 8 TeV)

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BNN discriminators built to distinguish signal from backgrounds, and SM signal from contributions from anomalous couplings



## Anomalous Couplings (7 & 8 TeV)

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The SM BNN and one of the W<sub>tb</sub> BNN are simultaneously fit to data to derive exclusion limits in couplings 2D planes



- 95% CL exclusion limits on anomalous couplings:
  - $\circ |f_V^R| < 0.16$
  - $\circ |f_T^L| < 0.057$
  - $\circ$  -0.049 < |  $f_T^R$  | < 0.048



#### Other Single Top Production Mechanisms

- Associated production with a W boson
- Single top production in the s-channel
- Associated production with a Z boson and FCNC

### tW Signature

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Experimental signature of top associated production with a W boson:



- Main backgrounds:
  - top pair production

- $\overline{1}$  isolated and high-p<sub>T</sub> lepton
- Missing  $E_{T}$  (MET) from the neutrino
- \_ 1 high-p<sub>T</sub> and central b-jet
- 2<sup>nd</sup> isolated and high-p<sub>T</sub> lepton, with opposite side with respect to the other Other neutrino cotributing to MET
- 1 additional soft b-jet with high  $\mid \eta \mid$  (not always detected)

#### tW Cross Section (8 TeV)

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#### Phys.Rev.Lett. 112 (2014) 231802

- First observation of tW associated production
- Signal region definition:
  - $_{\odot}$  Two isolated opposite sign leptons with p\_T>20 GeV, m\_{II}>20 GeV
  - $\circ$  Drell-Yan veto for the: ee and  $\mu$   $\mu$  channels:
    - $\circ~|m_{I\!I}\text{-}m_{Z}^{}|$  <10 GeV, Missing transverse energy MET>50 GeV
  - Exactly 1 jet with p<sub>1</sub>>30 GeV which must be b-tagged
- BDT to separate tW from ttbar:
  - Most significant variables built 3000
     from "loose" jets with pT>20 GeV 2/2500
- Simultaneous fit to signal region and two control regions with 2 jets and 1 or 2 tags, respectively



#### tW Cross Section (8 TeV)

#### Phys.Rev.Lett. 112 (2014) 231802

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Distributions of the BDT discriminator



#### tW Cross Section (8 TeV)

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Phys.Rev.Lett. 112 (2014) 231802

- An excess of events corresponding to a significance of 6.1 σ is observed
  - $\circ$  5.4  $\sigma$  significance expected from simulations
- Measured tW production cross section:
  - $\sigma_{tW} = 23.4 \pm 5.4 \text{ pb}$

Good agreement with theoretical prediction at NNLO

 $\sigma_{tW}$ <sup>th</sup> = 22.2 ± 0.6 (scale) ± 1.4 (PDF) pb

- CKM matrix element |V<sub>tb</sub>| can be derived to be:
  - o  $|V_{tb}| = \sqrt{\sigma_{tW}}/\sigma_{tW}^{th} = 1.03 \pm 0.12 \text{ (exp)} \pm 0.04 \text{ (th)}$
  - $|V_{tb}| > 0.78 @95\% CL$  (assuming  $0 \le |V_{tb}|^2 \le 1$ ))

#### s-Channel Signature

Most challenging single top production mechanism at LHC:



1 isolated and high-p<sub>T</sub> lepton

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- Missing  $E_{T}$  (MET) from the neutrino
  - 1 high-p<sub>T</sub> and central b-jet
- 1 additional high-p<sub>T</sub> and central b-jet

- Main backgrounds:
  - top pair production
  - Single top t-channel production
  - W+jets production

- Signal region definition (2-jets 2-tags):
  - One isolated muon (p<sub>1</sub>>29 GeV) or electron (p<sub>1</sub>>30 GeV)
  - $\circ$  Exactly two tagged jets with p<sub>T</sub>>40 GeV
- Analysis strategy:
  - QCD background validated in the region 2jets 0tag
  - Use of BDTs to separate signal from large ttbar and W+jets backgrounds
  - Cross section measured through a simultaneous fit to BDT distributions in the signal region and in the control regions 3jets 1tag and 3jets 2tags

# jets

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- QCD estimate at 7 TeV:
  - ML fit to m<sub>T</sub><sup>W</sup> distribution in SR
     2jets 2tags and CR 3jets 2tags
  - CR 2jets 1tag: ask m<sub>T</sub><sup>W</sup>>50 GeV to<sup>®</sup> suppress QCD contribution, cut efficiency estimated in MC
- QCD estimate at 8 TeV:
  - BDTs trained in each region to discriminate QCD from the other processes
  - ML fit to estimate the yields of QCD events



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- Signal extraction based on BDT discriminants defined for the different event categories:
  - 2jets 2tags: trained to separate signal from other processes
  - 3jets 2tags: trained to separate ttbar from other processes
  - 2jets 1tag: trained to separate W+jets from other processes
  - Separate BDTs for muons at 7 and 8 TeV and electrons at 8 TeV
  - Simultaneous ML fit to data in signal and control region



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Measured single top production cross section in the s-channel:

 $\sigma_s = 7.1 \pm 8.1 \text{ (stat + syst) pb}, \text{ muon channel, 7 TeV;}$ 

 $\sigma_s = 11.7 \pm 7.5$  (stat + syst) pb, muon channel, 8 TeV;

 $\sigma_s = 16.8 \pm 9.1$  (stat + syst) pb, electron channel, 8 TeV;

 $\sigma_s = 13.4 \pm 7.3$  (stat + syst) pb, combined, 8 TeV.

- Main systematic uncertainties from factorization and renormalization scales (~30%) and JES/JER (~35%)
- The combined fit to 7 and 8 TeV data determines the signal cross section relative to SM prediction with a best fit value  $\beta_{signal} = 2.0 \pm 0.9$
- > Observed (expected) significance is 2.5 (1.1)  $\sigma$
- Upper limit on rate relative to SM expectation is 4.7 at 95% CL

## tZq Production and FCNC





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- SM tZq production:
  - Unmeasured rare standard model process
  - Irreducible background for FCNC t->Zq and tH searches

- tZ FCNC production:
  - Highly suppressed in the SM:  $\beta$  (t->Xq)  $\approx 10^{-17} - 10^{-12}$
  - In BSM scenarios, can be enhanced up to β (t->Xq)≈10<sup>-3</sup>

## tZq Production (8 TeV)

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arXiv:1702.01404



- Experimental signature:
  - $\circ$  Three isolate high-p<sub>T</sub> leptons

o Two jets

- One b-tagged jet
- Missing  $E_T$  from the neutrino

- Use a BDT to separate signal from ttZ and WZ backgrounds
- Backgrounds estimate:
  - WZ and non-prompt leptons from m<sub>T</sub><sup>W</sup> fit in a control region with b-tag veto
    - Non-prompt templates obtained inverting isolation criteria
  - Rest of backgrounds from simulation

#### tZq Production (8 TeV)

arXiv:1702.01404

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#### Simultaneous fit to BDT in signal region and m<sub>T</sub><sup>W</sup> in control region



Observed yield compatible with SM expectations:

- o  $\sigma$  (pp->tZq->I $\nu$  bllq) = 10<sup>+8</sup><sub>-7</sub> fb, with a significance of 2.4 $\sigma$
- Upper limit on tZq cross section: 21 fb at 95% CL

## tZq FCNC (8 TeV)



arXiv:1702.01404

Similar strategy as the search for tZq, but two signal regions:

- Signal region 'tZ' -> BDT-tZ
- Signal region 'tt' -> BDT-tt
- b-tag veto CR -> m<sub>T</sub><sup>W</sup>









Limits on FCNC branching ratios:

Branching fraction	Expected	68% CL range	95% CL range	Observed
$\mathcal{B}(t  ightarrow \operatorname{Zu})$ (%)	0.027	0.018 - 0.042	0.014 - 0.065	0.022
$\mathcal{B}( ext{t}  o  ext{Zc})$ (%)	0.118	0.071 - 0.222	0.049 - 0.484	0.049

## tu $\gamma$ /tc $\gamma$ FCNC Couplings

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- Experimental signature:
  - Final states with a muon, a photon, large missing E<sub>τ</sub>, at least one jet with at most one being b-tagged
- W γ +jets and W+jets estimated by a fit to a dedicated NN output



 Signal extraction through fit to BDT discriminants built separately for signal production via tu γ or tc γ couplings



## tu $\gamma$ /tc $\gamma$ FCNC Couplings

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- No evidence of single top quark production in association with a photon is observed
- Upper limits are set on the tu γ and tc γ anomalous couplings, and translated on branching fraction of the FCNC top quark decays:
  - $\beta$  (†->∪  $\gamma$ ) < 1.3 x 10<sup>-4</sup>

•  $\beta$  (t->c  $\gamma$ ) < 1.7 x 10<sup>-3</sup>



# $\frac{tug/tcg \ FCNC \ Couplings}{d3}$

- Same framework as for anomalous coupling searches
- Two BNNs are trained to distinguish FCNC single top production via tug/tcg couplings from SM processes



## tug/tcg FCNC Couplings

- Fitting the BNN distributions, 2D exclusion contours are set for the tug and tcg couplings
- Individual limits on one coupling are derived by integrating over the other
- These are used to establish upper limits on t -> ug and t -> cg brancing ratios



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$\sqrt{S}$	$ \kappa_{\rm tug} /\Lambda({\rm TeV^{-1}})$	$\mathcal{B}(t \rightarrow ug)$	$ \kappa_{\rm tcg} /\Lambda ({\rm TeV^{-1}})$	${\cal B}(t \rightarrow cg)$
7 TeV	$14 (13) \times 10^{-3}$	24 (21)×10 <sup>-5</sup>	2.9 (2.4) ×10 <sup>-2</sup>	10.1 (6.9) $\times 10^{-4}$
8 TeV	$5.1(5.9) \times 10^{-3}$	$3.1(4.2) \times 10^{-5}$	$2.2(2.0)  imes 10^{-2}$	5.6 (4.8) $\times 10^{-4}$
7 and 8 TeV	4.1 (4.8) $\times 10^{-3}$	$2.0(2.8) \times 10^{-5}$	$1.8(1.5) \times 10^{-2}$	4.1 (2.8)×10 <sup>-4</sup>

#### Summary

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A wealth of results on the electromagnetic production of the top quark being produced at the LHC



- Increasing precision on inclusive and differential cross section probe theoretical prediction
- Nature of V<sub>tb</sub> coupling extensively tested

#### Summary

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Many searches for FCNC: no sign so far, but some channels will soon reach sufficient precision to start rejecting BSM models

