



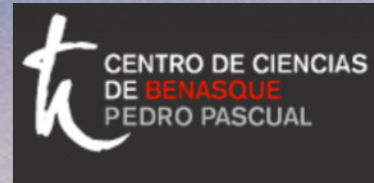
# New Physics in B anomalies

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collaboration with

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Flavor Physics at LHC run II  
May 21 - 27



# Anomalies in B physics

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They tend to come and go after experimental and theoretical scrutiny

- < 2011
  - 2011 same-sign dimuon asymmetry (D0) ?
  - 2011  $B \rightarrow \tau \tau$  (Belle)
  
  - 2012 ....  $R_D, R_{D^*}$  LF non-universal,  $V_{cb} \rightarrow V^{(\tau)}_{cb}$  ?
  - 2013 ....  $P_5'$
  - 2014 ....  $R_K$
  - 2017 ....  $R_{K^*}$
- } neutral current  $b \rightarrow sl^+l^-$   
natural habitat for NP

Have to take it seriously if the hints of a particular anomaly from experiment keep accumulating (after reassessing the Standard model prediction).

What NP models explain (i.e., can accommodate) each (or both) of these anomalies? What are model-specific side effects?

# Current status of “B-anomalies”

## Quick overview

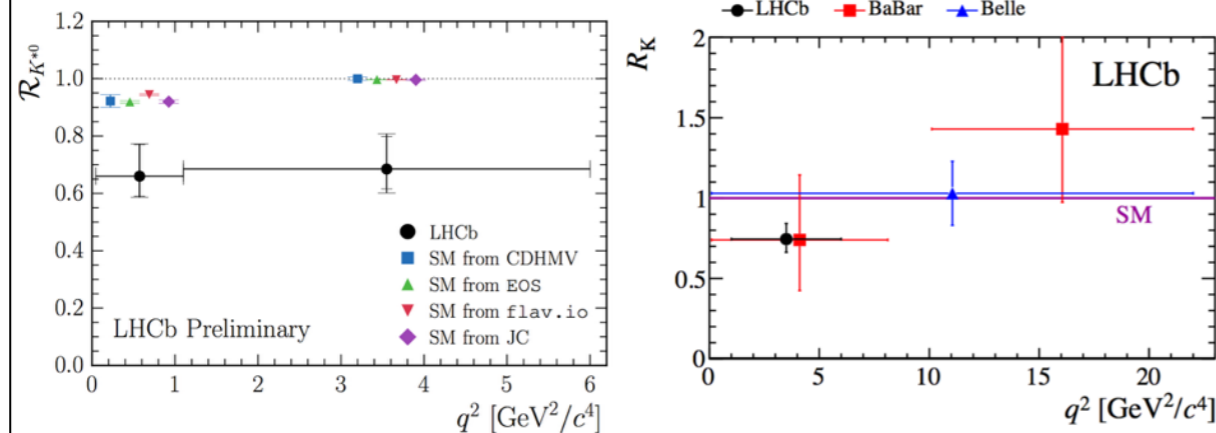
$$b \rightarrow s \ell^+ \ell^-$$

$$R_K^{\text{exp}} = 0.745 \pm_{-0.074}^{+0.090} \pm 0.036$$

$$R_{K^*}^{\text{exp, low } q^2} = 0.660_{-0.070}^{+0.110} \pm 0.024 \quad (\text{In SM} = 1 \pm \%)$$

$$R_{K^*}^{\text{exp, central } q^2} = 0.685_{-0.069}^{+0.113} \pm 0.047$$

LFU



See talk by Patrick on Friday

$$S_i, P_i^{(\prime)} \quad B \rightarrow K^{(*)} \mu^+ \mu^-$$

angular

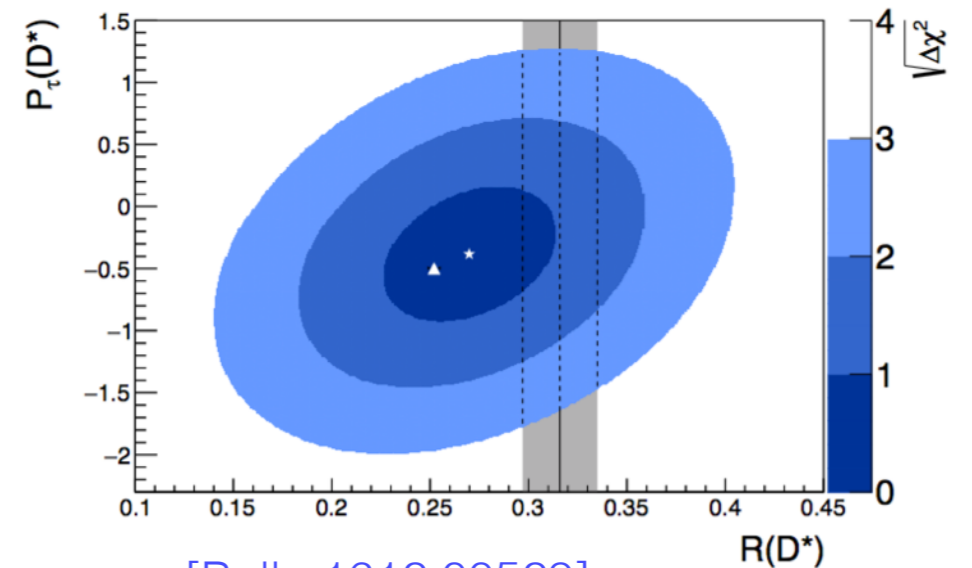
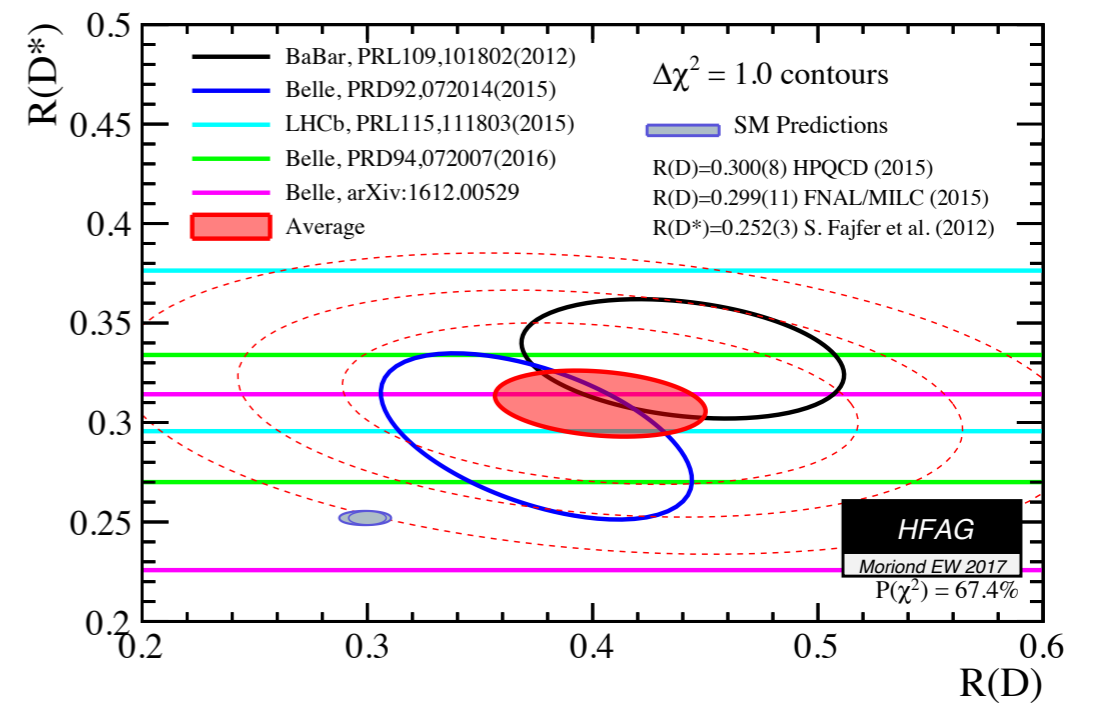
optimized observables, see talk by Bernat

$$B \rightarrow K^{(*)} \mu^+ \mu^- \quad B_s \rightarrow \mu^+ \mu^-$$

$$B_s \rightarrow \phi \mu^+ \mu^- \quad \text{inclusive, ...}$$

(partial) rates

$$b \rightarrow c \ell^+ \nu$$



[Belle 1612.00529]

# NP scale sensitivity

Both effects are  $\sim 20\%$  correction to the amplitude. Naive scale sensitivity

<p style="text-align: center;"><math>b \rightarrow s \ell^+ \ell^-</math></p> <p>e.g.  <math>C_9 = -1 \Rightarrow \Lambda = 3 \text{ TeV (1-loop NP)}</math>  <math>\frac{V_{ts}}{(4\pi)^2 v^2} \text{ vs. } \frac{1}{(4\pi)^2 \Lambda^2}</math></p> <p style="text-align: center;"><math>\Lambda = 30 \text{ TeV (tree-level NP)}</math>  <math>\frac{V_{ts}}{(4\pi)^2 v^2} \text{ vs. } \frac{1}{\Lambda^2}</math></p>	<p style="text-align: center;"><math>b \rightarrow c \ell^- \nu</math></p> <p style="text-align: center;"><math>\Lambda = 3 \text{ TeV (tree-level NP)}</math>  <math>\frac{V_{cb}}{v^2} \text{ vs. } \frac{1}{\Lambda^2}</math></p>
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However, dimensionless couplings of NP are arbitrary parameters, so the scales just reflect different sensitivity to NP.

Signals either: 1) different scale responsible for the two processes,  
 2) flavor coupling hierarchy of NP

# $b \rightarrow s \ell \ell$

## Effective theory

Standard Model + dim-6 operators at scale  $\Lambda$  (SM-EFT)

$$\mathcal{L}_{\text{SM-EFT}} = \frac{1}{\Lambda^2} \sum_i C_i Q_i \quad \begin{array}{l} Q_i \sim (H D_\mu H)(\bar{q} \gamma^\mu q) \quad \text{“Higgs current”} \\ (\bar{q} \sigma^{\mu\nu} V_{\mu\nu} q) H \quad \text{“dipoles”} \\ \bar{q} q \bar{\ell} \ell \quad \text{“4-fermion”} \end{array}$$

RG running to the b-energy scale

$$\mathcal{L}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \left[ \sum_{i=1}^6 C_i \mathcal{O}_i + \sum_{i=7,8,9,10,S,P} (C_i \mathcal{O}_i + C'_i \mathcal{O}'_i) \right]$$

$$\mathcal{O}_7^{(\prime)} = \frac{e}{(4\pi)^2} m_b (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$$

$$\mathcal{O}_9^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu \ell)$$

$$\mathcal{O}_S^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} P_{R(L)} b) (\bar{\ell} \ell)$$

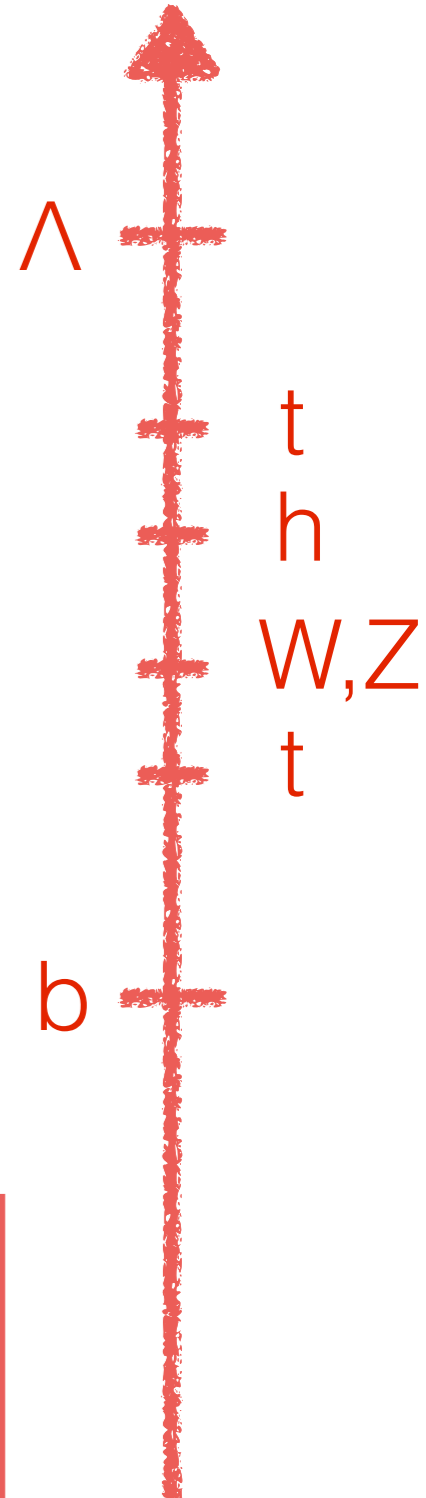
$$\mathcal{O}_{10}^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_P^{(\prime)} = \frac{e^2}{(4\pi)^2} (\bar{s} P_{R(L)} b) (\bar{\ell} \gamma_5 \ell)$$

*See Federico's talk*

[Grinstein, Camalich, Alonso, 1407.7044 ]  
 [Grinstein, Camalich, Alonso, 1505.05164]  
 [Cata, Jung, 1505.05804]  
 [Feruglio, Paradisi, Patteri, 1606.00524]

1. no tensor currents
2. scalars:  $C_S = -C_P$ ,  $C_{S'} = C_{P'}$
3.  $C_{9,SM} = -C_{10,SM} = 4.2$
4. LFU violation from semileptonic operators



# $b \rightarrow s \ell \ell$

LFU observables

$$\mathcal{O}_9^\ell = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell)$$

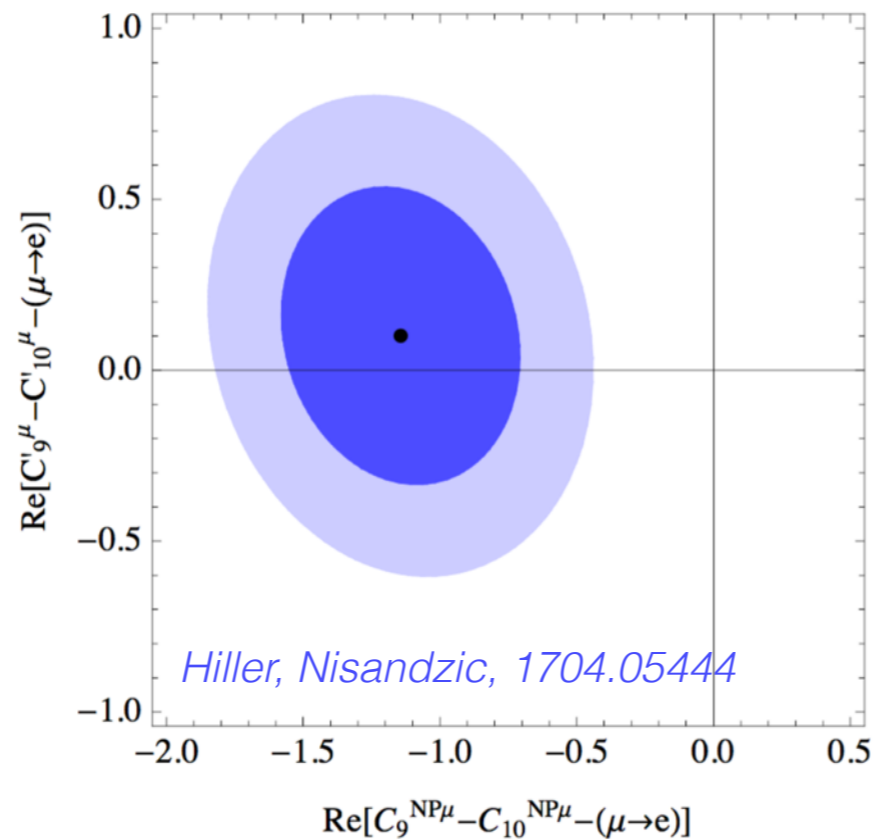
$$\mathcal{O}_{10}^\ell = \frac{e^2}{(4\pi)^2} (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_S^\ell = \frac{e^2}{(4\pi)^2} (\bar{s} P_R b) (\bar{\ell} \ell)$$

$$\mathcal{O}_P^\ell = \frac{e^2}{(4\pi)^2} (\bar{s} P_R b) (\bar{\ell} \gamma_5 \ell)$$

Leading LFUV effects

- $R_K$  and  $R_{K^*}$  impose:



[Hiller, Schmaltz, 1408.1627, 1411.4773]

$$\text{Re}[C_9^{\text{NP}\mu} - C_{10}^{\text{NP}\mu} - (\mu \rightarrow e)] \sim -1.1 \pm 0.3$$

$$\text{Re}[C_9^{\ell\mu} - C_{10}^{\ell\mu} - (\mu \rightarrow e)] \sim 0.1 \pm 0.4$$

- Scalar operators  $C_S = -C_P$ ,  $C_{S'} = C_{P'}$ : excluded by  $\text{Br}(B_s \rightarrow \mu\mu)$

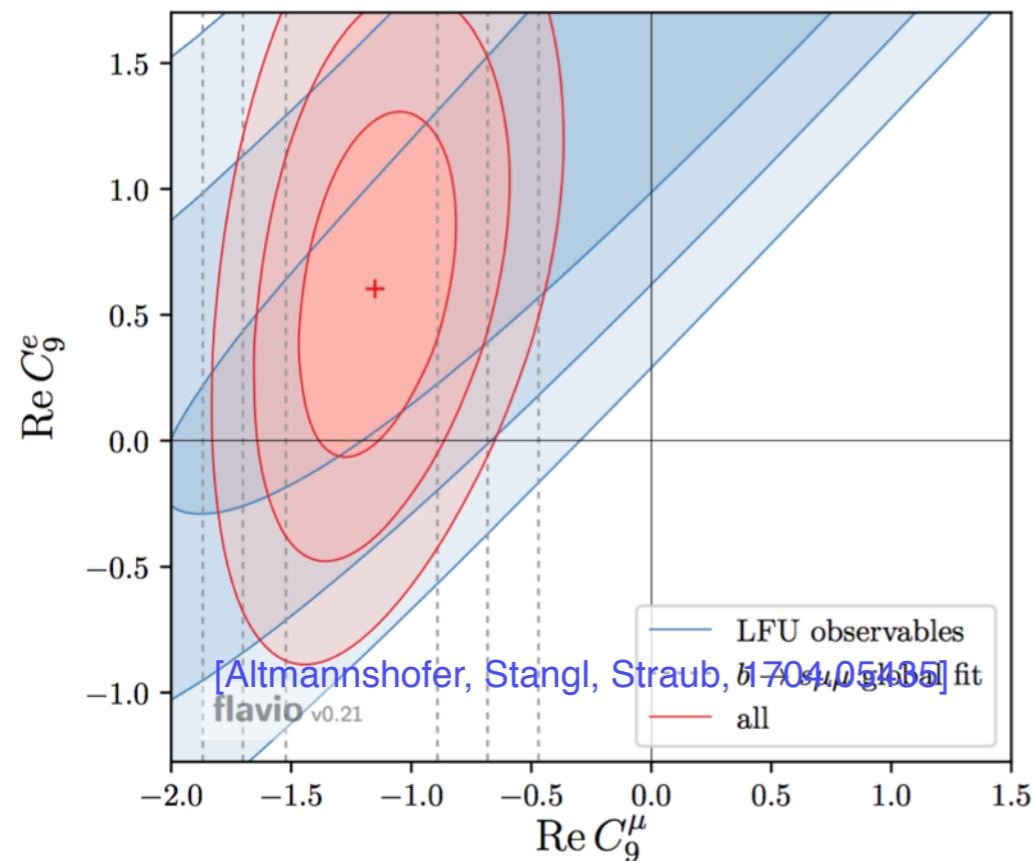
# Global fits of $b \rightarrow s \mu \mu$

- Fit the whole set of observables driven by  $b \rightarrow s \mu \mu$ . [[See talk by Bernat](#)]

$$B \rightarrow K^{(*)} \mu^+ \mu^- \quad S_i, P_i^{(l)}$$

$$B_s \rightarrow \phi \mu^+ \mu^-$$

$$B_s \rightarrow \mu^+ \mu^-$$



Vector leptonic current with left quarks

$$C_9^\mu, C^{\mu 9} = -C^{\mu 10}, (C^{\mu 9}, C^{\mu 10}), \dots (C^{\mu 9}, *)$$

$$C_9 = -C_{10} \in [-0.73, -0.48] \quad (5.2\sigma \text{ pull})$$

[[Capdevila, Crivellin, Descotes-Genon, Matias, Virto, 1704.05340](#)]

[[Descotes-Genon, Hofer, Matias, Virto, 1510.04239](#)]

[+many more.....]

- Assume that  $B \rightarrow K_{ee}$  is SM-like. Fits well with data.

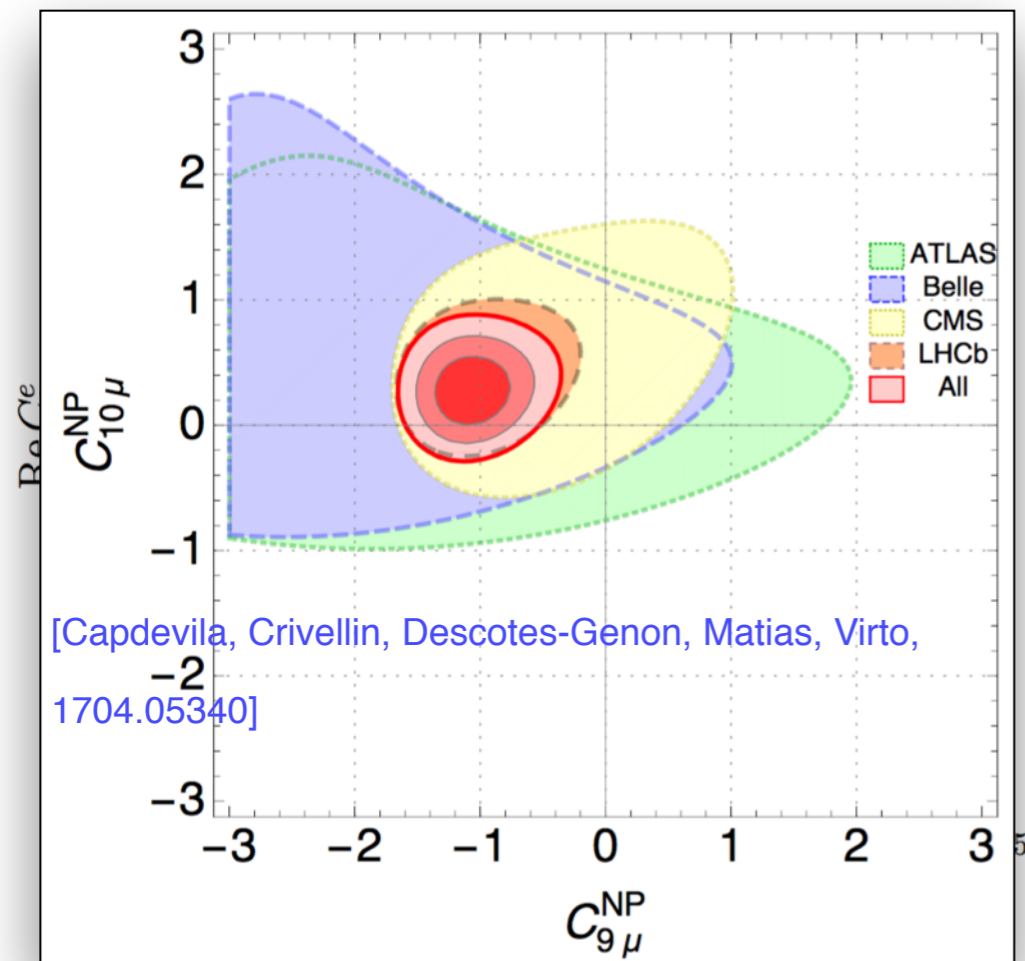
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[[Capdevila, Crivellin, Descotes-Genon, Matias, Virto, 1704.05340](#)]

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[[Descotes-Genon, Hofer, Matias, Virto, 1510.04239](#)]

[+many more.....]

- Assume that  $B \rightarrow K e e$  is SM-like. Fits well with data.



# NP models for $b \rightarrow s\mu\mu$

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- Loop level
  - \*  $Z'$  + vector-like quarks
  - \* leptoquarks
  - \* 2HDM
  
- Tree-level mediators:
  - \* Charged and colored: scalar or vector leptoquarks
  - \* Neutral vectors:  $Z'$
  
- On-shell
  - \* Light vector resonances - lead to  $q^2$  dependent effects

*Talk by Javier on Friday*

# EFT analysis of $R_D^*$

Data can be best described by (a combination of) following operators

$$\mathcal{L} = -\frac{4G_F V_{cb}}{\sqrt{2}} \left[ (1 + g_V)(\bar{\tau}_L \gamma^\mu \nu_L)(\bar{c}_L \gamma_\mu b_L) + g_S(\bar{\tau}_R \nu_L)(\bar{c}_R b_L) + g_T(\bar{\tau}_R \sigma^{\mu\nu} \nu_L)(\bar{c}_R \sigma_{\mu\nu} b_L) \right]$$

[Freytsis, Ligeti, Ruderman, 1506.08896]  
[Becirevic, NK, Tayduganov, 1206.4977]

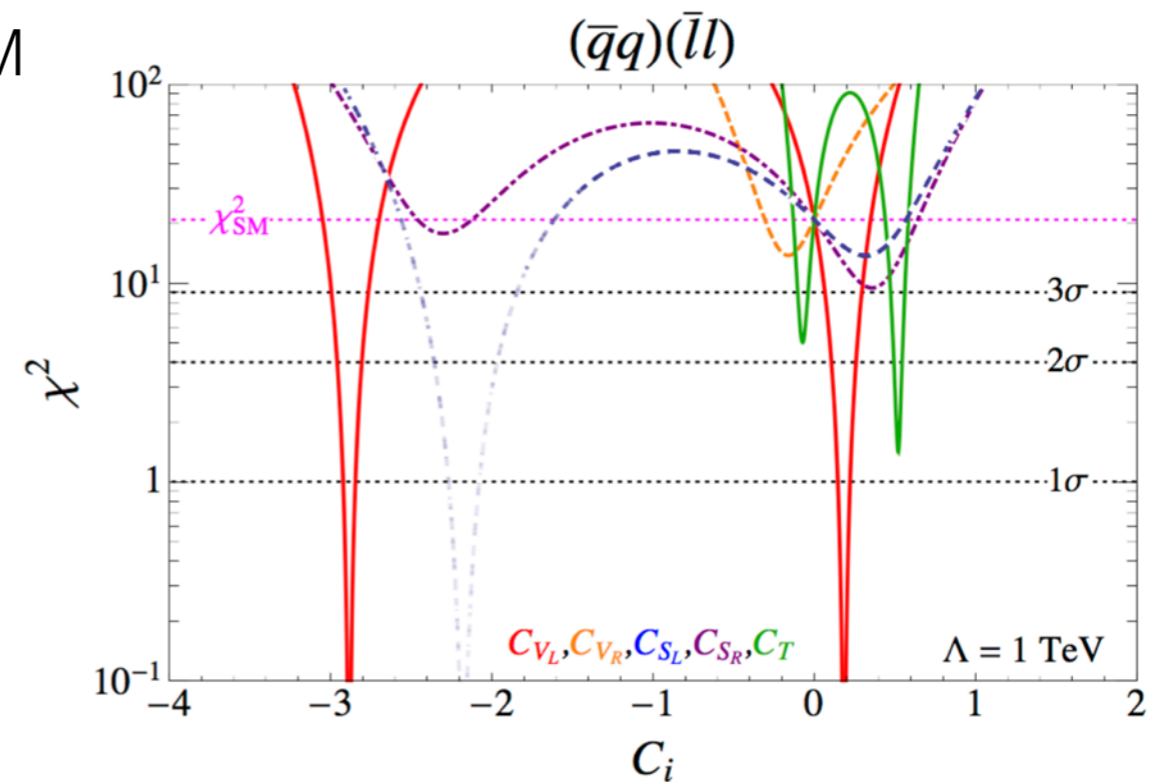
	Operator
$\mathcal{O}_{V_L}$	$(\bar{c}\gamma_\mu P_L b)(\bar{\tau}\gamma^\mu P_L \nu)$
$\mathcal{O}_{V_R}$	$(\bar{c}\gamma_\mu P_R b)(\bar{\tau}\gamma^\mu P_L \nu)$
$\mathcal{O}_{S_R}$	$(\bar{c}P_R b)(\bar{\tau}P_L \nu)$
$\mathcal{O}_{S_L}$	$(\bar{c}P_L b)(\bar{\tau}P_L \nu)$
$\mathcal{O}_T$	$(\bar{c}\sigma^{\mu\nu} P_L b)(\bar{\tau}\sigma_{\mu\nu} P_L \nu)$
$\mathcal{O}'_{V_L}$	$(\bar{\tau}\gamma_\mu P_L b)(\bar{c}\gamma^\mu P_L \nu)$
$\mathcal{O}'_{V_R}$	$(\bar{\tau}\gamma_\mu P_R b)(\bar{c}\gamma^\mu P_L \nu)$
$\mathcal{O}'_{S_R}$	$(\bar{\tau}P_R b)(\bar{c}P_L \nu)$
$\mathcal{O}'_{S_L}$	$(\bar{\tau}P_L b)(\bar{c}P_L \nu)$
$\mathcal{O}'_T$	$(\bar{\tau}\sigma^{\mu\nu} P_L b)(\bar{c}\sigma_{\mu\nu} P_L \nu)$
$\mathcal{O}''_{V_L}$	$(\bar{\tau}\gamma_\mu P_L c^c)(\bar{b}^c \gamma^\mu P_L \nu)$
$\mathcal{O}''_{V_R}$	$(\bar{\tau}\gamma_\mu P_R c^c)(\bar{b}^c \gamma^\mu P_L \nu)$
$\mathcal{O}''_{S_R}$	$(\bar{\tau}P_R c^c)(\bar{b}^c P_L \nu)$
$\mathcal{O}''_{S_L}$	$(\bar{\tau}P_L c^c)(\bar{b}^c P_L \nu)$
$\mathcal{O}''_T$	$(\bar{\tau}\sigma^{\mu\nu} P_L c^c)(\bar{b}^c \sigma_{\mu\nu} P_L \nu)$

Only operators interfering with the SM

$$\mathcal{H} = \sum \frac{C_i}{\Lambda^2} \mathcal{O}_i$$

$$C_{V_L} = 0.18 \pm 0.04$$

[Freytsis, Ligeti, Ruderman, 1506.08896]



# Models for $b \rightarrow c \tau \nu$

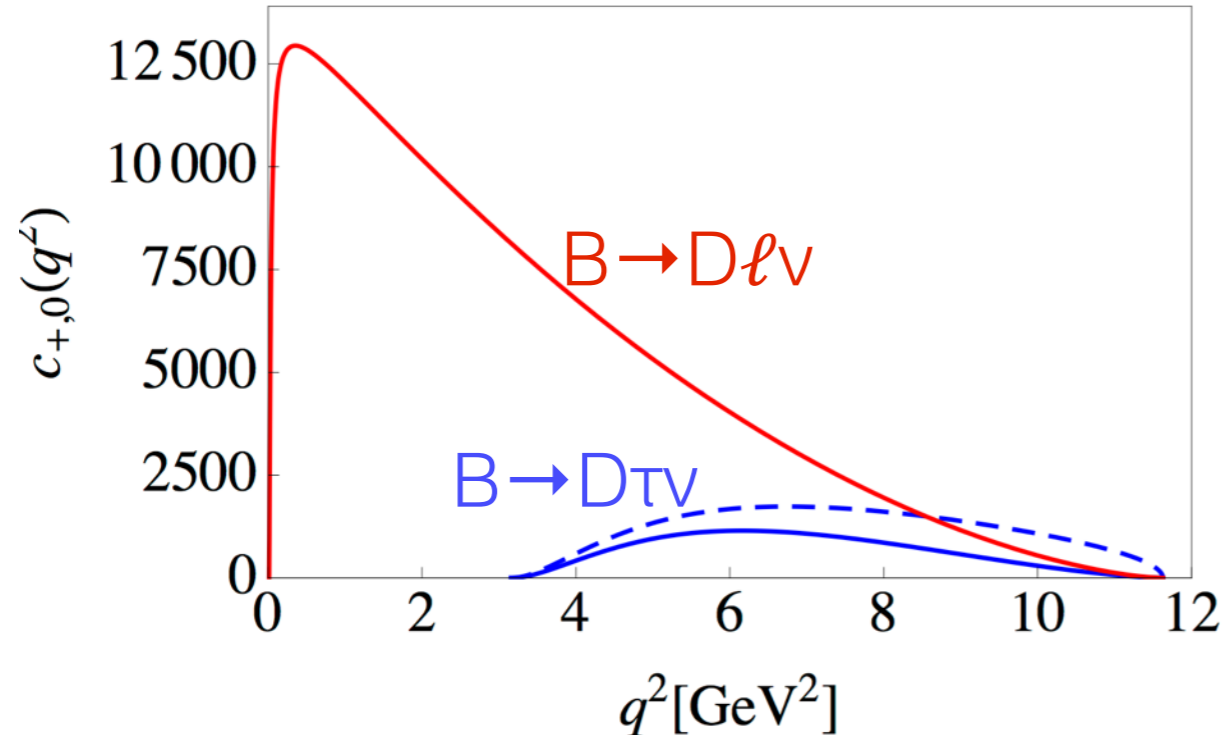
## Only tree-level NP can compete with tree-level SM!

### Charged scalars: extra Higgs(es)

- Non-minimal flavour structure (e.g. type III)
- Scalar form factor  $F_0$  enhanced in  $B \rightarrow D \tau \nu$ , absent in  $B \rightarrow D \ell \nu$

### Coloured bosons - LQs

- Fierzed basis of operators:  
→ scalar/vector/tensor



[Sakaki, Tanaka, Tayduganov, Watanabe, 1309.0301]

[Bauer, Neubert, 1511.01900]

[Li, Yang, Zhang, 1605.09308]

...

[Crivellin, Greub, Kokulu, 1206.2634]

[Ko, Omura, Yu, 1212.4607]

[Celis, Jung, Li, Pich, 1210.8443]

[Crivellin, Heeck, Stoffer, 1507.07567]

...

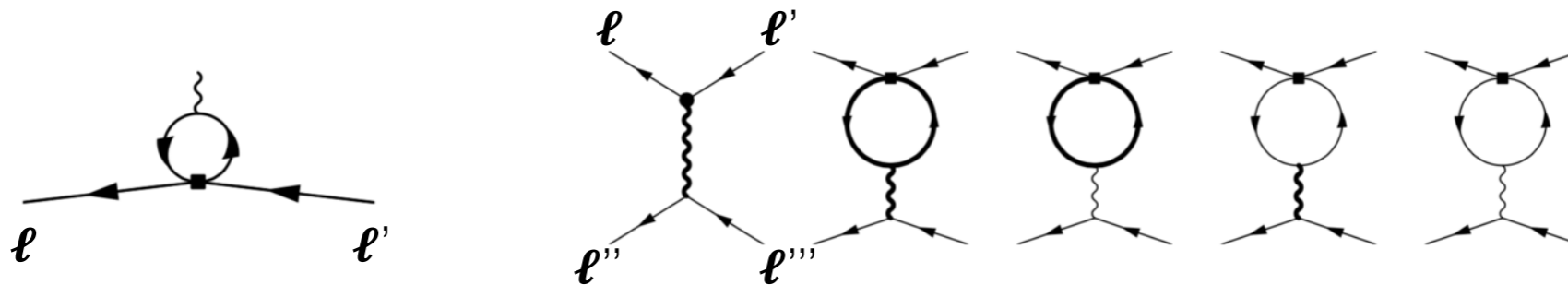
# $b \rightarrow s \ell \ell$ and $b \rightarrow c \ell \nu$

Effective theory - mixing effects

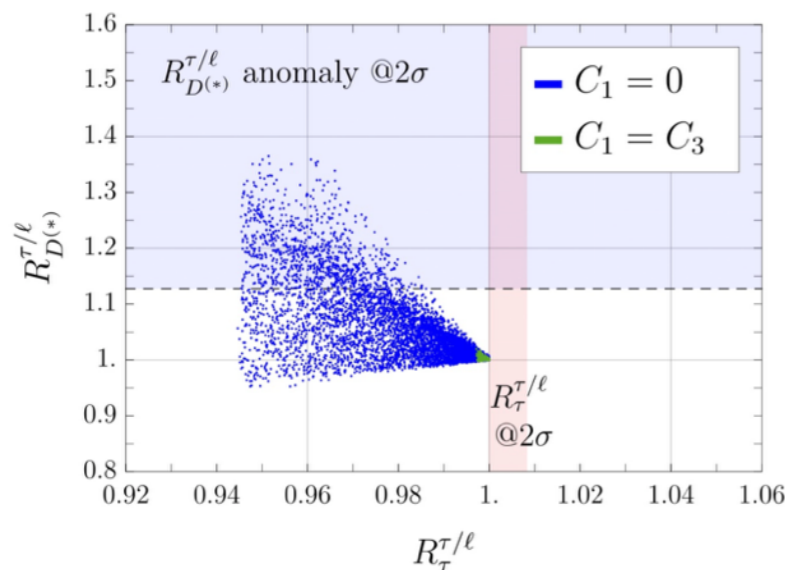
RG running of “beneficial operators” to the b-energy scale generates dangerous couplings

*Feruglio, Paradisi, Pattori, 1606.00524, 1705.00929*

$$\mathcal{L}_{NP}^0(\Lambda) = \frac{1}{\Lambda^2} (C_1 \bar{q}'_{3L} \gamma^\mu q'_{3L} \bar{\ell}'_{3L} \gamma_\mu \ell'_{3L} + C_3 \bar{q}'_{3L} \gamma^\mu \tau^a q'_{3L} \bar{\ell}'_{3L} \gamma_\mu \tau^a \ell'_{3L})$$



Effects in  $(\bar{\ell}_1 \gamma_\mu \ell_2)(\bar{\ell}_3 \gamma^\mu \ell_4)$ ,  $V \bar{f}_1 \gamma^\mu f_2$  are experimentally well constrained, e.g.,



$$R_\tau^{\tau/e} = \frac{\mathcal{B}(\tau \rightarrow \mu \nu \bar{\nu})_{\text{exp}} / \mathcal{B}(\tau \rightarrow \mu \nu \bar{\nu})_{\text{SM}}}{\mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{exp}} / \mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{SM}}}$$

$$R_\tau^{\tau/\mu} = 1.0022 \pm 0.0030$$

$$R_\tau^{\tau/e} = 1.0060 \pm 0.0030$$

Challenges EFT explanations of  $R_K$  and  $R_D$ , not directly applicable to top-down approaches that contribute to many Wilson coefficient at matching scale.

# Leptoquarks

---

LQ = color triplet bosons

Their origin can be traced to gauge bosons or Higgs sector of Grand Unified Theories\*. Consider SU(5) GUT.

$$\text{gauge bosons (24): } (8,1,0) \oplus (1,3,0) \oplus (1,1,0) \\ \oplus (3,2,-5/6) \oplus (3,2,1/6)$$

$$\text{fermions: } 5_i = (3,1,-1/3)_i \oplus (1,2,1/2)_i \quad i=1,2,3 \\ 10_i = (3,2,1/6)_i \oplus (3^*,1,-2/3)_i \oplus (1,1,1)_i$$

$$\text{scalar sector: } 5, 10, 15, 24, 45$$

e.g. Georgi-Jarlskog mechanism uses 5- and 45-dim. scalar reps. to reproduce the fermion mass ratios

$$5 = (1,2,1/2) \oplus (3,1,-1/3) \quad \text{“doublet-triplet”}$$

$$10 = (3,2,1/6) \oplus \dots$$

$$15 = (1,3,1) \oplus (3,2,1/6) \oplus (6,1,-2/3)$$

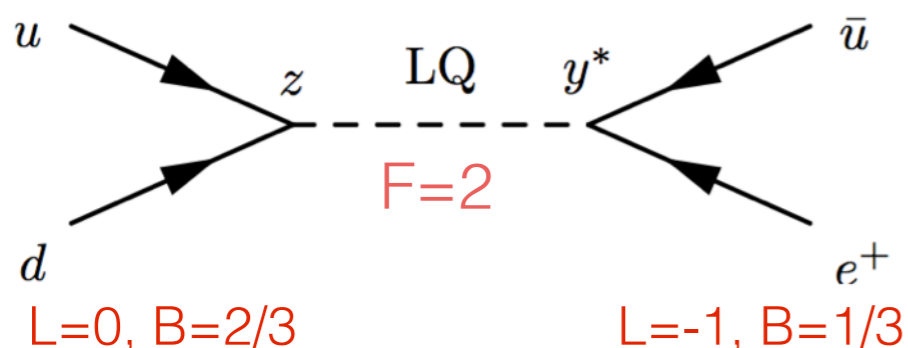
$$24 = (8,1,0) \oplus (1,3,0) \oplus (3,2,-5/6) \oplus (\bar{3},2,5/6) \oplus (1,1,0)$$

$$45 = (8,2,1/2) \oplus (6^*,1,-1/3) \oplus (3,3,-1/3) \oplus (3^*,2,-7/6) \\ \oplus (3,1,-1/3) \oplus (3^*,1,4/3)$$

\*Composite LQ scenarios also possible

# Light LQs and proton stability

- Couplings with SM fermions
  - lepton and quark
  - diquark (F=2)

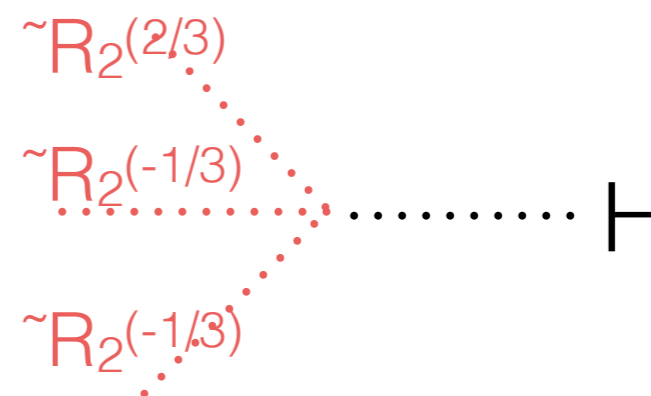


- $F=0$  or  $F=2$  determined by quantum numbers

- $F = 2$  LQs destabilize proton (baryon number violating)
- $F = 0$  LQs have no diquark couplings and are potentially safe w.r.t. proton decay

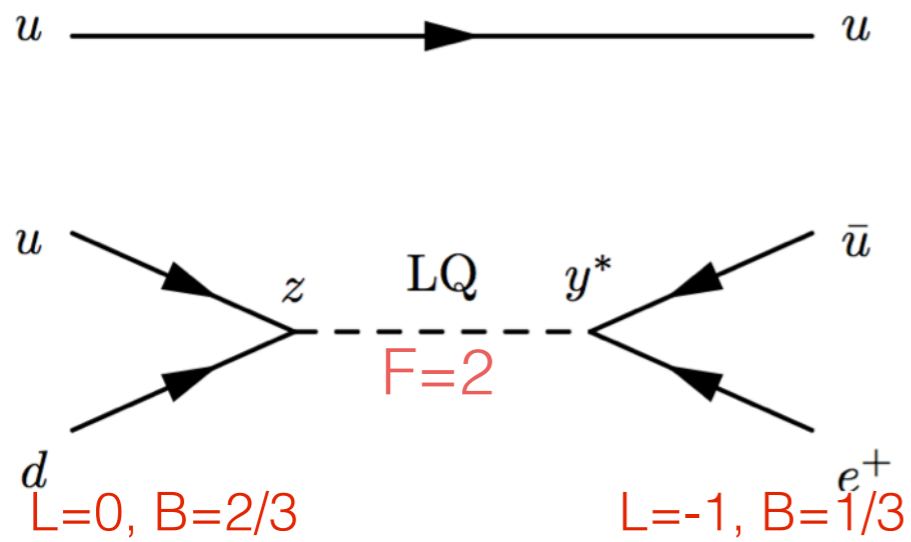
- Possible baryon num. violation in the scalar potential

spin	SU(3)xSU(2)xU(1)	F=3B+L	B	L
vectors (gauge bosons)	$V_2(\bar{3}, 2, 5/6)$	2	/	/
	$\sim V_2(\bar{3}, 2, -1/6)$	2	/	/
	$U_3(3, 3, 2/3)$	0	<b>1/3</b>	<b>-1</b>
	$U_1(3, 1, 2/3)$	0	<b>1/3</b>	<b>-1</b>
scalars	$S_1(3, 1, -1/3)$	2	/	/
	$S_3(3, 3, -1/3)$	2	/	/
	$R_2(3, 2, 7/6)$	<b>0</b>	<b>1/3</b>	<b>-1</b>
	$\sim R_2(3, 2, 1/6)$	0	/	/
	$\sim S_1(\bar{3}, 1, 4/3)$	2	/	/



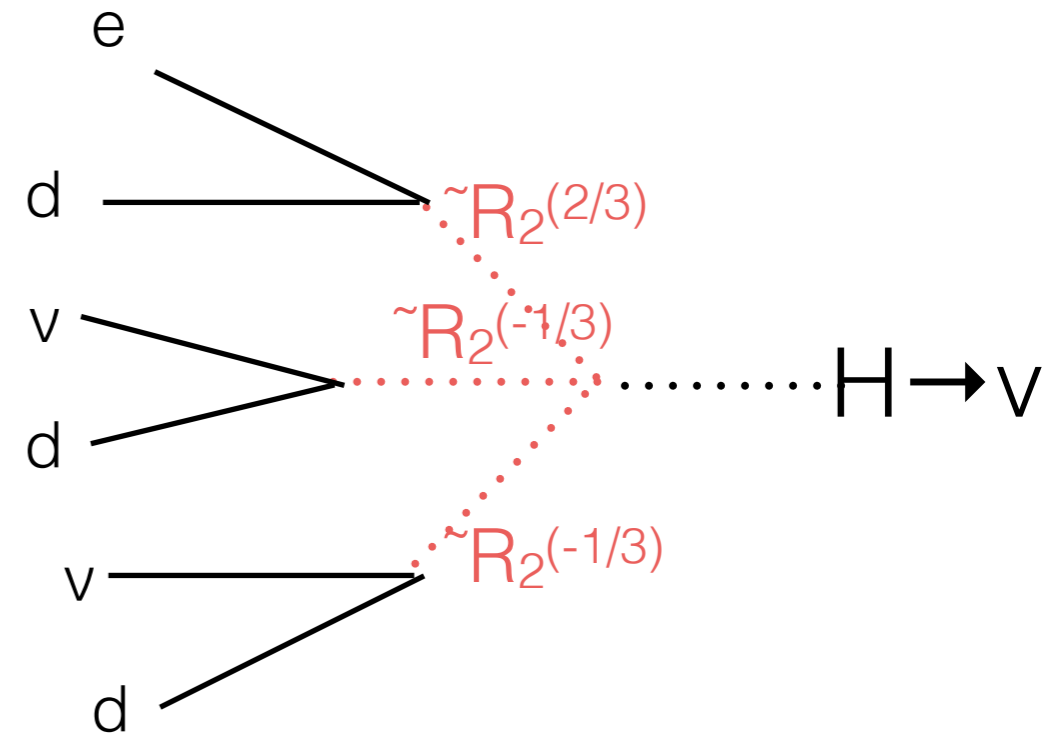
$$p \rightarrow M^+ M^+ \ell \nu$$

# Light LQs and proton stability



$$p \rightarrow M^0 \ell^+, M^+ \nu$$

$$\tau > 8.2 \times 10^{33} \text{ yr } (\pi e^+) \quad [\text{SK}]$$



$$p \rightarrow M^+ M^+ \ell \nu$$

$$\tau > 2 \times 10^{29} \text{ yr (invisible modes)} \quad [\text{SNO}]$$

# Light LQs and $b \rightarrow s\mu\mu$

spin	SU(3)xSU(2)xU(1)	F	B	L	
vectors (gauge bosons)	$V_2(\bar{3}, 2, 5/6)$	2	/	/	
	$\tilde{V}_2(\bar{3}, 2, -1/6)$	2	/	/	
	$U_3(3, 3, 2/3)$	<b>0</b>	<b>1/3</b>	<b>-1</b>	$C_9 = -C_{10}$
	$U_1(3, 1, 2/3)$	<b>0</b>	<b>1/3</b>	<b>-1</b>	$C_9 = -C_{10}, C_9' = C_{10}', C_S(^{\cdot}), C_P(^{\cdot})$
scalars	$S_1(3, 1, -1/3)$	<b>2</b>	/	/	$C_9 = \pm C_{10}$ (1-loop) [1]
	$S_3(3, 3, -1/3)$	<b>2</b>	/	/	$C_9 = -C_{10}$
	$R_2(3, 2, 7/6)$	<b>0</b>	<b>1/3</b>	<b>-1</b>	$C_9 = +C_{10}$ (at 1-loop $C_9 = -C_{10}$ ) [2]
	$\tilde{R}_2(3, 2, 1/6)$	<b>0</b>	/	/	$C_9' = -C_{10}'$
	$\tilde{S}_1(\bar{3}, 1, 4/3)$	2	/	/	$C_9' = +C_{10}'$

[1] Bauer, Neubert, 1511.01900

Becirevic, NK, Sumensari, Zukanovich, 1608.07583

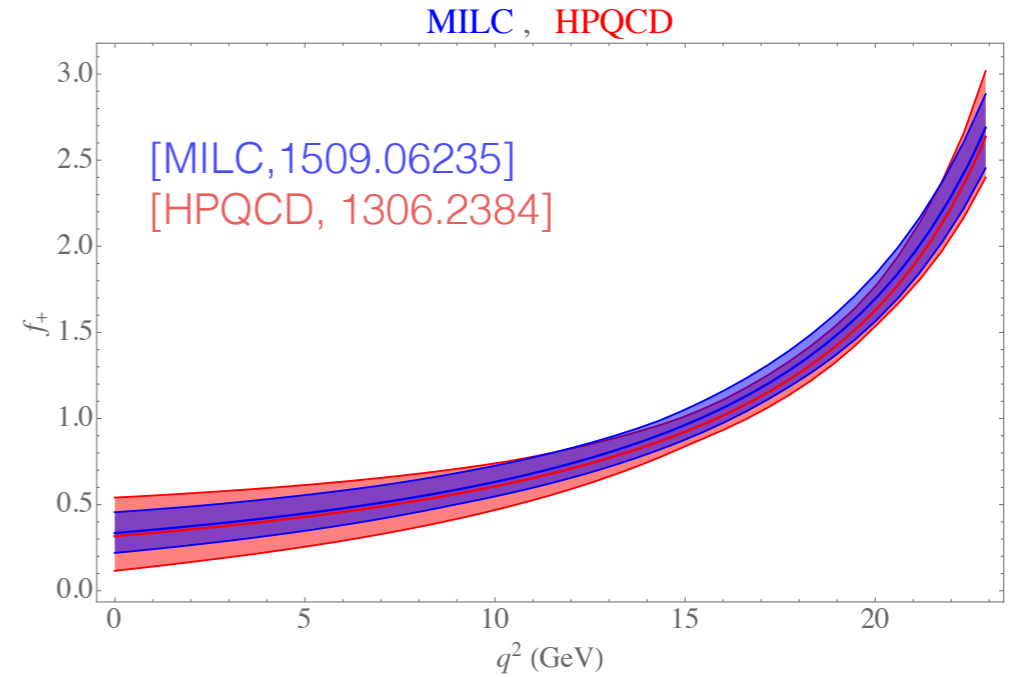
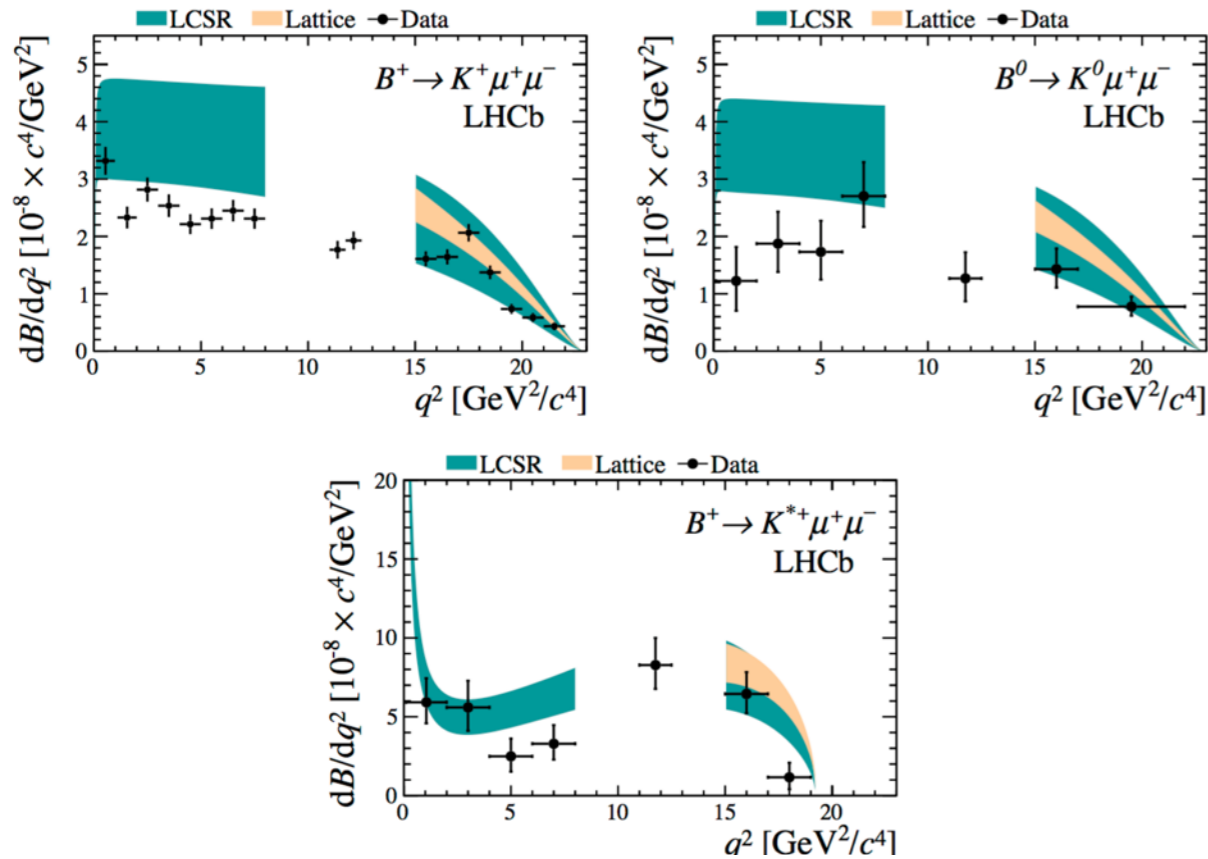
[2] Becirevic, Sumensari, 1704.05835

Vectors: 1) if gauge bosons must be at the GUT scale  
2) if non-gauge vectors  $\rightarrow$  UV incomplete theory



# $\sim R_2$ to explain $R_K$

Not addressing the global fit, only LFU!



$$\left. \begin{aligned} \frac{d^2\Gamma_\ell(q^2, \cos\theta)}{dq^2 d\cos\theta} &= a_\ell(q^2) + b_\ell(q^2)\cos\theta + c_\ell(q^2)\cos^2\theta \\ \frac{1}{\Gamma^\ell} \frac{d\Gamma^\ell}{d\cos\theta_\ell} &= \frac{3}{4}(1-F_H^\ell)(1-\cos^2\theta_\ell) + \frac{F_H^\ell}{2} + A_{\text{FB}}^\ell \cos\theta_\ell \end{aligned} \right\} B \rightarrow K \mu^+ \mu^-$$

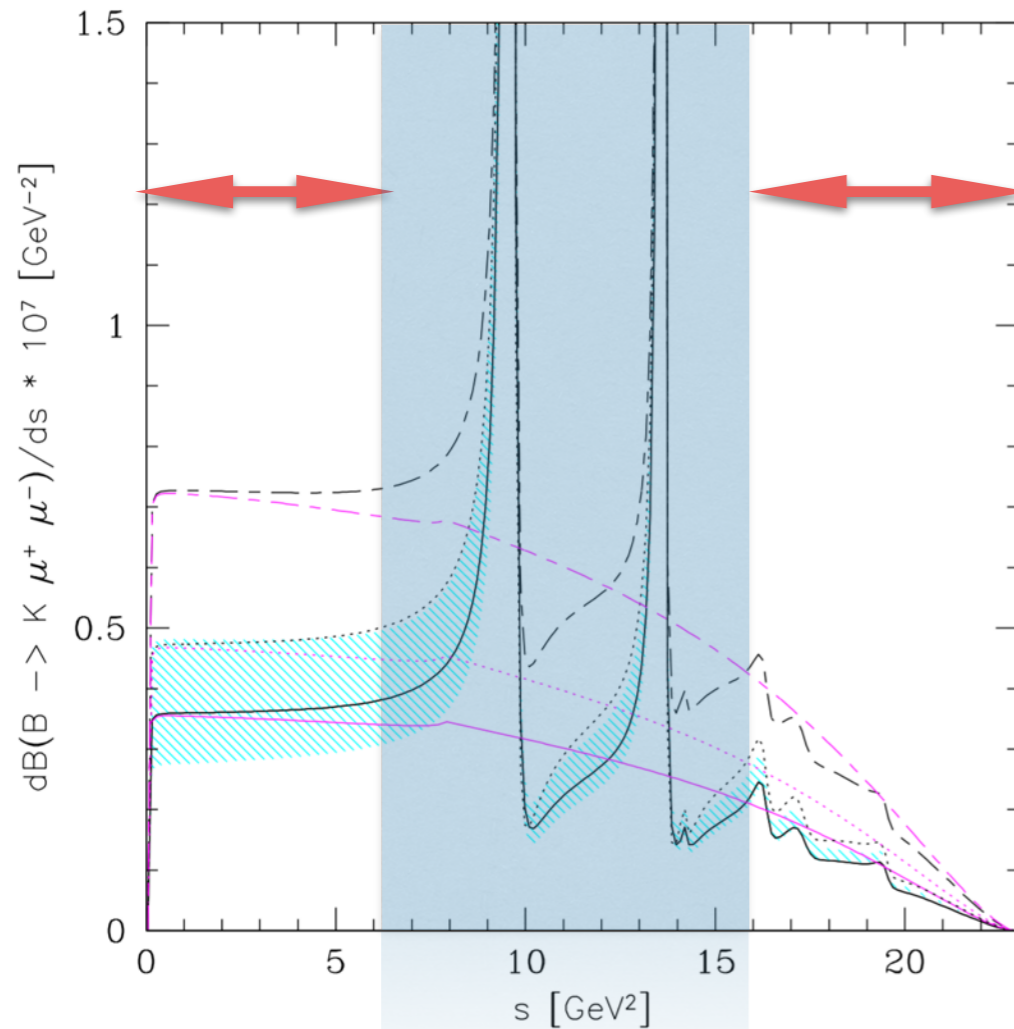
$$\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-) |_{q^2 \in [15, 22] \text{ GeV}^2} = (8.5 \pm 0.3 \pm 0.4) \times 10^{-8}$$

[LHCb, 1403.8044]

$$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)^{\text{exp}} = (2.8_{-0.6}^{+0.7}) \times 10^{-9}$$

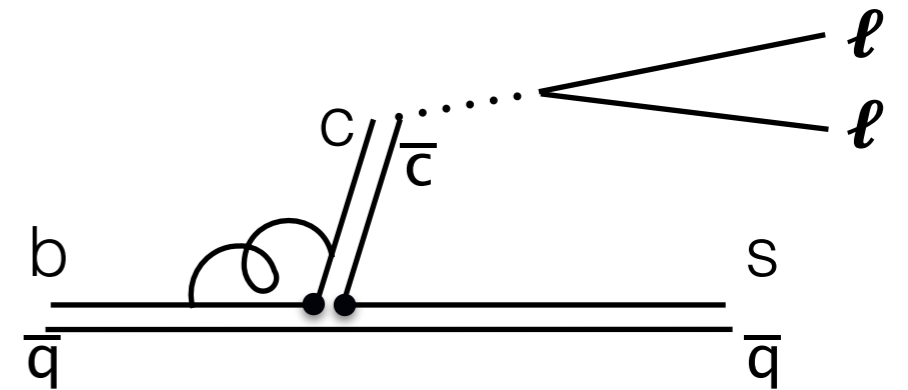
[LHCb+CMS, 1411.4413]

# $B \rightarrow K \mu\mu$ at low recoil

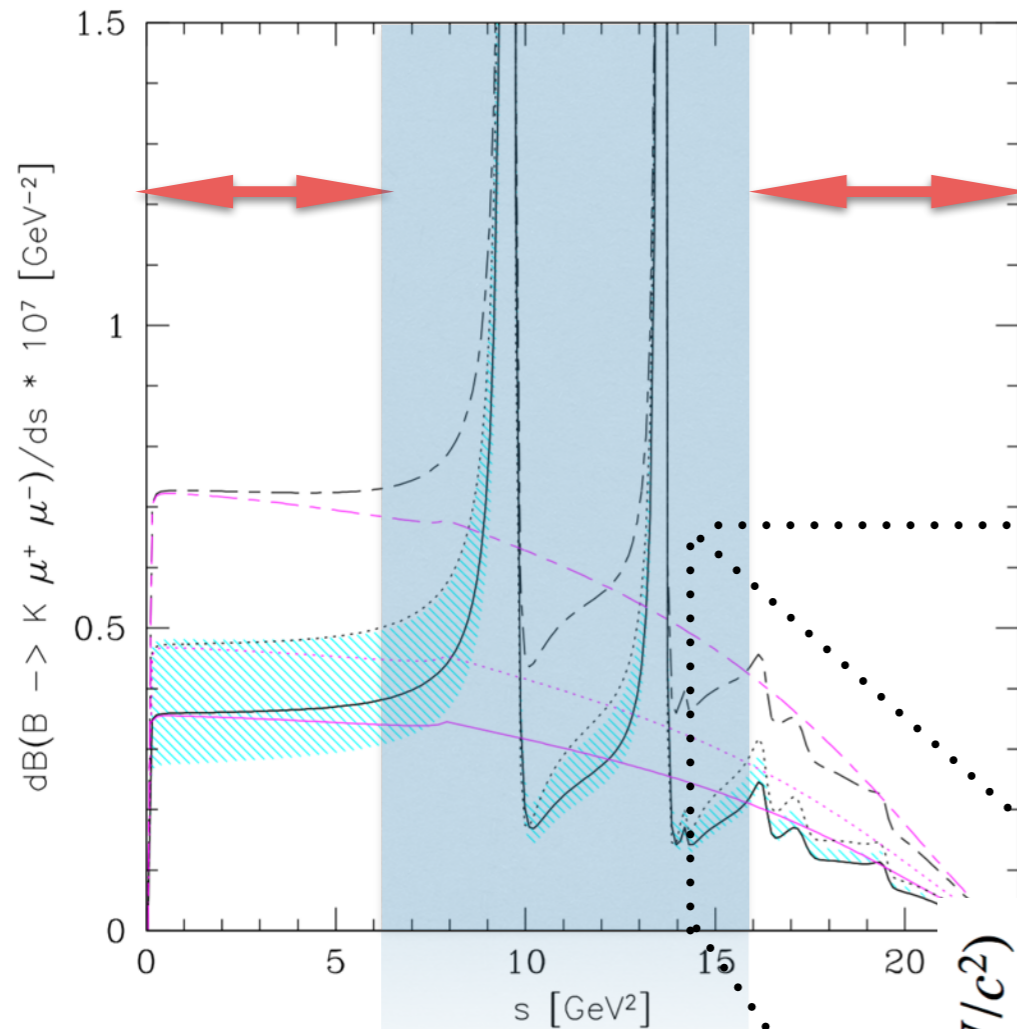


[Ali et al, hep-ph/9910221]

Factorizable and non-factorizable contributions of charmonium resonances

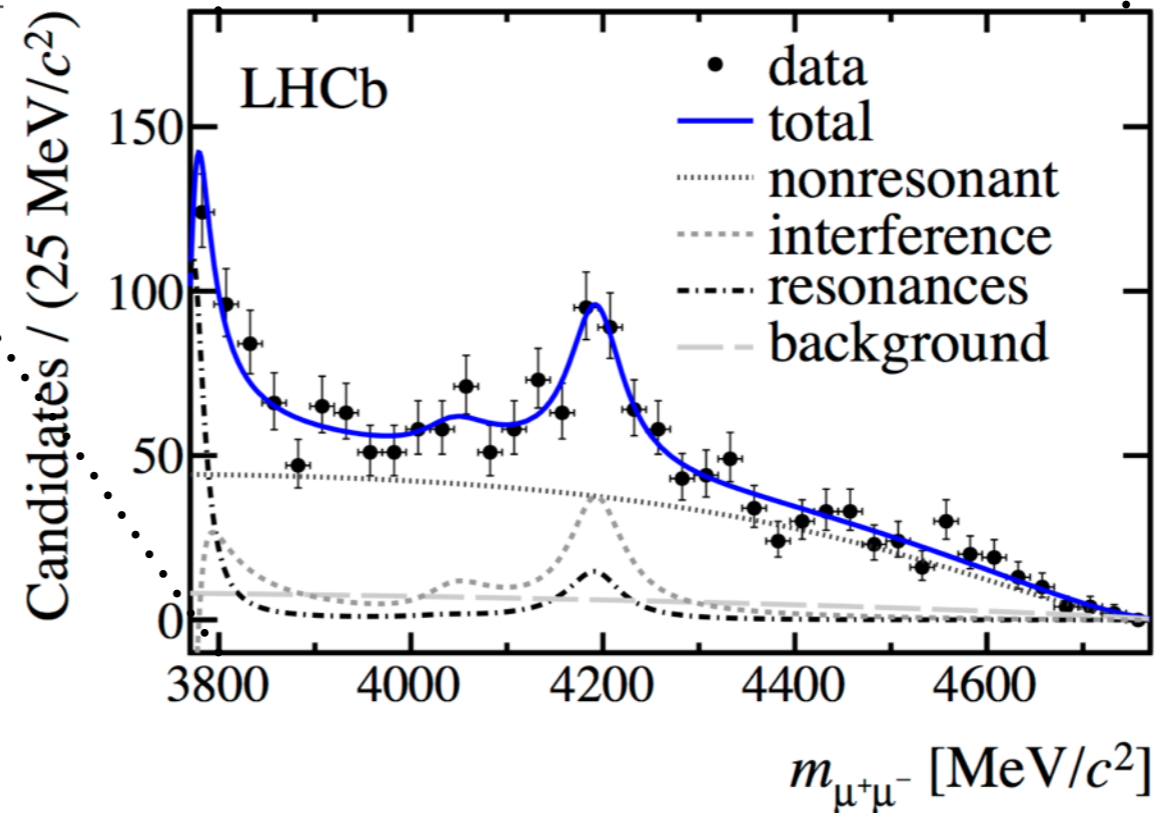
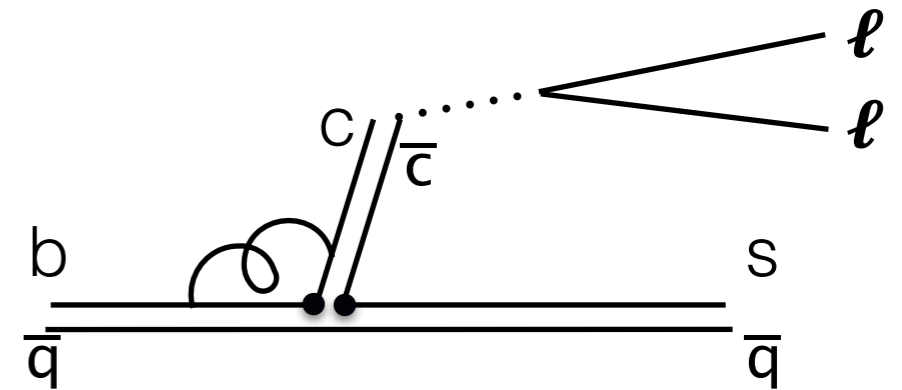


# B $\rightarrow$ K $\mu\mu$ at low recoil

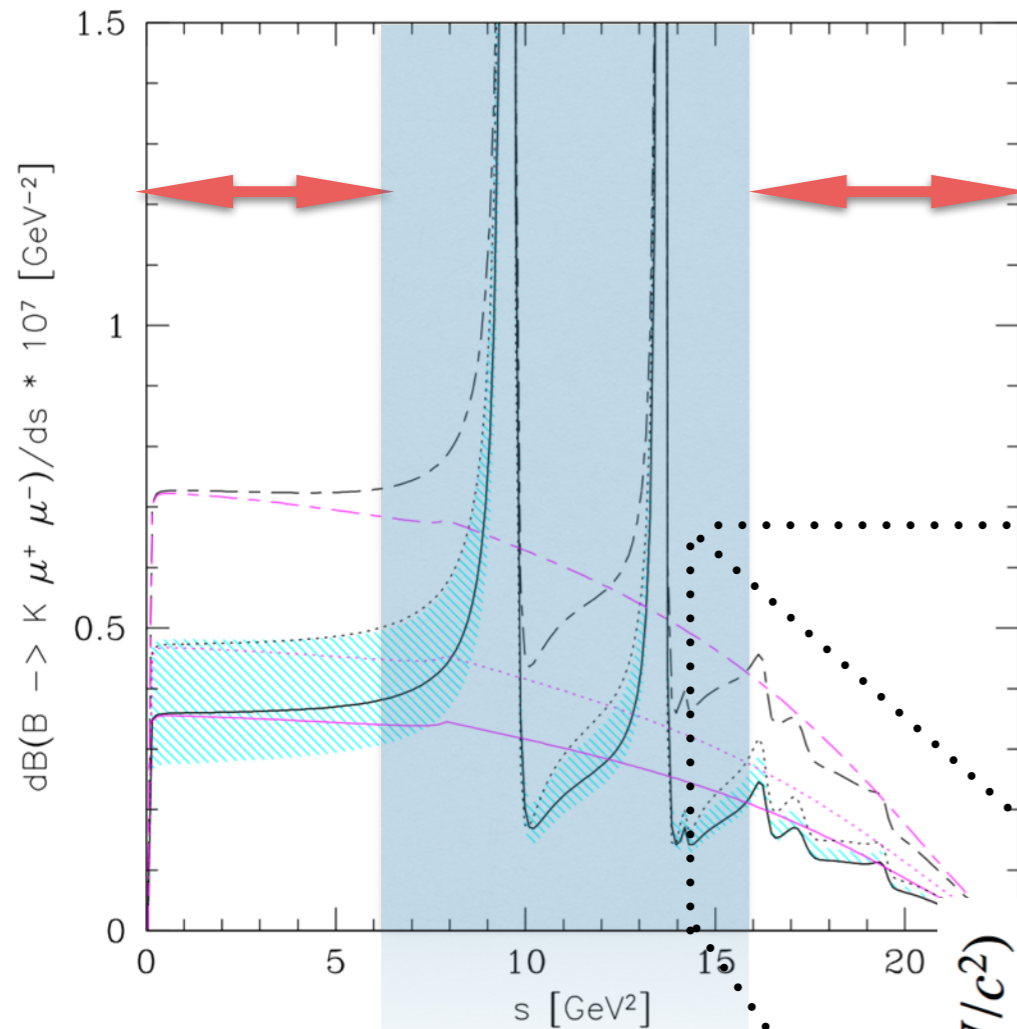


[Ali et al, hep-ph/9910221]

Factorizable and non-factorizable contributions of charmonium resonances

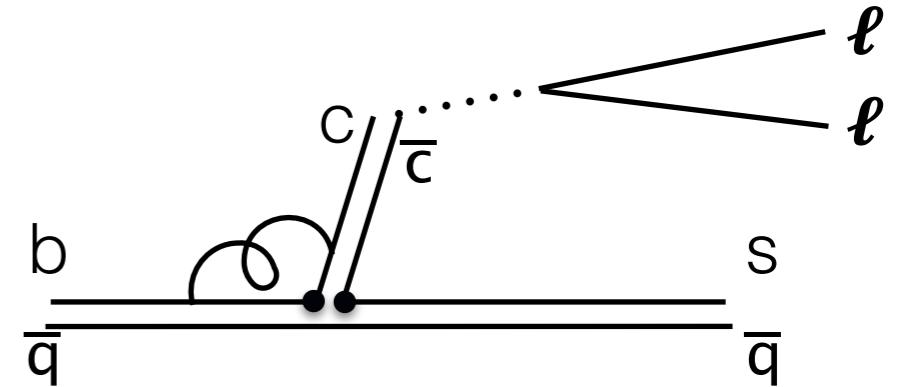


# B → K μμ at low recoil



[Ali et al, hep-ph/9910221]

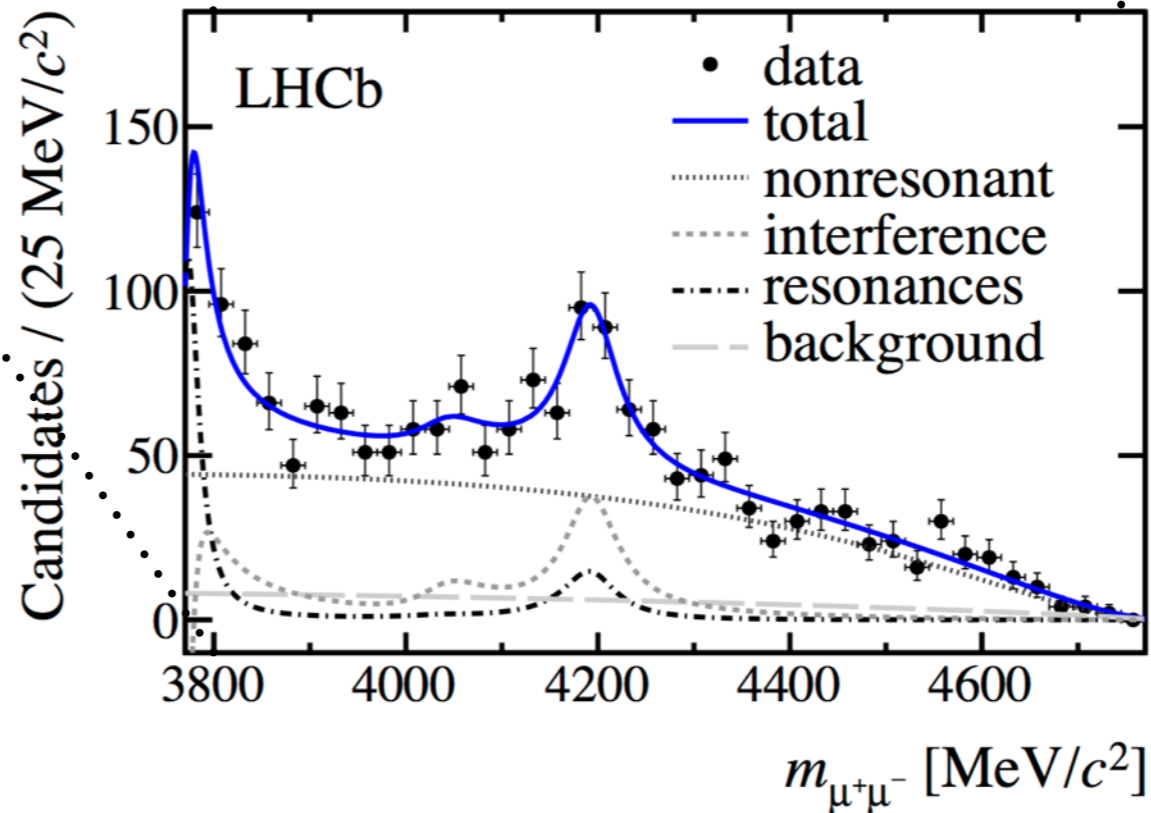
Factorizable and non-factorizable contributions of charmonium resonances



Quark-hadron duality expected to work reasonably in large enough bins (~3 % accuracy)

See e.g. [Brass, Hiller, Nisandzic '16]

[Beylich, Buchalla, Feldmann]



$\sim R_2$ , also known as  $\Delta^{(1/6)}(3, 2, 1/6)$

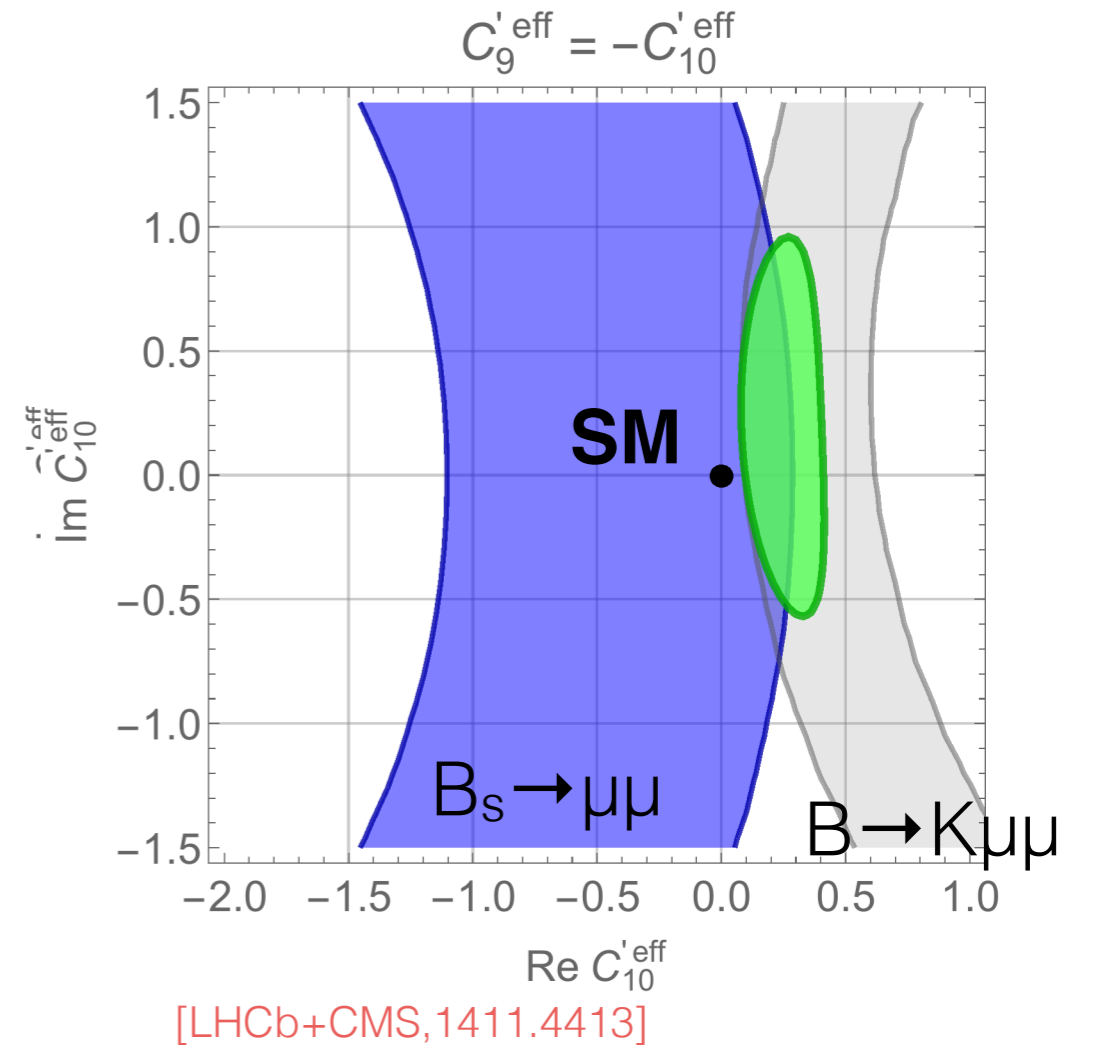
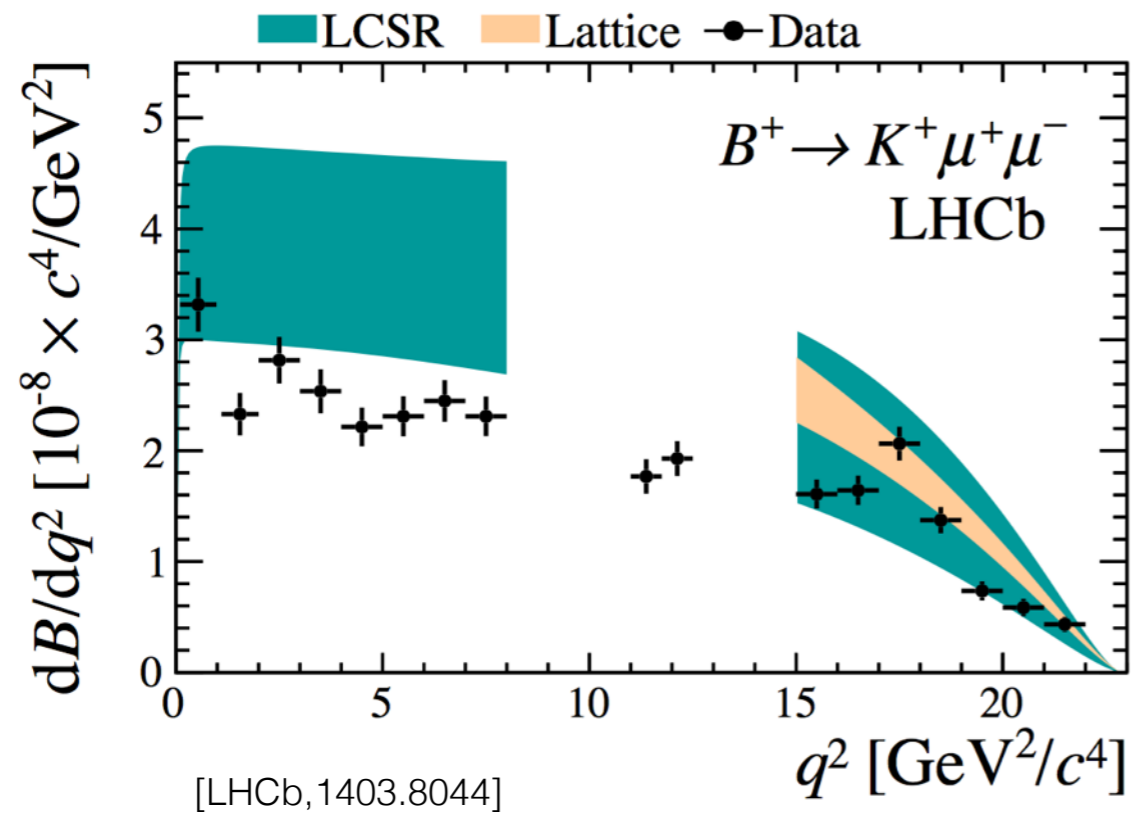
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$$\begin{aligned}\mathcal{L}_{\Delta^{(1/6)}} &= (g_L)_{ij} \bar{d}_{Ri} \tilde{\Delta}^{(1/6)\dagger} L_j \\ &= (g_L)_{ij} \bar{d}_i P_L \nu_j \Delta^{(-1/3)} - (g_L)_{ij} \bar{d}_i P_L \ell_j \Delta^{(2/3)}\end{aligned}\quad V_{\text{PMNS}} = 1$$

$$\left(C_9^{\ell_1 \ell_2}\right)' = -\left(C_{10}^{\ell_1 \ell_2}\right)' = -\frac{\pi v^2}{2V_{tb}V_{ts}^* \alpha_{\text{em}}} \frac{(g_L)_{s\ell_1} (g_L)_{b\ell_2}^*}{m_\Delta^2},$$

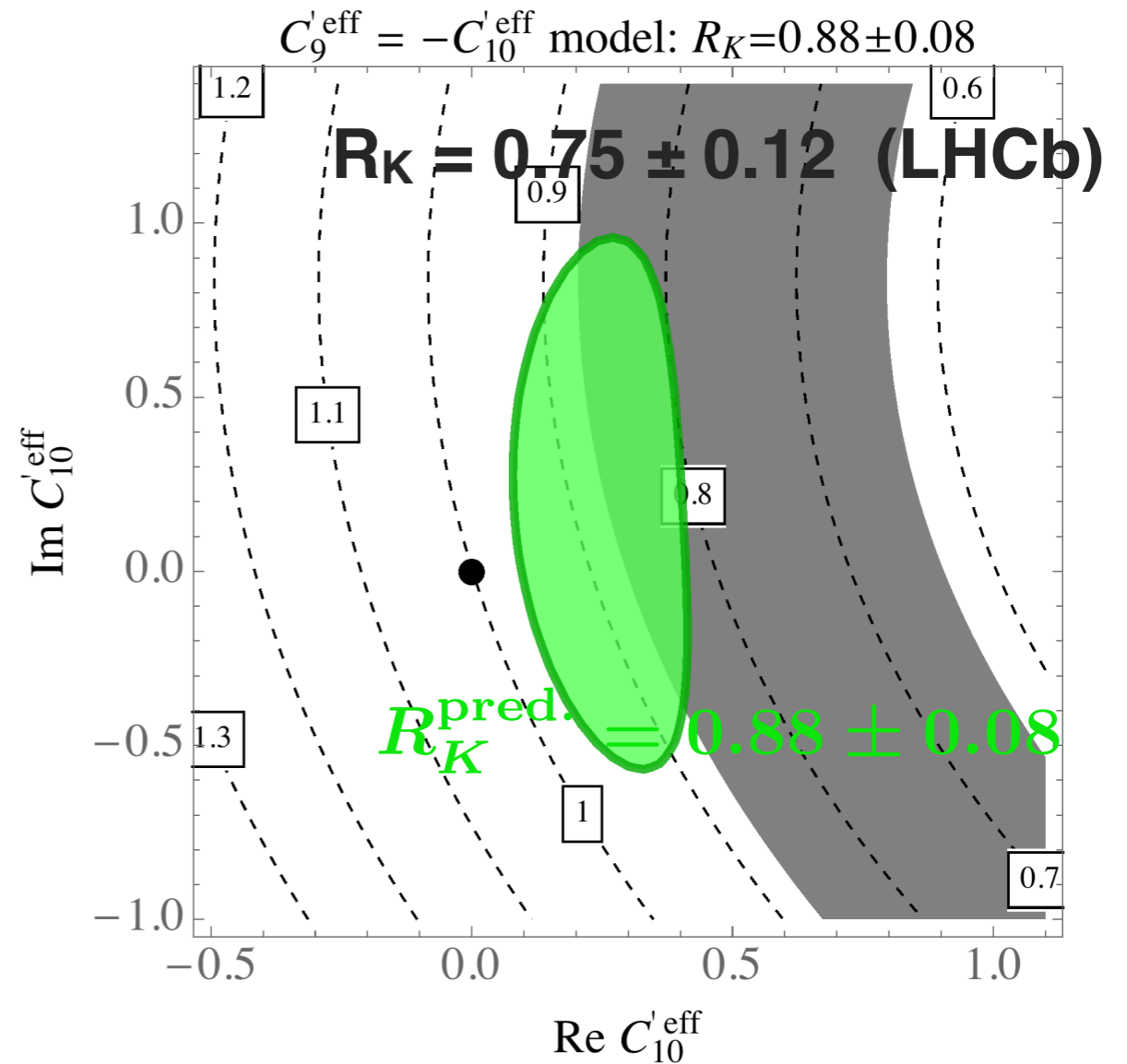
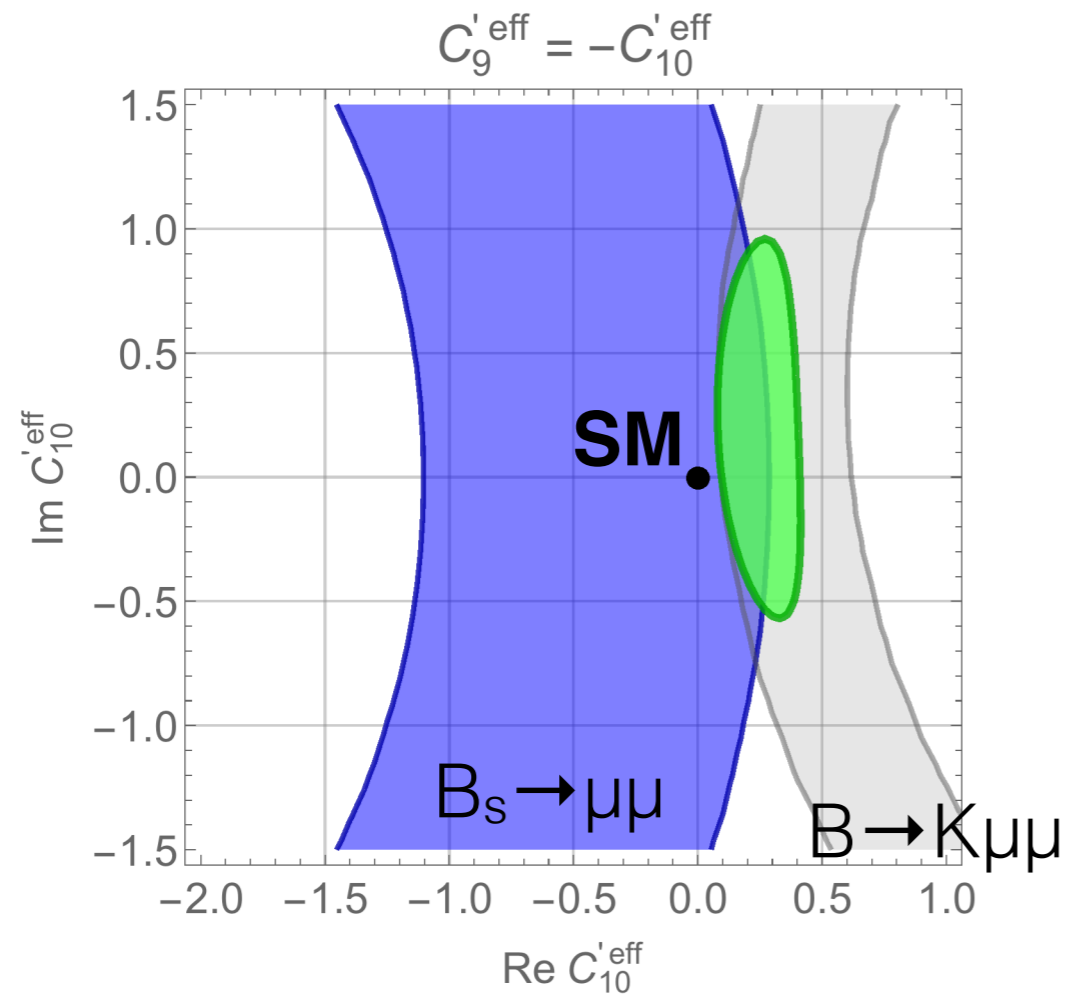
$$g_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & (g_L)_{s\mu} & (g_L)_{s\tau} \\ 0 & (g_L)_{b\mu} & (g_L)_{b\tau} \end{pmatrix}$$

# LQ features - $\Delta^{(1/6)}(3, 2, 1/6)$



Fit:  $(C_9^{\mu\mu})' \in (-0.48, -0.08)$

# $\Delta^{(1/6)}(3, 2, 1/6)$ , verdict on LFU



Further signatures:

$$R_{K^*} = 1.11(8)$$

$$R_{\text{fb}} = \frac{A_{\text{fb}[4,6]}^\mu}{A_{\text{fb}[4,6]}^e} = 0.84(12)$$

[Becirevic, Fajfer, NK, '15]

# SU(5) GUT with light scalar LQs

$$S_3(3,3,-1/3)$$

$$F=2$$

$$\tilde{R}_2(3,2,1/6)$$

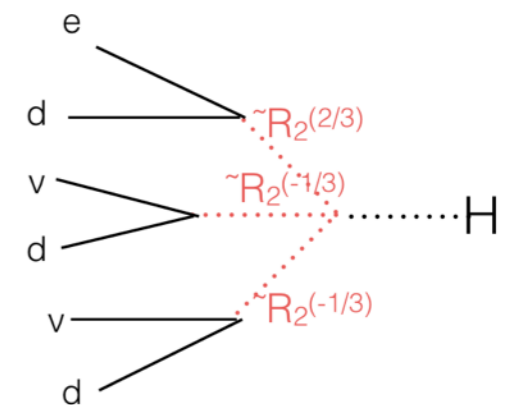
$$F=0$$

$$\mathcal{L} = y_{ij} \bar{Q}_i^C i\tau_2 \boldsymbol{\tau} \cdot \mathbf{S}_3 L_j + z_{ij} \bar{Q}_i^C i\tau_2 (\boldsymbol{\tau} \cdot \mathbf{S}_3)^\dagger Q_j$$

$$\tilde{\mathcal{L}} = -\tilde{y}_{ij} \bar{d}_{Ri} \tilde{R}_2 i\tau_2 L_j$$

Put both masses close to the 1 TeV scale.

In such bottom-up approach proton would decay if  $z_{ij} y_{kl}$  is not very close to 0. Also  $\tilde{R}_2$  can destabilize the proton via  $\tilde{R}_2 \tilde{R}_2 \tilde{R}_2 H$



**Starting from GUT with special scalar representations:**

$$5 = (1,2,1/2) \oplus (3,1,-1/3) \quad \text{“doublet-triplet”}$$

$$15 = (1,3,1) \oplus (3,2,1/6) \oplus (6,1,-2/3)$$

$$24 = (8,1,0) \oplus (1,3,0) \oplus (3,2,-5/6) \oplus (\bar{3},2,5/6) \oplus (1,1,0)$$

$$45 = (8,2,1/2) \oplus (6^*,1,-1/3) \oplus (3,3,-1/3) \oplus (3^*,2,-7/6) \oplus (3,1,-1/3) \oplus (3^*,1,4/3)$$



# SU(5) GUT with light scalar LQs

- Require  $S_3$  and  $\tilde{R}_2$  to be light, and impose unification of couplings at 1-loop

$$\frac{B_{23}}{B_{12}} = \frac{5 \sin^2 \theta_W - \alpha/\alpha_S}{8 \cdot 3/8 - \sin^2 \theta_W} = 0.721 \pm 0.004$$

$$\left. \frac{B_{23}}{B_{12}} \right|_{SM} = 0.53$$

$$B_{ij} = \sum_J (b_i^J - b_j^J) r_J$$

$$r_J = \frac{\log(m_{GUT}/m_J)}{\log(m_{GUT}/m_Z)}$$

- Both fields have positive  $\mathbf{b}^{J_2} - \mathbf{b}^{J_3}$  and negative  $\mathbf{b}^{J_1} - \mathbf{b}^{J_2}$ , they tend to aid unification
- Furthermore, the GUT scale is raised

$$\ln \frac{m_{GUT}}{m_Z} = \frac{16\pi}{5\alpha} \frac{3/8 - \sin^2 \theta_W}{B_{12}} = \frac{184.8 \pm 0.1}{B_{12}}$$

- Yukawas of  $S_3$  do not contain diquark couplings, due to GUT symmetry. Baryon number is conserved

$$y_{ij}^{45} \mathbf{10}_i \bar{\mathbf{5}}_j \mathbf{45}$$

$$\downarrow$$

$$\mathcal{L} = y_{ij} \bar{Q}_i^C i\tau_2 \boldsymbol{\tau} \cdot \mathbf{S}_3 L_j + z_{ij} \bar{Q}_i^C i\tau_2 (\boldsymbol{\tau} \cdot \mathbf{S}_3)^\dagger Q_j$$

$$y_{ij}^{15} \bar{\mathbf{5}}_i \bar{\mathbf{5}}_j \mathbf{15}$$

$$\searrow$$

$$\tilde{\mathcal{L}} = -\tilde{y}_{ij} \bar{d}_{Ri} \tilde{R}_2 i\tau_2 L_j$$

# SU(5) GUT LQs: $b \rightarrow s\mu\mu$

$$\mathcal{L} = y_{ij} \bar{Q}_i^C i\tau_2 \tau \cdot S_3 L_j$$

$$\tilde{\mathcal{L}} = -\tilde{y}_{ij} \bar{d}_{Ri} \tilde{R}_2 i\tau_2 L_j$$

- Mass basis of fermions, charge eigenstates of LQs

$$\mathcal{L} = -y_{ij} \bar{d}_L^C i \nu_L^j S_3^{1/3} - \sqrt{2} y_{ij} \bar{d}_L^C i e_L^j S_3^{4/3} + \\ + \sqrt{2} (V^* y)_{ij} \bar{u}_L^C i \nu_L^j S_3^{-2/3} - (V^* y)_{ij} \bar{u}_L^C i e_L^j S_3^{1/3}$$

$$\tilde{\mathcal{L}} = -\tilde{y}_{ij} \bar{d}_R^i e_L^j \tilde{R}_2^{2/3} + \tilde{y}_{ij} \bar{d}_R^i \nu_L^j \tilde{R}_2^{-1/3}$$

$$C_9 = -C_{10} = \frac{\pi}{V_{tb} V_{ts}^* \alpha} y_{b\mu} y_{s\mu}^* \frac{v^2}{m_{S_3}^2} \in [-0.81, -0.50]$$

$$C'_9 = -C'_{10}$$

Unwanted contribution from the global fit point of view.

$$y_{b\mu} y_{s\mu}^* \in [0.7, 1.3] \times 10^{-3} (m_{S_3}/\text{TeV})^2$$

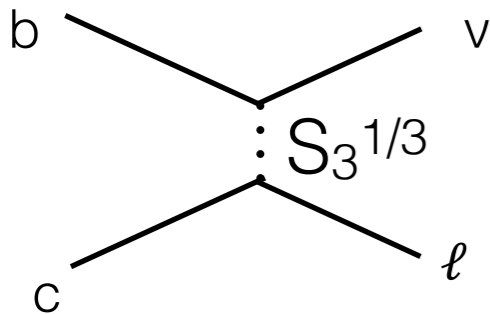
$$y = \begin{pmatrix} * & * & * \\ * & y_{s\mu} & * \\ * & y_{b\mu} & * \end{pmatrix}$$

$$\tilde{y} = \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix}$$

# SU(5) GUT LQs: $R_D^*$

- Effective semileptonic Lagrangian - lepton non-universal rescaling of  $V_{CKM}$

$$\mathcal{L}_{\bar{c}b\bar{\ell}\nu_k} = -\frac{4G_F}{\sqrt{2}} \left[ (V_{cb}\delta_{\ell k} + g_{cb;\ell k}^L) (\bar{c}_L \gamma^\mu b_L) (\bar{\ell}_L \gamma_\mu \nu_L^k) \right].$$



$$g_{cb;\ell\ell}^L = -\frac{v^2}{4m_{S_3}^2} (V y^*)_{c\ell} y_{b\ell}$$

$$\tilde{\mathcal{L}} = -\tilde{y}_{ij} \bar{d}_R^i e_L^j \tilde{R}_2^{2/3} + \tilde{y}_{ij} \bar{d}_R^i \nu_L^j \tilde{R}_2^{-1/3}$$

No contribution at tree-level!  
Possible with addition  
of RH-neutrino.

[Becirevic, Fajfer, NK, Sumensari]

$$\text{Re} \left[ V_{cb} (|y_{b\tau}|^2 - |y_{b\mu}|^2) + V_{cs} (y_{b\tau} y_{s\tau}^* - y_{b\mu} y_{s\mu}^*) \right] = -2C_{V_L} (m_{S_3}/\text{TeV})^2, \quad C_{V_L} = 0.18 \pm 0.04.$$

$$y_{b\tau} y_{s\tau}^* \approx -0.4 (m_{S_3}/\text{TeV})^2$$

$$y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_{s\mu} & y_{s\tau} \\ 0 & y_{b\mu} & y_{b\tau} \end{pmatrix}$$

$$\tilde{y} = \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix}$$

y matrix texture avoids first generation couplings of d-quarks and charged leptons

# Semileptonic constraints

- $B \rightarrow \tau \nu$  is then modified towards the measured value by  $\sim 30\text{-}40\%$

$$\text{Br}(B \rightarrow \tau \nu)^{\text{SM}} = (7.8 \pm 0.7) \times 10^{-5}$$

$$\text{Br}(B \rightarrow \tau \nu)^{\text{exp}} = (10.9 \pm 2.4) \times 10^{-5}$$

$$|V_{ub}|^2 \rightarrow |V_{ub}|^2 \left( 1 - \frac{v^2}{2m_{S_3}^2} \text{Re}[(V_{us}/V_{ub}) y_{s\tau}^* y_{b\tau}] \right)$$

- Lepton universality in  $e/\mu$  in  $B \rightarrow D$  decays

$$R_{D^*}^{e/\mu} = 1.04(5)(1) \quad R_D^{\mu/e} = 0.995(22)(39) \quad [\text{Belle, 1510.03657, 1702.01521}]$$

$$-\frac{v^2}{2m_{S_3}^2} \text{Re} \left[ \left( \frac{V_{cs}}{V_{cb}} y_{s\mu}^* + y_{b\mu}^* \right) y_{b\mu} \right] = R_{D^{(*)}}^{\mu/e} - 1 = -0.023 \pm 0.043$$

- Universality of Kaon semileptonic processes  $R_{e/\mu}^K = \frac{\Gamma(K^- \rightarrow e^- \bar{\nu})}{\Gamma(K^- \rightarrow \mu^- \bar{\nu})}$ ,  $R_{\tau/\mu}^K = \frac{\Gamma(\tau^- \rightarrow K^- \nu)}{\Gamma(K^- \rightarrow \mu^- \bar{\nu})}$

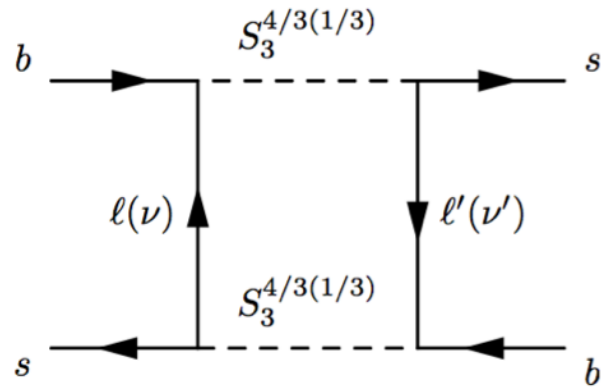
$$R_{e/\mu}^{K(\text{exp})} = (2.488 \pm 0.010) \times 10^{-5}, \quad R_{e/\mu}^{K(\text{SM})} = (2.477 \pm 0.001) \times 10^{-5}$$

$$R_{\tau/\mu}^{K(\text{exp})} = (1.101 \pm 0.016) \times 10^{-2} \quad R_{\tau/\mu}^{K(\text{SM})} = \frac{\tau_\tau}{\tau_K} \frac{m_K^3 (m_\tau^2 - m_K^2)^2}{2m_\tau m_\mu^2 (m_K^2 - m_\mu^2)^2} = (1.1162 \pm 0.00026) \times 10^{-2}$$

$$R_{e/\mu}^{K(\text{exp})} / R_{e/\mu}^{K(\text{SM})} - 1 = \frac{v^2}{2m_{S_3}^2} \text{Re} [ |y_{s\mu}|^2 + (V_{ub}/V_{us}) y_{b\mu}^* y_{s\mu} ] = (4.4 \pm 4.0) \times 10^{-3}$$

- Small effects in D decays!

# Neutral currents (1)



$$\mathcal{H}_{\Delta m_s} = (C_1^{\text{SM}} + C_1^{S_3}) (\bar{s}_L \gamma^\nu b_L)^2$$

$$C_1^{S_3}(\Lambda) = \frac{3(yy^\dagger)_{bs}^2}{128\pi^2 m_{S_3}^2} \approx \frac{3(y_{b\tau} y_{s\tau}^*)^2}{128m_{S_3}^2}$$

Proportional to  $R_{D^{(*)}}$  effect!

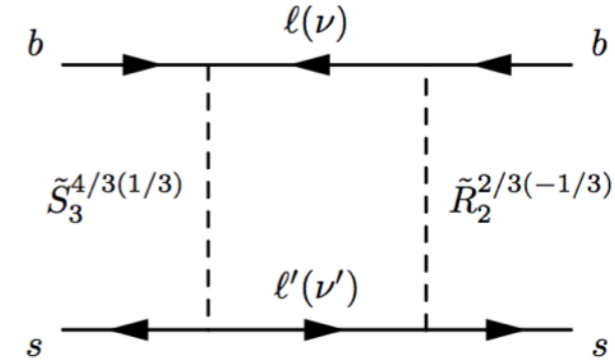
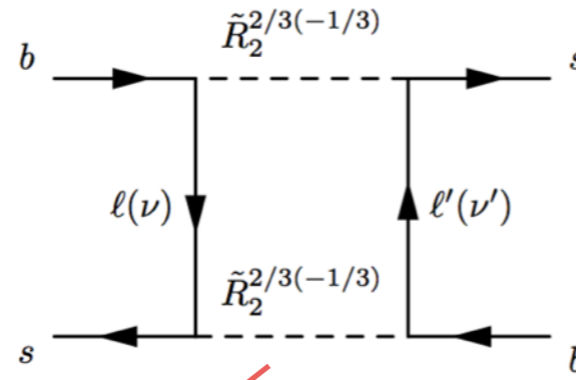
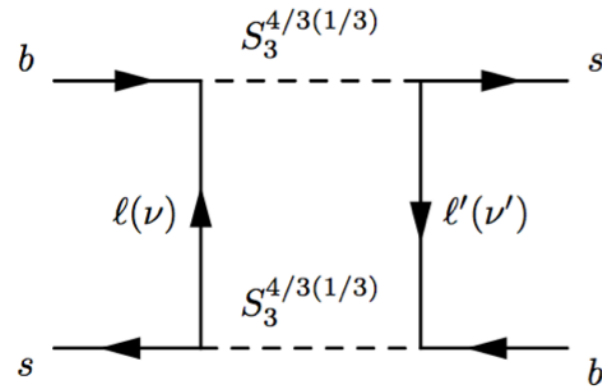
[Fermilab lattice, MILC, 1602.03560]

$$f_{B_s}^2 \hat{B}_{B_s}^{(1)} = 0.0754(46)(15) \text{ GeV}^2$$

$$\Delta m_s^{\text{SM}} = (19.6 \pm 1.6) \text{ ps}^{-1}$$

$$\Delta m_s^{\text{exp}} = (17.757 \pm 0.021) \text{ ps}^{-1}$$

# Neutral currents (1)



$$\tilde{y} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \tilde{y}_{s\tau} \\ 0 & 0 & \tilde{y}_{b\tau} \end{pmatrix}$$

$$\mathcal{H}_{\Delta m_s} = (C_1^{\text{SM}} + C_1^{S_3}) (\bar{s}_L \gamma^\nu b_L)^2 + \tilde{C}_1^{\tilde{R}_2} (\bar{s}_R \gamma^\nu b_R)^2 + C_4^{S_3 \tilde{R}_2} (\bar{s}_R b_L)(\bar{s}_L b_R) + C_5^{S_3 \tilde{R}_2} (\bar{s}_R^\alpha b_L^\beta)(\bar{s}_L^\beta b_R^\alpha)$$

$$C_1^{S_3}(\Lambda) = \frac{3(yy^\dagger)_{bs}^2}{128\pi^2 m_{S_3}^2} \approx \frac{3(y_{b\tau} y_{s\tau}^*)^2}{128m_{S_3}^2}$$

$$\tilde{C}_1^{\tilde{R}_2}(\Lambda) = \frac{(\tilde{y}\tilde{y}^\dagger)_{sb}^2}{64\pi^2 m_{\tilde{R}_2}^2},$$

$$C_4^{S_3 \tilde{R}_2}(\Lambda) = 0,$$

$$C_5^{S_3 \tilde{R}_2}(\Lambda) = \frac{(y\tilde{y}^\dagger)_{bb}(\tilde{y}y^\dagger)_{ss}}{16\pi^2} \frac{\log m_{S_3}^2/m_{\tilde{R}_2}^2}{m_{S_3}^2 - m_{\tilde{R}_2}^2}$$

Proportional to  $R_{D^{(*)}}$  effect!

[Fermilab lattice, MILC, 1602.03560]

$$f_{B_s}^2 \hat{B}_{B_s}^{(1)} = 0.0754(46)(15) \text{ GeV}^2$$

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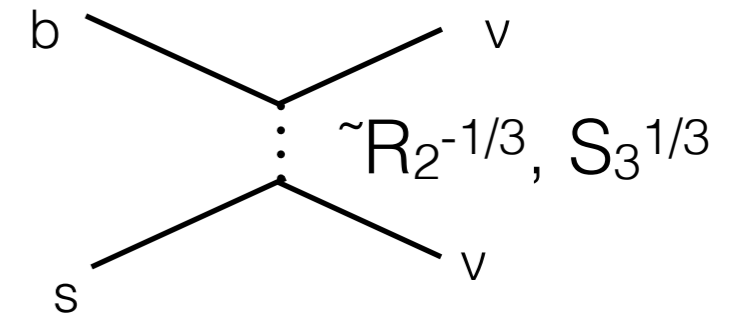
Note:  $\tilde{R}_2$  plays a role only in processes with d and  $(\ell, \nu)$

# Neutral currents and LFV (2)

- $B \rightarrow K \nu \bar{\nu}$

$$\mathcal{L}_{\text{eff}}^{b \rightarrow s \bar{\nu} \nu} = \frac{G_F \alpha}{\pi \sqrt{2}} V_{tb} V_{ts}^* \left( \bar{s} \gamma_\mu [C_L^{ij} P_L + C_R^{ij} P_R] b \right) (\bar{\nu}_i \gamma^\mu (1 - \gamma_5) \nu_j)$$

$$C_L^{S_3, ij} = \frac{\pi v^2}{2\alpha V_{tb} V_{ts}^* m_{S_3}^2} y_{bj} y_{si}^* \quad C_R^{\tilde{R}_2, ij} = -\frac{\pi v^2}{2\alpha V_{tb} V_{ts}^* m_{\tilde{R}_2}^2} \tilde{y}_{sj} \tilde{y}_{bi}^*$$



$$R_{\nu\nu} - 1 = \frac{\pi v^2}{3\alpha V_{tb} V_{ts}^* C_L^{\text{SM}}} \text{Re} \left[ \frac{(yy^\dagger)_{bs}}{m_{S_3}^2} - \frac{(\tilde{y}\tilde{y}^\dagger)_{sb}}{m_{\tilde{R}_2}^2} \right] + \frac{(\pi v^2)^2}{12(\alpha V_{tb} V_{ts}^* |C_L^{\text{SM}}|)^2} \left[ \frac{(yy^\dagger)_{bb} (yy^\dagger)_{ss}}{m_{S_3}^4} + \frac{(\tilde{y}\tilde{y}^\dagger)_{bb} (\tilde{y}\tilde{y}^\dagger)_{ss}}{m_{\tilde{R}_2}^4} - \frac{2\text{Re}[(y\tilde{y}^\dagger)_{bs} (\tilde{y}y^\dagger)_{bs}]}{m_{S_3}^2 m_{\tilde{R}_2}^2} \right].$$

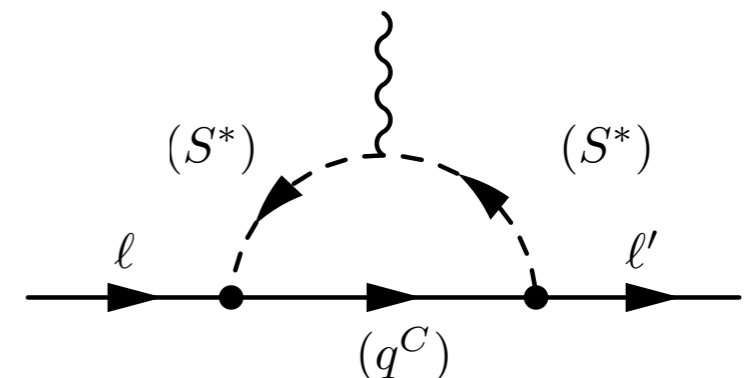
Sensitive to many distinct combinations, including  $(yy^\dagger)_{bs}$

[Belle, 1702.03224]

$$R_{\nu\nu} = \frac{\text{Br}(B \rightarrow K \nu \bar{\nu})}{\text{Br}(B \rightarrow K \nu \bar{\nu})^{\text{SM}}} < 2.7 \quad \text{from} \quad \mathcal{B}(B \rightarrow K^* \nu \bar{\nu}) < 2.7 \times 10^{-5}$$

- $\tau \rightarrow \mu \gamma$

$$\frac{e}{2} \sigma_L^{\tau\mu} \bar{\mu} (i\sigma^{\mu\nu} P_L) \tau F_{\mu\nu} \quad \sigma_L^{\tau\mu} = \frac{3m_\tau}{64\pi^2 m_{S_3}^2} [5y_{s\mu} y_{s\tau}^* + y_{b\mu} y_{b\tau}^*]$$



# Couplings and predictions

- $m_{S3} = m_{\tilde{R}2} = 1.5$  TeV, fit the (real) couplings [\[preliminary, 1706.?????\]](#)

$$y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_{s\mu} & y_{s\tau} \\ 0 & y_{b\mu} & y_{b\tau} \end{pmatrix}$$

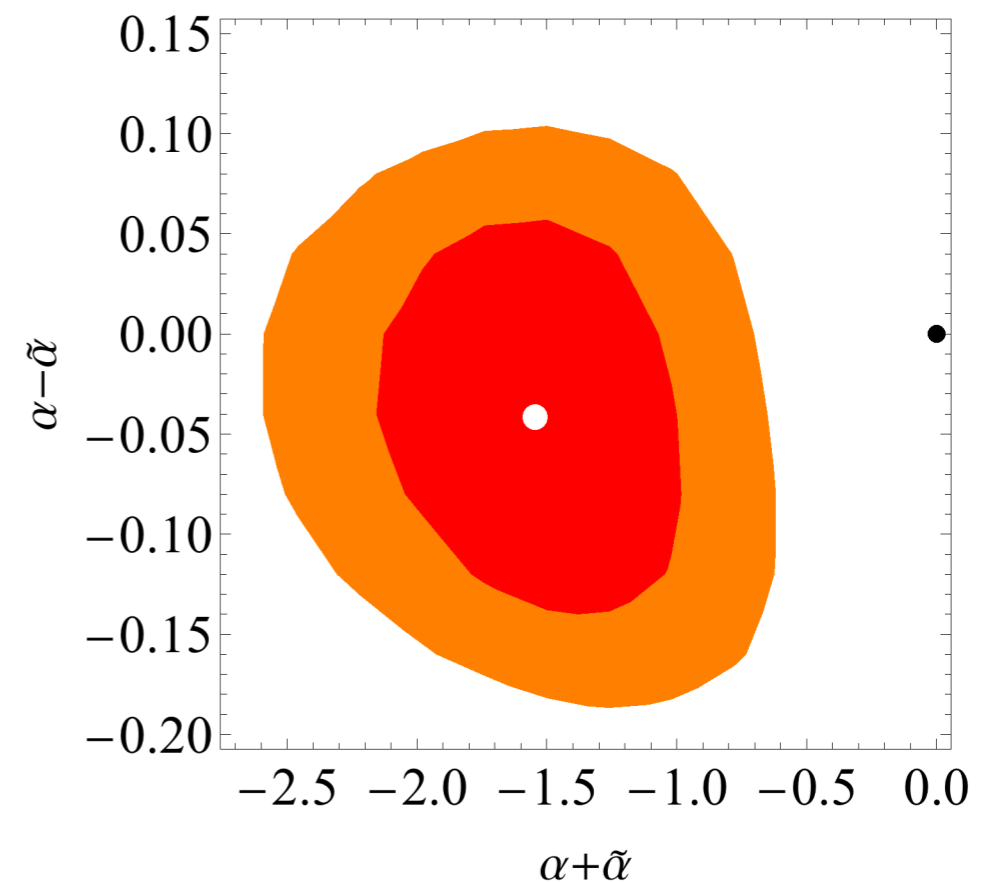
$$\alpha = y_{s\tau} y_{b\tau}$$

$$\tilde{\alpha} = \tilde{y}_{s\tau} \tilde{y}_{b\tau}$$

$\alpha$  and  $\tilde{\alpha}$

play an important role in  $R_{D^*}$  and  $B_s$  mixing

$$y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0.007 - 0.05 & 0.3 - 1.6 \\ 0 & 0.03 - 0.3 & 0.2 - 3 \end{pmatrix}$$





# Couplings and predictions

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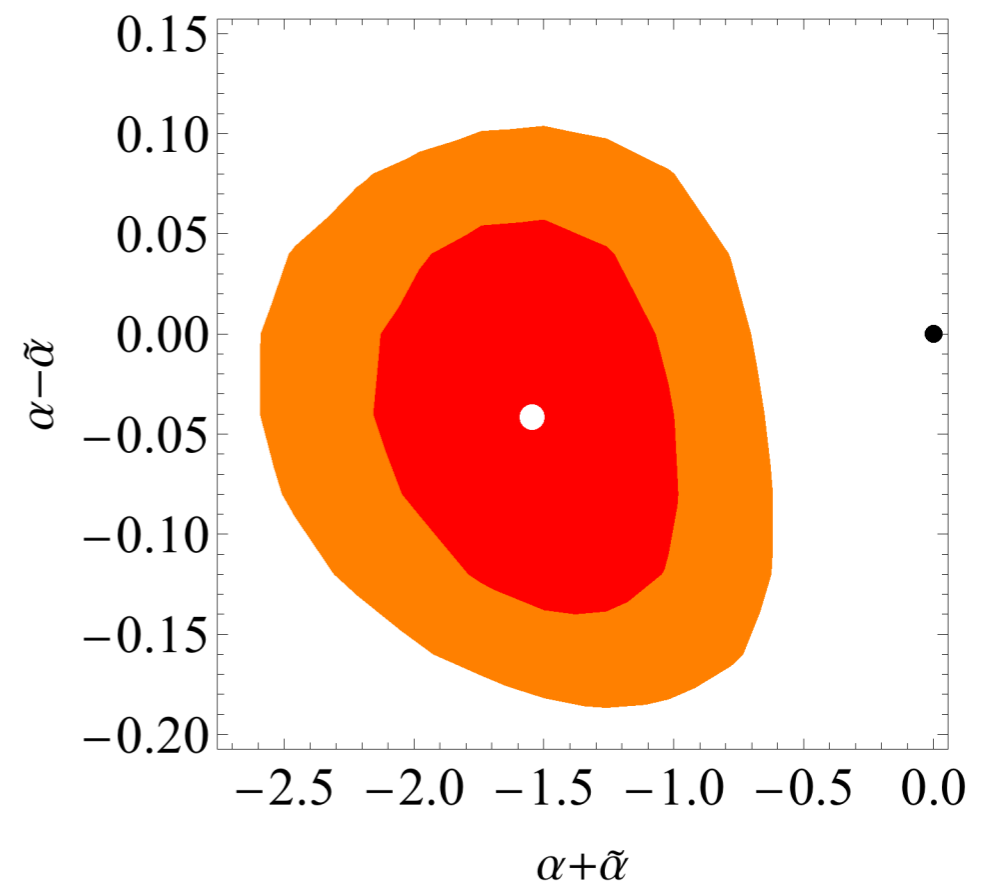
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$\alpha$  and  $\tilde{\alpha}$

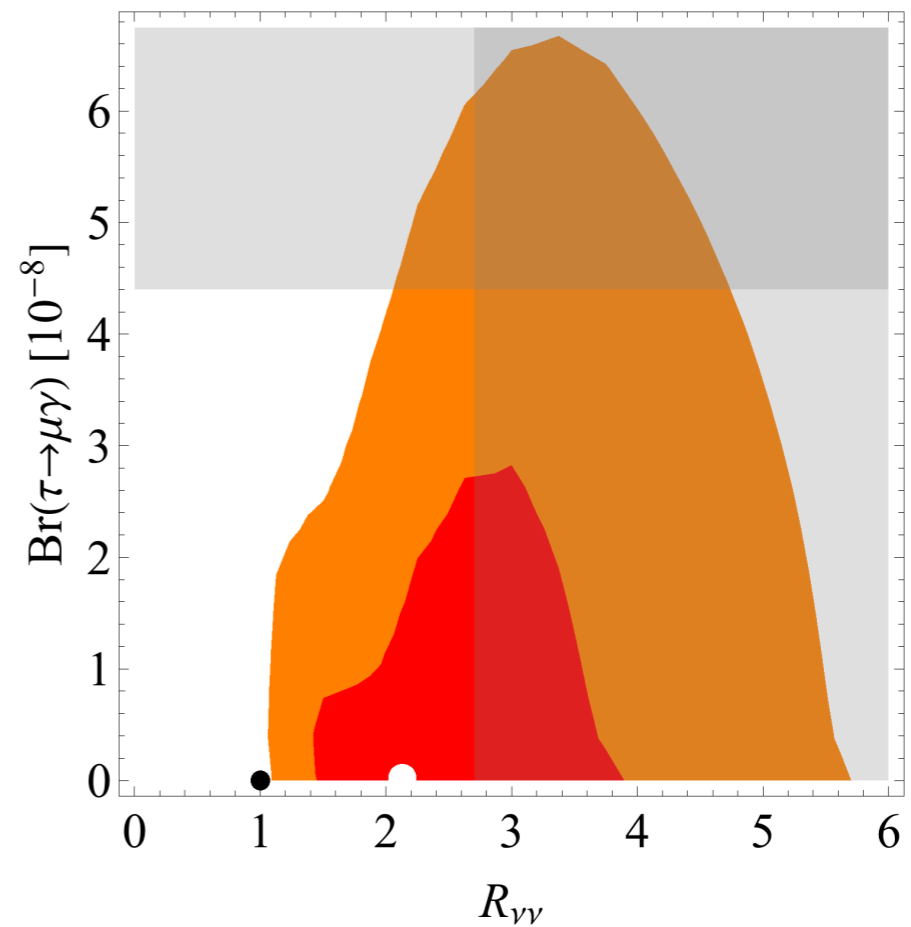
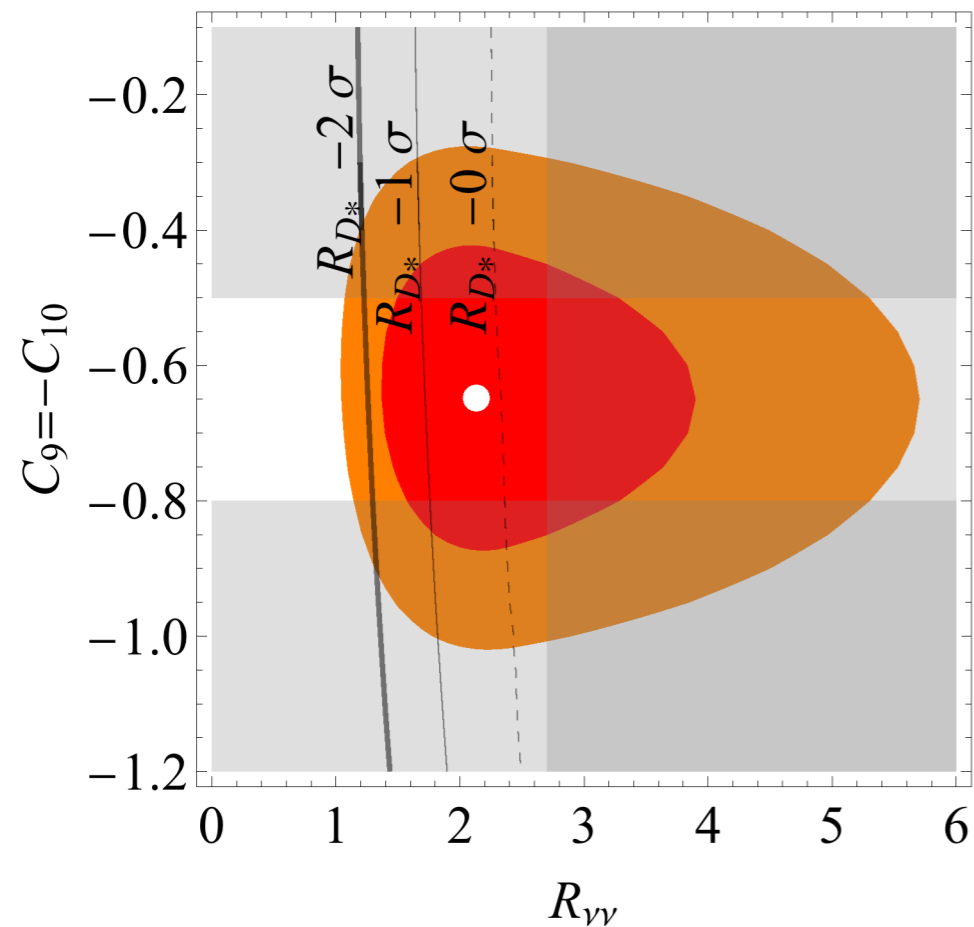
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$$y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0.007 - 0.05 & 0.3 - 1.6 \\ 0 & 0.03 - 0.3 & 0.2 - 3 \end{pmatrix}$$



# Couplings and predictions (2)

[preliminary, 1706.?????]



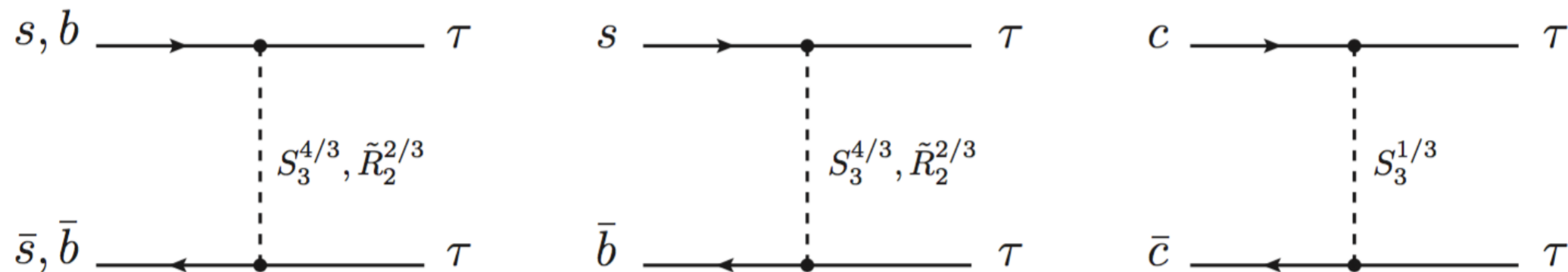
Competition between large contribution to  $R_{D^*}$  and constraint on  $R_W$

If  $R_W$  approaches 1, and  $R_{D^*}$  persists at its current large value, the model is excluded.

# LHC constraints ( $pp \rightarrow \tau\tau$ )

$pp \rightarrow \tau^+ \tau^-$  can probe parameter space of models explaining  $R_{D^*}$

[Faroughy, Greljo, Kamenik 1609.07138]



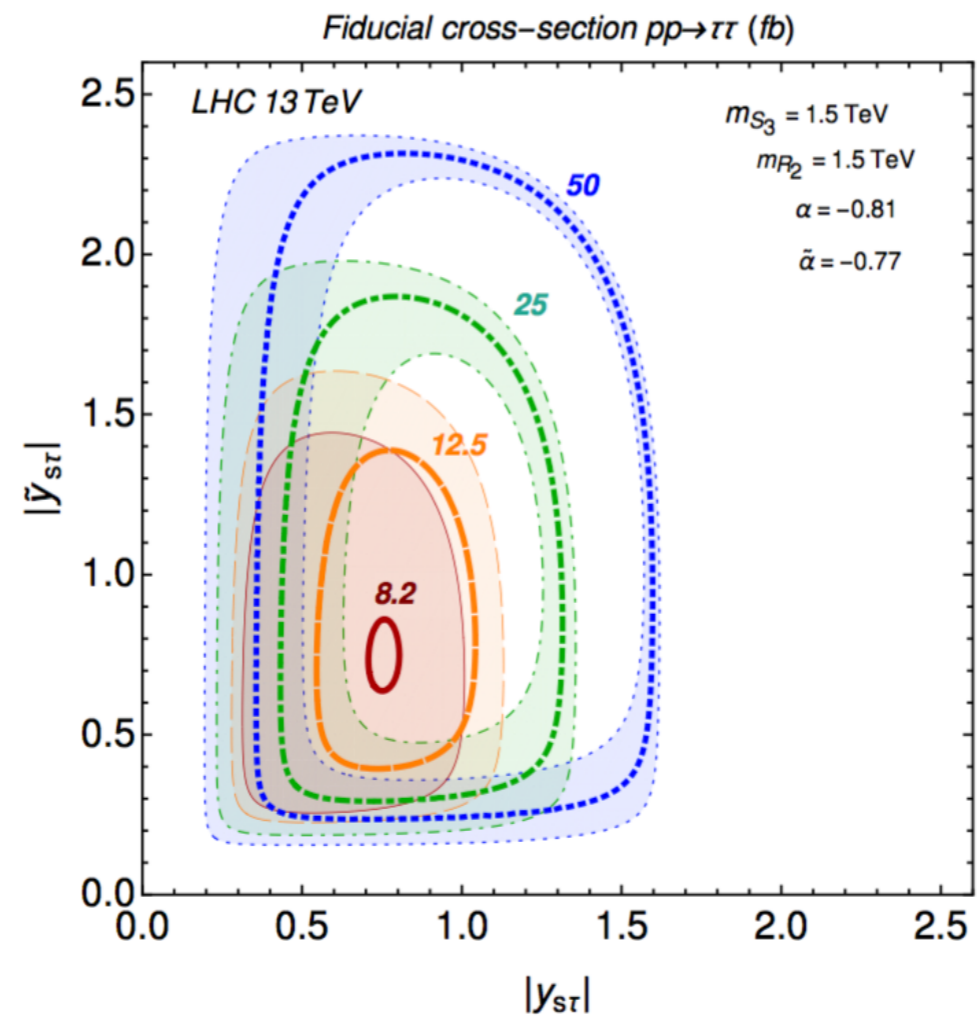
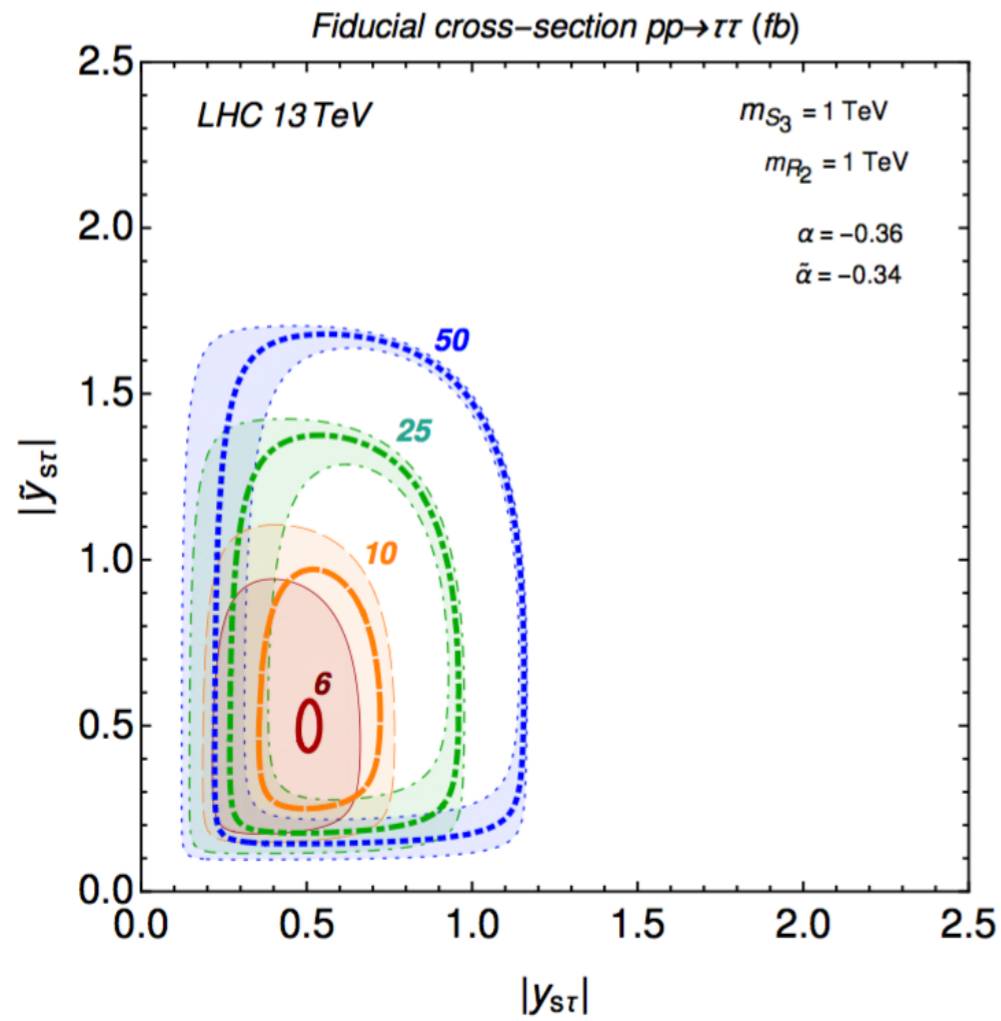
In terms of LQ models, large couplings to  $b$  and  $\tau$  are in tension with  $pp \rightarrow \tau\tau$  resonance searches.

In the model with  $S_3$  and  $R_2$  couplings to  $b$  and  $\tau$  are smaller, but we introduce coupling to  $s$  and  $\tau$

$$\sigma_{pp \rightarrow \tau\tau}^{\text{fid}}(y_{s\tau}, \tilde{y}_{s\tau}, \alpha, \tilde{\alpha}) = \sigma^{(1)}(y_{s\tau}^2, \tilde{y}_{s\tau}^2) + \sigma^{(2)}(\alpha, \tilde{\alpha}) + \sigma^{(3)}\left(\frac{\alpha^2}{y_{s\tau}^2}, \frac{\tilde{\alpha}^2}{\tilde{y}_{s\tau}^2}\right) \quad \begin{array}{l} m_{\tau\tau} > 300 \text{ GeV} \\ p_T(\tau) > 150 \text{ GeV (50 GeV)} \end{array}$$

# $pp \rightarrow \tau\tau$

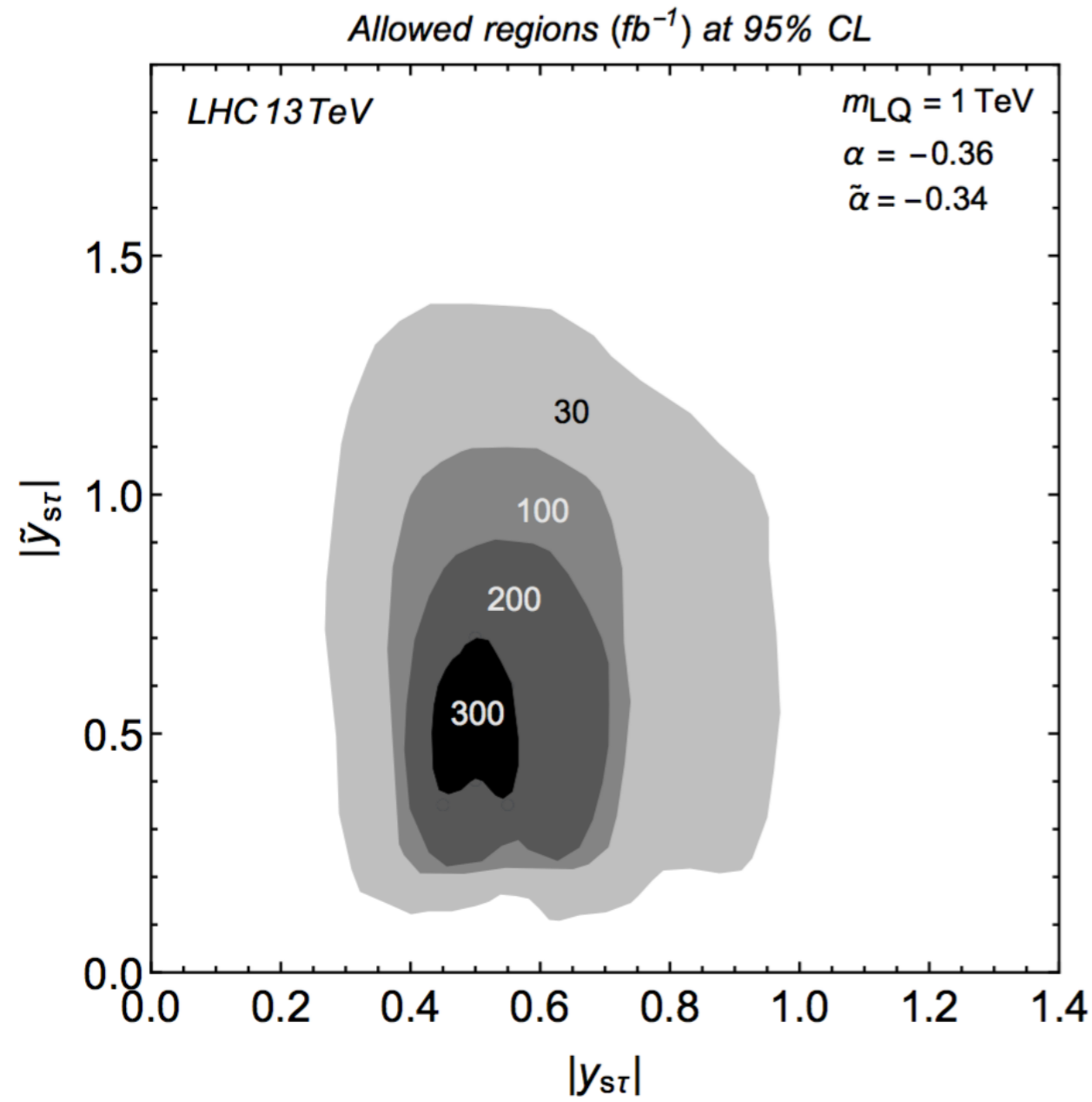
$$\begin{aligned}\sigma^{(1)}(y_{s\tau}^2, \tilde{y}_{s\tau}^2) &= y_{s\tau}^4 A_1^{(1)} + \tilde{y}_{s\tau}^4 A_2^{(1)} + y_{s\tau}^2 \tilde{y}_{s\tau}^2 A_3^{(1)} \\ \sigma^{(2)}(\alpha, \tilde{\alpha}) &= \alpha^2 A_1^{(2)} + \tilde{\alpha}^2 A_2^{(2)} + \alpha \tilde{\alpha} A_3^{(2)} \\ \sigma^{(3)}\left(\frac{\alpha^2}{y_{s\tau}^2}, \frac{\tilde{\alpha}^2}{\tilde{y}_{s\tau}^2}\right) &= \frac{\alpha^4}{y_{s\tau}^4} A_1^{(3)} + \frac{\tilde{\alpha}^4}{\tilde{y}_{s\tau}^4} A_2^{(3)} + \frac{\alpha^2 \tilde{\alpha}^2}{y_{s\tau}^2 \tilde{y}_{s\tau}^2} A_3^{(3)}\end{aligned}$$



# $pp \rightarrow \tau\tau$

Recast of search for heavy resonances decaying to  $\tau\tau$

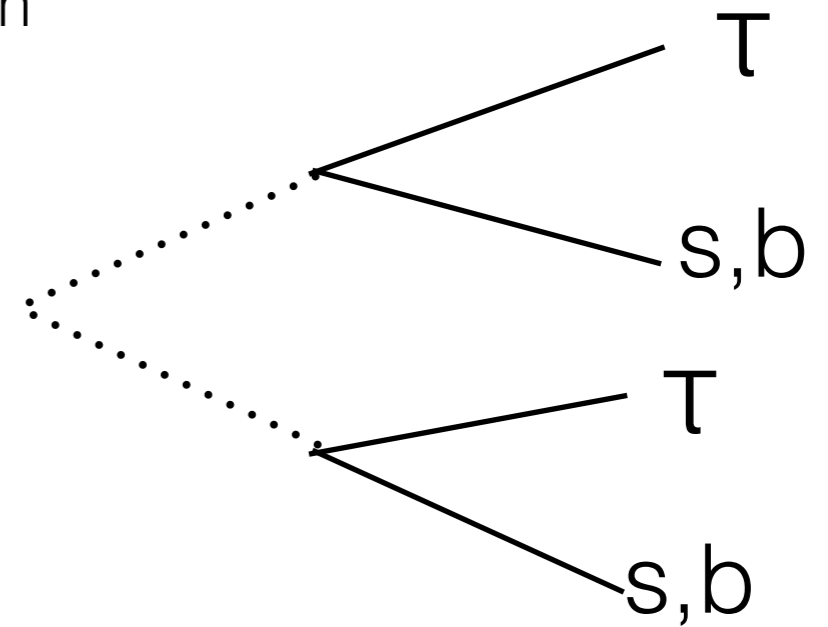
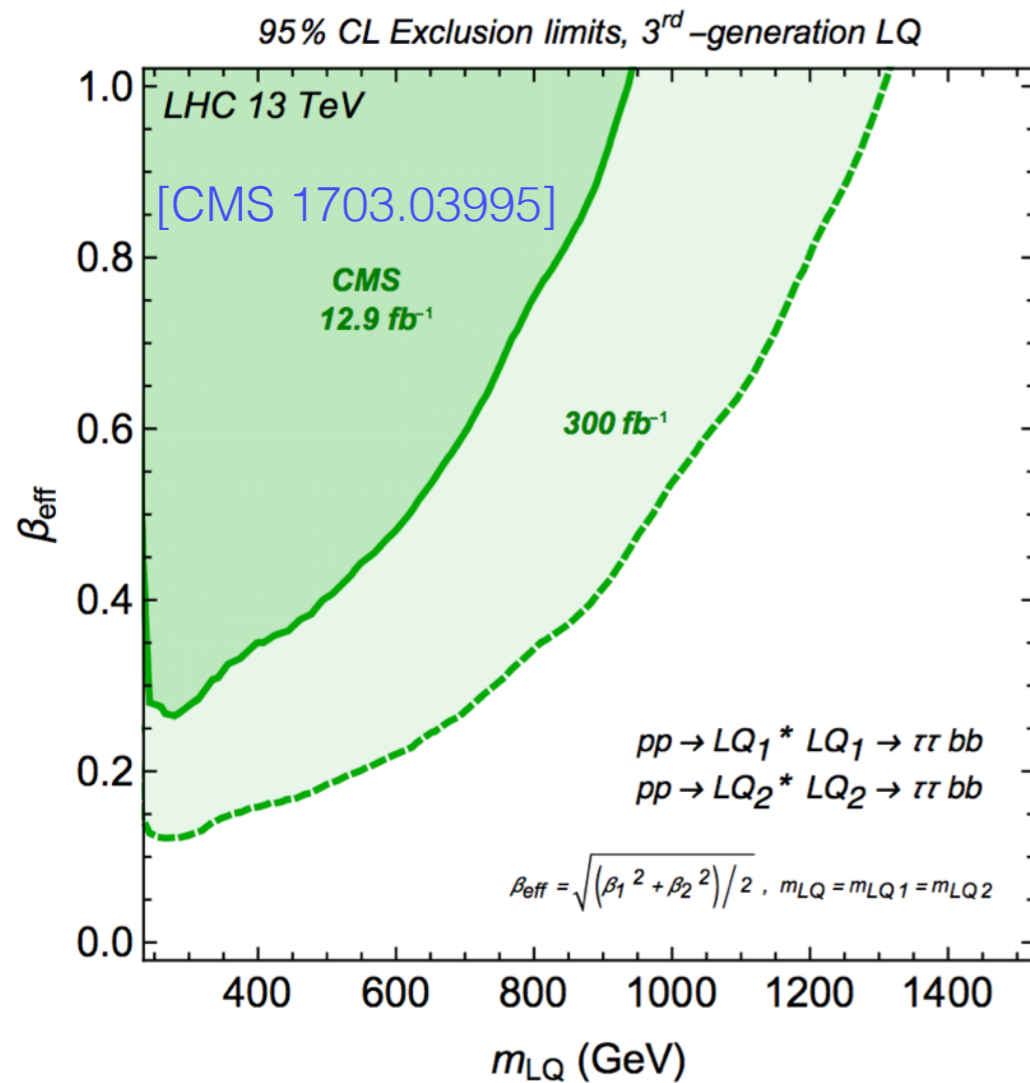
[ATLAS '16]



LHC Run-III can cover this scenario completely

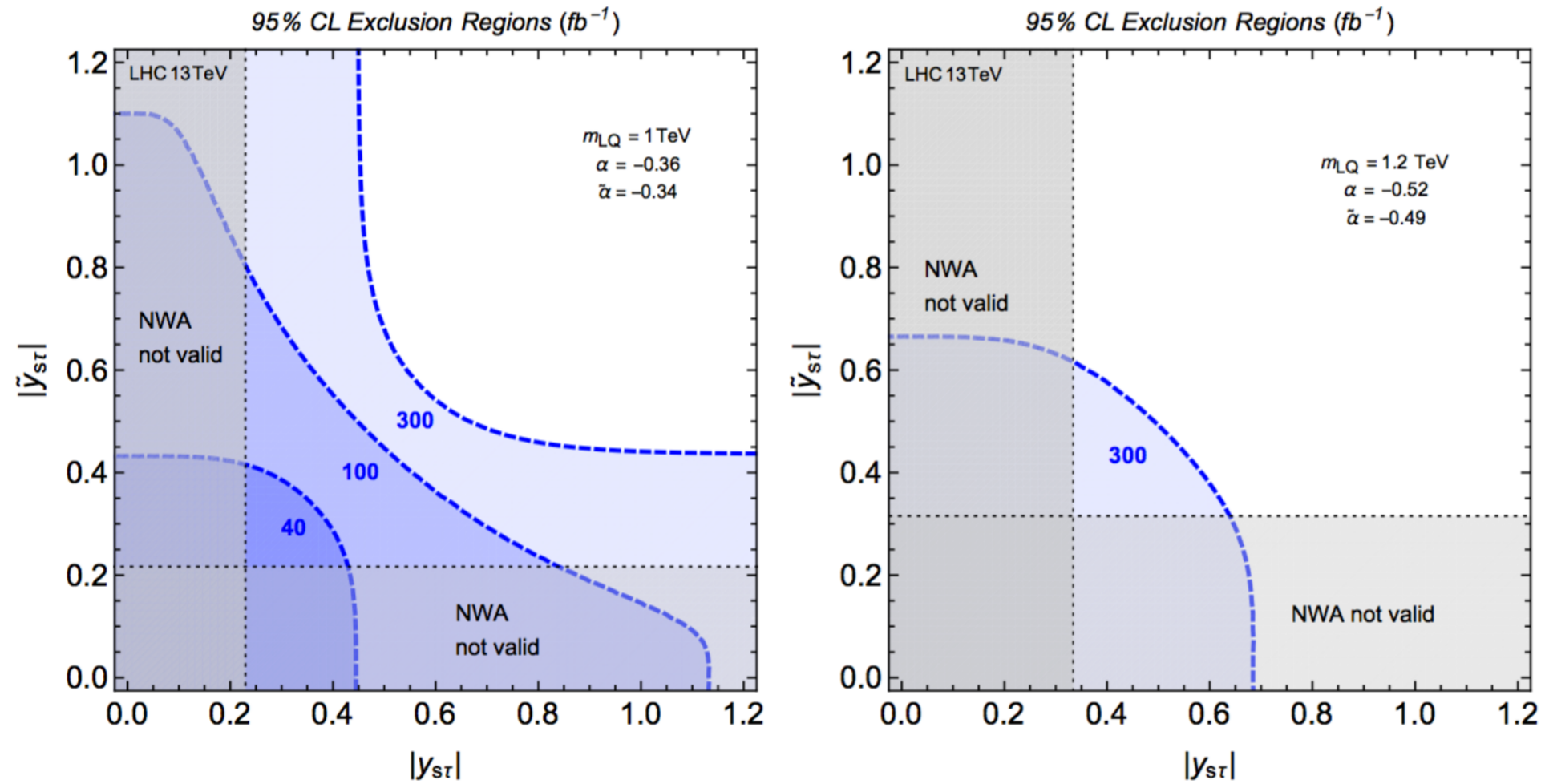
# $pp \rightarrow LQ LQ^* \rightarrow \tau\tau jj$

Search for pair produced LQs decaying to  $\tau$  lepton



- Degenerate LQs
- Interference effects are negligible since  $\tilde{R}_2 \rightarrow b_R \tau_L, S_3 \rightarrow b_L \tau_L$

# $pp \rightarrow LQ LQ^* \rightarrow \tau\tau jj$



Pair production very sensitive to low-mass regime.

# Conclusion

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- \* LFU ratios offer very precise validation of the Standard Model. Anomalous patterns have emerged.
- \*  $R_K$  is an interesting and expected place for NP.
- \*  $R_{D^{(*)}}$  = charged current LFU violation. Large tree-level new physics needed.
- \* A pair of GUT motivated LQs accomodates both puzzles, stabilizes the proton.
- \* Complementarity between  $b \rightarrow s\ell\ell$  ,  $b \rightarrow c\tau\nu$  and  $b \rightarrow sv\nu$ .
- \* Proposed model can be confirmed or excluded in few years by direct searches for LQs and  $\tau$  final state searches.
- \* Squeeze flavor NP scenarios in 3rd generation from all sides, precision and LHC direct searches.



Thank you for your attention!



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## $\tau_{\text{had}}\tau_{\text{had}}$ inclusive category

- $p_T > 110$  GeV (55 GeV) for the leading (sub-leading)  $\tau_{\text{had}}$ .
- Events with isolated electrons (muons) are vetoed if  $p_T > 10$  GeV ( $p_T > 15$  GeV).
- Opposite sign  $\tau_{\text{had}}\tau_{\text{had}}$  with back-to-back topology in the transverse plane,  $\Delta\phi(\tau_{\text{had}}\tau_{\text{had}}) > 2.7$ .
- Total transverse mass cut of  $m_T^{\text{tot}} > 350$  GeV.