## Quantum Field Theory and the Standard Model



## Outline

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## 1. Gauge Theories

## The symmetry principle

## free Lagrangian

- Lagrangian of a free fermion field $\psi(x)$ :
(Dirac)

$$
\mathcal{L}_{0}=\bar{\psi}(\mathrm{i} \gamma-m) \psi \quad \partial \equiv \gamma^{\mu} \partial_{\mu}, \quad \bar{\psi}=\psi^{\dagger} \gamma^{0}
$$

$\Rightarrow$ Invariant under global $\mathrm{U}(1)$ phase transformations:

$$
\psi(x) \mapsto \psi^{\prime}(x)=\mathrm{e}^{-\mathrm{i} q \theta} \psi(x), \quad q, \theta \text { (constants) } \in \mathbb{R}
$$

$\Rightarrow$ By Noether's theorem there is a conserved current:

$$
j^{\mu}=q \bar{\psi} \gamma^{\mu} \psi, \quad \partial_{\mu} j^{\mu}=0
$$

and a Noether charge:

$$
Q=\int \mathrm{d}^{3} x j^{0}, \quad \partial_{t} Q=0
$$

## The symmetry principle

## free Lagrangian

- A quantized free fermion field:

$$
\psi(x)=\int \frac{\mathrm{d}^{3} p}{(2 \pi)^{3} \sqrt{2 E_{\boldsymbol{p}}}} \sum_{s=1,2}\left(a_{\boldsymbol{p}, s} u^{(s)}(\boldsymbol{p}) \mathrm{e}^{-\mathrm{i} p x}+b_{\boldsymbol{p}, s}^{\dagger} v^{(s)}(\boldsymbol{p}) \mathrm{e}^{\mathrm{i} p x}\right)
$$

- is a solution of the Dirac equation (Euler-Lagrange):

$$
(\mathrm{i} \not \partial-m) \psi(x)=0, \quad(\not p-m) u(\boldsymbol{p})=0, \quad(\not p+m) v(\boldsymbol{p})=0,
$$

- is an operator from the canonical quantization rules (anticommutation):

$$
\left\{a_{\boldsymbol{p}, r}, a_{\boldsymbol{k}, s}^{\dagger}\right\}=\left\{b_{\boldsymbol{p}, r}, b_{\boldsymbol{k}, s}^{\dagger}\right\}=(2 \pi)^{3} \delta^{3}(\boldsymbol{p}-\boldsymbol{k}) \delta_{r s}, \quad\left\{a_{\boldsymbol{p}, r}, a_{\boldsymbol{k}, s}\right\}=\cdots=0
$$

that annihilates/creates particles/antiparticles on the Fock space of fermions

## The symmetry principle

## free Lagrangian

- For a quantized free fermion field:
$\Rightarrow$ Normal ordering for fermionic operators ( $H$ spectrum bounded from below):

$$
: a_{\boldsymbol{p}, r} a_{\boldsymbol{q}, s}^{\dagger}: \equiv-a_{\boldsymbol{q}, s}^{\dagger} a_{\boldsymbol{p}, r}, \quad: b_{\boldsymbol{p}, r} r_{\boldsymbol{q}, s}^{\dagger}: \equiv-b_{\boldsymbol{q}, s}^{\dagger} b_{\boldsymbol{p}, r}
$$

$\Rightarrow$ The Noether charge is an operator:

$$
\begin{gathered}
: Q:=q \int \mathrm{~d}^{3} x: \bar{\psi} \gamma^{0} \psi:=q \int \frac{\mathrm{~d}^{3} p}{(2 \pi)^{3}} \sum_{s=1,2}\left(a_{p, s}^{\dagger} a_{p, s}-b_{p, s}^{\dagger} b_{p, s}\right) \\
Q a_{k, s}^{\dagger}|0\rangle=+q a_{k, s}^{\dagger}|0\rangle \text { (particle), } Q b_{k, s}^{\dagger}|0\rangle=-q b_{k, s}^{\dagger}|0\rangle \text { (antiparticle) }
\end{gathered}
$$

## The symmetry principle

gauge symmetry dictates interactions

- To make $\mathcal{L}_{0}$ invariant under local $\equiv$ gauge transformations of $\mathrm{U}(1)$ :

$$
\psi(x) \mapsto \psi^{\prime}(x)=\mathrm{e}^{-\mathrm{i} q \theta(x)} \psi(x), \quad \theta=\theta(x) \in \mathbb{R}
$$

perform the minimal substitution:

$$
\partial_{\mu} \rightarrow D_{\mu}=\partial_{\mu}+\mathrm{ieq} A_{\mu} \quad \text { (covariant derivative) }
$$

where a gauge field $A_{\mu}(x)$ is introduced transforming as:

$$
A_{\mu}(x) \mapsto A_{\mu}^{\prime}(x)=A_{\mu}(x)+\frac{1}{e} \partial_{\mu} \theta(x) \Leftarrow D_{\mu} \psi \mapsto \mathrm{e}^{-\mathrm{i} q \theta(x)} D_{\mu} \psi \quad \bar{\psi} D D \psi \text { inv. }
$$

$\Rightarrow$ The new Lagrangian contains interactions between $\psi$ and $A_{\mu}$ :

$$
\begin{gathered}
\mathcal{L}_{\mathrm{int}}=-e q \bar{\psi} \gamma^{\mu} \psi A_{\mu}
\end{gathered} \propto\left\{\begin{array}{r}
\text { coupling } \\
\text { charge }
\end{array} \quad q\right.
$$

## The symmetry principle

## gauge invariance dictates interactions

- Dynamics for the gauge field $\Rightarrow$ add gauge invariant kinetic term:
(Maxwell)

$$
\mathcal{L}_{1}=-\frac{1}{4} F_{\mu \nu} F^{\mu \nu} \quad \Leftarrow \quad F_{\mu v}=\partial_{\mu} A_{\nu}-\partial_{\nu} A_{\mu} \mapsto F_{\mu v}
$$

- The full $\mathrm{U}(1)$ gauge invariant Lagrangian for a fermion field $\psi(x)$ reads:

$$
\mathcal{L}_{\text {sym }}=\bar{\psi}(\mathrm{i} \not D-m) \psi-\frac{1}{4} F_{\mu v} F^{\mu \nu} \quad\left(=\mathcal{L}_{0}+\mathcal{L}_{\mathrm{int}}+\mathcal{L}_{1}\right)
$$

- The same applies to a complex scalar field $\phi(x)$ :

$$
\mathcal{L}_{\text {sym }}=\left(D_{\mu} \phi\right)^{\dagger} D^{\mu} \phi-m^{2} \phi^{\dagger} \phi-\lambda\left(\phi^{\dagger} \phi\right)^{2}-\frac{1}{4} F_{\mu \nu} F^{\mu \nu}
$$

## The symmetry principle

## non-Abelian gauge theories

- A general gauge symmetry group $G$ is an compact $N$-dimensional Lie group

$$
\mathrm{g} \in G, \quad \mathrm{~g}(\boldsymbol{\theta})=\mathrm{e}^{-\mathrm{i} T_{a} \theta^{a}}, \quad a=1, \ldots, N
$$

$$
\theta^{a}=\theta^{a}(x) \in \mathbb{R}, \quad T_{a}=\text { Hermitian generators }, \quad\left[T_{a}, T_{b}\right]=\mathrm{i} f_{a b c} T_{c} \quad \text { (Lie algebra) }
$$

$$
\begin{array}{lll}
\operatorname{Tr}\left\{T_{a} T_{b}\right\} \equiv \frac{1}{2} \delta_{a b} & \text { structure constants: } & f_{a b c}=0 \\
& f_{a b c} \neq 0 & \text { Abelian } \\
& \text { non-Abelian }
\end{array}
$$

$\Rightarrow$ Unitary finite-dimensional irreducible representations:
$g(\boldsymbol{\theta})$ represented by $U(\boldsymbol{\theta})$

$$
d \times d \text { matrices : } \quad U(\theta) \text { [given by }\left\{T_{a}\right\} \text { algebra representation] }
$$

$$
d \text {-multiplet : } \quad \Psi(x) \mapsto \Psi^{\prime}(x)=U(\theta) \Psi(x), \quad \Psi=\left(\begin{array}{c}
\psi_{1} \\
\vdots \\
\psi_{d}
\end{array}\right)
$$

## The symmetry principle

## non-Abelian gauge theories

- Examples: | $G$ | $N$ | Abelian |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  | $\mathrm{U}(1)$ | 1 | Yes |  |
|  | $\mathrm{SU}(n)$ | $n^{2}-1$ | No | $(n \times n$ matrices with det $=1)$ |
- $\mathrm{U}(1): 1$ generator $(q)$, one-dimensional irreps only
- SU(2): 3 generators

$$
f_{a b c}=\epsilon_{a b c}(\text { Levi-Civita symbol })
$$

* Fundamental irrep $(d=2): T_{a}=\frac{1}{2} \sigma_{a}$ (3 Pauli matrices)
* Adjoint irrep $(d=N=3):\left(T_{a}^{\text {adj }}\right)_{b c}=-\mathrm{i} f_{a b c}$
- SU(3): 8 generators

$$
f^{123}=1, f^{458}=f^{678}=\frac{\sqrt{3}}{2}, f^{147}=f^{156}=f^{246}=f^{247}=f^{345}=-f^{367}=\frac{1}{2}
$$

* Fundamental irrep $(d=3): T_{a}=\frac{1}{2} \lambda_{a}$ (8 Gell-Mann matrices)
* Adjoint irrep $(d=N=8):\left(T_{a}^{\mathrm{adj}}\right)_{b c}=-\mathrm{i} f_{a b c}$
(for $\operatorname{SU}(n): f_{a b c}$ totally antisymmetric)


## The symmetry principle

## non-Abelian gauge theories

- To make $\mathcal{L}_{0}$ invariant under local $\equiv$ gauge transformations of $G$ :

$$
\Psi(x) \mapsto \Psi^{\prime}(x)=U(\boldsymbol{\theta}) \Psi(x), \quad \boldsymbol{\theta}=\boldsymbol{\theta}(x) \in \mathbb{R}
$$

substitute the covariant derivative:

$$
\partial_{\mu} \rightarrow D_{\mu}=\partial_{\mu}-\mathrm{i} g \widetilde{W}_{\mu}, \quad \widetilde{W}_{\mu} \equiv T_{a} W_{\mu}^{a}
$$

where a gauge field $A_{\mu}^{a}(x)$ per generator is introduced, transforming as:

$$
\widetilde{W}_{\mu}(x) \mapsto \widetilde{W}_{\mu}^{\prime}(x)=U \widetilde{W}_{\mu}(x) U^{\dagger}-\frac{\mathrm{i}}{g}\left(\partial_{\mu} U\right) U^{\dagger} \Leftarrow D_{\mu} \Psi \mapsto U D_{\mu} \Psi \quad \bar{\Psi} \not D \Psi \text { inv. }
$$

$\Rightarrow$ The new Lagrangian contains interactions between $\Psi$ and $W_{\mu}^{a}$ :

$$
\begin{gathered}
\mathcal{L}_{\mathrm{int}}=g \bar{\Psi} \gamma^{\mu} T_{a} \Psi W_{\mu}^{a} \\
\left(=g j_{a}^{\mu} W_{\mu}^{a}\right)
\end{gathered}
$$

## The symmetry principle

## non-Abelian gauge theories

- Dynamics for the gauge fields $\Rightarrow$ add gauge invariant kinetic terms:

$$
\text { (Yang-Mills) } \mathcal{L}_{\mathrm{YM}}=-\frac{1}{2} \operatorname{Tr}\left\{\widetilde{W}_{\mu \nu} \widetilde{W}^{\mu \nu}\right\}=-\frac{1}{4} W_{\mu \nu}^{a} W^{a, \mu \nu}
$$

$$
\begin{aligned}
& \widetilde{W}_{\mu \nu} \\
& \Rightarrow \quad D_{\mu} \widetilde{W}_{v}-D_{\nu} \widetilde{W}_{\mu}=\partial_{\mu} \widetilde{W}_{v}-\partial_{\nu} \widetilde{W}_{\mu}-\mathrm{i} g\left[\widetilde{W}_{\mu}, \widetilde{W}_{\nu}\right] \quad \Rightarrow \quad \widetilde{W}_{\mu \nu} \mapsto U \widetilde{W}_{\mu \nu} U^{\dagger} \\
& W_{\mu} W_{v}^{a}-\partial_{\nu} W_{\mu}^{a}+g f_{a b c} W_{\mu}^{b} W_{v}^{c}
\end{aligned}
$$

$\Rightarrow \mathcal{L}_{\mathrm{YM}}$ contains cubic and quartic self-interactions of the gauge fields $W_{\mu}^{a}$ :

$$
\begin{aligned}
\mathcal{L}_{\text {kin }} & =-\frac{1}{4}\left(\partial_{\mu} W_{v}^{a}-\partial_{\nu} W_{\mu}^{a}\right)\left(\partial^{\mu} W^{a, v}-\partial^{v} W^{a, \mu}\right) \\
\mathcal{L}_{\text {cubic }} & =-\frac{1}{2} g f_{a b c}\left(\partial_{\mu} W_{v}^{a}-\partial_{\nu} W_{\mu}^{a}\right) W^{b, \mu} W^{c, v} \\
\mathcal{L}_{\text {quartic }} & =-\frac{1}{4} g^{2} f_{a b e} f_{c d e} W_{\mu}^{a} W_{v}^{b} W^{c, \mu} W^{d, v}
\end{aligned}
$$

## Quantization of gauge theories

## propagators

- The (Feynman) propagator of a scalar field:

$$
D(x-y)=\langle 0| T\left\{\phi(x) \phi^{\dagger}(y)\right\}|0\rangle=\int \frac{\mathrm{d}^{4} p}{(2 \pi)^{4}} \frac{\mathrm{i}}{p^{2}-m^{2}+\mathrm{i} \epsilon} \mathrm{e}^{-\mathrm{i} p \cdot(x-y)}
$$

is a Green's function of the Klein-Gordon operator:

$$
\left(\square_{x}+m^{2}\right) D(x-y)=-\mathrm{i} \delta^{4}(x-y) \quad \Leftrightarrow \quad \widetilde{D}(p)=\frac{\mathrm{i}}{p^{2}-m^{2}+\mathrm{i} \epsilon}
$$

- The propagator of a fermion field:

$$
S(x-y)=\langle 0| T\{\psi(x) \bar{\psi}(y)\}|0\rangle=\left(\mathrm{i} \gamma_{x}+m\right) \int \frac{\mathrm{d}^{4} p}{(2 \pi)^{4}} \frac{\mathrm{i}}{p^{2}-m^{2}+\mathrm{i} \epsilon} \mathrm{e}^{-\mathrm{i} p \cdot(x-y)}
$$

is a Green's function of the Dirac operator:

$$
\left(\mathrm{i} \not \partial_{x}-m\right) S(x-y)=\mathrm{i} \delta^{4}(x-y) \quad \Leftrightarrow \quad \widetilde{S}(p)=\frac{\mathrm{i}}{\not p-m+\mathrm{i} \epsilon}
$$

## Quantization of gauge theories

## propagators

- BUT the propagator of a gauge field cannot be defined unless $\mathcal{L}$ is modified:
(e.g. modified Maxwell) $\quad \mathcal{L}=-\frac{1}{4} F_{\mu v} F^{\mu v}-\frac{1}{2 \tilde{\xi}}\left(\partial^{\mu} A_{\mu}\right)^{2}$

Euler-Lagrange: $\frac{\partial \mathcal{L}}{\partial A_{\nu}}-\partial_{\mu} \frac{\partial \mathcal{L}}{\partial\left(\partial_{\mu} A_{\nu}\right)}=0 \quad \Rightarrow \quad\left[g^{\mu v} \square-\left(1-\frac{1}{\xi}\right) \partial^{\mu} \partial^{\nu}\right] A_{\mu}=0$

- In momentum space the propagator is the inverse of:

$$
-k^{2} g^{\mu v}+\left(1-\frac{1}{\xi}\right) k^{\mu} k^{\nu} \quad \Rightarrow \quad \widetilde{D}_{\mu v}(k)=\frac{\mathrm{i}}{k^{2}+\mathrm{i} \epsilon}\left[-g_{\mu v}+(1-\xi) \frac{k_{\mu} k_{\nu}}{k^{2}}\right]
$$

$\Rightarrow$ Note that $\left(-k^{2} g^{\mu v}+k^{\mu} k^{\nu}\right)$ is singular!
$\Rightarrow$ One may argue that $\mathcal{L}$ above will not lead to Maxwell equations ... unless we fix a (Lorenz) gauge where:

$$
\partial^{\mu} A_{\mu}=0 \Leftarrow A_{\mu} \mapsto A_{\mu}^{\prime}=A_{\mu}+\partial_{\mu} \Lambda \text { with } \partial^{\mu} \partial_{\mu} \Lambda \equiv-\partial^{\mu} A_{\mu}
$$

## Quantization of gauge theories

gauge fixing (Abelian case)

- The extra term is called Gauge Fixing:

$$
\mathcal{L}_{\mathrm{GF}}=-\frac{1}{2 \tilde{\xi}}\left(\partial^{\mu} A_{\mu}\right)^{2}
$$

$\Rightarrow \operatorname{modified} \mathcal{L}$ equivalent to Maxwell Lagrangian just in the gauge $\partial^{\mu} A_{\mu}=0$
$\Rightarrow$ the $\xi$-dependence always cancels out in physical amplitudes

- Several choices for the gauge fixing term (simplify calculations): $R_{\xi}$ gauges
('t Hooft-Feynman gauge) $\quad \zeta=1: \quad \widetilde{D}_{\mu v}(k)=-\frac{\mathrm{i} g_{\mu v}}{k^{2}+\mathrm{i} \epsilon}$
(Landau gauge) $\quad \xi=0: \quad \widetilde{D}_{\mu v}(k)=\frac{\mathrm{i}}{k^{2}+\mathrm{i} \epsilon}\left[-g_{\mu v}+\frac{k_{\mu} k_{\nu}}{k^{2}}\right]$


## Quantization of gauge theories

gauge fixing (non-Abelian case)

- For a non-Abelian gauge theory, the gauge fixing terms:

$$
\mathcal{L}_{\mathrm{GF}}=-\sum_{a} \frac{1}{2 \xi_{a}}\left(\partial^{\mu} W_{\mu}^{a}\right)^{2}
$$

allow to define the propagators:

$$
\widetilde{D}_{\mu \nu}^{a b}(k)=\frac{\mathrm{i} \delta_{a b}}{k^{2}+\mathrm{i} \epsilon}\left[-g_{\mu \nu}+\left(1-\xi_{a}\right) \frac{k_{\mu} k_{\nu}}{k^{2}}\right]
$$

BUT, unlike the Abelian case, this is not the end of the story ...

## Quantization of gauge theories

## Faddeev-Popov ghosts

- Add Faddeev-Popov ghost fields $c_{a}(x), a=1, \ldots, N$ :

$$
\mathcal{L}_{\mathrm{FP}}=\left(\partial^{\mu} \bar{c}_{a}\right)\left(D_{\mu}^{\mathrm{adj}}\right)_{a b} c_{b}=\left(\partial^{u} \bar{c}_{a}\right)\left(\partial_{\mu} c_{a}-g f_{a b c} c_{b} W_{\mu}^{c}\right) \Leftarrow \quad D_{\mu}^{\mathrm{adj}}=\partial_{\mu}-\mathrm{i} g T_{c}^{\mathrm{adj}} W_{\mu}^{c}
$$

Computational trick: anticommuting scalar fields, just in loops as virtual particles
$\Rightarrow$ Faddeev-Popov ghosts needed to preserve gauge symmetry:

with

$$
\widetilde{D}_{a b}(k)=\frac{\mathrm{i} \delta_{a b}}{k^{2}+\mathrm{i} \epsilon} \quad[(-1) \text { sign for closed loops! (like fermions) }]
$$

## Quantization of gauge theories

complete Lagrangian

- Then the complete quantum Lagrangian is

$$
\mathcal{L}_{\mathrm{sym}}+\mathcal{L}_{\mathrm{GF}}+\mathcal{L}_{\mathrm{FP}}
$$

$\Rightarrow$ Note that in the case of a massive vector field

$$
\text { (Proca) } \quad \mathcal{L}=-\frac{1}{4} F_{\mu v} F^{\mu v}+\frac{1}{2} M^{2} A_{\mu} A^{\mu}
$$

it is not gauge invariant

- The propagator is:

$$
\widetilde{D}_{\mu \nu}(k)=\frac{\mathrm{i}}{k^{2}-M^{2}+\mathrm{i} \epsilon}\left(-g_{\mu \nu}+\frac{k^{\mu} k^{\nu}}{M^{2}}\right)
$$

## Spontaneous Symmetry Breaking

## discrete symmetry

- Consider a real scalar field $\phi(x)$ with Lagrangian:

$$
\begin{aligned}
& \mathcal{L}=\frac{1}{2}\left(\partial_{\mu} \phi\right)\left(\partial^{\mu} \phi\right)-\frac{1}{2} \mu^{2} \phi^{2}-\frac{\lambda}{4} \phi^{4} \\
& \text { invariant under } \phi \mapsto-\phi \\
& \Rightarrow \quad \mathcal{H}=\frac{1}{2}\left(\dot{\phi}^{2}+(\nabla \phi)^{2}\right)+V(\phi) \\
& V=\frac{1}{2} \mu^{2} \phi^{2}+\frac{1}{4} \lambda \phi^{4}
\end{aligned} \quad \text { (a) }
$$

(b)
$\mu^{2}, \lambda \in \mathbb{R}$ (Real/Hermitian Hamiltonian) and $\lambda>0$ (existence of a ground state)
(a) $\mu^{2}>0$ : min of $V(\phi)$ at $\phi_{\mathrm{cl}}=0$
(b) $\mu^{2}<0$ : min of $V(\phi)$ at $\phi_{\mathrm{cl}}=v \equiv \pm \sqrt{\frac{-\mu^{2}}{\lambda}}, \quad$ in QFT $\langle 0| \phi|0\rangle=v \neq 0(\mathrm{VEV})$

- A quantum field must have $v=0$

$$
\Rightarrow \quad \phi(x) \equiv v+\eta(x), \quad\langle 0| \eta|0\rangle=0
$$

$$
a|0\rangle=0
$$

## Spontaneous Symmetry Breaking

## discrete symmetry

- At the quantum level, the same system is described by $\eta(x)$ with Lagrangian:

$$
\mathcal{L}=\frac{1}{2}\left(\partial_{\mu} \eta\right)\left(\partial^{\mu} \eta\right)-\lambda v^{2} \eta^{2}-\lambda v \eta^{3}-\frac{\lambda}{4} \eta^{4} \quad \text { not invariant under } \quad \eta \mapsto-\eta
$$

$\Rightarrow$ Lesson:
$\mathcal{L}(\phi)$ had the symmetry but the parameters can be such that the ground state of the Hamiltonian is not symmetric (Spontaneous Symmetry Breaking)
$\Rightarrow$ Note:
One may argue that $\mathcal{L}(\eta)$ exhibits an explicit breaking of the symmetry. However this is not the case since the coefficients of terms $\eta^{2}, \eta^{3}$ and $\eta^{4}$ are determined by just two parameters, $\lambda$ and $v$ (remnant of the original symmetry)

## Spontaneous Symmetry Breaking

## continuous symmetry

- Consider a complex scalar field $\phi(x)$ with Lagrangian:

$$
\mathcal{L}=\left(\partial_{\mu} \phi^{\dagger}\right)\left(\partial^{\mu} \phi\right)-\mu^{2} \phi^{\dagger} \phi-\lambda\left(\phi^{\dagger} \phi\right)^{2} \quad \text { invariant under } \mathrm{U}(1): \quad \phi \mapsto \mathrm{e}^{-\mathrm{i} q \theta} \phi
$$

$$
\lambda>0, \mu^{2}<0: \quad\langle 0| \phi|0\rangle \equiv \frac{v}{\sqrt{2}}, \quad|v|=\sqrt{\frac{-\mu^{2}}{\lambda}}
$$

Take $v \in \mathbb{R}^{+}$. In terms of quantum fields:

$$
\phi(x) \equiv \frac{1}{\sqrt{2}}[v+\eta(x)+\mathrm{i} \chi(x)], \quad\langle 0| \eta|0\rangle=\langle 0| \chi|0\rangle=0
$$


$\mathcal{L}=\frac{1}{2}\left(\partial_{\mu} \eta\right)\left(\partial^{\mu} \eta\right)+\frac{1}{2}\left(\partial_{\mu} \chi\right)\left(\partial^{\mu} \chi\right)-\lambda v^{2} \eta^{2}-\lambda \vartheta \eta\left(\eta^{2}+\chi^{2}\right)-\frac{\lambda}{4}\left(\eta^{2}+\chi^{2}\right)^{2}+\frac{1}{4} \lambda v^{4}$
$\Rightarrow$ The actual quantum Lagrangian $\mathcal{L}(\eta, \chi)$ is not invariant under $\mathrm{U}(1)$ $\mathrm{U}(1)$ broken $\Rightarrow$ one scalar field remains massless: $m_{\eta}=\sqrt{2 \lambda} v, m_{\chi}=0$

Note: if $v \mathrm{e}^{\mathrm{i} \alpha}$ (complex) replace $\eta$ by $(\eta \cos \alpha-\chi \sin \alpha)$ and $\chi$ by $(\eta \sin \alpha+\chi \cos \alpha)$

## Spontaneous Symmetry Breaking

## continuous symmetry

- Another example: consider a real scalar $\mathrm{SU}(2)$ triplet $\Phi(x)$

$$
\mathcal{L}=\frac{1}{2}\left(\partial_{\mu} \Phi^{\top}\right)\left(\partial^{\mu} \Phi\right)-\frac{1}{2} \mu^{2} \Phi^{\top} \Phi-\frac{\lambda}{4}\left(\Phi^{\top} \Phi\right)^{2} \quad \text { inv. under } \mathrm{SU}(2): \quad \Phi \mapsto \mathrm{e}^{-\mathrm{i} T_{a} \theta^{a}} \Phi
$$

that for $\lambda>0, \mu^{2}<0$ acquires a VEV $\langle 0| \Phi^{\top} \Phi|0\rangle=v^{2} \quad\left(\mu^{2}=-\lambda v^{2}\right)$
Assume $\Phi(x)=\left(\begin{array}{c}\varphi_{1}(x) \\ \varphi_{2}(x) \\ v+\varphi_{3}(x)\end{array}\right)$ and define $\varphi \equiv \frac{1}{\sqrt{2}}\left(\varphi_{1}+\mathrm{i} \varphi_{2}\right)$
$\mathcal{L}=\left(\partial_{\mu} \varphi^{\dagger}\right)\left(\partial^{\mu} \varphi\right)+\frac{1}{2}\left(\partial_{\mu} \varphi_{3}\right)\left(\partial^{\mu} \varphi_{3}\right)-\lambda v^{2} \varphi_{3}^{2}-\lambda v\left(2 \varphi^{\dagger} \varphi+\varphi_{3}^{2}\right) \varphi_{3}-\frac{\lambda}{4}\left(2 \varphi^{\dagger} \varphi+\varphi_{3}^{2}\right)^{2}+\frac{1}{4} \lambda v^{4}$
$\Rightarrow$ Not symmetric under $\mathrm{SU}(2)$ but invariant under $\mathrm{U}(1)$ :

$$
\varphi \mapsto \mathrm{e}^{-\mathrm{i} q \theta} \varphi \quad(q=\text { arbitrary }) \quad \varphi_{3} \mapsto \varphi_{3} \quad(q=0)
$$

$\mathrm{SU}(2)$ broken to $\mathrm{U}(1) \Rightarrow 3-1=2$ broken generators

$$
\Rightarrow 2 \text { (real) scalar fields }\left(=1 \text { complex) remain massless: } m_{\varphi}=0, m_{\varphi_{3}}=\sqrt{2 \lambda} v\right.
$$

## Spontaneous Symmetry Breaking

## continuous symmetry

$\Rightarrow$ Goldstone's theorem:
The number of massless particles (Nambu-Goldstone bosons) is equal to the number of spontaneously broken generators of the symmetry

Hamiltonian symmetric under group $G \quad \Rightarrow \quad\left[T_{a}, H\right]=0, \quad a=1, \ldots, N$

$$
\text { By definition: } H|0\rangle=0 \quad \Rightarrow \quad H\left(T_{a}|0\rangle\right)=T_{a} H|0\rangle=0
$$

- If $|0\rangle$ is such that $T_{a}|0\rangle=0$ for all generators
$\Rightarrow$ non-degenerate minimum: the vacuum
- If $|0\rangle$ is such that $T_{a^{\prime}}|0\rangle \neq 0$ for some (broken) generators $a^{\prime}$
$\Rightarrow$ degenerate minimum: chose one (true vacuum) and $\mathrm{e}^{-\mathrm{i} T_{a^{\prime}} \theta^{a^{\prime}}}|0\rangle \neq|0\rangle$
$\Rightarrow$ excitations (particles) from $|0\rangle$ to $\mathrm{e}^{-\mathrm{i} T_{a^{\prime}} \theta^{a^{\prime}}}|0\rangle$ cost no energy: massless!

