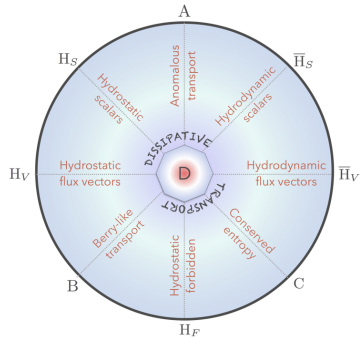


# Towards an effective action for hydrodynamics

Felix Haehl (Durham University)

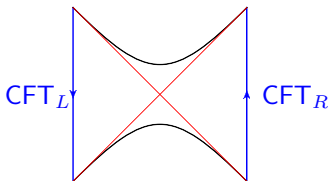


Benasque — 16 July 2015

**FH, R. Loganayagam, M. Rangamani**  
**[1412.1090], [1502.00636], [1507.xxxxx]**

# Motivation

- How to do low energy effective field theory (in a Wilsonian sense) for **mixed states**?
  - ▶ Path integral contains both bras and kets  
⇒ **Schwinger-Keldysh** doubling:  $\mathcal{H}_{phys} \subset \mathcal{H}_R \otimes \mathcal{H}_L$
- Many applications, e.g., **black hole dynamics**:
  - ▶ Double copy somehow encodes physics behind horizon
  - ▶ The two copies are coupled (entanglement, dissipation, ...)
  - ▶ Unitarity? ...



- Preview: doing the doubling properly seems to give a lot of illuminating structure and powerful formalism (incl. new emergent symmetries)

# Motivation

- These are tough questions. Start with something more tractable to learn about the general structure
- **Hydrodynamics:** generic description of near-equilibrium dynamics of mixed states on length scales  $L \gg \ell_{\text{mfp}}$ 
  - ▶ This talk: how to make progress on these problems by formulating hydrodynamics as a Wilsonian effective field theory

# The hydrodynamic gradient expansion

- Hydrodynamics: near-equilibrium EFT for long wavelength fluctuations about Gibbsian density matrix

microscopic theory

$$\downarrow L \gg \ell_{\text{mfp}}$$

macroscopic fluid variables:  $u^\mu(x), T(x), \mu(x)$  ( $u^2 = -1$ )  
background sources:  $g_{\mu\nu}(x), A_\mu(x)$

$\downarrow$  phenomenology

Constitutive relations:

$$T^{\mu\nu} = T_{(0)}^{\mu\nu} + T_{(1)}^{\mu\nu} + \dots$$

$$J^\mu = J_{(0)}^\mu + J_{(1)}^\mu + \dots$$

Dynamics:

$$D_\nu T^{\mu\nu} \simeq F^{\mu\nu} J_\nu$$

$$D_\mu J^\mu \simeq 0$$

- E.g. (charged) ideal fluid:  $T_{(0)}^{\mu\nu} = \varepsilon u^\mu u^\nu + p P^{\mu\nu}$ ,  $J_{(0)}^\alpha = q u^\alpha$

# The hydrodynamic gradient expansion

- Hydrodynamics: near-equilibrium EFT for long wavelength fluctuations about Gibbian density matrix

microscopic theory

↓  $L \gg \ell_{\text{mfp}}$

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↓ phenomenology

Constitutive relations:

$$T^{\mu\nu} = T_{(0)}^{\mu\nu} + T_{(1)}^{\mu\nu} + \dots$$

$$J^\mu = J_{(0)}^\mu + J_{(1)}^\mu + \dots$$

Dynamics:

$$D_\nu T^{\mu\nu} \simeq F^{\mu\nu} J_\nu + \boxed{T_H^{\perp\mu}}$$

$$D_\mu J^\mu \simeq \boxed{J_H^{\perp}} \text{ (cov. anomalies)}$$

- E.g. (charged) ideal fluid:  $T_{(0)}^{\mu\nu} = \varepsilon u^\mu u^\nu + p P^{\mu\nu}$ ,  $J_{(0)}^\alpha = q u^\alpha$

# The hydrodynamic gradient expansion

- Our goal **is not**...
  - ... to determine transport coefficients  $(\varepsilon, p, q, \dots)$  for any particular microscopic system
  - ... to solve the fluid equations for  $\{u^\mu, T, \mu\}$
- Our goal **is**: to provide all symmetry-allowed constitutive relations order by order in  $\nabla_\mu$  which are consistent with:

## Second law constraint:

$$\exists J_S^\mu = s u^\mu + J_{S,(1)}^\mu + \dots \quad \text{with} \quad D_\mu J_S^\mu \gtrsim 0 \quad (\text{on-shell})$$

- ▶ Gives quite non-trivial constraints on physically allowed constitutive relations, e.g.:
  - ★ Neutral 1<sup>st</sup> order: viscosities  $\eta, \zeta \geq 0$
  - ★ Neutral 2<sup>nd</sup> order: 5 relations among 15 a-priori independent transport coefficients
  - ★ Anomaly induced transport completely fixed

*Bhattacharyya '12*

*Son-Surovka '09*

*Jensen-Loganayagam-Yarom '13*

# So what's the problem?

- This 'current algebra' approach is phenomenologically very well understood & tested
- But: doesn't make much sense from point of view of **Wilsonian field theory**

## Phenomenological hydrodynamics

- "current algebra": provide all tensor structures  $T^{\mu\nu}$ ,  $J^\mu$  ad hoc
- dynamics = conservation laws
- second law constraint:  $D_\mu J_S^\mu \gtrsim 0$
- ??
- ??

## Natural for field theorist

- fields & symmetries  $\Rightarrow$  eff. action  $S$
- $T^{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g_{\mu\nu}}$ ,  $J^\mu = \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta A_\mu}$
- dynamics:  $\delta S = 0$
- ??
- Schwinger-Keldysh path integral
- dual black hole description

# Outline

- ✓ Review of hydrodynamics
- Adiabaticity and dissipation
  - Effective actions I: Simple Lagrangians
  - Classification of transport
  - Effective actions II: Doubling and emergent symmetry
  - Outlook: Effective actions III



## Disclaimer

From now on I will only discuss **neutral fluids**.

Adding an arbitrary number of abelian or non-abelian flavours is mainly a technical task without new conceptual ideas, see [1502.00636].

# Off-shell entropy production and adiabaticity

- Inequality constraint  $\nabla_\mu J_S^\mu \gtrsim 0$  is much more conveniently incorporated if we don't have to simplify it using equations of motion.
- Use Lagrange multiplier  $\beta^\mu$  and consider **off-shell statement**:

$$\nabla_\mu J_S^\mu + \beta_\mu \left( \nabla_\nu T^{\mu\nu} - T_H^{\mu\perp} \right) \equiv \Delta \geq 0$$

*Loganayagam '11*

- Natural Lagrange multiplier:
  - ▶  $\beta^\mu = \frac{1}{T} u^\mu$  (local thermal vector)

Task: solve for  $\{J_S^\mu, T^{\mu\nu}\}$  as functionals of  $\{\beta^\mu, g_{\mu\nu}\}$

- ▶ Ideally: Find Wilsonian effective action which defines all solutions off-shell

- Marginal case  $\Delta = 0$ : '**adiabaticity equation**'
  - ▶ Particularly rich structure!  $\Rightarrow$  focus on this first

## Aside: adiabaticity equation for free energy current

$$\nabla_\mu J_S^\mu + \beta_\mu \left( \nabla_\nu T^{\mu\nu} - T_H^{\mu\perp} \right) = 0$$

- Can trade entropy current  $J_S^\mu$  for **free energy current**  $\mathcal{G}^\sigma$ :

$$-\frac{\mathcal{G}^\sigma}{T} \equiv J_S^\sigma - (J_S^\sigma)_{\text{canonical}} \quad \text{with} \quad (J_S^\sigma)_{\text{canonical}} = -\beta_\nu T^{\nu\sigma}$$

- Grand-canonical version of adiabaticity equation:

$$-\left[ \nabla_\sigma \left( \frac{\mathcal{G}^\sigma}{T} \right) - \frac{\mathcal{G}_H^\perp}{T} \right] = \frac{1}{2} T^{\mu\nu} \mathcal{L}_\beta g_{\mu\nu}$$

- ▶ Solve for  $\{\mathcal{G}^\sigma, T^{\mu\nu}\}$  as functionals of  $\{\beta^\mu, g_{\mu\nu}\}$

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# Effective actions I: Lagrangians

- Consider most obvious effective action:

- ▶ Fields: hydrodynamic fields + sources =  $\{\beta^\mu, g_{\mu\nu}\}$
- ▶ Symmetries: diffeomorphism invariance

$$S = \int \sqrt{-g} \mathcal{L}[\beta^\mu, g_{\mu\nu}]$$

- ▶ Basic variation defines hydrodynamic currents:

$$\delta S = \int \sqrt{-g} \left[ \frac{1}{2} T^{\mu\nu} \delta g_{\mu\nu} + T \mathfrak{h}_\sigma \delta \beta^\sigma + \underbrace{\nabla_\mu (\delta \Theta_{\text{PS}})^\mu}_{\text{surface term}} \right]$$

- ▶ This defines the stress tensor  $T^{\mu\nu}$
- ▶ Does it solve **adiabaticity equation**?
- ▶ Does it exhibit **correct dynamics** (= conservation)?

# Effective actions I: Lagrangians

- To check that  $T^{\mu\nu}$  is a solution of adiabaticity equation, need to define entropy current in terms of effective action  $S$ :

$$J_S^\mu = s u^\mu \quad \text{with} \quad s \equiv \left[ \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta T} \right]_{\{u^\mu, g_{\mu\nu}\} \text{ fixed}} = -\mathfrak{h}_\sigma \beta^\sigma$$

- Demand invariance of  $S$  under arbitrary diffeos. This gives **Bianchi identity**:

$$\nabla_\nu T^{\mu\nu} = \frac{g^{\mu\nu}}{\sqrt{-g}} \mathcal{L}_\beta (\sqrt{-g} T \mathfrak{h}_\nu)$$

- Get indeed solution to adiabaticity equation:

$$\nabla_\mu J_S^\mu + \beta_\mu \nabla_\nu T^{\mu\nu} = -\nabla_\mu [(\beta^\sigma \mathfrak{h}_\sigma) T \beta^\mu] + \frac{1}{\sqrt{-g}} \beta^\nu \mathcal{L}_\beta (\sqrt{-g} T \mathfrak{h}_\nu) = 0$$

## Remark: interpretation of entropy current

- The free energy current derived from our definition of  $J_S^\mu$  is

$$\mathcal{G}^\sigma \equiv -\mathcal{L} u^\sigma + T \underbrace{(\delta_{\mathcal{B}} \Theta_{\text{PS}})^\sigma}_{\substack{\text{surface term w/} \\ \delta g_{\mu\nu} \mapsto \mathcal{L} \beta g_{\mu\nu}}}$$

- ▶  $N^\mu \equiv \mathcal{L} \beta^\mu - (\delta_{\mathcal{B}} \Theta_{\text{PS}})^\mu$  is the **Noether current**  
for diffeomorphisms along  $\beta^\mu$

# Consistency check: hydrostatic equilibrium

- To get a feeling for why our  $\mathcal{G}^\sigma$  is sensible, consider **hydrostatic equilibrium**
  - ▶ Spacetime manifold: Euclidean  $\Sigma_{\mathcal{M}} \times S^1$
  - ▶  $\exists$  timelike Killing vector  $K^\mu = \beta^\mu|_{equil.}$  with  $\mathcal{L}_K g_{\mu\nu} = 0$

$$\mathcal{G}^\sigma|_{equil.} = -\mathcal{L}u^\sigma$$

... is a **Landau-Ginzburg** free-energy current! Indeed:

$$S|_{equil.} = \int_{\Sigma_{\mathcal{M}} \times S^1} \mathcal{L}[K^\mu, g_{\mu\nu}] d^d x = - \int_{\Sigma_{\mathcal{M}}} \left( \frac{\mathcal{G}^\sigma}{T} \right) d^{d-1} S_\sigma$$

... is an **equilibrium partition function**



# Dynamics

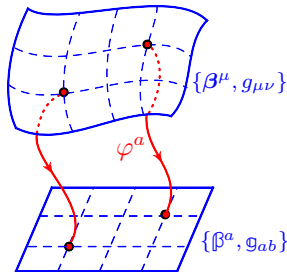
- To get correct dynamics, formulate problem as a  $\sigma$ -model
  - ▶ Physical fields are pullbacks of a **reference configuration**:

$\varphi^a$  :      physical fluid  $\longrightarrow$  worldvolume reference manifold

$$g_{\mu\nu} = \frac{\partial\varphi^a}{\partial x^\mu} \frac{\partial\varphi^b}{\partial x^\nu} g_{ab}[\varphi(x)], \quad \beta^\mu = \frac{\partial x^\mu}{\partial\varphi^a} \beta^a[\varphi(x)]$$

- ▶ Vary pullback fields  $\varphi^a$ , while holding the reference configuration  $\beta^a$  fixed

$$\frac{\delta S}{\delta\varphi^a} = 0 \quad \Rightarrow \quad \frac{1}{\sqrt{-g}} \mathcal{L}_\beta(\sqrt{-g} T h_\nu) \simeq 0$$



- Reminder: Bianchi identity:  $\nabla_\nu T^{\mu\nu} = \frac{g^{\mu\nu}}{\sqrt{-g}} \mathcal{L}_\beta(\sqrt{-g} T h_\nu)$ 
  - ▶ Hence get the dynamics expected from phenomenology

# So are we done?

- Is this a complete Lagrangian theory of hydrodynamics?

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- Is this a complete Lagrangian theory of hydrodynamics?
  - ▶ No. A lot of transport is not captured by this construction.

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## Example: neutral, conformal fluid at $\mathcal{O}(\partial^2)$

- Most general 2<sup>nd</sup> order (neutral, Weyl-invariant) stress tensor:

$$\begin{aligned} T_{(2)}^{\mu\nu} = & (\lambda_1 - \kappa) \sigma^{\langle\mu\alpha} \sigma_{\alpha}{}^{\nu\rangle} \\ & + (\lambda_2 + 2\tau - 2\kappa) \sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle} \\ & + \tau (u^\alpha \mathcal{D}_\alpha^{\mathcal{W}} \sigma^{\mu\nu} - 2\sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle}) \\ & + \lambda_3 \omega^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle} \\ & + \kappa \left( C^{\mu\alpha\nu\beta} u_\alpha u_\beta + \sigma^{\langle\mu\alpha} \sigma_{\alpha}{}^{\nu\rangle} + 2\sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle} \right) \end{aligned}$$

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 &\quad + \tau (u^\alpha \mathcal{D}_\alpha^{\mathcal{W}} \sigma^{\mu\nu} - 2\sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle}) && \rightarrow \text{Class } \bar{H}_S \\
 &\quad + \lambda_3 \omega^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle} && \rightarrow \text{Class } H_S \\
 &\quad + \kappa (C^{\mu\alpha\nu\beta} u_\alpha u_\beta + \sigma^{\langle\mu\alpha} \sigma_{\alpha}{}^{\nu\rangle} + 2\sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle}) && \rightarrow \text{Class } H_S
 \end{aligned}$$

$\tau, \lambda_3, \kappa$

Are all derivable from a Lagrangian

$$\mathcal{L}_2^{\mathcal{W}}[\beta^\mu, g_{\mu\nu}] = \frac{1}{4} \left[ -\frac{2\kappa}{(d-2)} ({}^{\mathcal{W}}R) + 2(\kappa - \tau) \sigma^2 + (\lambda_3 - \kappa) \omega^2 \right]$$

Note:  $\lambda_3$  and  $\kappa$  are hydrostatic,  $\tau$  is genuinely hydrodynamic

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 T_{(2)}^{\mu\nu} &= (\lambda_1 - \kappa) \sigma^{\langle\mu\alpha} \sigma_{\alpha}{}^{\nu\rangle} && \rightarrow \text{Class D} \\
 &+ (\lambda_2 + 2\tau - 2\kappa) \sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle} \\
 &+ \tau (u^\alpha \mathcal{D}_\alpha^\omega \sigma^{\mu\nu} - 2\sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle}) && \rightarrow \text{Class } \bar{H}_S \\
 &+ \lambda_3 \omega^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle} && \rightarrow \text{Class } H_S \\
 &+ \kappa (C^{\mu\alpha\nu\beta} u_\alpha u_\beta + \sigma^{\langle\mu\alpha} \sigma_{\alpha}{}^{\nu\rangle} + 2\sigma^{\langle\mu\alpha} \omega_{\alpha}{}^{\nu\rangle}) && \rightarrow \text{Class } H_S
 \end{aligned}$$

$$(\lambda_1 - \kappa)$$

Leads to  $\Delta \simeq -(\lambda_1 - \kappa) \frac{1}{T} \sigma^\mu{}_\nu \sigma^\nu{}_\rho \sigma^\rho{}_\mu$

$\Rightarrow$  Dissipative ( $\Rightarrow$  not Lagrangian)

(but unconstrained by second law, since  $\sigma^3 \ll \sigma^2$ )

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 \end{aligned}$$

$$(\lambda_2 + 2\tau - 2\kappa)$$

Looks schematically like a Berry curvature (Class B):

$$(T^{\mu\nu})_B \equiv -\frac{1}{4} \left( \mathcal{N}^{(\mu\nu)(\alpha\beta)} - \mathcal{N}^{(\alpha\beta)(\mu\nu)} \right) \mathcal{L}_{\beta} g_{\alpha\beta}$$

(cannot be obtained from Lagrangian)



## Example: neutral, conformal fluid at $\mathcal{O}(\partial^2)$

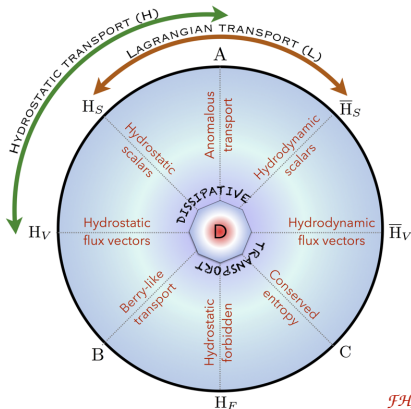
- Out of 5 transport coefficients, 3 come from a Lagrangian:  $\tau$ ,  $\lambda_3$  and  $\kappa$
- For fluids described by  $\mathcal{L}[\beta^\mu, g_{\mu\nu}]$ , the other 2 combinations are zero:

$$(\lambda_1 - \kappa) = 0 \quad \text{and} \quad (\lambda_2 + 2\tau - 2\kappa) = 0$$

- ▶ These relations have been **observed in Einstein gravity** *Haack-Yarom '08*
  - ★ Our simple Lagrangians seem to know about holography
  - ★ Derive  $\mathcal{L}[\beta^\mu, g_{\mu\nu}]$  from gravity directly? *Nickel-Son '10*  
*de Boer et al. '15, Crossley et al. '15*
- ▶ First relation ensures **no entropy production at subleading order** (this is not required by second law!)  
→ **"Principle of minimum dissipation"** in holography?

*FH, Loganayagam, Rangamani '14*

# Summary of eight classes of transport



S and V refer to:  

$$\mathcal{G}^\sigma = S \beta^\sigma + \mathcal{V}^\sigma$$

*JH, Loganayagam, Rangamani '15*

## Theorem: The eightfold way of hydrodynamic transport

There are eight classes of hydrodynamic transport consistent with the second law. Two of them are describable by Lagrangians  $\mathcal{L}[\beta^\mu, g_{\mu\nu}]$ . Further, Class  $H_F$  constitutive relations are forbidden by the second law.

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## Effective actions II: Schwinger-Keldysh mystery

- Non-equilibrium effective field theory should involve **Schwinger-Keldysh doubling**:

$$\mathcal{H}_{phys} \subset \mathcal{H}_R \otimes \mathcal{H}_L$$

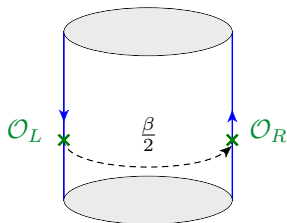
- Integrating out high energy modes from SK path integral leads to coupling between R and L ("**influence functionals**")

Just doubling everything gives too much freedom (easy to write influence functionals which violate microscopic unitarity)

- ▶ Important obstacle for systematic understanding of non-equilibrium physics (mixed states, dissipation, fluctuations, noise...)
- But: fluids are heavily constrained by second law and a lot of nice structure in the classification
  - ▶ Can solve the problem of influence functionals in the case of hydrodynamics

## Effective actions II: KMS condition

- In thermal equilibrium: microscopic theory should satisfy **KMS invariance**
  - ▶ Non-local boundary conditions on SK correlators to make sure they are analytic continuations of Euclidean correlators



- Proposal:

At long distances (hydro regime) the non-local KMS relations turn into an emergent local  $U(1)_T$  symmetry.

## Effective actions II: KMS condition

- How to make this explicit in hydrodynamics?
  - ▶ Reminder I:  $\nabla_\mu J_S^\mu = 0$  was mysterious from Wilsonian point of view
  - ▶ Reminder II: For 'Lagrangian' classes of transport,  $N^\sigma \equiv J_S^\sigma - (J_S^\sigma)_{\text{canonical}}$  is **Noether current for diffeomorphisms along  $\beta^\mu$**
  - ▶ Elevate these **thermal translations** to a  $U(1)_T$  gauge symmetry with gauge field  $A^{(T)}_\mu$
  - ▶ Demand effective action be invariant under this symmetry (s.t. adiabaticity  $\Leftrightarrow U(1)_T$  conservation equation)

# Effective actions II: adiabatic master Lagrangian

## Proposed field content:

- ▷ Hydrodynamic field:  $\beta^\mu$
- ▷ Background source:  $g_{\mu\nu}$
- ▷ SK copy of source:  $\tilde{g}_{\mu\nu}$
- ▷  $U(1)_T$  gauge field:  $A^{(T)}_\mu$

## Proposed symmetries:

- ▷ Diffeo invariance
- ▷  $U(1)_T$  KMS gauge invariance  
(act like twisted diffeomorphism along  $\beta^\mu$ )

- **Any constitutive relations**  $\{T^{\mu\nu}, \mathcal{G}^\sigma\}$  **which satisfy adiabaticity equation** can be obtained from a diffeo and  $U(1)_T$  invariant Lagrangian (and vice versa):

*FH-Loganayagam-Rangamani '14-'15*

$$\mathcal{L}_T[\beta^\mu, g_{\mu\nu}, \tilde{g}_{\mu\nu}, A^{(T)}_\mu] = \frac{1}{2} T^{\mu\nu} \tilde{g}_{\mu\nu} - \frac{\mathcal{G}^\sigma}{T} A^{(T)}_\sigma$$

## Effective actions II: some compelling features

- Field content and symmetries are such that we **get precisely the 7 adiabatic classes** and nothing more (no Class  $\mathbb{H}_F$ )
  - ▶  $U(1)_T$  keeps Schwinger-Keldysh doubling under control
  - ▶ Adiabaticity equation is consequence of  $U(1)_T$  Bianchi identity
  - ▶ Conserved entropy current is gauge current of emergent  $U(1)_T$  symmetry
- Summary: this construction provides a complete EFT explanation of phenomenological axioms of (adiabatic) hydrodynamics



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# Outlook: Effective actions III

- $\mathcal{L}[\beta^\mu, g_{\mu\nu}]$ : 2 out of 7+1 classes
  - $\mathcal{L}[\beta^\mu, g_{\mu\nu}, \tilde{g}_{\mu\nu}, A^{(\top)}_\mu]$ : effective action for all 7 classes of adiabatic transport
  - Plan to get the 8<sup>th</sup> dissipative class:
    - ▶ Understand in detail the structure of SK path integrals and KMS condition
      - ★ Surprising features: right way to formulate is in terms of **hidden BRST symmetries**
    - ▶ Derive  $U(1)_T$  emergent symmetry from first principles
    - ▶ Formulate the hydrodynamic  $\sigma$ -model more systematically
    - ▶ Action principle for all 8 classes
- FH-Loganayagam-Rangamani [1507.xxxxx] and [w.i.p.]*
- Investigate consequences for holography, black holes etc.