



# Inelastic x-ray scattering: recent applications

**Simo Huotari**

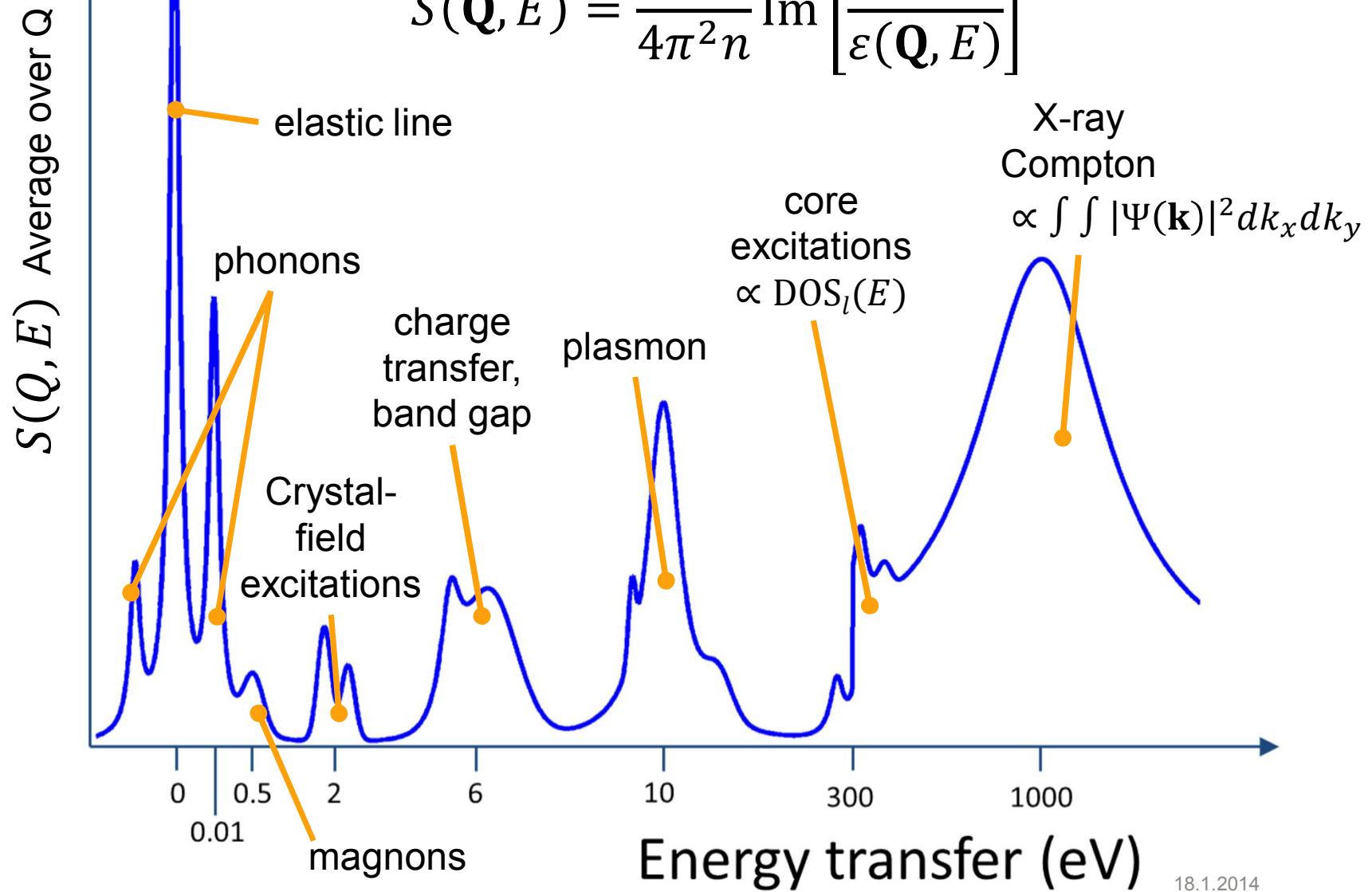
**Helsinki Electronic Structure and Inelastic X-ray scattering group  
University of Helsinki, Finland**

**Benasque TDDFT workshop January 2014**



# Dynamic structure factor

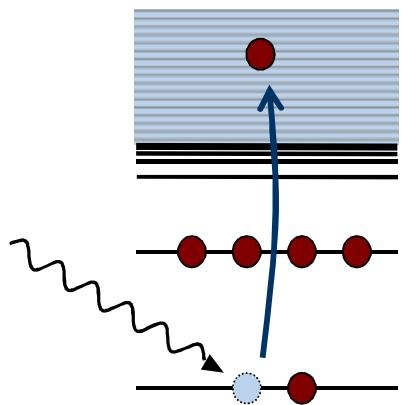
$$S(\mathbf{Q}, E) = \frac{\mathbf{Q}^2}{4\pi^2 n} \text{Im} \left[ \frac{-1}{\varepsilon(\mathbf{Q}, E)} \right]$$



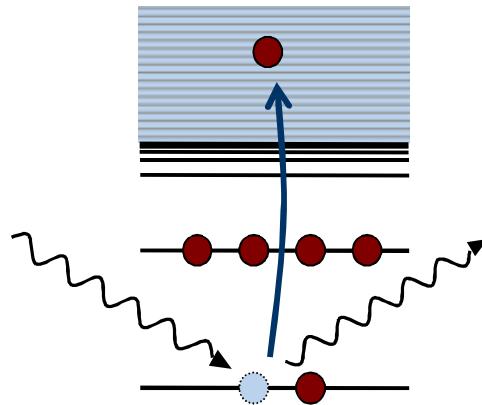


# (Nonresonant) Inelastic X-ray Scattering

Absorption



nonresonant IXS



Variables:

$$E = E_1 - E_2$$

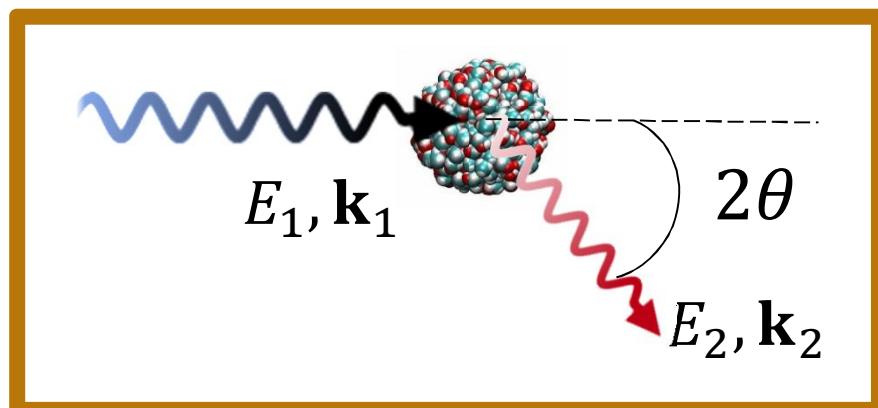
$$\hbar\mathbf{Q} = \hbar\mathbf{k}_1 - \hbar\mathbf{k}_2$$

$$Q = 4\pi \sin(2\theta/2) / \lambda$$

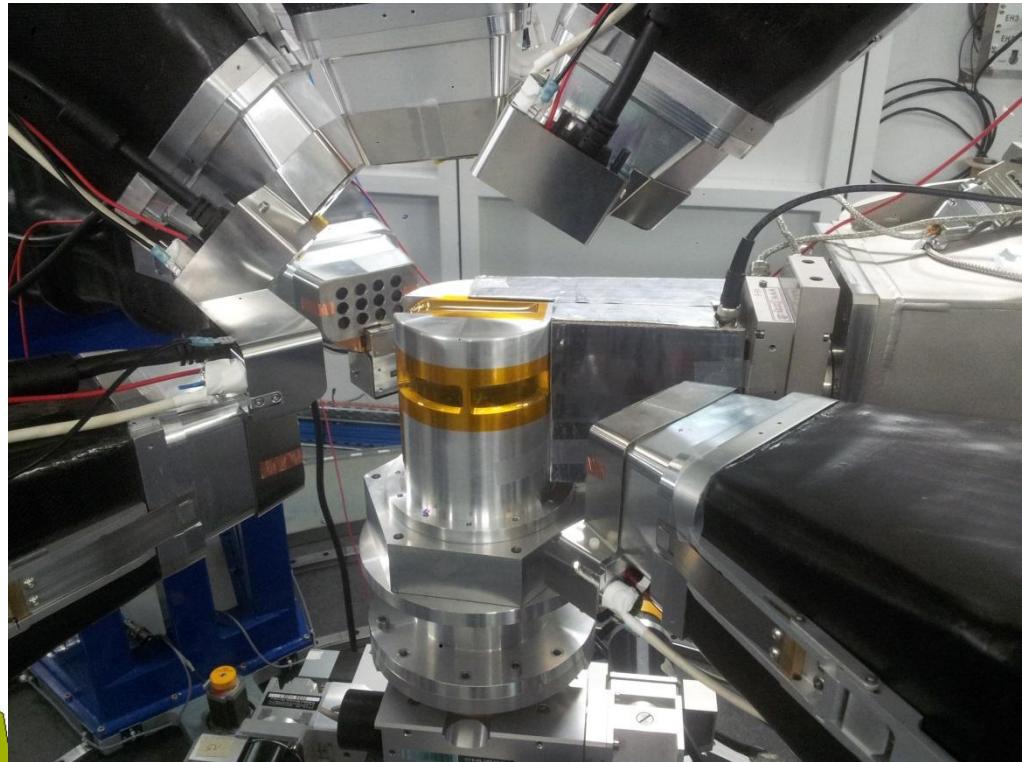
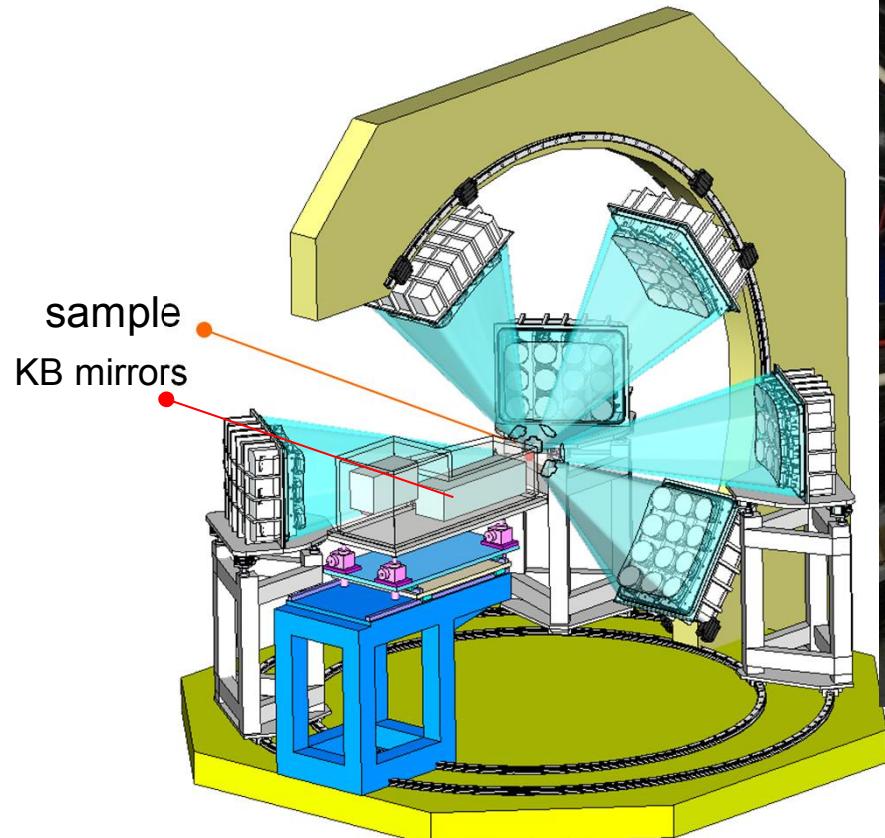
Measured quantity:  
the dynamic structure factor

$$S(\mathbf{Q}, E) \propto \sum_f |\langle f | \exp(i\mathbf{Q} \cdot \mathbf{r}) | i \rangle|^2$$

$$e^{i\mathbf{Q} \cdot \mathbf{r}} = 1 + i\mathbf{Q} \cdot \mathbf{r} - (\mathbf{Q} \cdot \mathbf{r})^2/2 + \dots$$



# ID20@ESRF, Grenoble, France

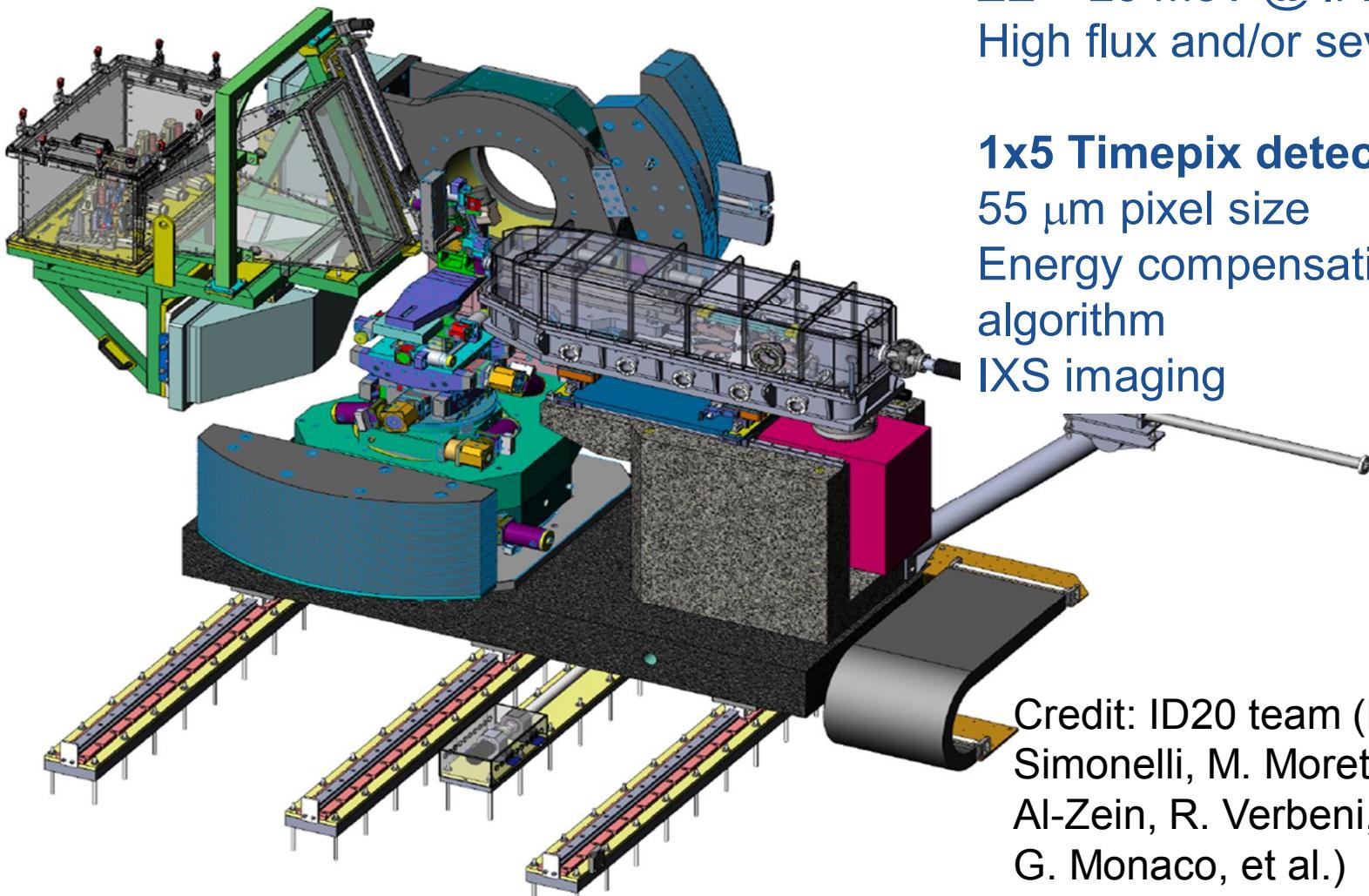


ID20 team and coworkers (Laura Simonelli,  
M. Moretti Sala, Ali Al-Zein, R. Verbeni,  
M. Krisch, P. Glatzel, G. Monaco, et al.)

72 analyser crystals (=grating monochromators)  
3 horizontal and vertical modules of 12 analysers each



# ID20 @ ESRF: Resonant IXS



**5 bent or diced analysers**  
 $\Delta E \sim 25$  meV @ Ir  $L_3$   
High flux and/or several q's

**1x5 Timepix detectors**  
55  $\mu\text{m}$  pixel size  
Energy compensation  
algorithm  
IXS imaging

Credit: ID20 team (Laura Simonelli, M. Moretti Sala, Ali Al-Zein, R. Verbeni, M. Krisch, G. Monaco, et al.)



# Outline

Part 1 Carbon nanotubes and graphite

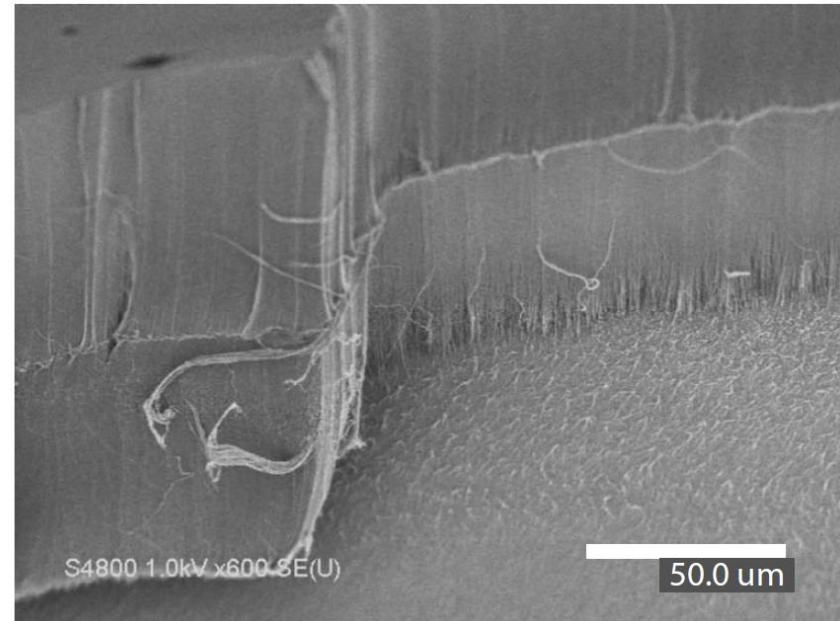
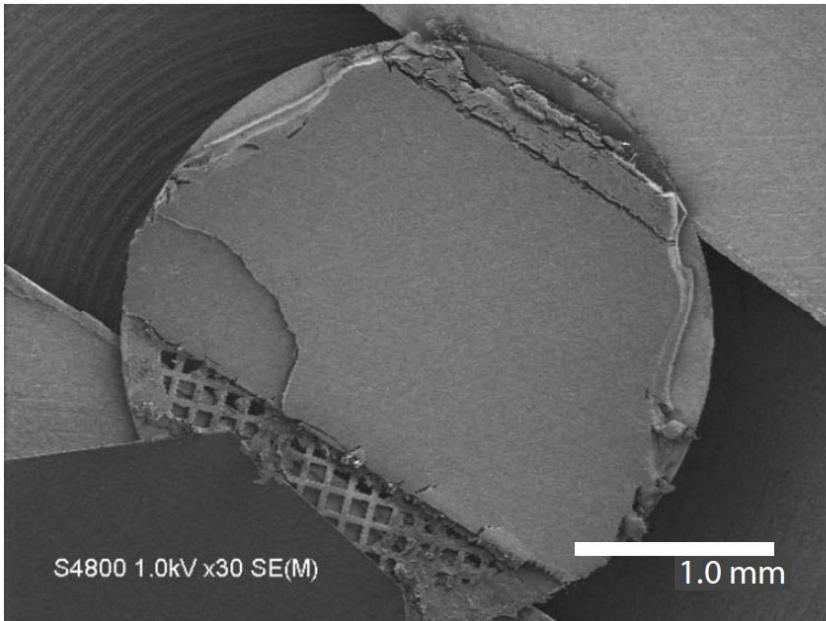
Part 2 Optical excitations in CO<sub>2</sub>

Part 3  $d \rightarrow d$  excitations in CuO

Part 4 Momentum distribution



# Aligned carbon nanotube forest



$$\text{IXS: Intensity} \propto S(\mathbf{Q}, E) = \frac{\mathbf{Q}^2}{4\pi^2 n} \text{Im} \left[ \frac{-1}{\varepsilon(\mathbf{Q}, E)} \right]$$

- weak interaction with sample, difficult to focalize beam on individual nanoparticle

EELS (e.g. in an electron microscope): Intensity  $\propto S(\mathbf{Q}, E)/Q^4$

- large interaction, possible to focus electron beam on very small spot

C. Kramberger et al., PRB 81, 205410 (2010)

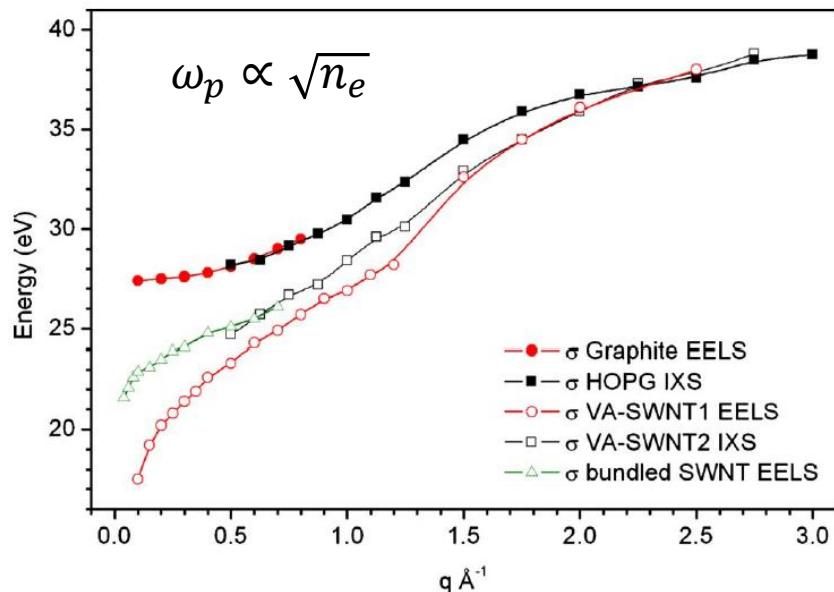
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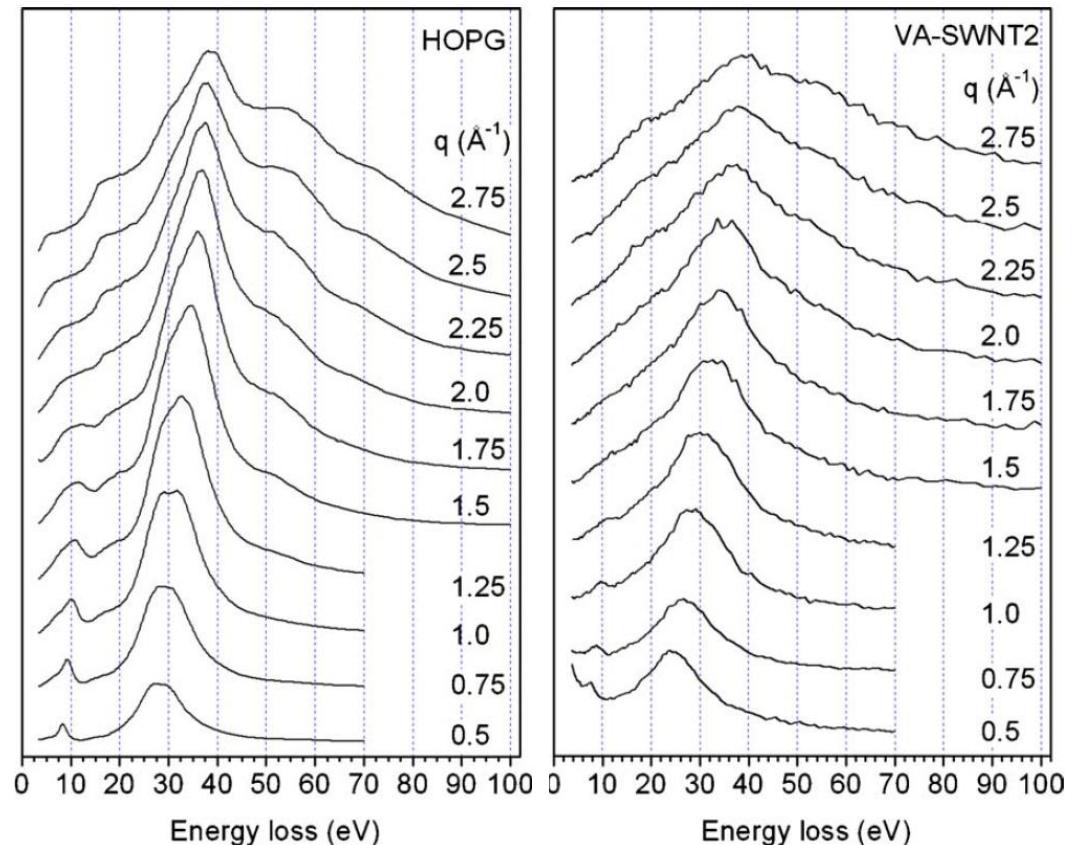


# IXS spectra from HOPG/SWNT

EELS and IXS are complementary probes of the same response function



Bulk plasmon in graphite and carbon nanotubes



C. Kramberger et al., PRB 81, 205410 (2010)

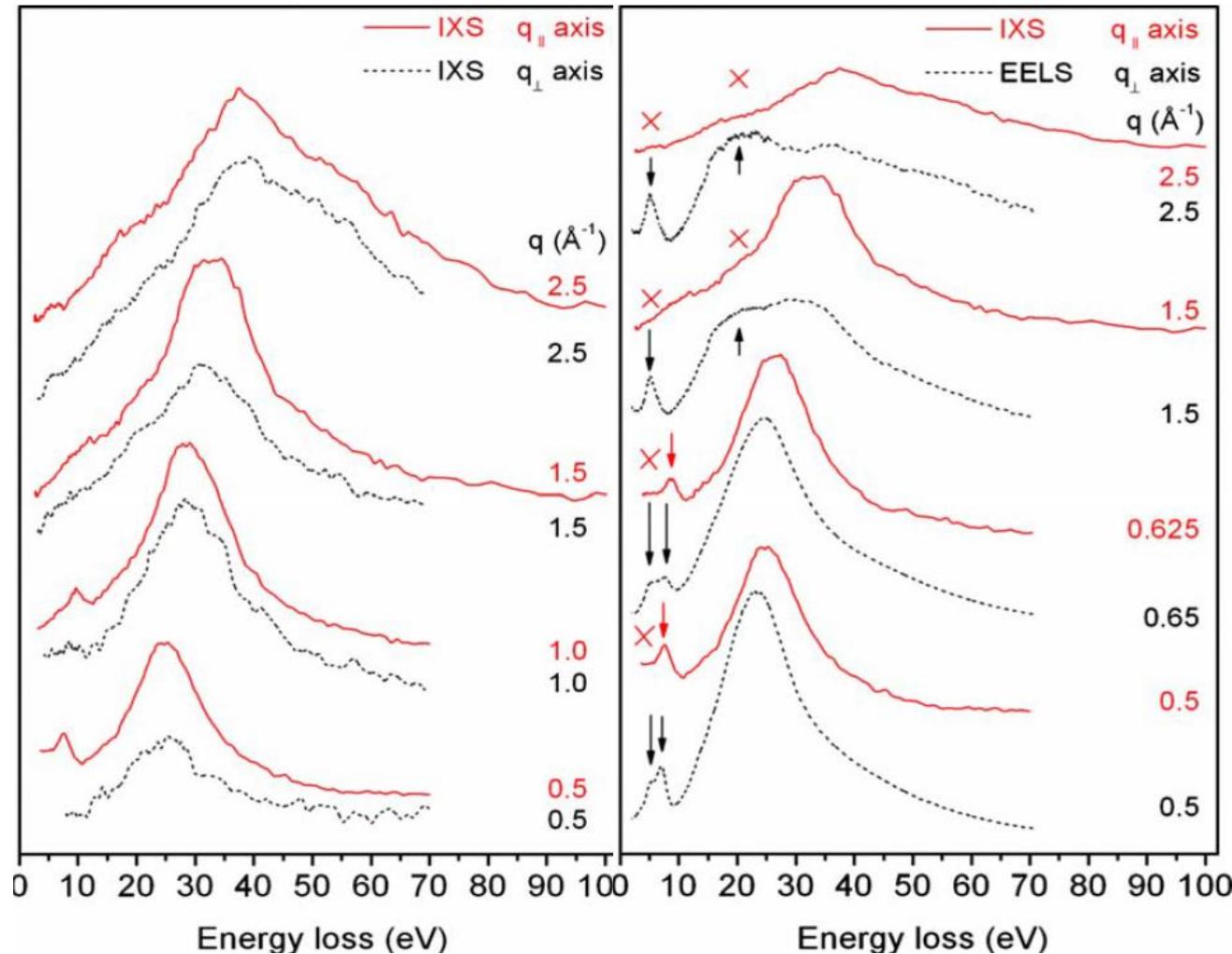


# IXS compared to EELS

Vertically aligned single walled carbon nanotubes

$q$  parallel to tube axis  
(along  $sp^2$ )

$q$  perpendicular to tube axis  
(out-of-plane)



EELS measurements also in  
C. Kramberger et al. PRL 100, 196803 (2008)

C. Kramberger et al., PRB 81, 205410 (2010)  
Phys. Status Solidi C 7, 2789 (2010)

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Part 1 Carbon nanotubes and graphite

Part 2 Optical excitations in CO<sub>2</sub>

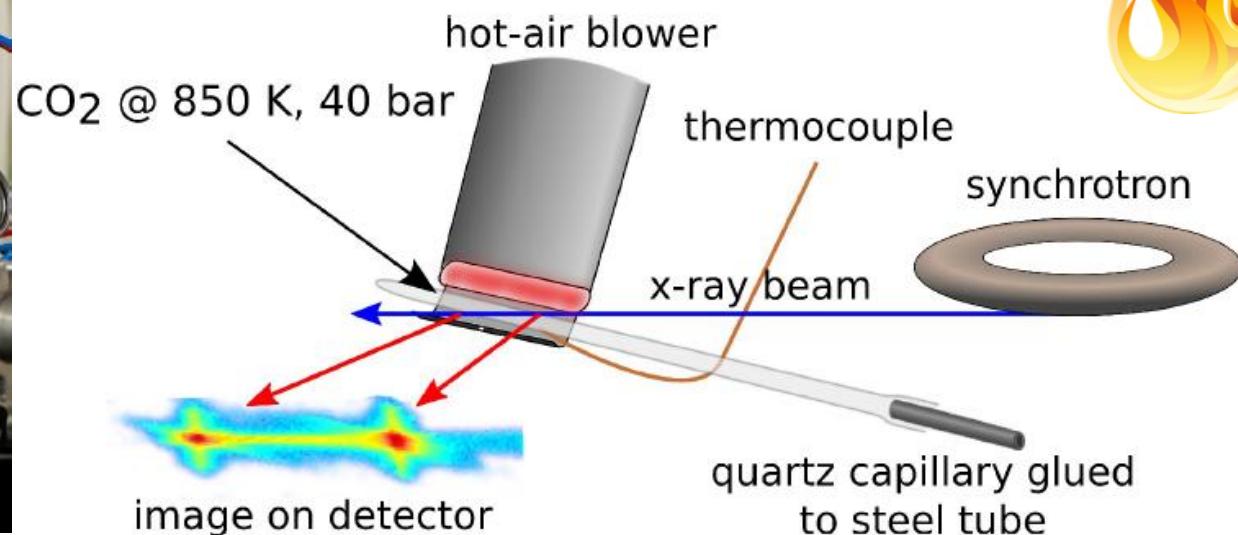
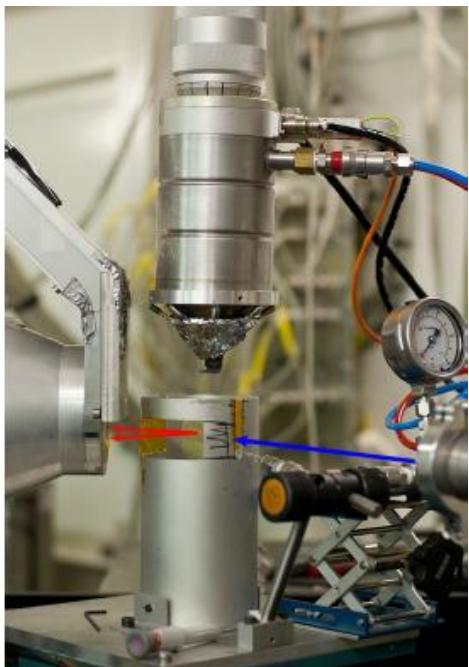
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# Optical excitations in CO<sub>2</sub>

- high pressure, high temperature
- access UV-excitations with IXS
- new information on non-dipolar transitions
- also inner core shell excitations studied

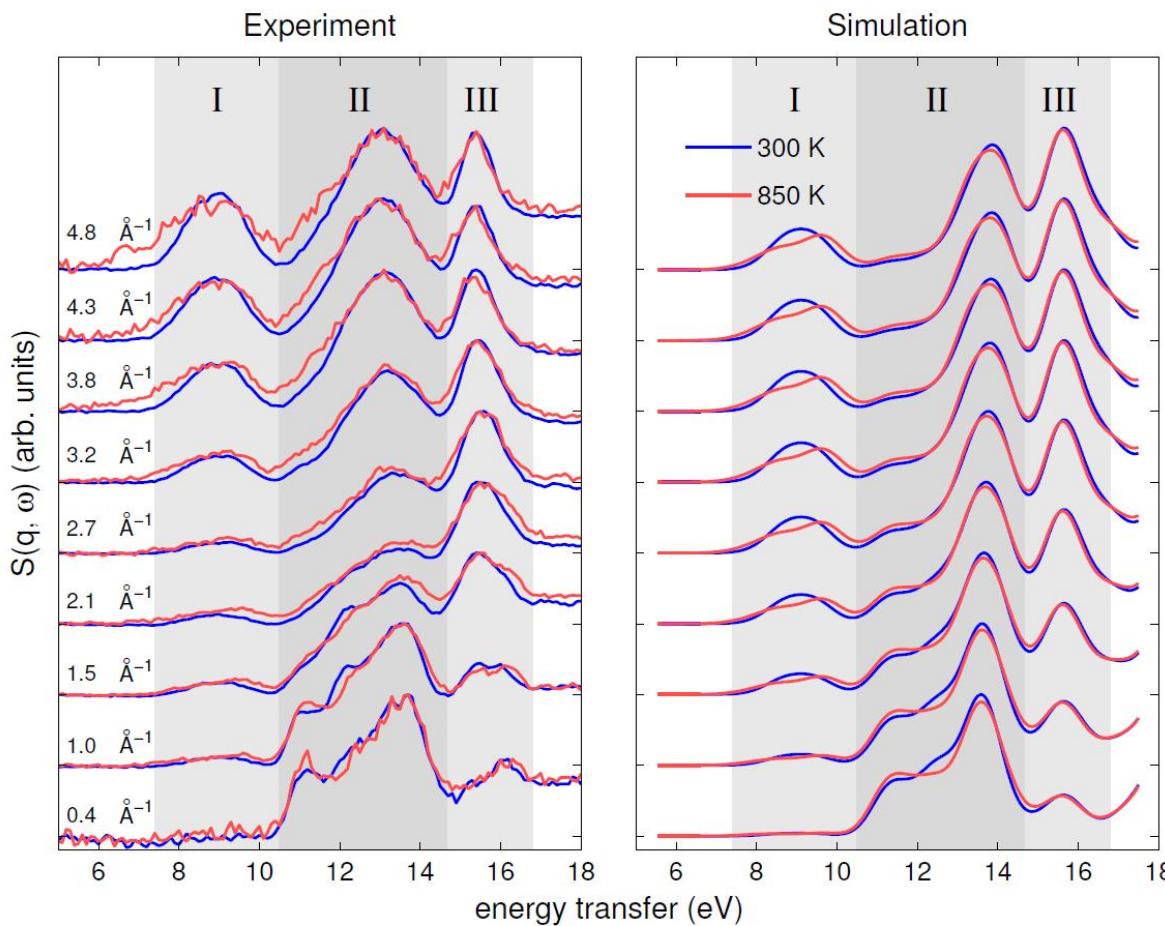


J. Inkinen et al., PCCP 15, 9231 (2013)  
A. Sacco et al., PCCP 13, 11678 (2011)

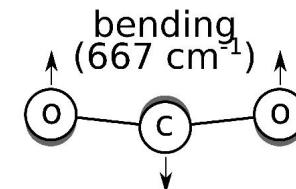
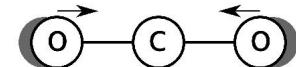


# Optical excitations in CO<sub>2</sub>

$$\frac{d\sigma(\mathbf{q}, \omega)}{d\Omega dE} = (\mathbf{e}_2^* \cdot \mathbf{e}_1) \frac{\omega_2}{\omega_1} \delta(E_f - E_i - E) \rho(i, \nu_i) \sum_{i, f, \nu_i, \nu_f} \left| \langle \nu_f | \nu_i \rangle \sum_j \langle \psi_f | e^{i\mathbf{q} \cdot \mathbf{r}_j} | \psi_i \rangle \right|^2$$



symmetric stretch  
(1333 cm<sup>-1</sup>)



antisymmetric stretch  
(2349 cm<sup>-1</sup>)



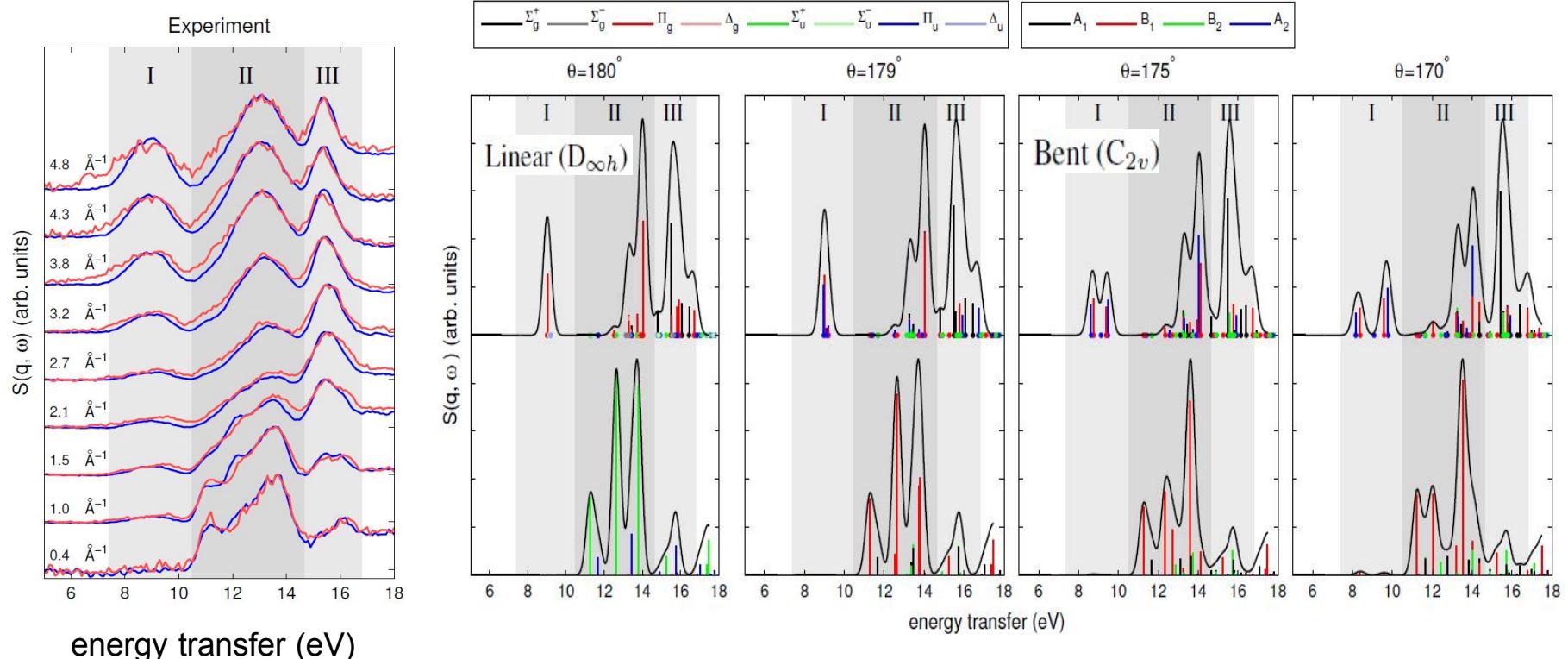
- Coupled cluster method
- MOLPRO code
- aug-cc-pVQZ basis set



# Optical excitations in CO<sub>2</sub>

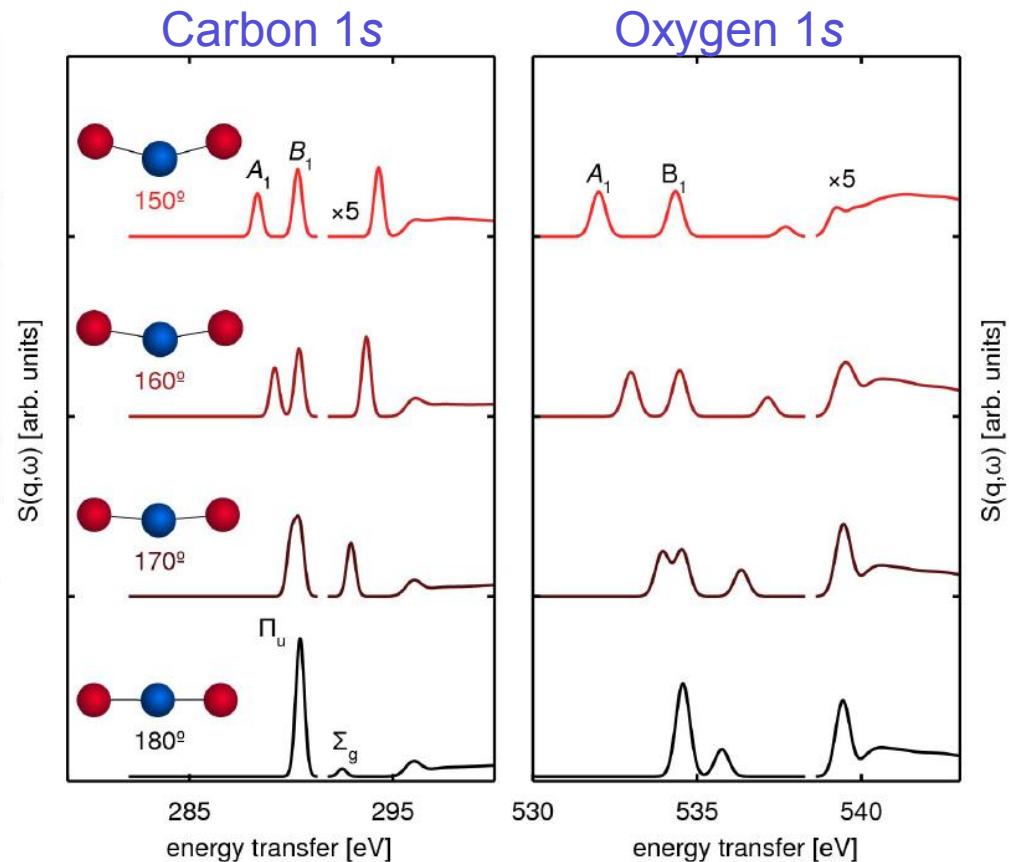
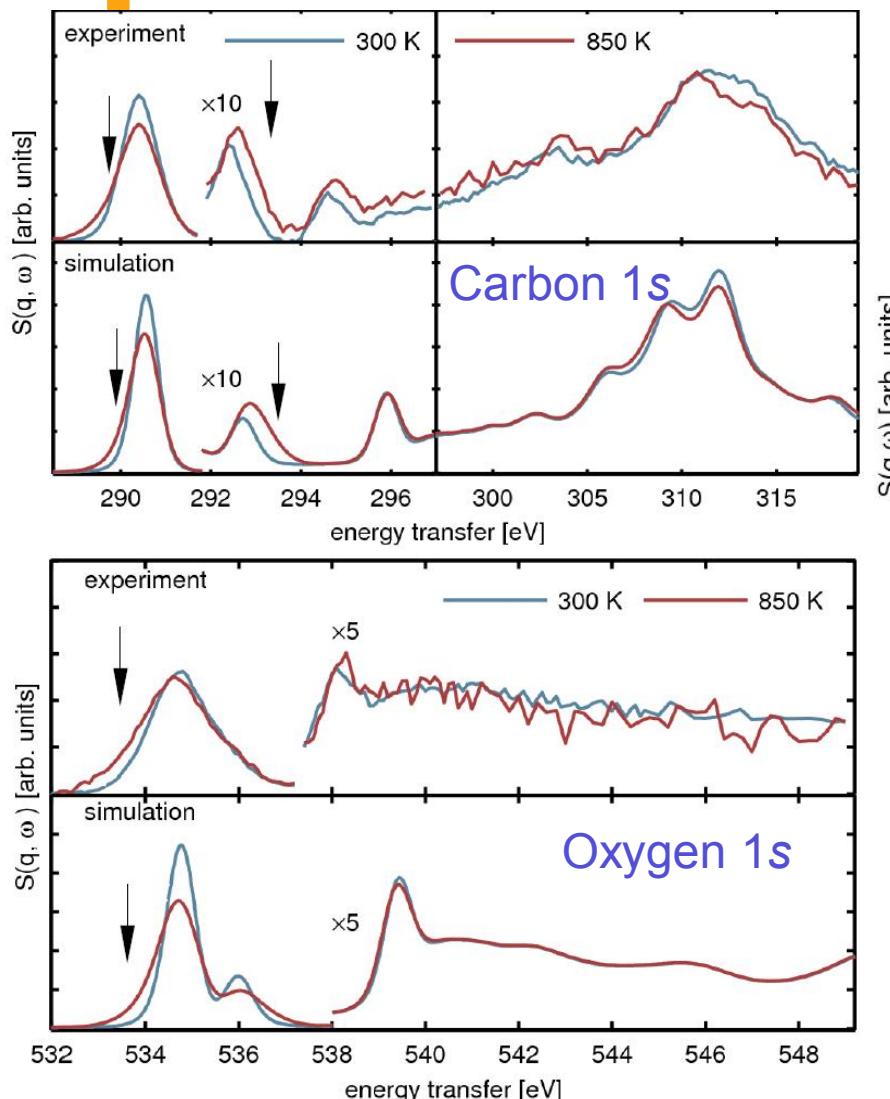
- simulation by Johannes Niskanen, Univ. Helsinki
- dipolar and quadrupolar transitions
- EOM-CCSD

$$\begin{aligned} & \langle \psi_f(\theta) | e^{i\mathbf{q} \cdot \mathbf{r}} | \psi_i(\theta) \rangle \\ & \approx \langle \psi_f(\theta) | 1 + i\mathbf{q} \cdot \mathbf{r} - 1/2(\mathbf{q} \cdot \mathbf{r})^2 | \psi_i(\theta) \rangle \\ & = i \sum_k q_k \langle \psi_f(\theta) | r_k | \psi_i(\theta) \rangle - 1/2 \sum_{k,l} q_k q_l \langle \psi_f(\theta) | r_k r_l | \psi_i(\theta) \rangle \end{aligned}$$





# Also inner core level excitations



J. Inkinen et al., PCCP 15, 9231 (2013)  
A. Sacco et al., PCCP 13, 11678 (2011)  
Calculations done using DFT  
(ERKALE code developed in Helsinki by S.  
Lehtola et al., <http://erkale.googlecode.com>)

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# Cupric oxide

Nature Materials 2008 **LETTERS**

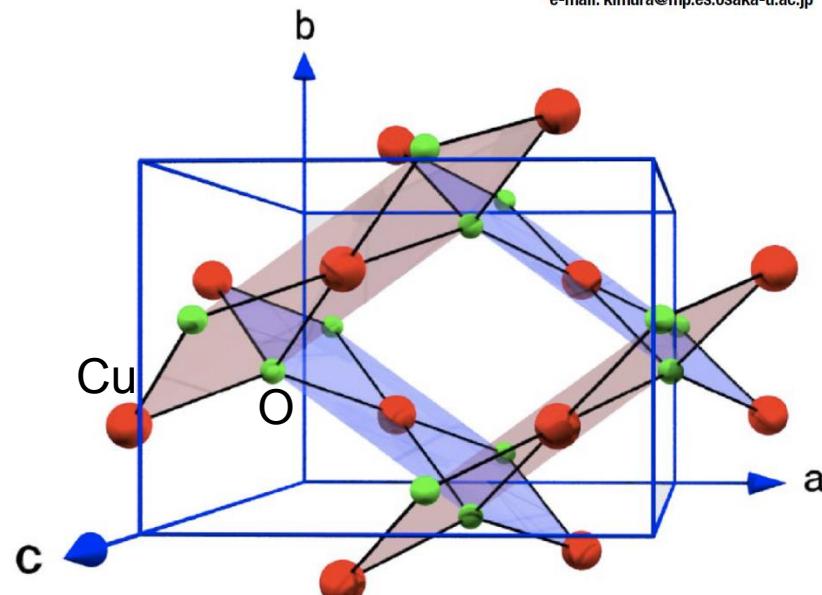
## Cupric oxide as an induced-multiferroic with high- $T_C$

T. KIMURA<sup>1\*</sup>, Y. SEKIO<sup>1</sup>, H. NAKAMURA<sup>1</sup>, T. SIEGRIST<sup>2</sup> AND A. P. RAMIREZ<sup>2</sup>

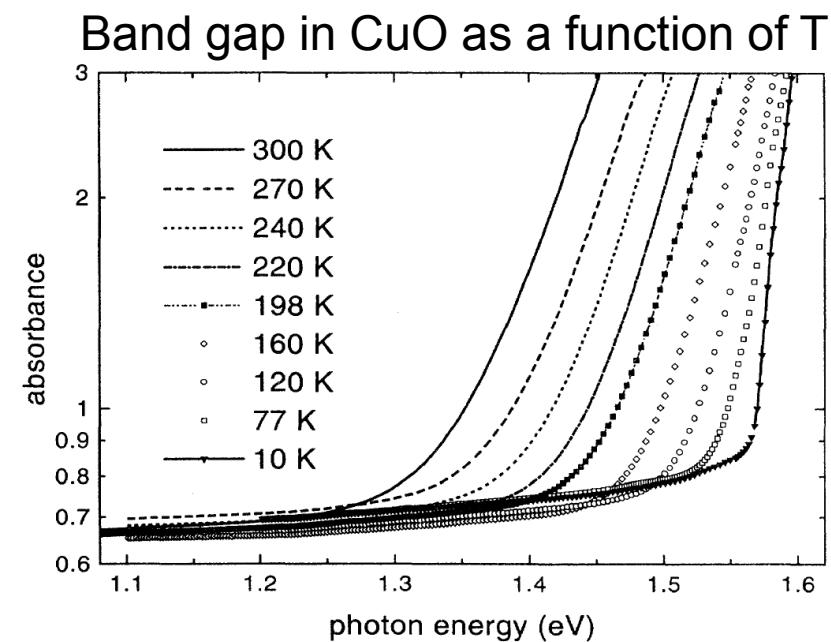
<sup>1</sup>Division of Materials Physics, Graduate School of Engineering Science, Osaka University, Toyonaka, Osaka 560-8531, Japan

<sup>2</sup>Bell Laboratories, Alcatel-Lucent, 600 Mountain Avenue, Murray Hill, New Jersey 07974, USA

\*e-mail: kimura@mp.es.osaka-u.ac.jp



G. Döring et al. PRB 2004

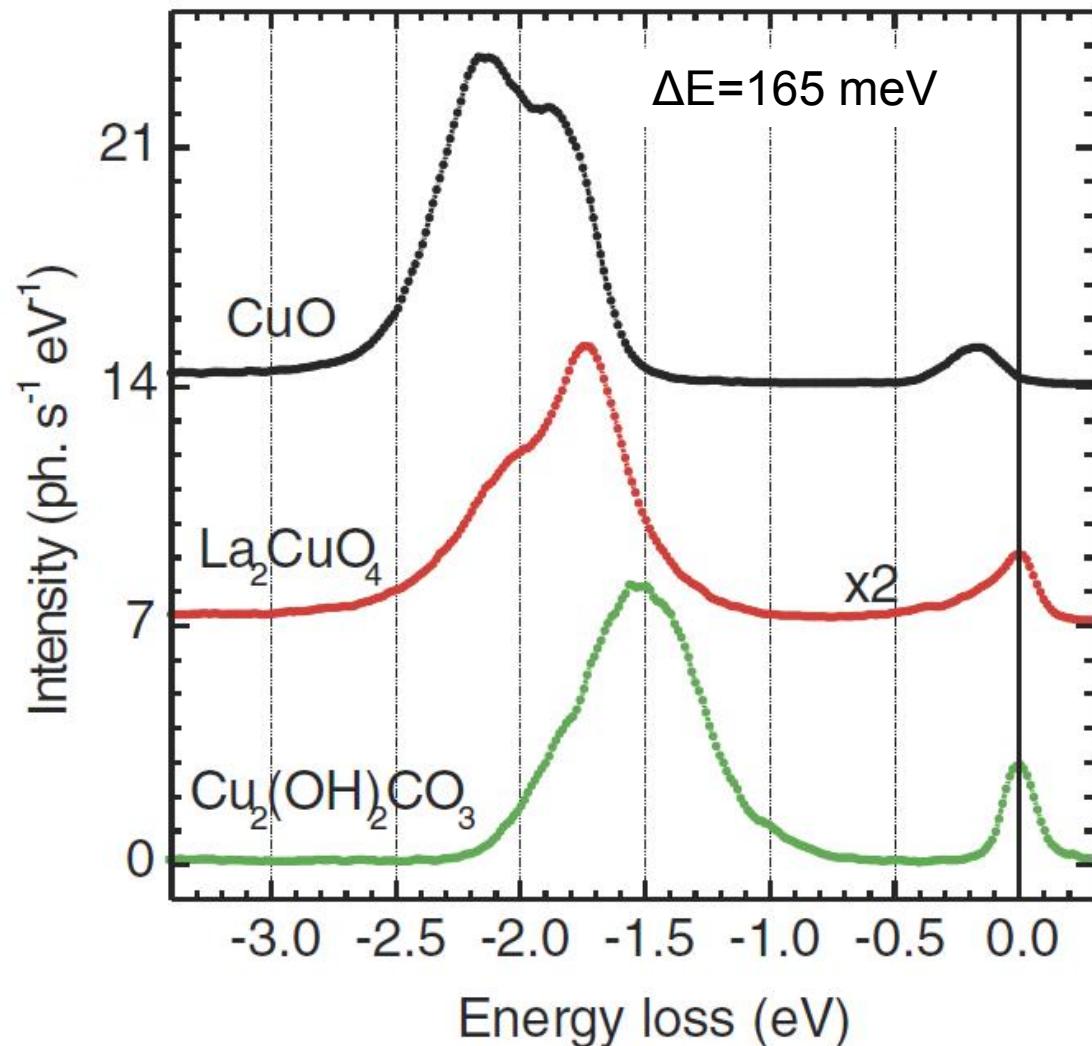
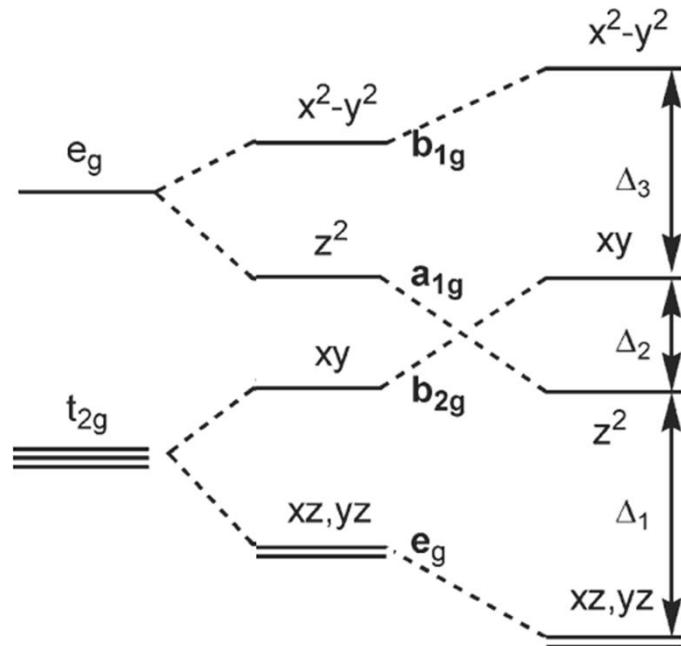


Marabelli et al. PRB 1995



# Cupric oxide

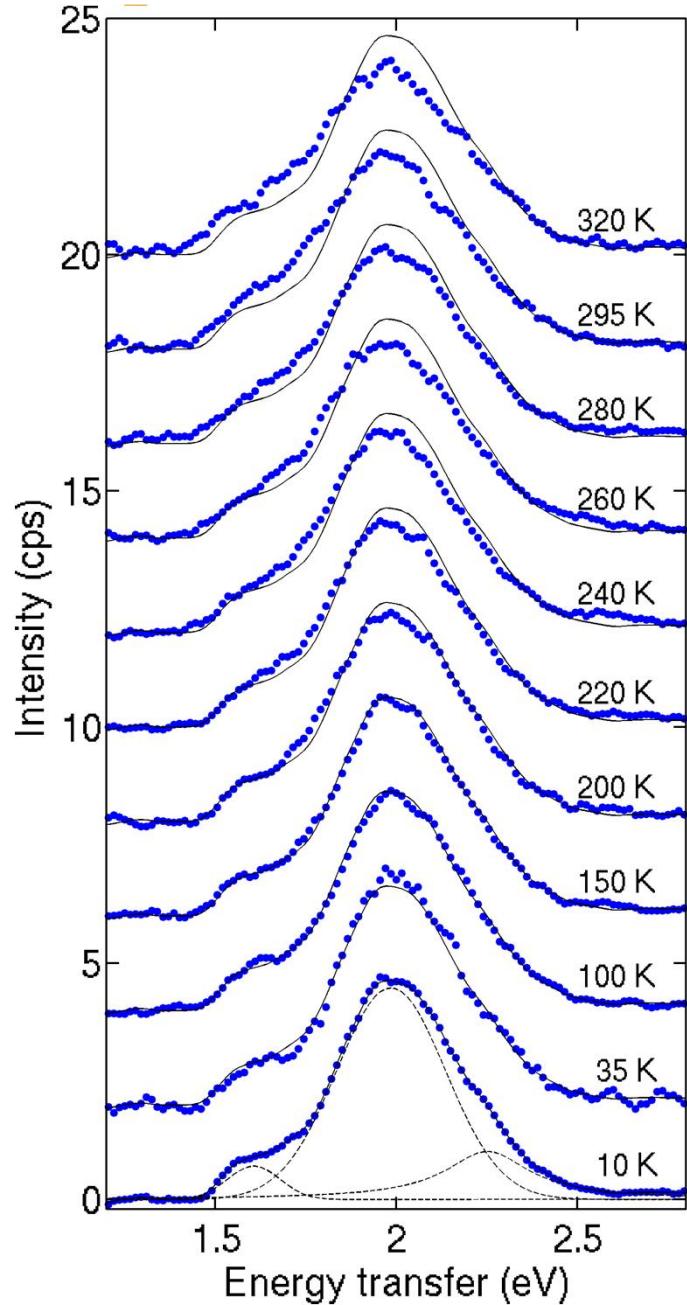
## crystal field excitations



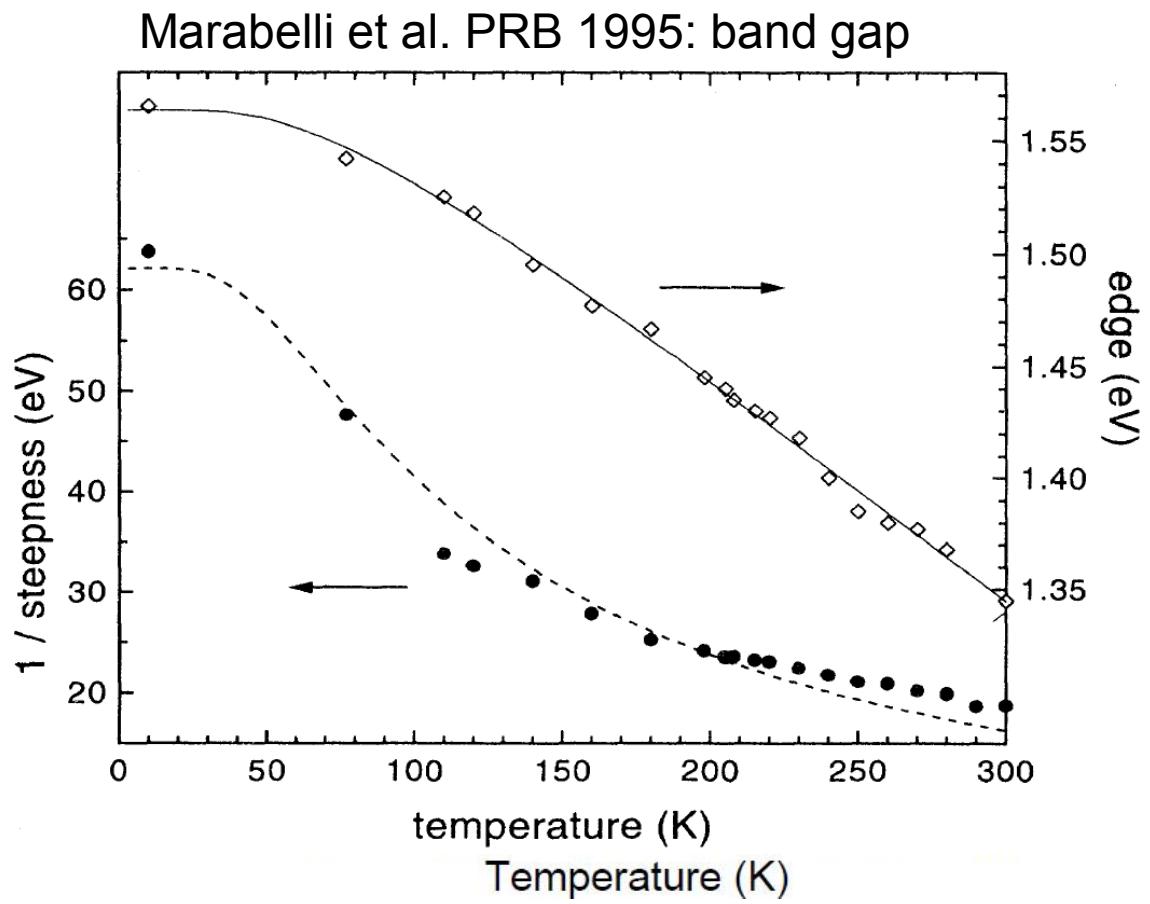
G. Ghiringhelli et al. *EPL* 80 2009

18.1.2014  
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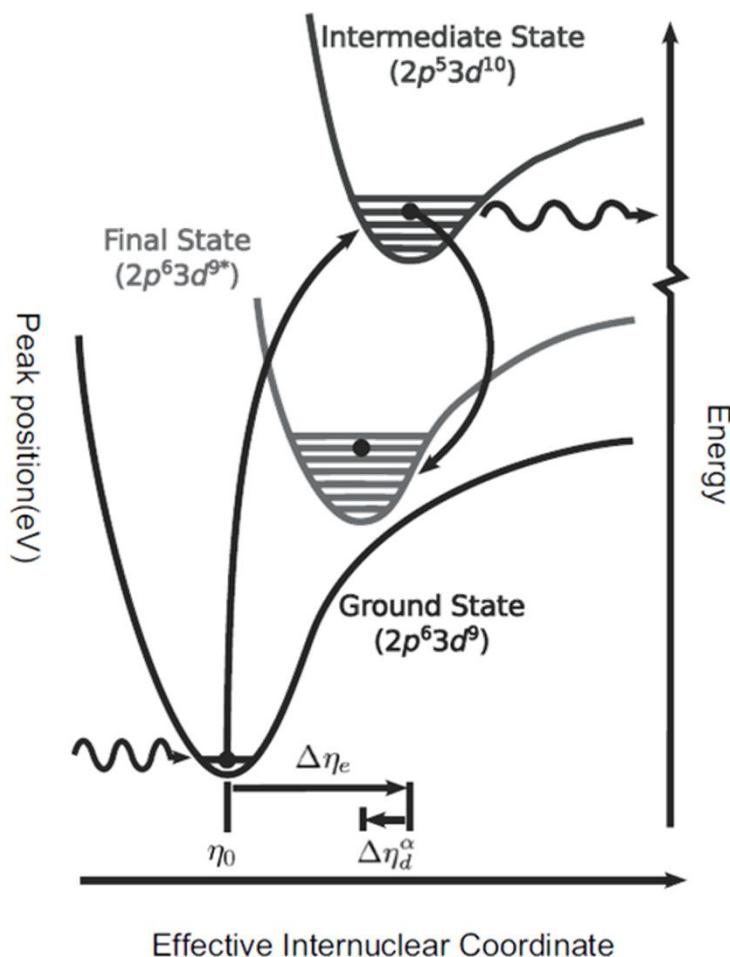
# Cupric oxide crystal field excitations



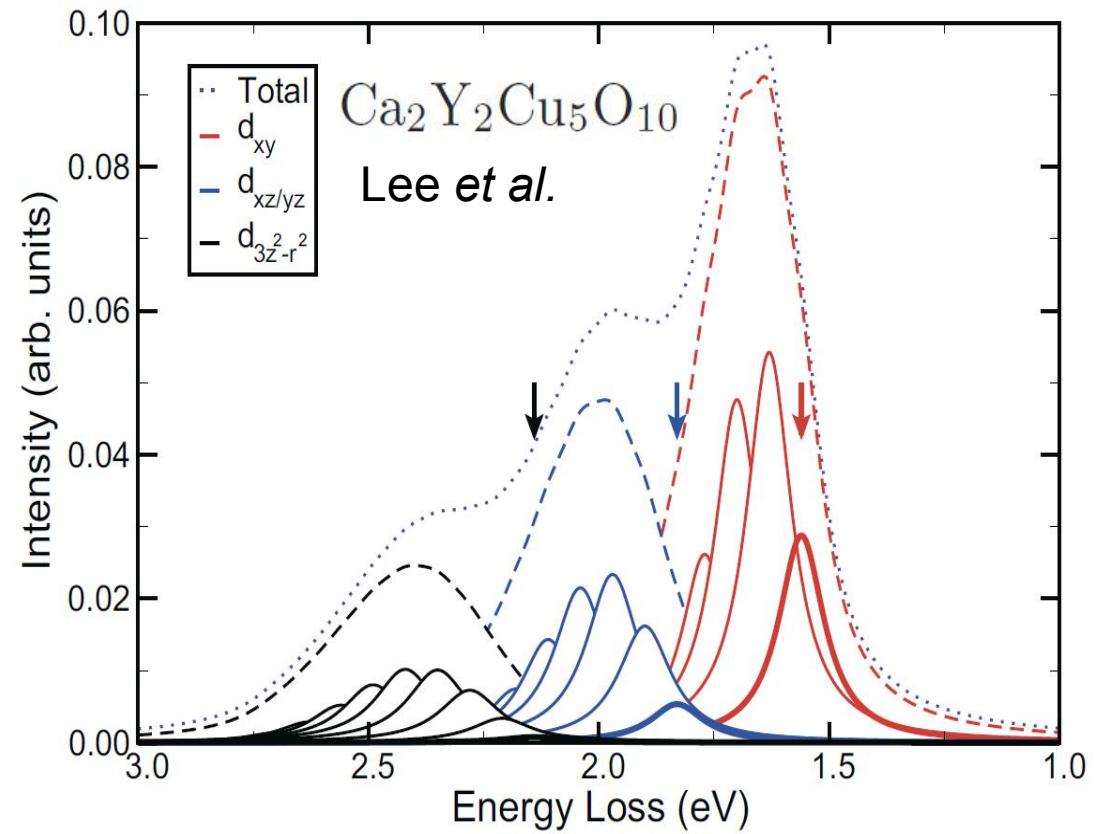


# Franck-Condon treatment

...more advanced



J. J. Lee et al., arXiv: 1312.2639v1 [cond-mat.str-el]





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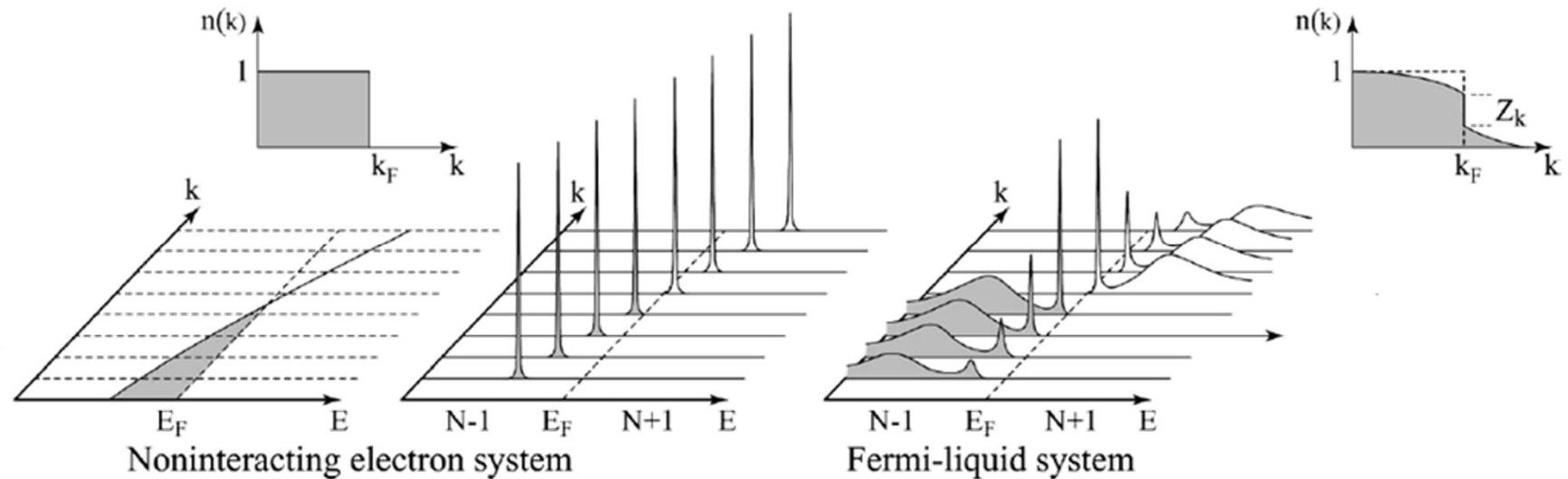
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# Quasiparticle renormalisation

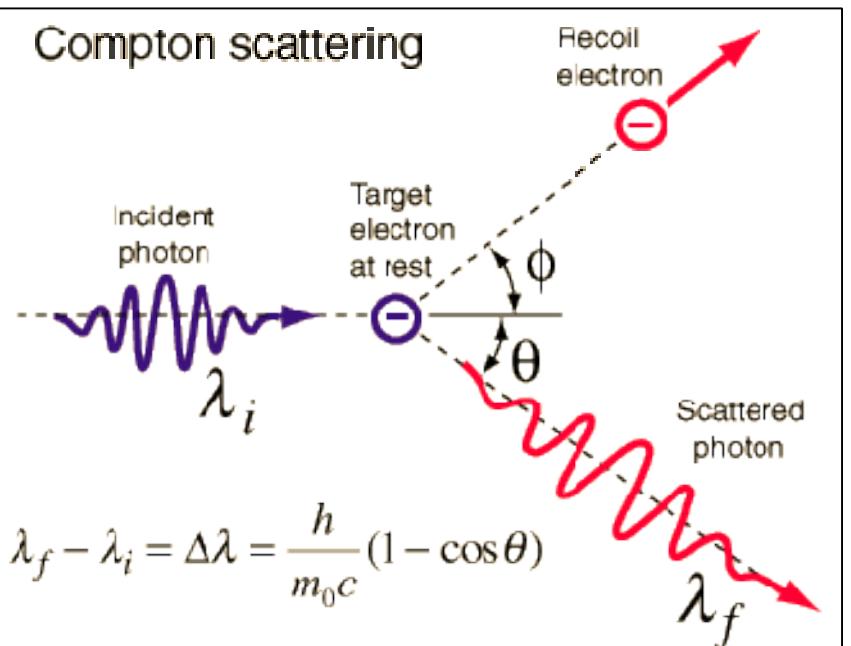


$$\text{PES} \propto \sum_{f,i} \left| M_{f,i}^{\mathbf{p}} \right|^2 A(\mathbf{p}, E) \delta(E_K + E_m^{N-1} - E_i^N - \omega_1)$$

A. Damascelli et al.,  
Rev. Mod. Phys 75, 473 (2003)



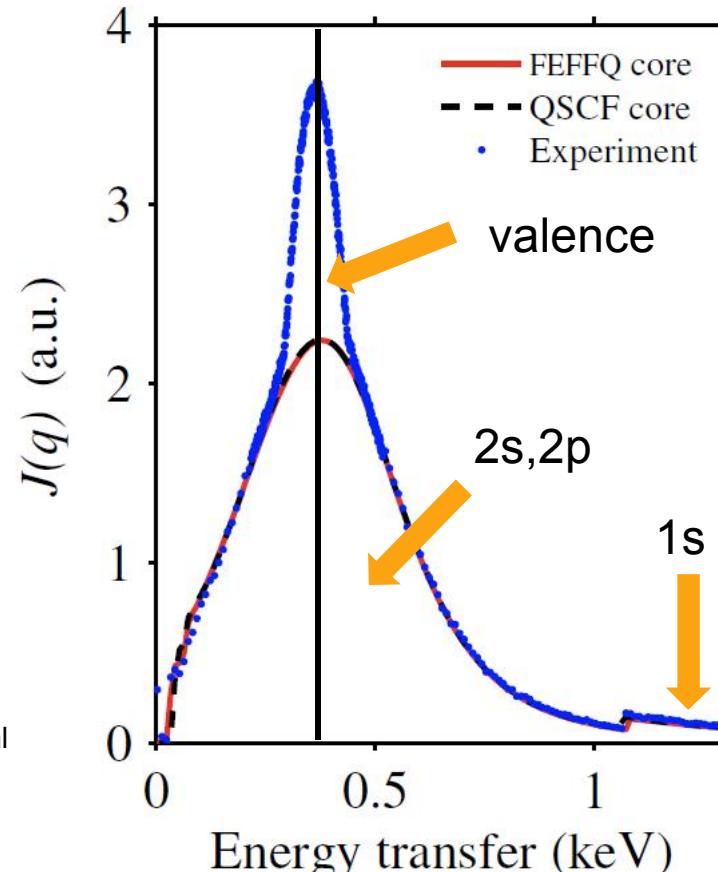
# Compton spectroscopy



<http://hyperphysics.phy-astr.gsu.edu/hbase/quantum/compton.html>

Compton profile  $J(q)$

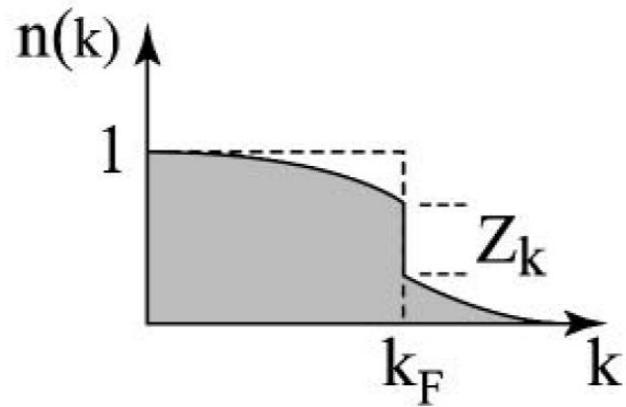
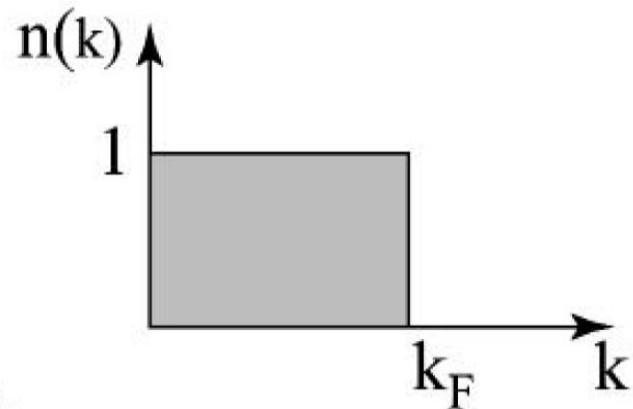
$$J(q) = \frac{3}{8\pi p_F^3} \int_{4\pi} d\Omega \int_{|q|}^\infty pn(\mathbf{p})dp$$



S. Huotari et al.,  
PRL 105, 086403 (2010)

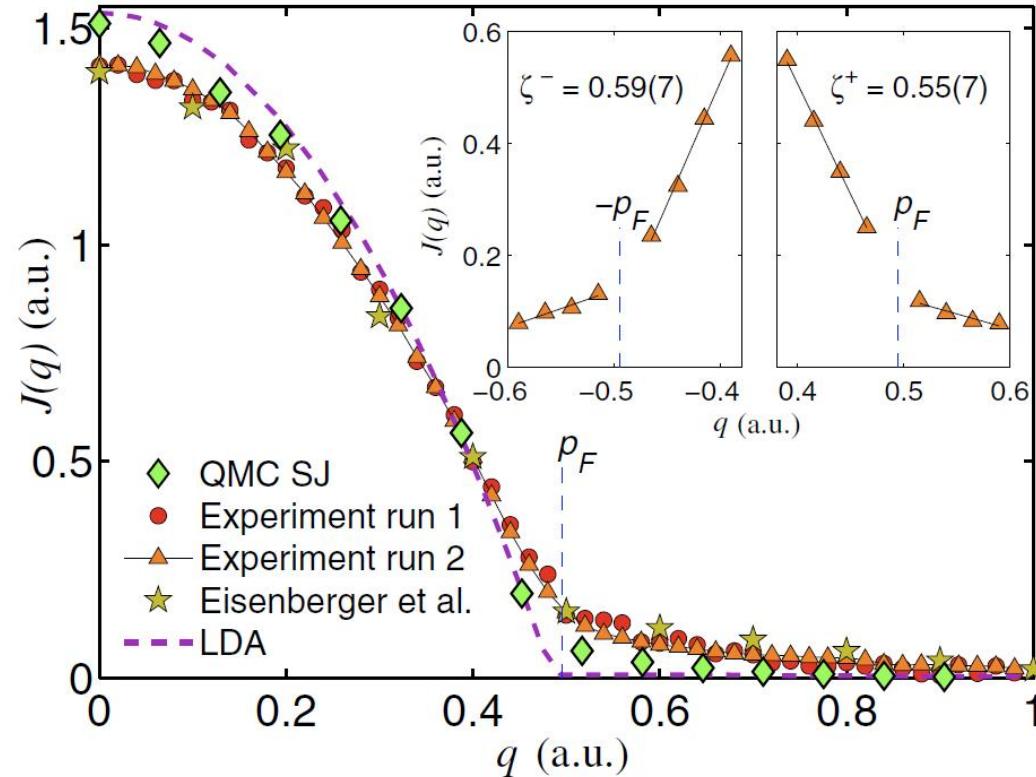


# Compton spectroscopy



A. Damascelli et al.,  
Rev. Mod. Phys 75, 473 (2003)

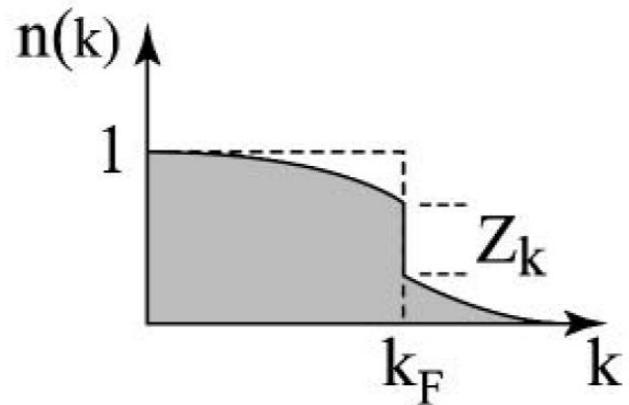
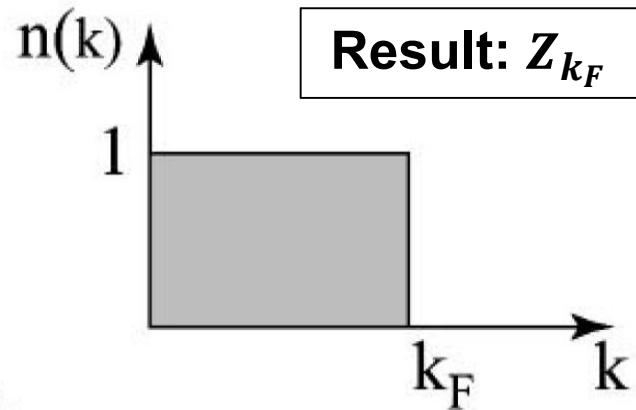
Independent electrons:  
 $J(q) \propto (p_F^2 - q^2)$  for  $|q| < p_F$



S. Huotari et al.,  
PRL 105, 086403 (2010)

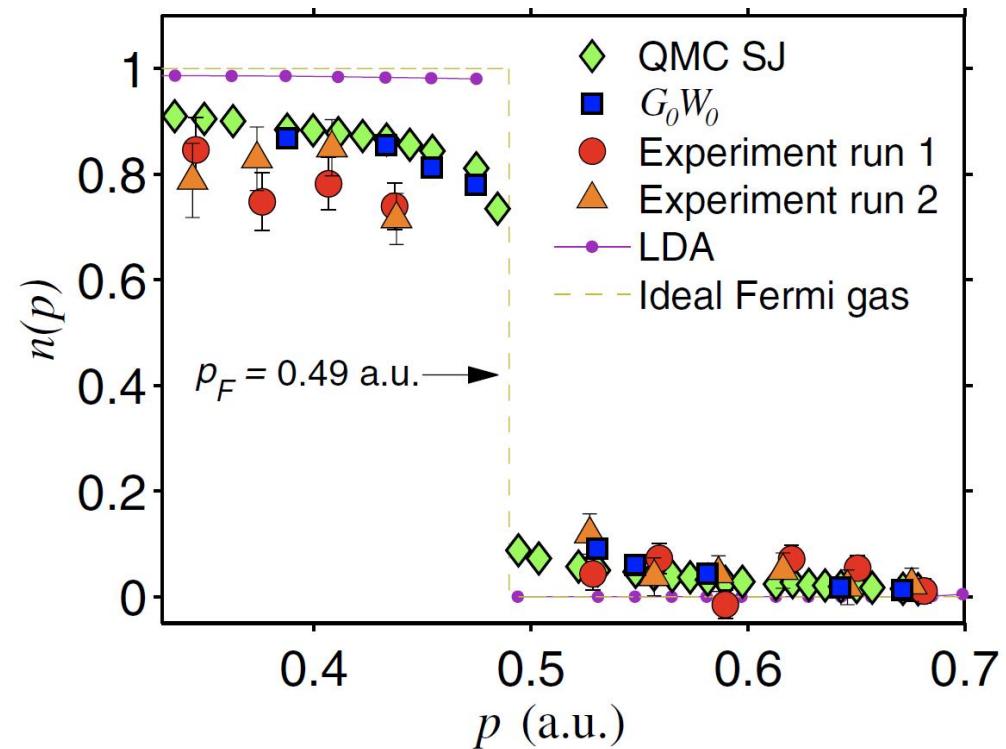


# Momentum density of the electron gas



**Result:  $Z_{k_F} = 0.58 \pm 0.07$  for Na**

$$n(p) = -\frac{2p_F^3}{3p} \frac{dJ(q)}{dq} \Big|_{q=p}$$



S. Huotari et al.,  
PRL 105, 086403 (2010)



# Helsinki Electronic Structure and IXS group



- **Collaborators at ESRF:**  
G. Monaco, M. Krisch,  
R. Verbeni, P. Glatzel et al.

<http://www.helsinki.fi/people/simo.huotari>  
simo.huotari(at)helsinki.fi

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A. Akbari, J. Hashemi,  
I. Juurinen, K.O.Ruotsalainen,  
J. Inkinen, A. Kallonen,  
J. Koskelo, J. Niskanen,  
A.-P. Honkanen

