

# Complexity classification of local Hamiltonian problems

Ashley Montanaro

Department of Computer Science, University of Bristol, UK

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Joint work with Toby Cubitt:



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- Solving **3-term linear equations**: given a system of linear equations over  $\mathbb{F}_2$  with at most 3 variables per equation, is there a solution to all the equations?

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The first of these is **NP-complete**, the second is in **P**.

# General constraint satisfaction problems

A very general way to study these kind of problems is via the framework of the problem  $\mathcal{S}$ -CSP.

- Let  $\mathcal{S}$  be a set of **constraints**, where a constraint is a boolean function acting on a constant number of **bits**.
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## Theorem [Schaefer '78]

$\mathcal{S}$ -CSP is either in P or NP-complete. Further, which of these is the case can be determined easily for a given  $\mathcal{S}$ .

# Local Hamiltonian problems

A natural **quantum** generalisation of CSPs is the QMA-complete  $k$ -LOCAL HAMILTONIAN problem [Kitaev, Shen and Vyalıy '02].

## $k$ -LOCAL HAMILTONIAN

We are given a  $k$ -local Hamiltonian  $H = \sum_{i=1}^m H^{(i)}$  on  $n$  qubits, and two numbers  $a < b$  such that  $b - a \geq 1/\text{poly}(n)$ . Promised that the smallest eigenvalue of  $H$  is either at most  $a$ , or at least  $b$ , our task is to determine which of these is the case.

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- Essentially equivalent to calculating the **ground-state energies** of physical systems.
- This connection to physics motivates the study of  $k$ -LOCAL HAMILTONIAN with **restricted types** of interactions.
- The aim: to prove QMA-hardness (or otherwise) of problems of more **direct physical interest**.

# The $\mathcal{S}$ -HAMILTONIAN problem

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We then have the following general question:

## Problem

Given  $\mathcal{S}$ , characterise the computational complexity of  $\mathcal{S}$ -HAMILTONIAN.

## Some examples

- $\mathcal{S} = \{ZZ\}$ : the (general) **Ising model** (NP-complete):

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Some **QMA-complete** cases:

- $\mathcal{S} = \{XX, ZZ, X, Z\}$ ,  $\mathcal{S} = \{XZ, X, Z\}$  [Biamonte and Love '08].
- $\mathcal{S} = \{XX + YY + ZZ, X, Y, Z\}$  [Schuch and Verstraete '09].

# Our main result

Let  $\mathcal{S}$  be an arbitrary fixed subset of Hermitian matrices on at most 2 qubits.

## Theorem

- 1 If every matrix in  $\mathcal{S}$  is 1-local,  $\mathcal{S}$ -HAMILTONIAN is in  $\mathbf{P}$ ;

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- 4 Otherwise,  $\mathcal{S}$ -HAMILTONIAN is **QMA-complete**.

## Notes and corollaries

The second case is stated in terms of “local diagonalisation”:

- We say that  $U \in SU(2)$  **locally diagonalises** a  $2^k \times 2^k$  matrix  $M$  if  $U^{\otimes k} M (U^\dagger)^{\otimes k}$  is diagonal.

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As corollaries of our main result, we have that:

- The (general) **Heisenberg model** is QMA-complete ( $\mathcal{S} = \{XX + YY + ZZ\}$ )
- The (general) **XY model** is QMA-complete ( $\mathcal{S} = \{XX + YY\}$ )

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... as well as many other cases. We can think of this result as a quantum analogue of **Schaefer's dichotomy theorem**.

## Remarks on this result

- We assume that, given a set of interactions  $\mathcal{S}$ , we are allowed to produce an overall Hamiltonian by applying each interaction  $M \in \mathcal{S}$  scaled by an **arbitrary real weight**, which can be either positive or negative.

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- We can assume without loss of generality that the identity matrix  $I \in \mathcal{S}$  (we can add an arbitrary “energy shift”).

## Proof techniques

The basic idea behind the proof of the QMA-hardness part is to use **reductions**.

“ To prove QMA-hardness of  $\mathcal{A}$ -Hamiltonian, approximately simulate some other set of interactions  $\mathcal{B}$ , where  $\mathcal{B}$ -HAMILTONIAN is QMA-hard. ”

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- Given two Hamiltonians  $H$  and  $V$ , we form  $\tilde{H} = V + \Delta H$ , where  $\Delta$  is a large parameter.
- Then  $\tilde{H}_{<\Delta/2}$ , the low-energy part of  $\tilde{H}$ , is effectively the same as  $V_{-}$ , the projection of  $V$  onto the **lowest-energy eigenspace** of  $H$ .

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**Projection Lemma (informal, based on [Oliveira-Terhal '08])**

If  $\Delta = \delta \|V\|^2$ , then

$$\|\tilde{H}_{<\Delta/2} - V_-\| = O(1/\delta).$$

## Example: the Heisenberg model

The case  $\mathcal{S} = \{XX + YY + ZZ\}$  illustrates a difficulty with this idea. Let

$$H = \sum_{i < j} \alpha_{ij} (X_i X_j + Y_i Y_j + Z_i Z_j).$$

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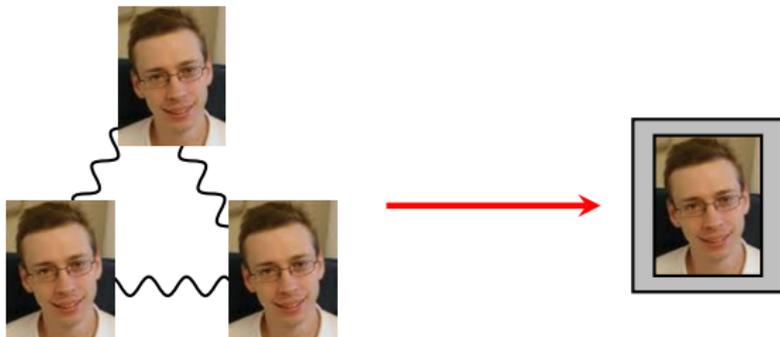
Just as with classical CSPs, the way round this is to use **encodings**.

## Example: the Heisenberg model

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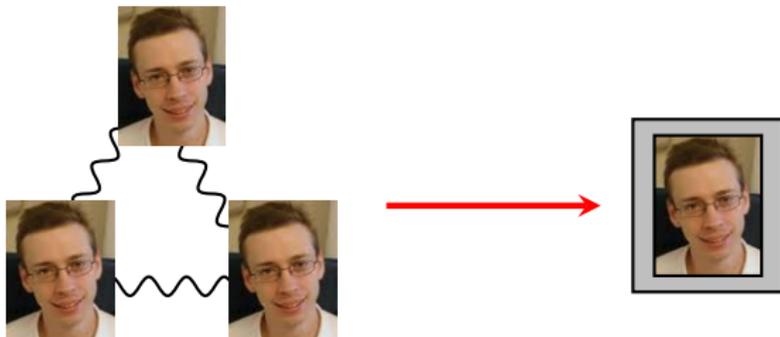
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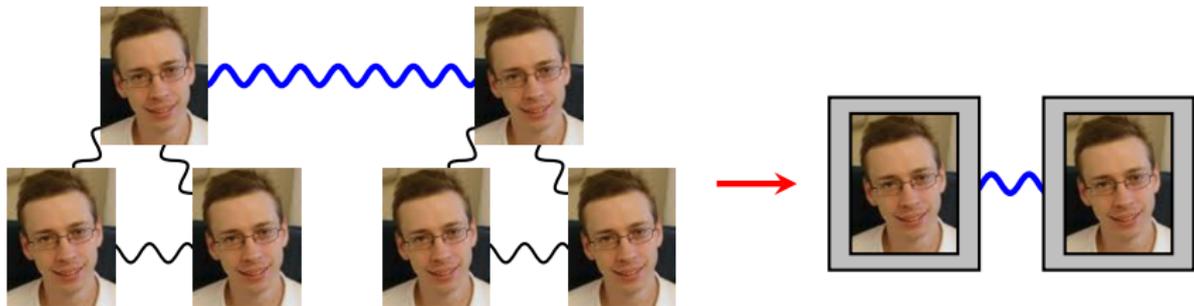
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- This is inspired by previous work on universality of the exchange interaction [Kempe et al. '00].
- We can find a 4-dimensional subspace of the 3 qubits such that, within this subspace, we can make logical  $Z \otimes I$  and  $X \otimes I$  operators.

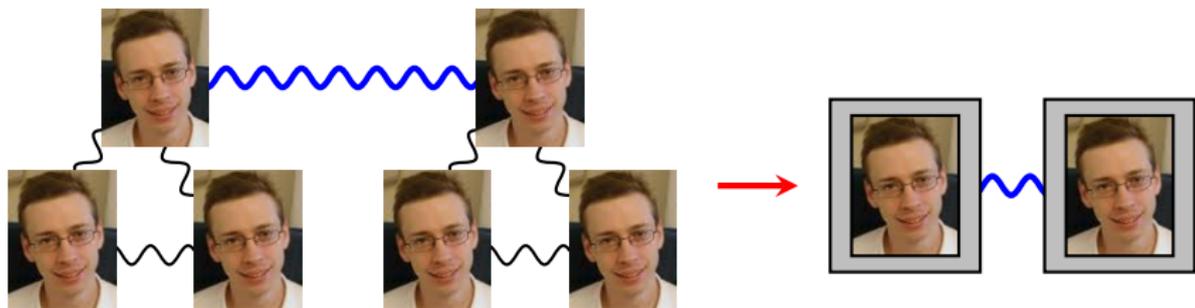
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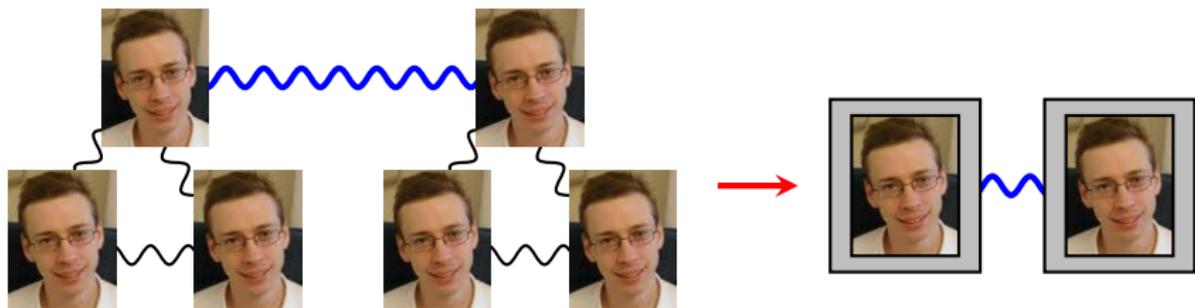
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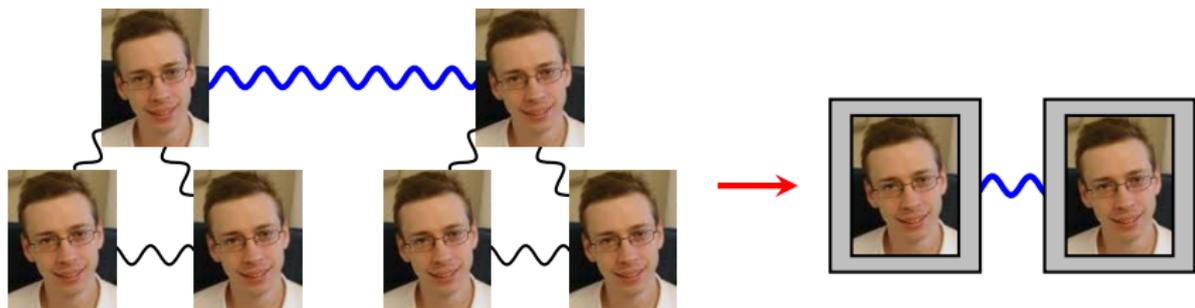
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- This can **almost** be done by applying Heisenberg interactions across different choices of physical qubits.
- Let the logical qubits in the first (resp. second) triangle be labelled (1,2) (resp. (3,4)).
- By applying suitable linear combinations across qubits, we can effectively make

$$X_1 X_3 (2F - I)_{24}, \quad Z_1 Z_3 (2F - I)_{24}, \quad I_1 I_3 (2F - I)_{24}.$$

## Example: the Heisenberg model

So, using Heisenberg interactions alone, we can implement an **arbitrary** (logical) Hamiltonian of the form

$$H = \sum_{k=1}^n (\alpha_k X_k + \beta_k Z_k) I_{k'} + \sum_{i < j} (\gamma_{ij} X_i X_j + \delta_{ij} Z_i Z_j) (2F - I)_{i'j'},$$

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- To do this, we force the primed qubits to be in some state by very strong  $F_{i'j'}$  interactions: we add the (logical) term

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- We can do this by making  $I_i I_j (2F - I)_{i'j'}$  as on last slide.

## Example: the Heisenberg model

If the ground state  $|\psi\rangle$  of  $G$  is **non-degenerate**, the primed qubits will all be effectively projected onto the ground state, and  $H$  will become (up to a small additive error)

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- Not so easy! This corresponds to an **exactly solvable** special case of the Heisenberg model, and not many of these are known.

## Example: the Heisenberg model

If the ground state  $|\psi\rangle$  of  $G$  is **non-degenerate**, the primed qubits will all be effectively projected onto the ground state, and  $H$  will become (up to a small additive error)

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- Not so easy! This corresponds to an **exactly solvable** special case of the Heisenberg model, and not many of these are known.
- Luckily for us, the **Lieb-Mattis** model [Lieb and Mattis '62] has precisely the properties we need.

## The normal form

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### Lemma

Let  $H$  be a 2-qubit interaction which is **symmetric** under swapping qubits. Then there exists  $U \in SU(2)$  such that the 2-local part of  $U^{\otimes 2}H(U^\dagger)^{\otimes 2}$  is of the form

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Why is this useful? If we conjugate each term by  $U^{\otimes 2}$  in a 2-local Hamiltonian with only  $H$  interactions, it **doesn't change** the eigenvalues:

$$\sum_{i \neq j} \alpha_{ij} (U^{\otimes 2} H (U^\dagger)^{\otimes 2})_{ij} = U^{\otimes n} \left( \sum_{i \neq j} \alpha_{ij} H_{ij} \right) (U^\dagger)^{\otimes n}.$$

## The other QMA-complete cases

This normal form drastically reduces the number of interactions we have to consider to a few special cases:

- The XY model  $\mathcal{S} = \{XX + YY\}$  uses similar techniques to the Heisenberg model, but the gadgets are a bit simpler.
- For  $\mathcal{S} = \{XX + \alpha YY + \beta ZZ\}$ , we can reduce from the XY model.
- We also need to deal with the antisymmetric case  $\mathcal{S} = \{XZ - ZX\}$ .
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- For interactions with 1-local terms, using gadgets we can effectively delete the 1-local parts.

Finding and verifying each of the gadgets required was somewhat painful and required the use of a [computer algebra](#) package.

# Conclusions and open problems

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- What about local dimension  $d > 2$ ? Classically, the complexity of  $d$ -ary CSPs is still unresolved.

## More open problems

- What about restrictions on the interaction pattern or weights? e.g. 1-dimensional systems, 2-D lattices, the **antiferromagnetic** Heisenberg model etc.

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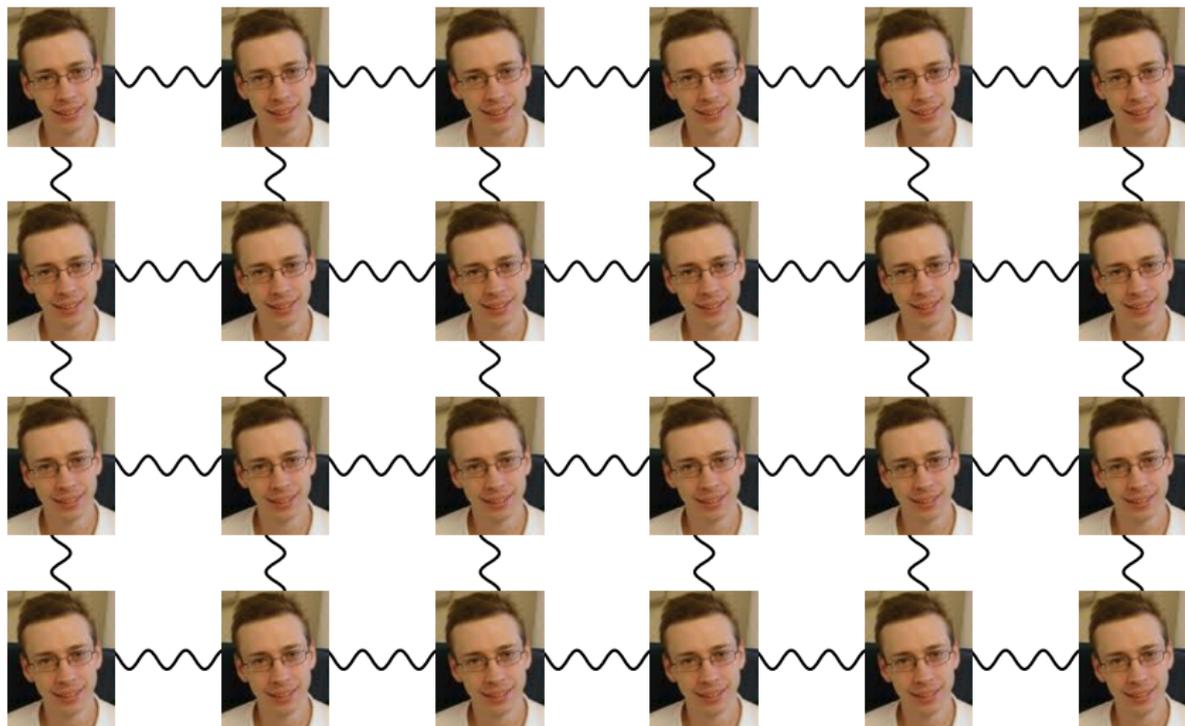
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- What about **quantum  $k$ -SAT**?
- Finally, what is the complexity of the transverse Ising model? Our intuition: at least **MA-hard**... for now, we encapsulate it as a new complexity class **TIM**.

Thanks!



arXiv:1311.3161

# Allowing local terms

One variant of this framework is to allow **arbitrary local terms** (“magnetic fields”).

## $\mathcal{S}$ -HAMILTONIAN WITH LOCAL TERMS

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- For any  $\mathcal{S}$ ,  $\mathcal{S}$ -HAMILTONIAN WITH LOCAL TERMS is at least as hard as  $\mathcal{S}$ -HAMILTONIAN.

It is known that  $\mathcal{S}$ -HAMILTONIAN WITH LOCAL TERMS is **QMA-complete** when:

- $\mathcal{S} = \{XX + YY + ZZ\}$  [Schuch and Verstraete '09]
- $\mathcal{S} = \{XX, ZZ\}$  or  $\mathcal{S} = \{XZ\}$  [Biamonte and Love '08]

## The case with local terms

Let  $\mathcal{S}$  be a fixed subset of Hermitian matrices on at most  $k$  qubits, for some constant  $k$ .

### Theorem

Let  $\mathcal{S}'$  be the subset formed by removing all 1-local terms from each element of  $\mathcal{S}$ , and then deleting all 0-local matrices. Then:

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## The idea

The basic idea:

“ To prove QMA-hardness of  $\mathcal{A}$ -Hamiltonian, approximately simulate some other set of interactions  $\mathcal{B}$ , where  $\mathcal{B}$ -HAMILTONIAN is QMA-hard. ”

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- The first-order perturbative gadgets we use are based on ideas going back to [\[Oliveira and Terhal '08\]](#) and [\[Schuch and Verstraete '08\]](#).
- The basic idea: to implement an effective interaction across two qubits  $a$  and  $c$ , add a new **mediator** qubit  $b$  interacting with each of  $a$  and  $c$ , and put a strong 1-local interaction on  $b$ .

# Example

**Claim (similar to results of [Schuch and Verstraete '08])**

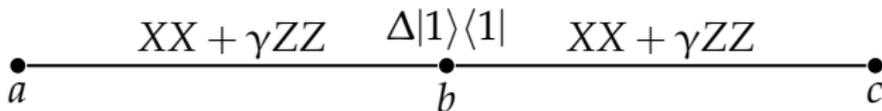
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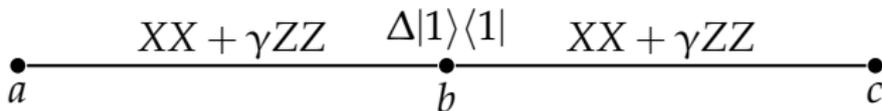


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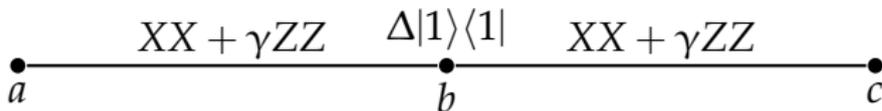
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- This forces qubit  $b$  to (approximately) be in the state  $|0\rangle$ .
- It turns out that, up to local and lower-order terms, the effective interaction across the remaining qubits is

$$H_{\text{eff}} \propto X_a X_c.$$

## Example

- So, given access to terms of the form  $XX + \gamma ZZ$ , we can effectively make  $XX$  terms. By subtracting from  $XX + \gamma ZZ$ , we can also make  $ZZ$  terms.

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We can similarly show that:

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This turns out to be all the cases we need to complete the characterisation of  $\mathcal{S}$ -HAMILTONIAN WITH LOCAL TERMS!

## The different cases in the characterisation

To finish off the 2-local special case of  $\mathcal{S}$ -HAMILTONIAN WITH LOCAL TERMS:

- If the 2-local part of any interaction in  $\mathcal{S}$  is locally equivalent to  $XX + \beta YY + \gamma ZZ$  or  $XZ - ZX$ , we have QMA-completeness;

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- If neither of these is true, we must have one interaction equivalent to  $XX$ , another to  $AA$  for some  $A \neq X$  (exercise!).
- So we can make  $XX + AA$ , which suffices for **QMA-completeness**.

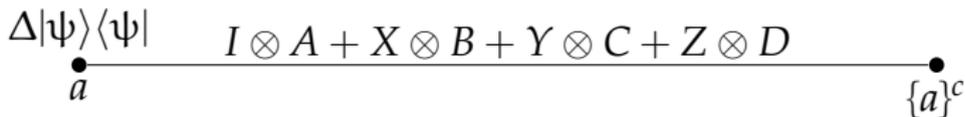
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The diagram shows a horizontal line representing an interaction. On the left end of the line is a black dot with the label  $a$  below it. On the right end is another black dot with the label  $\{a\}^c$  below it. Above the line, centered between the two dots, is the mathematical expression  $I \otimes A + X \otimes B + Y \otimes C + Z \otimes D$ . To the left of the line, above the  $a$  label, is the expression  $\Delta|\psi\rangle\langle\psi|$ .

- By letting  $|\psi\rangle$  be the eigenvector of  $X$ ,  $Y$  or  $Z$  with eigenvalue  $\pm 1$ , we can produce the effective interactions  $A \pm B$ ,  $A \pm C$  and  $A \pm D$  (up to a small additive error).

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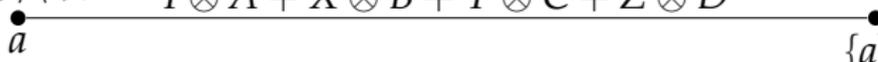
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- By adding/subtracting these matrices we can make each of  $\{A, B, C, D\}$ .
- So either  $\mathcal{S}$  is **QMA-complete**, or all 2-local “parts” of each interaction in  $\mathcal{S}$  are simultaneously diagonalisable by local unitaries. This case turns out to be in **TIM**.

## S-HAMILTONIAN: The list of lemmas

It suffices to prove QMA-completeness of the following cases:

- 1  $\{XX + YY + ZZ\}$ -HAMILTONIAN;
- 2  $\{XX + YY\}$ -HAMILTONIAN;
- 3  $\{XZ - ZX\}$ -HAMILTONIAN;
- 4  $\{XX + \beta YY + \gamma ZZ\}$ -HAMILTONIAN;
- 5  $\{XX + \beta YY + \gamma ZZ + AI + IA\}$ -HAMILTONIAN;
- 6  $\{XZ - ZX + AI - IA\}$ -HAMILTONIAN.

In the above,  $\beta, \gamma$  are real numbers such that at least one of  $\beta$  and  $\gamma$  is non-zero, and  $A$  is an arbitrary single-qubit Hermitian matrix.

## S-HAMILTONIAN: The list of lemmas

We also need some reductions from cases which are not necessarily QMA-complete:

- $\{ZZ, X, Z\}$ -HAMILTONIAN reduces to  $\{ZZ + AI + IA\}$ -HAMILTONIAN;
- $\{ZZ, X, Z\}$ -HAMILTONIAN reduces to  $\{ZZ, AI - IA\}$ -HAMILTONIAN.

In the above,  $A$  is any single-qubit Hermitian matrix which does not commute with  $Z$ .

And the very final case to consider:

- Let  $\mathcal{S}$  be a set of diagonal Hermitian matrices on at most 2 qubits. Then, if every matrix in  $\mathcal{S}$  is 1-local,  $\mathcal{S}$ -HAMILTONIAN is in P. Otherwise,  $\mathcal{S}$ -HAMILTONIAN is NP-complete.

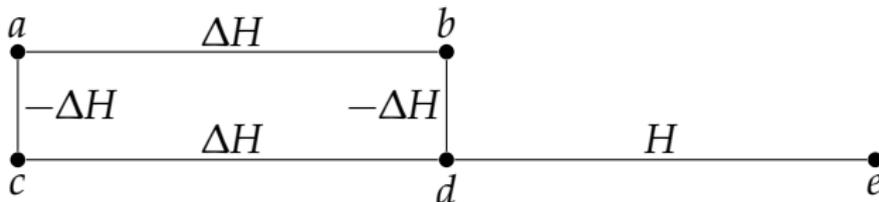
## Example gadget for cases with 1-local terms

Let  $H := XX + \beta YY + \gamma ZZ + AI + IA$ , where  $\beta$  or  $\gamma$  is non-zero.

### Lemma

$\{H\}$ -HAMILTONIAN is QMA-complete.

The gadget used looks like:



- The ground state of  $G := H_{ab} + H_{cd} - H_{ac} - H_{bd}$  is maximally entangled across the split  $(a-c : d)$ .
- So if we project  $H_{de}$  onto this state, the effective interaction produced is  $A$  on qubit  $e$ .
- This allows us to effectively delete the 1-local part of  $H$ .

## The Lieb-Mattis model

The Lieb-Mattis model describes Hamiltonians of the form

$$H_{LM} = \sum_{i \in A, j \in B} X_i X_j + Y_i Y_j + Z_i Z_j,$$

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### Claim [Lieb and Mattis '62, ...]

If  $|A| = |B| = n$ , the ground state  $|\phi\rangle$  of  $H_{LM}$  is **unique**. For  $i$  and  $j$  such that  $i, j \in A$  or  $i, j \in B$ ,  $\langle \phi | F_{ij} | \phi \rangle = 1$ . Otherwise,  $\langle \phi | F_{ij} | \phi \rangle = -2/n$ .

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If  $|A| = |B| = n$ , the ground state  $|\phi\rangle$  of  $H_{LM}$  is **unique**. For  $i$  and  $j$  such that  $i, j \in A$  or  $i, j \in B$ ,  $\langle \phi | F_{ij} | \phi \rangle = 1$ . Otherwise,  $\langle \phi | F_{ij} | \phi \rangle = -2/n$ .

Using this claim, we can effectively implement any Hamiltonian of the form

$$\tilde{H} = \sum_{k=1}^n \alpha_k X_k + \beta_k Z_k + \sum_{i < j} \gamma_{ij} X_i X_j + \delta_{ij} Z_i Z_j,$$

which suffices for QMA-completeness [Biamonte and Love '08].