

# LIE–JORDAN BANACH ALGEBRAS AND QUANTUM REDUCTION

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Mathematical Structures in Quantum Systems and Applications, Benasque 2012

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# INTRODUCTION

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Joint work with F. Falseto, A. Ibort and G. Marmo.



# MOTIVATIONS

- Understand the quantization procedure and the classical limit of quantum mechanics

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- Understand the quantization procedure and the classical limit of quantum mechanics
- Alternative to deal with symmetries and constraints

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- Motivational examples: the gravitational field may be regarded as a constrained particle moving on the infinite-dimensional space of metrics (superspace);

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- Understand the quantization procedure and the classical limit of quantum mechanics
- Alternative to deal with symmetries and constraints
- Motivational examples: the gravitational field may be regarded as a constrained particle moving on the infinite-dimensional space of metrics (superspace); the configuration space of a Yang-Mills theory is that of a particle moving on the infinite-dimensional space  $\mathcal{A}/G$ , where  $\mathcal{A}$  is the space of smooth connections on some bundle and  $G$  is the corresponding group of local gauge transformations.

# THE ALGEBRAIC STRUCTURE OF MECHANICS

Let  $\mathcal{L}$  be a real vector space equipped with two algebraic operations  $*_s$  and  $*_a$  from  $\mathcal{L} \times \mathcal{L}$  to  $\mathcal{L}$ .

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Classical mechanics is the space of smooth functions on some Poisson manifold  $P$

$$\mathcal{L} = C^\infty(P)$$

$f *_s g = fg$  pointwise product

$f *_a g = \{f, g\}$  Poisson bracket.

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Quantum mechanics is the space of self-adjoint operators  $\mathcal{L} = \mathcal{B}_{sa}$  on some Hilbert space  $\mathcal{H}$  (closed under operator multiplication) with

$a *_s b = \frac{1}{2}(ab + ba)$  anticommutator (Jordan product)

$a *_a b = \frac{i\lambda}{2}(ab - ba)$  scaled commutator (Lie bracket).

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Abstracting the properties of  $*_s$  and  $*_a$  we are led to the following axioms ( $a^2 = a *_s a$ ):



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- $a *_s b = b *_s a$  (symmetry)

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- $a *_s b = b *_s a$  (symmetry)
- $a *_a b = -b *_a a$  (anti-symmetry)
- $a *_a (b *_a c) + c *_a (a *_a b) + b *_a (c *_a a) = 0$  (Jacobi identity)

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- $(a^2 *_s b) *_s a = a^2 *_s (b *_s a)$  (weak associativity)

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- $a *_a (b *_s c) = (a *_a b) *_s c + b *_s (a *_a c)$  (Liebniz rule)

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- $(a *_s b) *_s c - a *_s (b *_s c) = \hbar b *_a (c *_a a)$ , for some  $\hbar \in \mathbb{R}_0^+$  (associator identity)

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These axioms define the so-called Lie–Jordan algebra.

# THE ALGEBRAIC STRUCTURE OF MECHANICS

The case  $\hbar = 0$  represents the classical algebra of observables. This means  $*_s$  is an associative product in classical mechanics (associative Lie–Jordan algebra).

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In the case of Quantum Mechanics  $\hbar\lambda = \frac{1}{4}$ .

One can always define an associative product on  $\mathcal{L} \otimes \mathbb{C} = \mathcal{L} + i\mathcal{L}$  by putting

$$a * b = a *_s b - i\sqrt{\hbar} a *_a b$$

but this product lacks direct physical meaning, as the product of two observable operators fails to be observable.

The observables are closed under  $*_s$  and  $*_a$ .

The symmetric product  $*_s$  leads to spectral calculus and the antisymmetric  $*_a$  expresses the dual role of observables: as observable and as generators of dynamics.

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In the following  $*_s$  will be denoted by  $\circ$  and  $*_a$  by  $[\cdot, \cdot]$ .

# SPACE OF STATES AND JORDAN–BANACH ALGEBRAS

The state space  $\mathcal{S}$  of the quantum system does not determine univocally the  $\mathbb{C}^*$  structure of the algebra of observables but only its Jordan–Banach real algebra.

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It follows from results of Kadison (Kadison, *A representation theory for commutative topological algebra*, Mem. Amer. Math. Soc. 1951) that the self-adjoint part of a  $\mathbb{C}^*$ –algebra is isometrically isomorphic, as an ordered normed linear space, to the space of all  $w^*$ –continuous affine functions on the state space.

In view of this, characterizing the self-adjoint part of a  $\mathbb{C}^*$ –algebra is equivalent to characterizing the state space of a  $\mathbb{C}^*$ –algebra.

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In view of this, characterizing the self-adjoint part of a  $\mathbb{C}^*$ -algebra is equivalent to characterizing the state space of a  $\mathbb{C}^*$ -algebra.

Then the question of when a given Jordan–Banach algebra is the real part of a  $\mathbb{C}^*$ -algebra raises. A. Connes and Alfsen & Shultz gave different answers:

A. Connes, *Caractérisation des espaces vectoriels ordonnés sous-jacent aux algèbres de von Neumann*, Ann. Inst. Fourier (Grenoble) **24** (1974), 121. E. M. Alfsen, F. W. Shultz, *On Orientation and Dynamics in Operator Algebras. Part I*, Commun. Math. Phys. **194** (1998) 87.

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# JORDAN–BANACH ALGEBRAS AND ORDER DERIVATIONS

A Jordan–Banach algebra is a Jordan algebra  $(\mathcal{L}, \circ)$  with a complete norm  $\|\cdot\|$  such that  $\forall a, b \in \mathcal{L}$ :

- I)  $\|a \circ b\| \leq \|a\| \|b\|$
- II)  $\|a^2\| = \|a\|^2$
- III)  $\|a^2\| \leq \|a \circ a + b \circ b\|$

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- I)  $\|a \circ b\| \leq \|a\| \|b\|$
- II)  $\|a^2\| = \|a\|^2$
- III)  $\|a^2\| \leq \|a \circ a + b \circ b\|$

A unital JB–algebra  $\mathcal{L}$  is a complete order unit space with respect to the positive cone

$$\mathcal{L}^+ = \{a^2 \mid a \in \mathcal{L}\}.$$

## DEFINITION

A bounded linear operator  $\delta$  on a JB–algebra  $\mathcal{L}$  is called an order derivation if  $\exp^{t\delta}(\mathcal{L}^+) \subset \mathcal{L}^+, \forall t \in \mathbb{R}$ .

Define the linear operator  $\delta_b$  by  $\delta_b(a) = b \circ a$ .

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## DEFINITION

An order derivation  $\delta$  on a unital JB–algebra  $\mathcal{L}$  is self-adjoint if there exists  $a \in \mathcal{L}$  such that  $\delta = \delta_a$  and is skew-adjoint if  $\delta(1) = 0$ .



# DYNAMICAL CORRESPONDENCE AND LIE–JORDAN BANACH ALGEBRAS

## DEFINITION (DYNAMICAL CORRESPONDENCE)

A dynamical correspondence on a unital JB–algebra  $\mathcal{L}$  is a linear map  $\psi: a \rightarrow \psi_a$  from  $\mathcal{L}$  into the set of skew order derivations on  $\mathcal{L}$  s.t.

- I)  $\exists \kappa \in \mathbb{R}$  such that  $\kappa [\psi_a, \psi_b] = -[\delta_a, \delta_b]$ ,  $\forall a, b \in \mathcal{L}$ , and
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# DYNAMICAL CORRESPONDENCE AND LIE–JORDAN BANACH ALGEBRAS

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## LEMMA

Let  $(\mathcal{L}, [\cdot, \cdot]_{\mathcal{L}}, \circ)$  be a LJB–algebra. Then  $\exp^{[a, \cdot]_{\mathcal{L}}}$  is a Jordan automorphism  $\forall a \in \mathcal{L}$ .

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## THEOREM

*Let  $\mathcal{L}$  be a unital JB–algebra. There exists a dynamical correspondence  $\psi$  on  $\mathcal{L}$  if and only if  $\mathcal{L}$  is a LJB–algebra with Lie product  $[\cdot, \cdot]_{\mathcal{L}}$  such that*

$$[a, b]_{\mathcal{L}} = \psi_a b$$

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## COROLLARY

*A unital JB–algebra  $\mathcal{L}$  is Jordan isomorphic to the self-adjoint part of a  $\mathbb{C}^*$ –algebra if and only if it is a LJB–algebra.*

# REDUCTION OF $C^*$ -ALGEBRAS

Field algebra  $\mathcal{F}$  and self-adjoint constraint set  $\mathcal{C}$ .

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# REDUCTION OF $C^*$ -ALGEBRAS

Field algebra  $\mathcal{F}$  and self-adjoint constraint set  $\mathcal{C}$ .  
Physical state space (Dirac states):

$$\mathcal{S}_D \equiv \{ \omega \in \mathcal{S}(\mathcal{F}) \mid \omega(C^2) = 0, \quad \forall C \in \mathcal{C} \}$$

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Let  $\mathcal{D} = [\mathcal{F}\mathcal{C}] \cap [\mathcal{C}\mathcal{F}]$ .  $\mathcal{D}$  is a subalgebra of  $\mathcal{F}$  and is the largest non-unital  $C^*$ -algebra in  $\bigcap_{\omega \in \mathcal{S}_D} \ker \omega$ .



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$$\mathcal{O} = \{ F \in \mathcal{F} \mid FH \in \mathcal{D} \text{ and } HF \in \mathcal{D}, \quad \forall H \in \mathcal{D} \}$$

i.e. the largest set for which  $\mathcal{D}$  is a bilateral ideal corresponds to the Lie normalizer of  $\mathcal{D}$ .

It follows that the maximal  $C^*$ -algebra of physical observables determined by the constraints  $\mathcal{C}$  is

$$\tilde{\mathcal{F}} = \mathcal{O}/\mathcal{D}.$$

# REDUCTION OF LIE–JORDAN ALGEBRAS

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Let  $\mathcal{L}$  be a LJB–algebra  $(\mathcal{L}, \circ, [\cdot, \cdot]_{\mathcal{L}})$ .

Consider a closed Jordan ideal  $\mathcal{J}$ :

$$\forall a \in \mathcal{L}, \forall x \in \mathcal{J}, \quad x \circ a \in \mathcal{J}$$

Then the quotient space

$$\tilde{\mathcal{L}} = \mathcal{L}/\mathcal{J}$$

inherits a canonical LJB–algebra structure with respect to the quotient norm

$$\|\tilde{a}\| = \inf_{b \in \mathcal{J}} \|a + b\|$$

# EQUIVALENCE BETWEEN THE REDUCTION OF LJB- AND $\mathbb{C}^*$ - ALGEBRAS

## LEMMA

*Let  $\mathcal{Z}$  and  $\mathcal{I}$  be two Lie-Jordan subalgebras of a LJB  $\mathcal{V}$ . Then  $\mathcal{Z}^{\mathbb{C}} = \mathcal{Z} \oplus i\mathcal{Z}$  is the Lie normalizer of  $\mathcal{I}^{\mathbb{C}} = \mathcal{I} \oplus i\mathcal{I}$  if and only if  $\mathcal{Z}$  is the Lie normalizer of  $\mathcal{I}$ , i.e.  $\mathcal{Z} = \mathcal{N}_{\mathcal{I}}$ .*

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## LEMMA

Let  $\mathcal{Z}$  and  $\mathcal{I}$  be two Lie-Jordan subalgebras of  $\mathcal{V}$ . Then  $\mathcal{I}$  is a Lie-Jordan ideal of  $\mathcal{Z}$  if and only if  $\mathcal{I}^{\mathbb{C}} = \mathcal{I} \oplus i\mathcal{I}$  is an associative bilateral ideal of  $\mathcal{Z}^{\mathbb{C}} = \mathcal{Z} \oplus i\mathcal{Z}$ .

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## THEOREM

Let  $\mathcal{F} = \mathcal{L} \oplus i\mathcal{L}$  be the field algebra of the quantum system and  $\mathcal{C}$  a real constraint set. Let  $\mathcal{D} = [\mathcal{F}\mathcal{C}] \cap [\mathcal{C}\mathcal{F}]$ ,  $\mathcal{O} = \mathcal{D}_W$  and  $\tilde{\mathcal{F}} = \mathcal{O}/\mathcal{D} = \tilde{\mathcal{L}} \oplus i\tilde{\mathcal{L}}$  be the reduced field algebra. Then:

$$\tilde{\mathcal{L}} = \mathcal{N}_{\mathcal{J}}/\mathcal{J},$$

with  $\mathcal{N}_{\mathcal{J}}$  and  $\mathcal{J}$  being the s.a. part of  $\mathcal{O}$  and  $\mathcal{D}$  respectively, i.e.  $\mathcal{O} = \mathcal{N}_{\mathcal{J}} \oplus i\mathcal{N}_{\mathcal{J}}$  and  $\mathcal{D} = \mathcal{J} \oplus i\mathcal{J}$ .

# EQUIVALENCE BETWEEN THE REDUCTION OF LJB- AND $\mathbb{C}^*$ - ALGEBRAS

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$$\begin{array}{ccc} \mathcal{L} & \longleftarrow & \mathcal{F} = \mathcal{L} \oplus i\mathcal{L} \\ \downarrow \mathcal{J} \text{ J. ideal, } \mathcal{N}_{\mathcal{J}} & & \downarrow \mathcal{D} \text{ ass. ideal, } \mathcal{O} = \mathcal{D}_W = \mathcal{N}_{\mathcal{J}} \oplus i\mathcal{N}_{\mathcal{J}} \\ \tilde{\mathcal{L}} & \longrightarrow & \tilde{\mathcal{F}} = \mathcal{O}/\mathcal{D} = \tilde{\mathcal{L}} \oplus i\tilde{\mathcal{L}} \end{array}$$

# IS THERE A MORE GENERAL REDUCTION?

A more general way to reduce a Lie–Jordan algebra  $\mathcal{L}$  is to consider a linear subspace  $B \subset \mathcal{L}$  and quotient it with respect to another subspace  $S \subset \mathcal{L}$  s.t.  $S \cap B \neq \{0\}$ .

Which are the conditions to be imposed on  $B$  and  $S$  such that  $B/B \cap S$  is a Lie–Jordan algebra?

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Which are the conditions to be imposed on  $B$  and  $S$  such that  $B/B \cap S$  is a Lie–Jordan algebra?

The new products  $\circ'$  and  $[\cdot, \cdot]'$  on  $B/B \cap S$  are

$$\begin{aligned} a' \circ' b' &\equiv \tilde{a} \circ \tilde{b} + S \\ [a', b']' &\equiv [\tilde{a}, \tilde{b}] + S, \end{aligned}$$

where  $a' = \tilde{a} + S$  and  $b' = \tilde{b} + S$  with  $\tilde{a}, \tilde{b} \in B$ . For the definitions to make sense we must require:

$$\begin{aligned} B \circ B &\subset B + S & [B, B] &\subset B + S \\ B \circ B \cap S &\subset S & [B, B \cap S] &\subset S \end{aligned}$$

But they do not satisfy in general the compatibility conditions.



# IS THERE A MORE GENERAL REDUCTION?

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Observe that the linear space  $B + S$  can be decomposed as a direct sum:

$$B + S = B \cap S \oplus \Sigma \oplus \Gamma,$$

with  $\Sigma \subset B$ ,  $\Sigma \cap S = \{0\}$  and  $\Gamma \subset S$ ,  $\Gamma \cap B = \{0\}$ . From this decomposition we can also write

$$B = B \cap S \oplus \Sigma,$$

and then

$$B + S = B \oplus \Gamma.$$

# A NEW FRAMEWORK FOR QUANTUM ANOMALIES?

## THEOREM

Let  $(\mathcal{L}, \circ, [\cdot, \cdot])$  be a Lie–Jordan algebra and  $B$  and  $S$  two subsets such that  $B \cap S \neq \{0\}$ . Then  $(B/B \cap S, \circ', [\cdot, \cdot]')$  is a Lie–Jordan algebra if and only if there exist a subset  $\Gamma \subset S$  such that  $B + S = B \oplus \Gamma$  and the following conditions are satisfied:

$$\begin{array}{ll} B \circ B \subset B \oplus \Gamma & [B, B] \subset B \oplus \Gamma \\ B \circ B \cap S \subset S & [B, B \cap S] \subset S \\ B \circ \Gamma \subset S & [B, \Gamma] \subset S \end{array} \quad (1)$$

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If we imagine that  $S$  represents a symmetry which is not preserved at the quantum level (quantum anomaly), then conditions (1) tell us that we can still construct a good algebra of observables by satisfying those properties.

# SUPER LIE–JORDAN BANACH ALGEBRAS

Graded Lie–Jordan Banach algebra  $\mathcal{L} = \bigoplus_g \mathcal{L}_g$  together with two bilinear operations preserving the grading:

$$\circ : \mathcal{L} \times \mathcal{L} \rightarrow \mathcal{L}$$

and

$$[\cdot, \cdot] : \mathcal{L} \times \mathcal{L} \rightarrow \mathcal{L}$$

such that:

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- $[a, b] = -(-1)^{|a||b|} [b, a]$   
 $[a, [b, c]] + [c, [a, b]] + (-1)^{|a||b|} [b, [c, a]] = 0$  (Lie superalgebra)

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 $[a, [b, c]] + [c, [a, b]] + (-1)^{|a||b|} [b, [c, a]] = 0$  (Lie superalgebra)
- $[a, b \circ c] = [a, b] \circ c + (-1)^{|a||b|} b \circ [a, c]$  (superderivation)



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and

$$[\cdot, \cdot]: \mathcal{L} \times \mathcal{L} \rightarrow \mathcal{L}$$

such that:

- $a \circ b = (-1)^{|a||b|} b \circ a$  (supercommutative superalgebra)
- $(a^2 \circ b) \circ a = a^2 \circ (b \circ a)$  (weak associativity)
- $[a, b] = -(-1)^{|a||b|} [b, a]$   
 $[a, [b, c]] + [c, [a, b]] + (-1)^{|a||b|} [b, [c, a]] = 0$  (Lie superalgebra)
- $[a, b \circ c] = [a, b] \circ c + (-1)^{|a||b|} b \circ [a, c]$  (superderivation)
- $(a \circ b) \circ c - a \circ (b \circ c) = k [b, [c, a]]$ , for some  $k \in \mathbb{R}^+$  (associator identity)

# SUPER LIE–JORDAN BANACH ALGEBRAS

Examples:

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QUANTUM  
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## Examples:

- A Lie–Jordan Banach algebra  $\mathcal{L}_0$  is clearly an example of super Lie–Jordan Banach algebra of degree 0.

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- Given a Lie group  $G$  with Lie algebra  $\mathfrak{g}$ , the exterior algebra  $\Lambda(\mathfrak{g} \oplus \mathfrak{g}^*)$  possess a Super(-associative) LJB–algebra structure with associative Jordan multiplication given by the wedge product and the Lie bracket defined for  $X, Y \in \mathfrak{g}$  and  $\alpha, \beta \in \mathfrak{g}^*$  by

$$[\alpha, X] = \alpha(X) = [X, \alpha] \quad [X, Y] = 0 = [\alpha, \beta].$$

We then extend it to all of  $\Lambda(\mathfrak{g} \oplus \mathfrak{g}^*)$  as an odd derivation.

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We then extend it to all of  $\Lambda(\mathfrak{g} \oplus \mathfrak{g}^*)$  as an odd derivation. It is a  $\mathbb{Z}$ –graded SLJB–algebra with elements of  $\mathfrak{g}$  having degree  $-1$  and elements of  $\mathfrak{g}^*$  having degree  $+1$ .

# ACTION OF A GROUP ON A SUPER LIE–JORDAN BANACH ALGEBRA

Let  $G$  be a Lie group acting on a SLJB–algebra  $\mathcal{L}$ , that is it exists a map:

$$\hat{g}: G \rightarrow \text{Aut}(\mathcal{L})$$

which assigns to each element  $g$  of the group, an automorphism of the algebra  $U(g)$ .

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It is also possible to define the action of the Lie algebra  $\mathfrak{g}$  on the LJB–algebra  $\mathcal{L}$ . Let  $a \in \mathcal{L}$  and  $\xi \in \mathfrak{g}$ , then

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This action is a derivation of the algebra, that is:

$$\xi(a \circ b) = \xi(a) \circ b + a \circ \xi(b)$$

and then by the previous theorem on Jordan derivations there exists  $J \in \mathcal{L}$  such that  $\forall a \in \mathcal{L}$ ,

$$\xi(a) = [J, a].$$



# SUPER LIE–JORDAN BANACH ALGEBRAS

Tensor products of Super LJB–algebras: Given two SLJB–algebras  $P$  and  $Q$ , their tensor product  $P \otimes Q$  can be given the structure of a SLJB–algebra.

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Example:

$\mathcal{C} = \mathcal{L} \otimes \Lambda(\mathfrak{g} \oplus \mathfrak{g}^*)$  is a  $\mathbb{Z}$ –graded SLJB–algebra:

$$\mathcal{C} = \bigoplus_n \mathcal{C}^n = \bigoplus_{i-j=n} \mathcal{C}^{i,j} = \bigoplus_{i-j=n} \Lambda^i(\mathfrak{g}^*) \otimes \Lambda^j(\mathfrak{g}) \otimes \mathcal{L}$$

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Although the bigrading is preserved by the exterior product, the Lie bracket does not preserve it, infact

$$[\mathcal{C}^{i,j}, \mathcal{C}^{k,l}] \subset \mathcal{C}^{i+k,j+l} \oplus \mathcal{C}^{i+k-1,j+l-1}$$

but the total degree is preserved.

# SUPERCARGE AND BRST REDUCTION

A superderivation of degree  $k$  is a linear map  $D: \mathcal{C}^n \rightarrow \mathcal{C}^{n+k}$  s.t.

$$D(a \circ b) = (Da) \circ b + (-1)^{k|a|} a \circ (Db), \quad D[a, b] = [Da, b] + (-1)^{k|a|} [a, Db].$$

The map  $a \rightarrow [Q, a]$  for some  $Q \in \mathcal{C}^k$  is a superderivation.



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The total differential  $D = [Q, \cdot]$ , where  $Q \in \mathcal{C}^1$  is given explicitly by:

$$Q = J_i \theta^i - \frac{1}{2} c_{jk}^i \theta^j \wedge \theta^k \wedge X_i$$

where  $X_i$  (antighosts) is a basis of  $\mathfrak{g}$  with  $[X_i, X_j] = c_{ij}^k X_k$  and  $\theta^i$  (ghosts) is a basis for  $\mathfrak{g}^*$ .

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## THEOREM (BRST REDUCTION)

*The zero-th class cohomology of the graded complex  $(\mathcal{C}, D)$  is given by*

$$H_D^0(\mathcal{C}) = \mathcal{N}_{\mathcal{J}} / \mathcal{J}$$