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Differences can be negative

in collaboration with:

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Peter Talkner

Universität Augsburg

Acta Phys. Pol. B **37**, 1537 (2006)

New J. Phys. **10**, 115008 (2008)

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Phys. Rev. E **80**, 041113 (2009)





Differences can
be negative

Motivation

Specific heat
and dissipation

Two approaches

Path I

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Specific heat

Negative values

Casimir effect

Conclusions

For the »mutual information« aficionados

There will be differences of

... specific heats $C_{AB} - C_B$

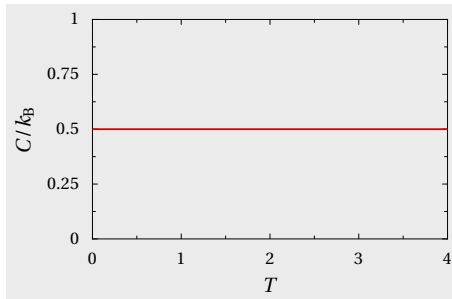
... and therefore of entropies $S_{AB} - S_B$



Differences can
be negative

For the »system+environment« aficionados

specific heat of a free particle



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For the »system+environment« aficionados

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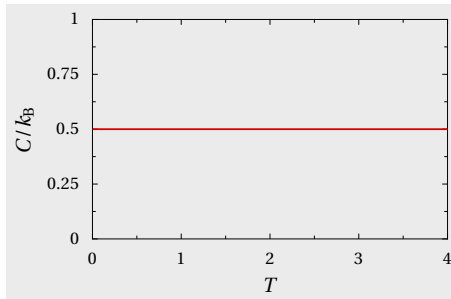
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specific heat of a free particle



Does the free particle violate the third law of
thermodynamics?



Motivation II (continued)

Differences can
be negative

We need an energy scale

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Differences can
be negative

We need an energy scale

① put particle into a box

let the particle be free (only limited by the size of the observable universe)

→ energy scale of $6 \cdot 10^{-70} \text{ K}$

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Differences can
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We need an energy scale

- ① put particle into a box
- ② couple the particle to an environment

new energy scale $\hbar\gamma \rightarrow$ relevant quantity $\frac{k_B T}{\hbar\gamma}$

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Differences can
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We need an energy scale

① put particle into a box

② couple the particle to an environment



new energy scale $\hbar\gamma \rightarrow$ relevant quantity $\frac{k_B T}{\hbar\gamma}$

$\gamma \rightarrow 0$ corresponds to classical limit $T \rightarrow \infty$

Coupling to the environment makes the free particle more quantum! [somewhat in the spirit of J. R. Anglin, J. P. Paz, and W. H. Zurek,

Deconstructing decoherence, PRA '97]

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The problem

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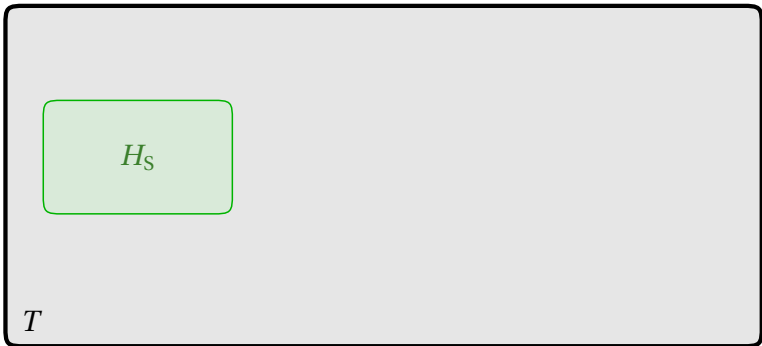
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The problem

Differences can
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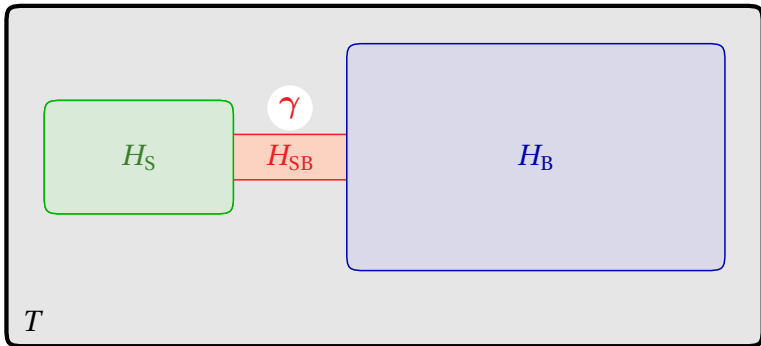
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What actually do we mean by
»specific heat of a dissipative system«?

Differences can
be negative

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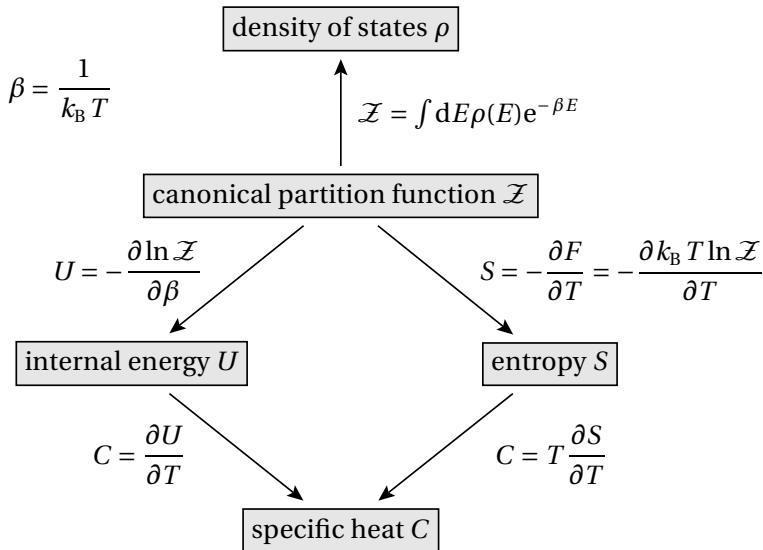
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From system energy to specific heat

Differences can
be negative

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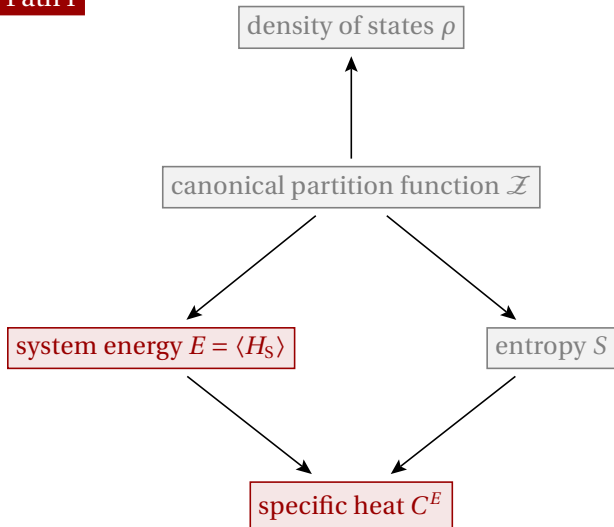
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From partition function to specific heat

Differences can
be negative

Path II

density of states ρ

$$\text{canonical partition function } \mathcal{Z} = \frac{\text{Tr}_{S+B}(e^{-\beta H})}{\text{Tr}_B(e^{-\beta H_B})}$$

internal energy U

entropy S

specific heat C^Z

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An important difference

Differences can
be negative

Path I

$$E = \langle H_S \rangle = \frac{\text{Tr}_{S+B}(H_S e^{-\beta H})}{\text{Tr}_{S+B}(e^{-\beta H})}$$

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Path II

$$\mathcal{Z} = \frac{\text{Tr}_{S+B}(e^{-\beta H})}{\text{Tr}_B(e^{-\beta H_B})} \quad U = -\frac{\partial \ln \mathcal{Z}}{\partial \beta}$$

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$$\begin{aligned} \Rightarrow U &= \langle H \rangle - \langle H_B \rangle_B \\ &= E + \left[\langle H_{SB} \rangle + \langle H_B \rangle - \langle H_B \rangle_B \right] \end{aligned}$$

For finite coupling to the bath, E and U differ!
 \Rightarrow There is no unique way to define a specific heat.



Specific heat of a damped free particle

Differences can
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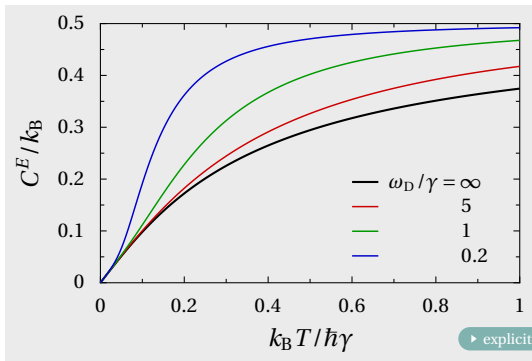
Path II

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► explicit results

- $T \rightarrow \infty$: classical value $k_B/2$
Damping constant γ determines temperature scale
- Third Law saved by coupling to the environment
- more damping makes the system more quantum

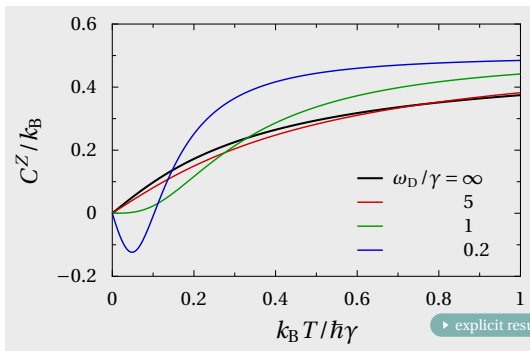


Specific heat of a damped free particle

Differences can
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Path II

▶ Partition function



- already the leading high temperature corrections for C^E and C^Z differ

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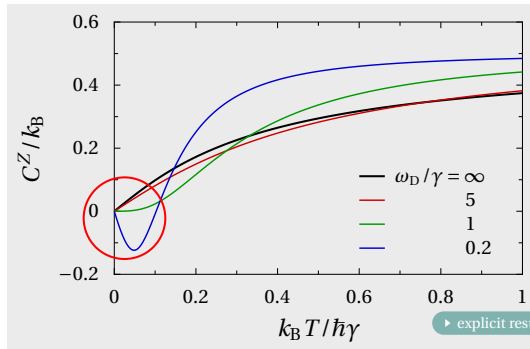


Specific heat of a damped free particle

Differences can
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Path II

► Partition function



- already the leading high temperature corrections for C^E and C^Z differ
- **The specific heat can become negative!?**

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Differences make their appearance

Differences can
be negative

reduced partition function

$$\mathcal{I} = \frac{\mathcal{I}_{S+B}}{\mathcal{I}_B}$$

In order to obtain the entropy or the specific heat, one needs to take the logarithm.

⇒ The reduced partition function leads to differences of entropies or of specific heats:

$$C^Z = C_{S+B}^Z - C_B^Z$$

i. e., how does the specific heat change if the system degree of freedom is coupled to the bath?

The difference of two positive numbers can be negative.

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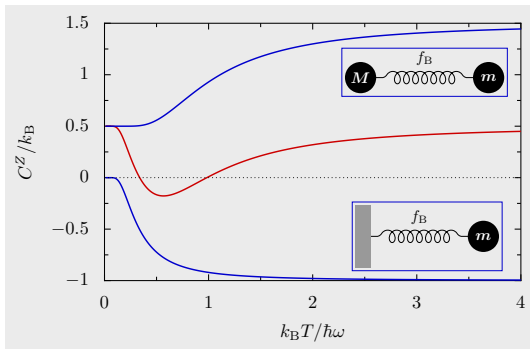
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GLI, P. Hänggi, P. Talkner Phys. Rev. E **79**, 061105 (2009)

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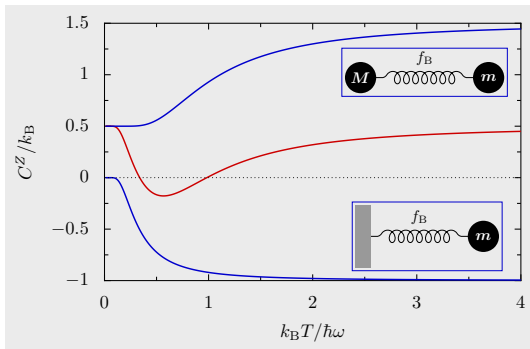
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GLI, P. Hänggi, P. Talkner Phys. Rev. E **79**, 061105 (2009)

Coupling of a degree of freedom to an environment can lead to a reduction of the specific heat.



Casimir effect

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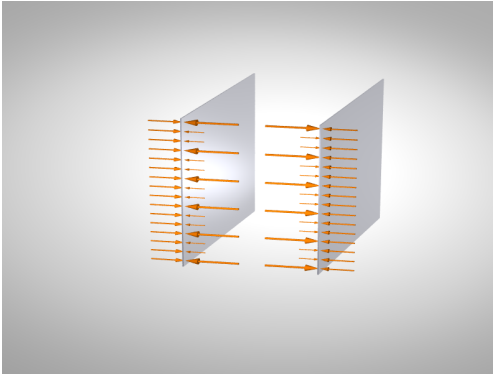
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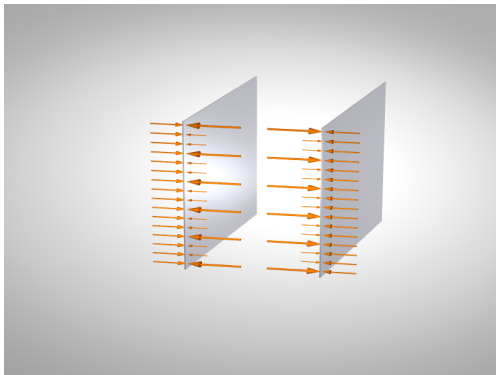
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finite temperature
finite permittivity
geometry
surface roughness
finite thickness



Casimir effect

Differences can
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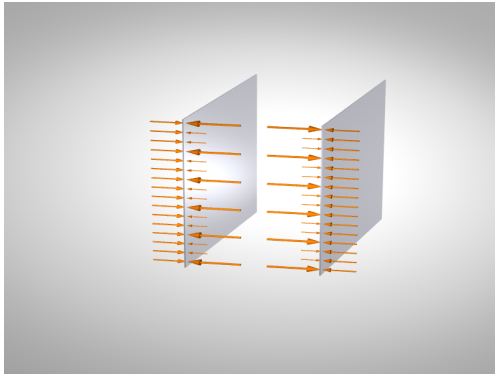
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finite temperature
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Differences can
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ideal mirrors

$$\mathcal{E}(\omega) = -\infty$$

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ideal mirrors

$$\varepsilon(\omega) = -\infty$$

plasma model

$$\varepsilon(\omega) = 1 - \frac{\omega_P^2}{\omega^2}$$



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ideal mirrors

$$\varepsilon(\omega) = -\infty$$

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$$\varepsilon(\omega) = 1 - \frac{\omega_P^2}{\omega^2}$$

Drude model

$$\varepsilon(\omega) = 1 - \frac{\omega_P^2}{\omega(\omega + i\gamma)}$$



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ideal mirrors

$$\varepsilon(\omega) = -\infty$$

plasma model

$$\varepsilon(\omega) = 1 - \frac{\omega_P^2}{\omega^2}$$

Drude model



negative entropy !

$$\varepsilon(\omega) = 1 - \frac{\omega_P^2}{\omega(\omega + i\gamma)}$$

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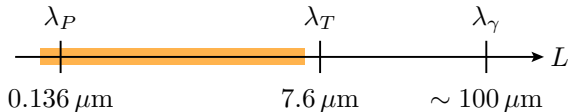
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$$\varepsilon(\omega) = 1 - \frac{\omega_P^2}{\omega(\omega + i\gamma)} \quad \lambda_P = \frac{2\pi c}{\omega_P} \quad \lambda_T = \frac{\hbar c}{k_B T}$$



$$\Delta S_{\text{TE}} \sim f\left(\frac{\hbar\gamma}{k_B T}\right)$$



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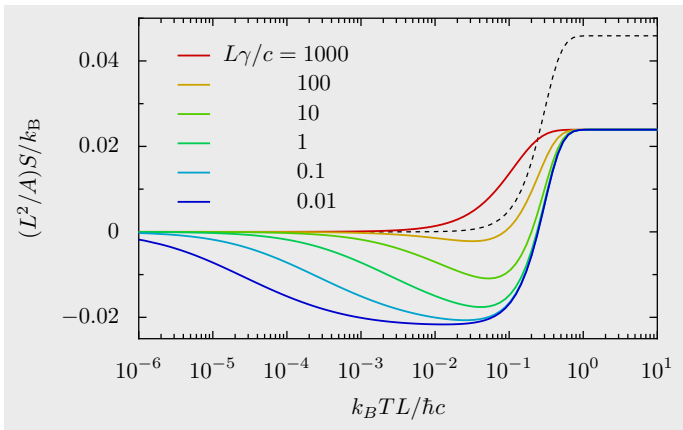
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Conclusions

- Dissipation can save the Third Law of thermodynamics.
- For nonnegligible coupling to the bath, specific heat is not uniquely defined.
- The reduced partition function can lead to negative values of the (difference of) specific heat(s).
- This scenario finds an application in the Casimir effect.

References:

P. Hänggi, GLI, Acta Phys. Pol. B **37**, 1537 (2006)

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Differences can
be negative

$$\frac{C^E}{k_B} = \frac{x_1 x_2}{x_1 - x_2} [x_2 \psi'(x_2) - x_1 \psi'(x_1)] - \frac{1}{2}$$

with

$$x_{1,2} = \frac{\hbar \beta \omega_D}{4\pi} \left(1 \pm \sqrt{1 - \frac{4\gamma}{\omega_D}} \right)$$

High temperature expansion

$$\frac{C^E}{k_B} = \frac{1}{2} - \frac{\hbar^2 \gamma \omega_D}{24 (k_B T)^2} + O(T^{-3})$$

Low temperature expansion

$$\frac{C^E}{k_B} = \frac{\pi}{3} \frac{k_B T}{\hbar \gamma} - \frac{4\pi^3}{15} \left(\frac{k_B T}{\hbar \gamma} \right)^3 \left(1 - 2 \frac{\gamma}{\omega_D} \right) + O(T^5)$$

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Partition function of the damped free particle

Differences can
be negative

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$$\mathcal{Z} = \frac{L}{\hbar} \left(\frac{2\pi m}{\beta} \right)^{1/2} \prod_{n=1}^{\infty} \frac{\nu_n}{\nu_n + \hat{\gamma}(\nu_n)}$$

▶ back

Differences can
be negative

$$\frac{C^Z}{k_B} = x_1^2 \psi'(x_1) + x_2^2 \psi'(x_2) - \left(\frac{\hbar \beta \omega_D}{2\pi} \right)^2 \psi' \left(\frac{\hbar \beta \omega_D}{2\pi} \right) - \frac{1}{2}$$

with

$$x_{1,2} = \frac{\hbar \beta \omega_D}{4\pi} \left(1 \pm \sqrt{1 - \frac{4\gamma}{\omega_D}} \right)$$

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High temperature expansion

$$\frac{C^Z}{k_B} = \frac{1}{2} - \frac{\hbar^2 \gamma \omega_D}{12(k_B T)^2} + O(T^{-3})$$

Low temperature expansion

$$\frac{C^Z}{k_B} = \frac{\pi}{3} \frac{k_B T}{\hbar \gamma} \left(1 - \frac{\gamma}{\omega_D} \right) - \frac{4\pi^3}{15} \left(\frac{k_B T}{\hbar \gamma} \right)^3 \left[1 - 3 \frac{\gamma}{\omega_D} - \left(\frac{\gamma}{\omega_D} \right)^3 \right] + O(T^5)$$